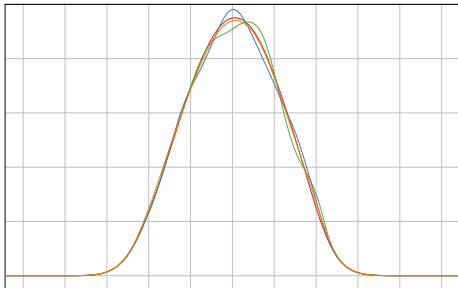
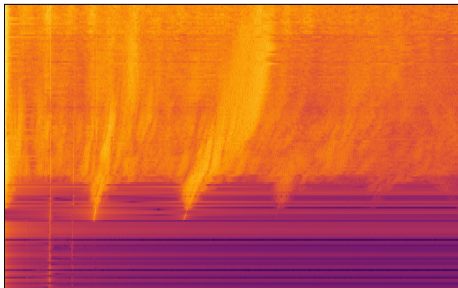


# Comprehensive Analysis of Micro-Bunching Instabilities using Machine Learning

Tobias Boltz, Miriam Brosi, Erik Bründermann, Florian Rämisch, Patrik Schönfeldt, Markus Schwarz, Minjie Yan and Anke-Susanne Müller | July 4, 2017

Laboratory for Applications of Synchrotron Radiation (LAS)



- Motivation
- Introduction of the Clustering Method  $k$ -means
- Pre-Processing
- Analysis of Micro-Structure Dynamics
- Analysis of Further Characteristics
- Outlook

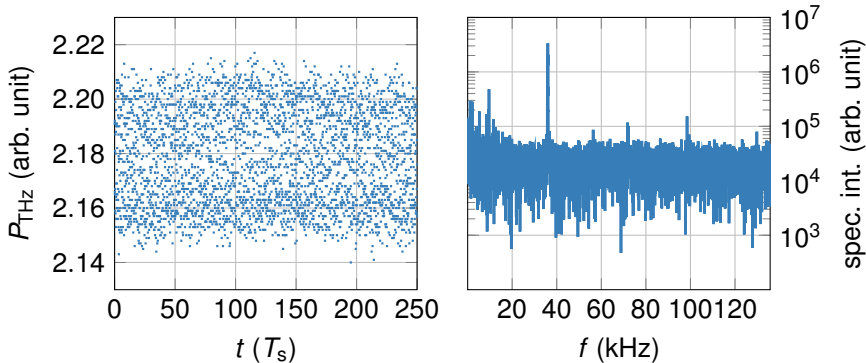
- operation of storage rings with short electron bunches increases coherent synchrotron radiation (CSR) power
- leads to micro-structure dynamics within the bunch
- indirect measurement: resulting fluctuations in the emitted CSR power
- direct measurement: electron distribution, difficult due to the small scale of the micro-structures

⇒ simulation of longitudinal dynamics with the simulation code Inovesa

Schönfeldt, P. *et al.* Parallelized Vlasov-Fokker-Planck solver for desktop personal computers. *Phys. Rev. Accel. Beams* **20** (2017)

# Motivation

## Measured Fluctuations of the CSR power (Bursting)

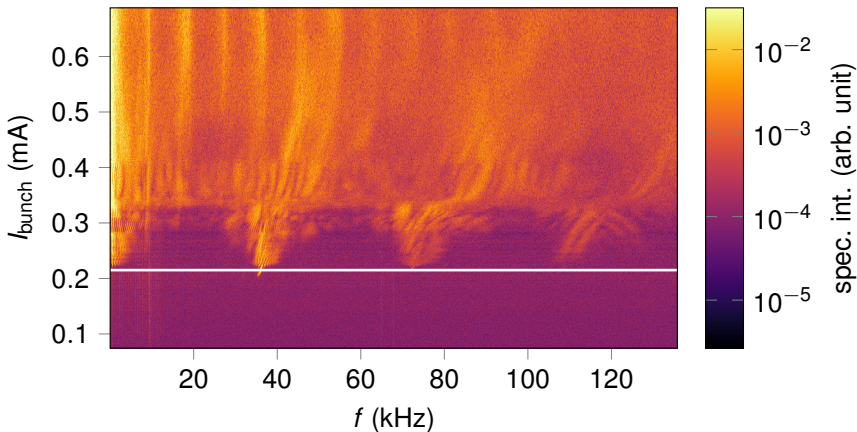


⇒ fluctuations occur with characteristic frequencies

Data taken at ANKA, courtesy of Miriam Brosi

# Motivation

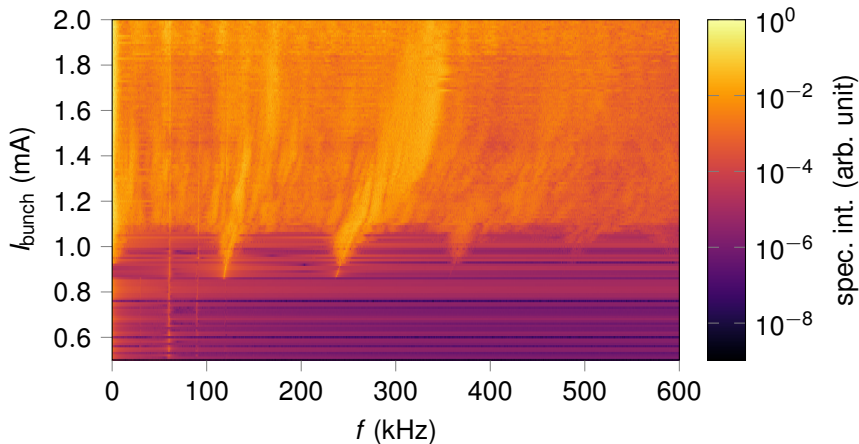
## Measured Bursting Spectrogram



Data taken at ANKA, courtesy of Miriam Brosi

# Motivation

## Simulated Bursting Spectrogram

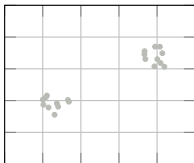


- identify micro-structures on the simulated longitudinal bunch profiles
  - correlate findings to the emitted synchrotron radiation, i.e. the CSR power spectrogram
- ⇒ large amounts of data to analyze!  
(151 bunch currents with 10 000 time steps each,  
i.e. 1.5 million bunch profiles in the simulation data set)
- ⇒ application of the machine learning technique *k*-means  
to reveal micro-structures within a fixed bunch current

