

The First

Simulation Results on Backup Injector for PSI XFEL Project

Yujong Kim

PSI, CH-5232 Villigen PSI, Switzerland