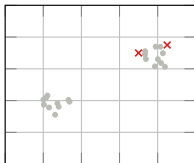
# Clustering method $k$ -means

## Principle of the $k$ -means algorithm

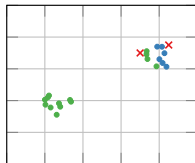
the data set



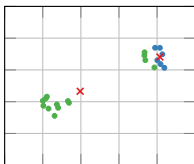
initialization



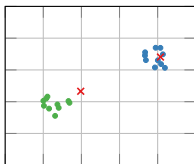
iter. #1: assignment



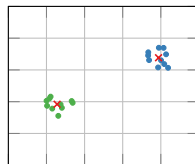
iter. #1: update



iter. #2: assignment



iter. #2: update

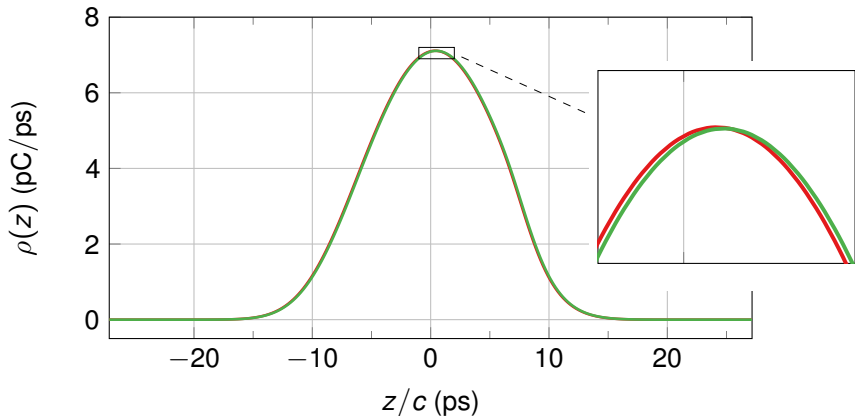




- clustering is an example of unsupervised learning, a sub field of machine learning
- can be used as an exploratory tool of data analysis to reveal underlying structure
- the  $k$ -means method is one of many different clustering algorithms
- relatively simple procedure which can be understood intuitively
- aims to achieve an appropriate categorization of the given data set
- number of categories or clusters  $k$  is a free parameter
- cluster means can be used as reasonable representatives to analyze the found clusters

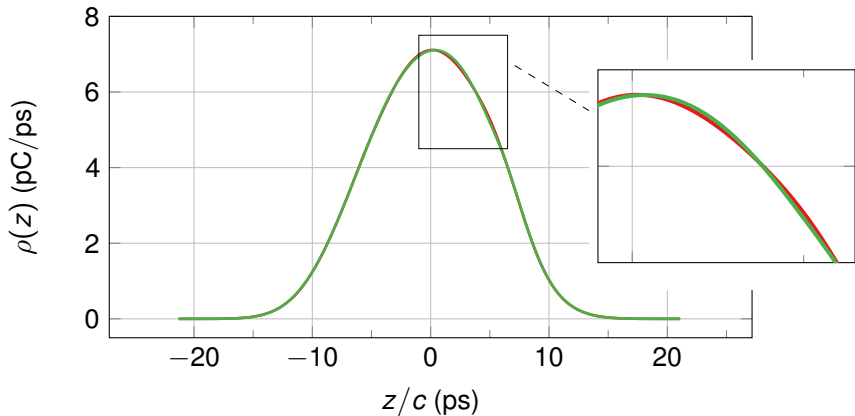
# Pre-Processing

## Initial Application of $k$ -means



# Pre-Processing

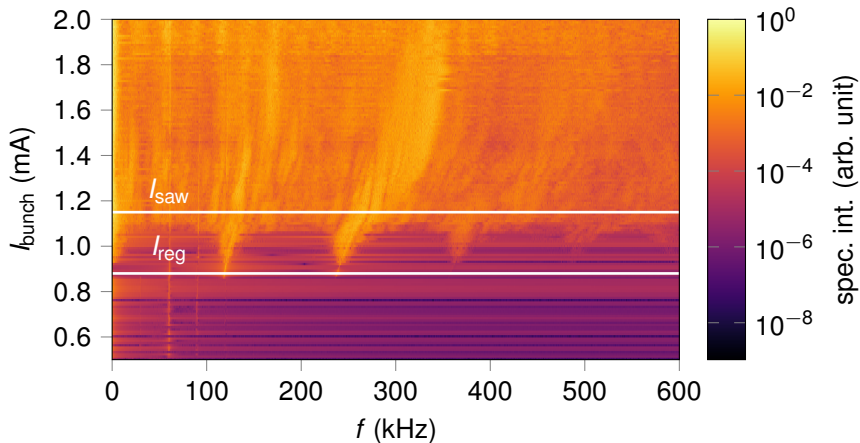
After Re-Centering Procedure



# **Analysis of Micro-Structure Dynamics**

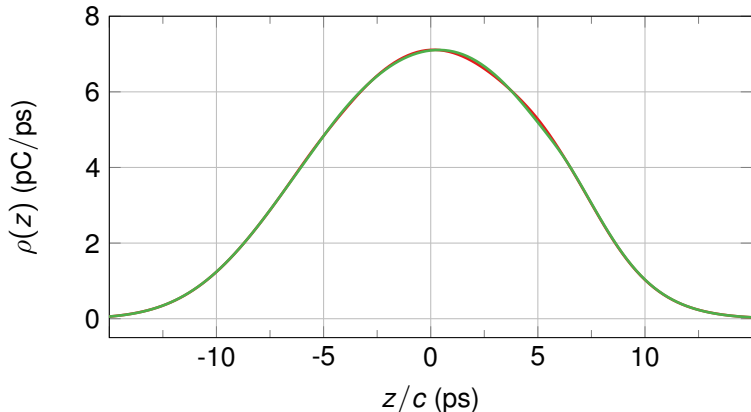
# Analysis of Micro-Structure Dynamics

## Different Bursting Regimes



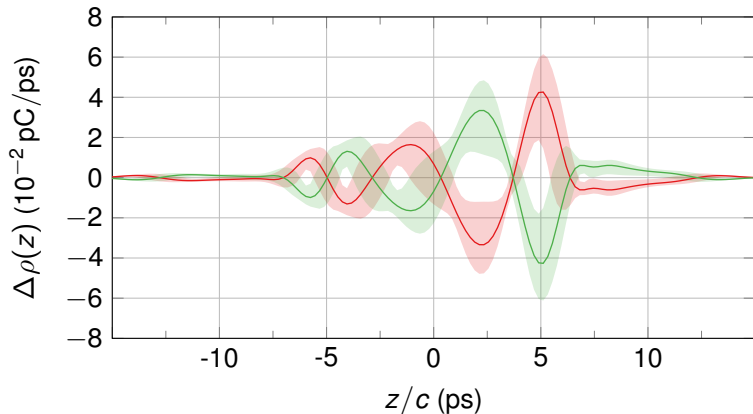
# Regular Bursting Regime

Cluster Centers,  $I_{\text{reg}} = 0.88 \text{ mA}$ ,  $k = 2$



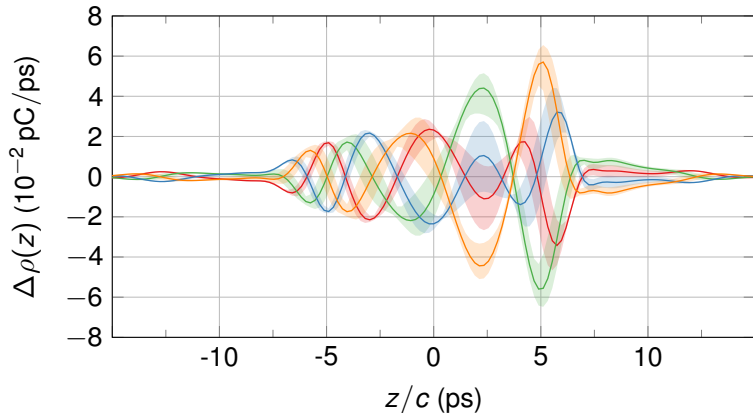
# Regular Bursting Regime

Cluster Centers Ref. to Mean,  $I_{\text{reg}} = 0.88 \text{ mA}$ ,  $k = 2$



# Regular Bursting Regime

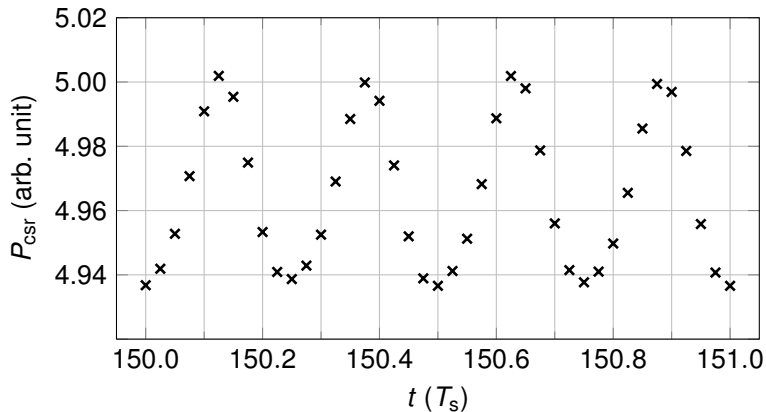
Cluster Centers Ref. to Mean,  $I_{\text{reg}} = 0.88 \text{ mA}$ ,  $k = 4$





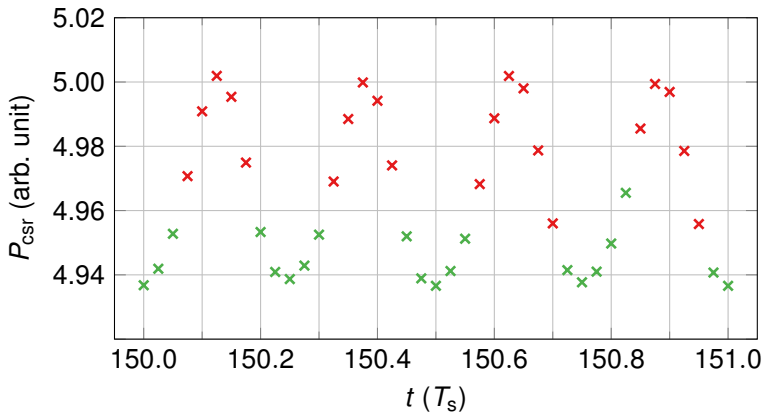
# Regular Bursting Regime

Correlation to CSR Power,  $I_{\text{reg}} = 0.88 \text{ mA}$ ,  $k = 2$



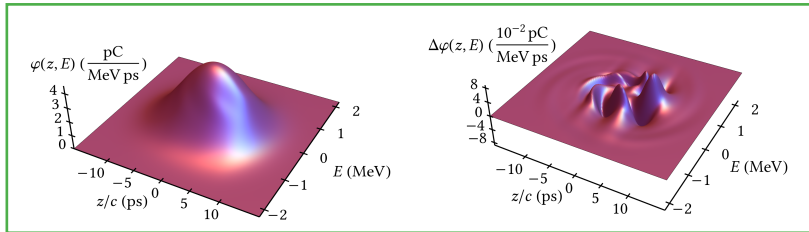
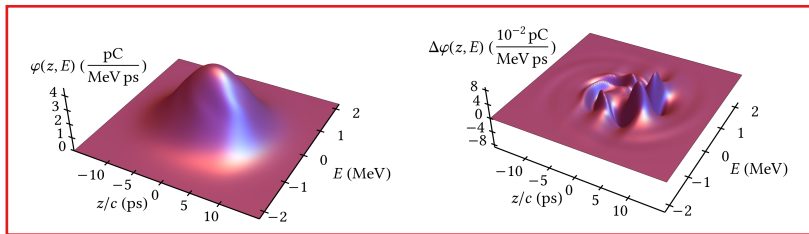
# Regular Bursting Regime

Correlation to CSR Power,  $I_{\text{reg}} = 0.88 \text{ mA}$ ,  $k = 2$



# Regular Bursting Regime

Longitudinal Phase Space,  $I_{\text{reg}} = 0.88 \text{ mA}$ ,  $k = 2$

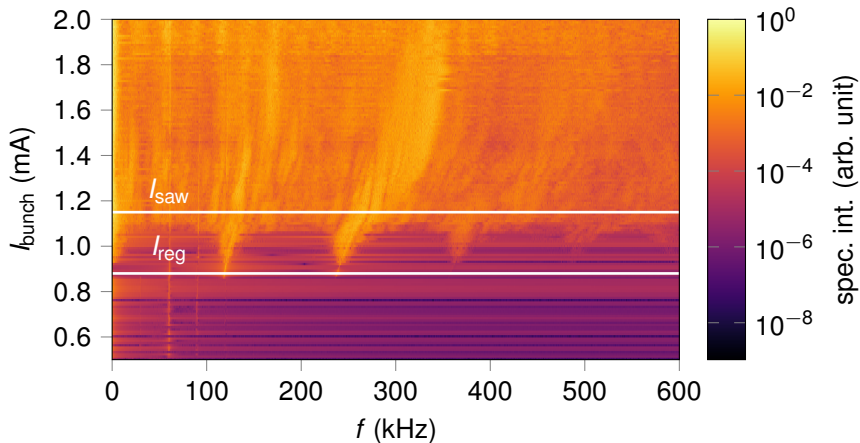


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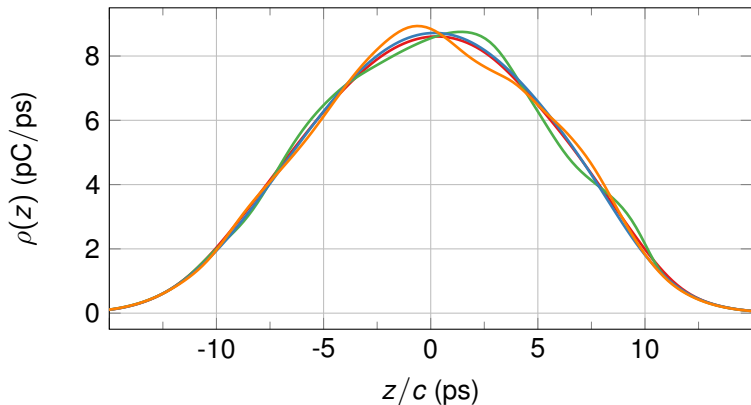
# Analysis of Micro-Structure Dynamics

## Different Bursting Regimes



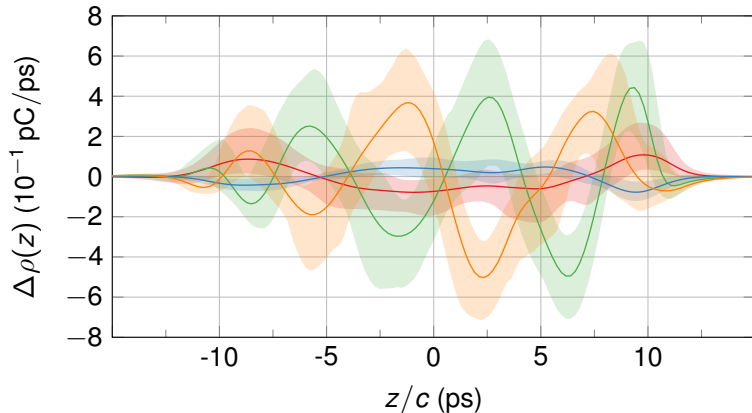
# Sawtooth Bursting Regime

Cluster Centers,  $I_{\text{saw}} = 1.15 \text{ mA}$ ,  $k = 4$



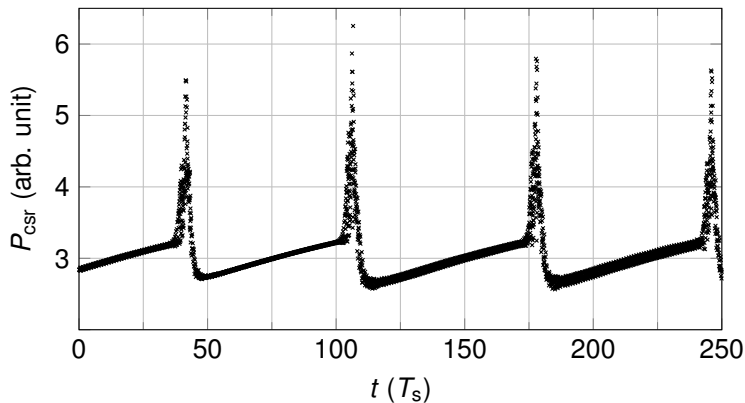
# Sawtooth Bursting Regime

Cluster Centers Ref. to Mean,  $I_{\text{saw}} = 1.15 \text{ mA}$ ,  $k = 4$



# Sawtooth Bursting Regime

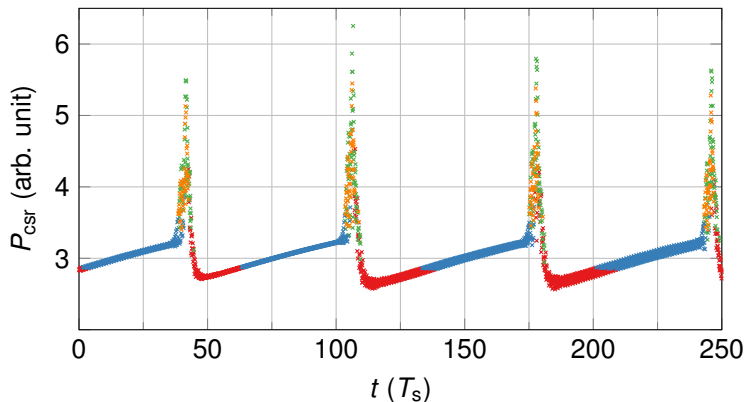
Correlation to CSR Power,  $I_{\text{saw}} = 1.15 \text{ mA}$ ,  $k = 4$





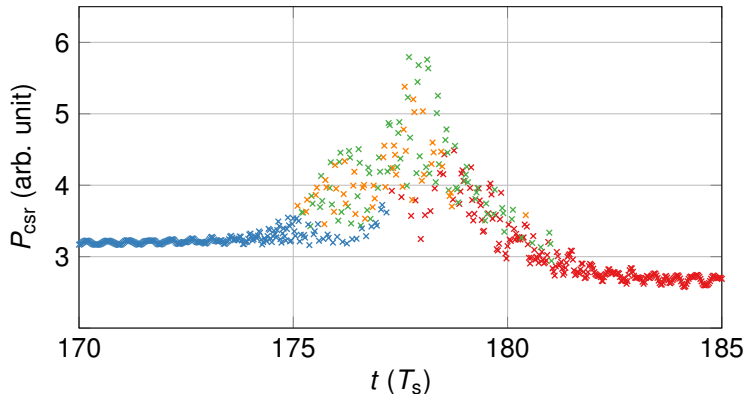
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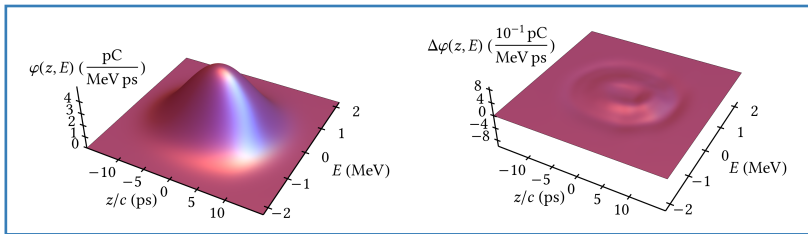
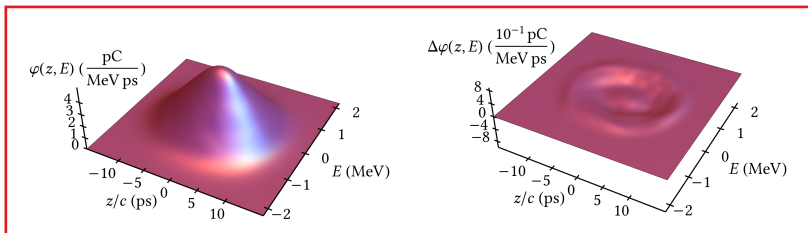
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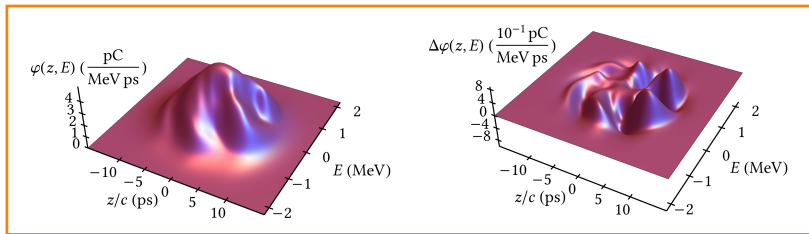
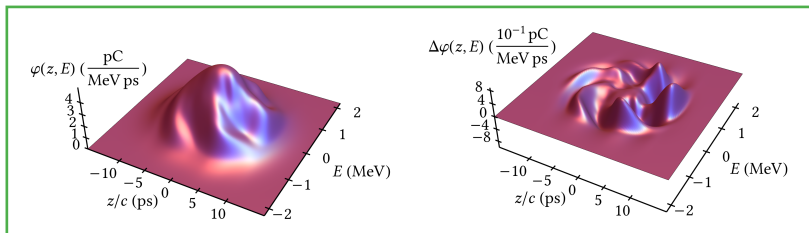
# Sawtooth Bursting Regime

Longitudinal Phase Space,  $I_{\text{saw}} = 1.15 \text{ mA}$ ,  $k = 4$



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Longitudinal Phase Space,  $I_{\text{saw}} = 1.15 \text{ mA}$ ,  $k = 4$

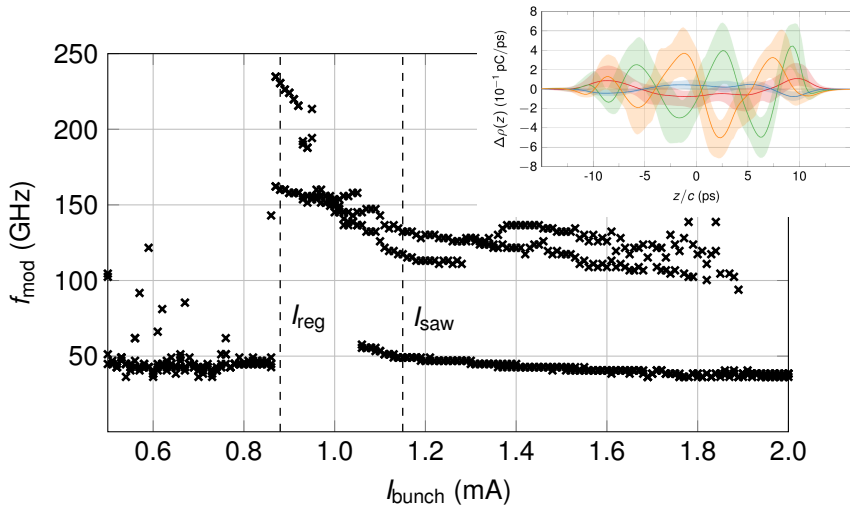


# Sawtooth Bursting Regime

Longitudinal Phase Space,  $I_{\text{saw}} = 1.15 \text{ mA}$ ,  $k = 4$

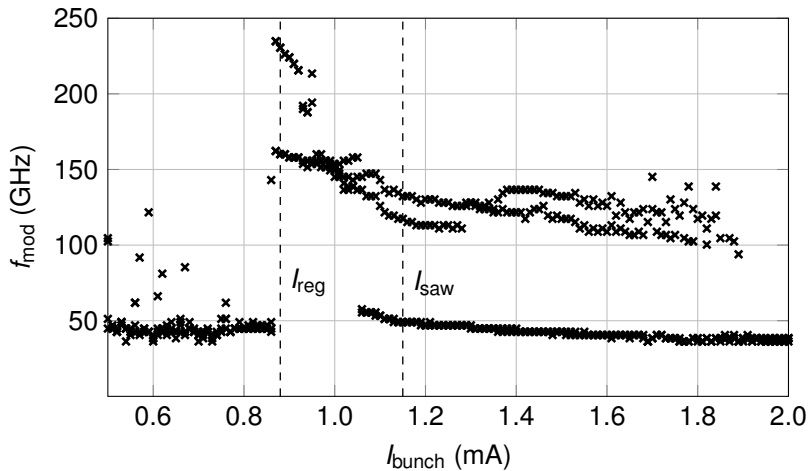
# Modulation Amplitude and Frequency

## Modulation Frequencies for all Bunch Currents



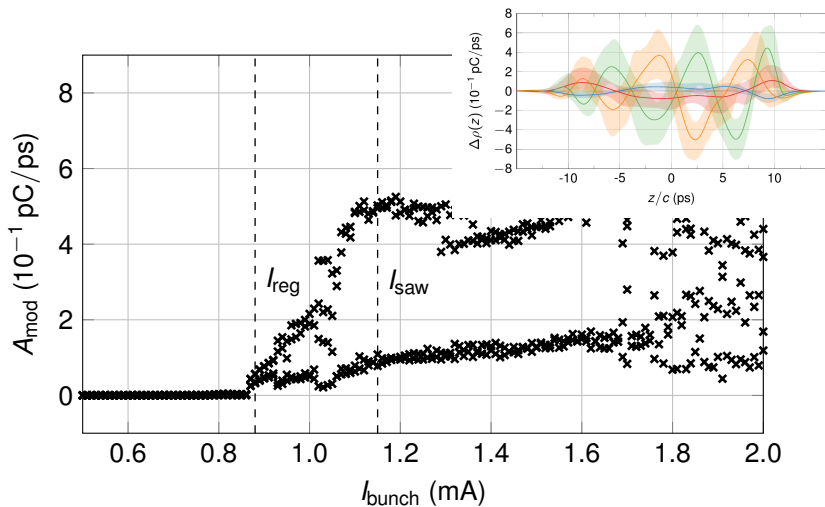
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Modulation Frequencies for all Bunch Currents



# Modulation Amplitude and Frequency

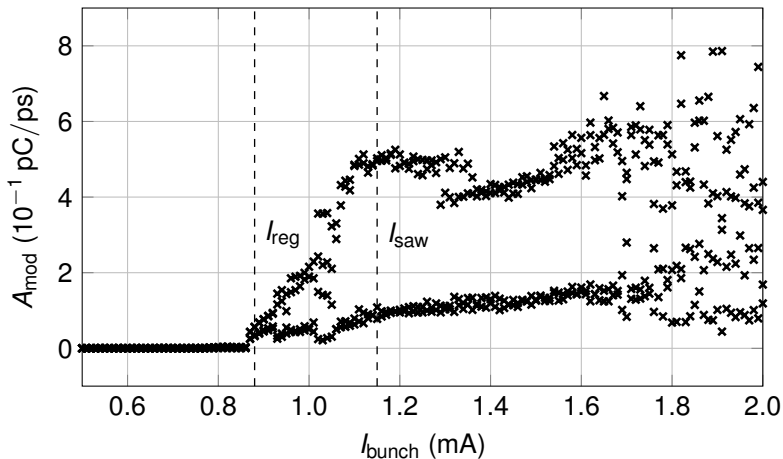
Modulation Amplitudes for all Bunch Currents





# Modulation Amplitude and Frequency

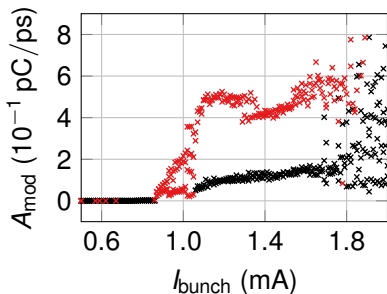
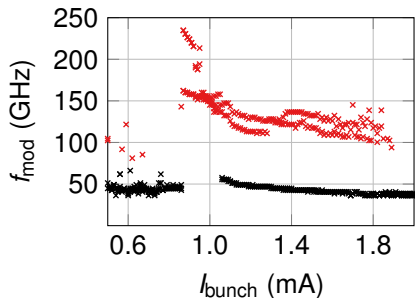
Modulation Amplitudes for all Bunch Currents



# Modulation Amplitude and Frequency

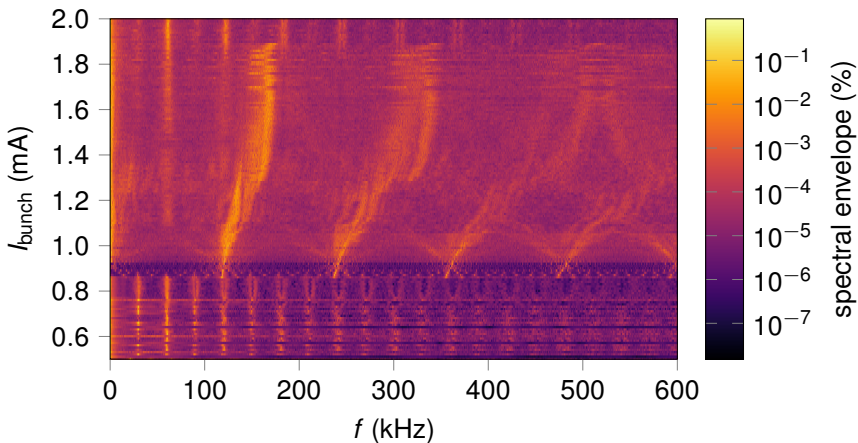
## Correlation of Modulation Frequency and Amplitude

- $f_{\text{mod}} > 75$  GHz colored red
- same color scheme applied to modulation amplitudes  $A_{\text{mod}}$



# Cluster Label Spectrogram

## Spectral Analysis of Categorical Time Series



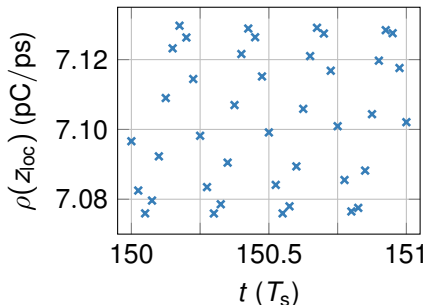
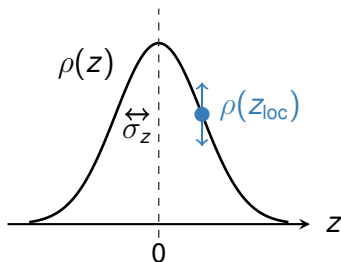
Stoffer, D. S. *et al.* Spectral analysis for categorical time series: Scaling and the spectral envelope. *Biometrika* **80**, 611–622 (1993)

# **Analysis of Further Characteristics**

# Dynamics of a Localized Charge Density

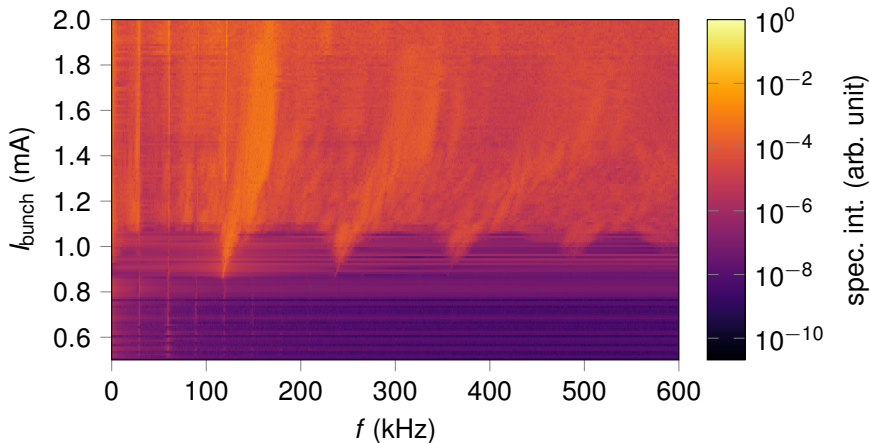
Charge Density at CoM Position,  $I_{\text{reg}} = 0.88 \text{ mA}$

- analysis of temporal changes of the charge density at a fixed position within the electron bunch
- the gained time signal resembles the corresponding CSR power signal



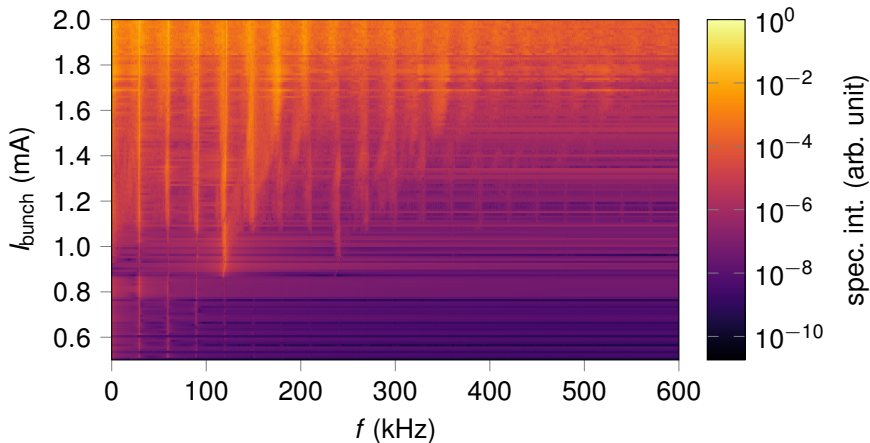
# Dynamics of a Localized Charge Density

## Spectrogram for CoM Position



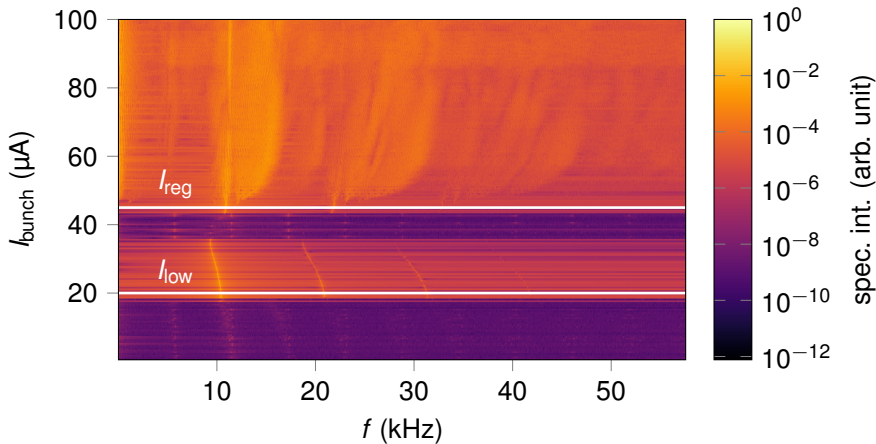
# Dynamics of a Localized Charge Density

Spectrogram for a Position far away from CoM



# Low Current Bursting

2nd Data Set: CSR Power Spectrogram

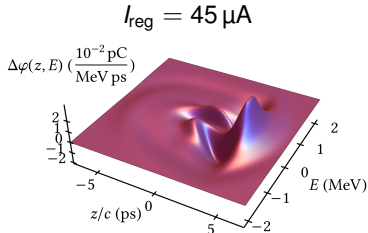
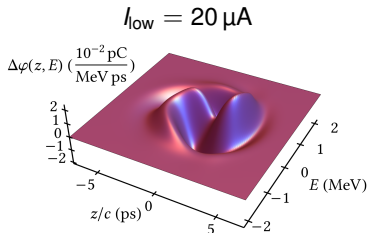




# Low Current Bursting

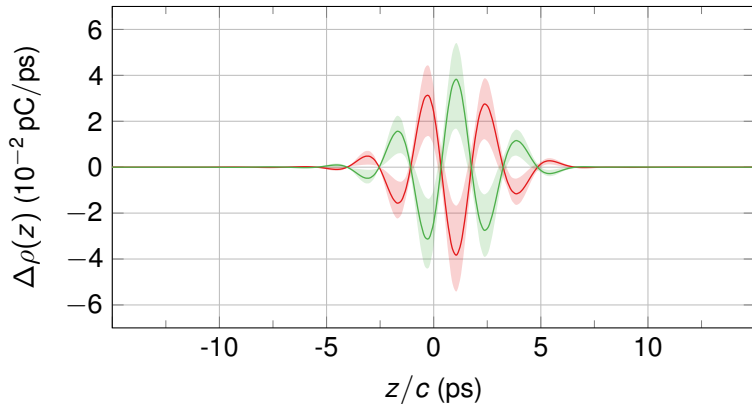
Longitudinal Phase Space,  $I_{\text{low}} = 20 \mu\text{A}$ ,  $k = 2$

- micro-structures in the low current bursting regime look similar as well
- however, there is one structure less than for the regimes above!



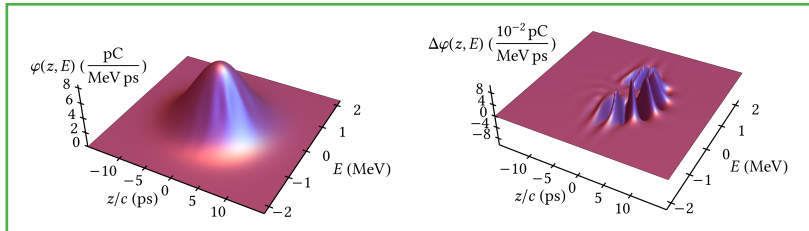
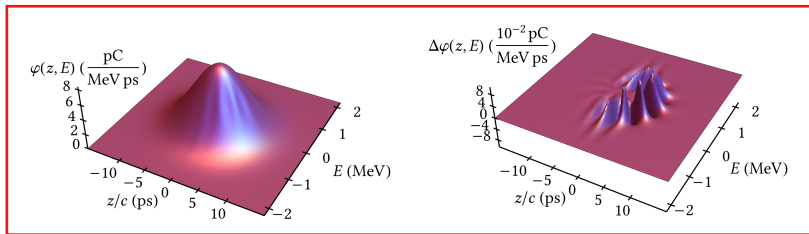
# Vacuum Chamber Height

Ref. Cluster Centers,  $I_{\text{bunch}} = 1.4 \text{ mA}$ ,  $k = 2$ ,  $g' = 0.5 g$



# Vacuum Chamber Height

Long. Phase Space,  $I_{\text{bunch}} = 1.4 \text{ mA}$ ,  $k = 2$ ,  $g' = 0.5 g$



# Outlook

- modulation frequencies are very similar across different bunch currents, but show a slight decay
  - ⇒ Where does this come from?
  - ⇒ Does it maybe depend on changes in the bunch length?
- systematic studies of the  $f_{\text{mod}}$  dependence on different parameters, e.g. the vacuum chamber height or bending radius
- reproduce these results on measured data (EO setup)
- additional ideas/suggestions?

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- reproduce these results on measured data (EO setup)
- additional ideas/suggestions?

**Thank you for  
your attention!**

# Backup



# Simulation Parameters

## 1st Data Set

Physical parameter	Value
RF voltage $U_0$	1 MV
revolution frequency $f_{rev}$	9 MHz
synchrotron frequency $f_s$	30 kHz
damping time $\tau_d$	5 ms
harmonic number $h$	50
parallel plates distance $g$	3.2 cm
initial electron distribution $\varphi(z, E, t_0)$	2-dim. Gaussian
simulation time $t$	250 $T_s$
<hr/>	
bunch current $I_{bunch}$	0.5 mA to 2.0 mA
<hr/>	
Control parameter	Value
grid size $n_{grid}$	256
time steps $n_{steps}$	10 000

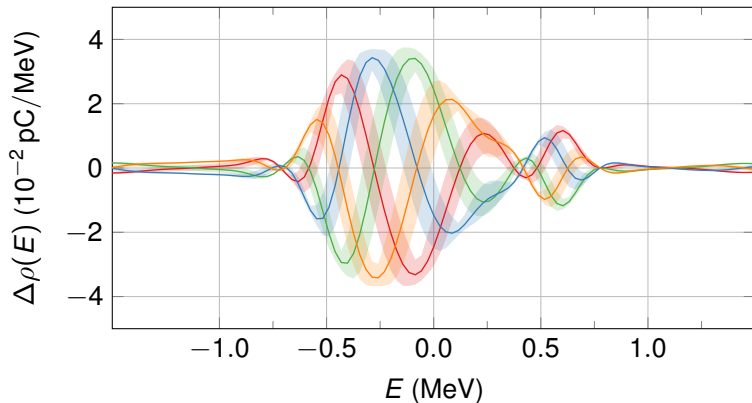
# Simulation Parameters

## 2nd Data Set

Physical parameter	Value
RF voltage $U_0$	1.3 MV
revolution frequency $f_{\text{rev}}$	2.72 MHz
synchrotron frequency $f_s$	5.76 kHz
damping time $\tau_d$	2.66 ms
harmonic number $h$	184
parallel plates distance $g$	3.2 cm
initial electron distribution $\varphi(z, E, t_0)$	2-dim. Gaussian
simulation time $t$	500 $T_s$
bunch current $I_{\text{bunch}}$	0.5 $\mu\text{A}$ to 200 $\mu\text{A}$
Control parameter	Value
grid size $n_{\text{grid}}$	256
time steps $n_{\text{steps}}$	10 000

# Micro-Structures on Energy Profiles

Cluster Centers Ref. to Mean,  $I_{\text{reg}} = 0.88 \text{ mA}$ ,  $k = 4$



- Title: Comprehensive Analysis of Micro-Structure Dynamics in Longitudinal Electron Bunch Profiles s
- Author: Tobias Boltz
- Date: March 17, 2017
- Published in KITopen:  
<https://publikationen.bibliothek.kit.edu/1000068253>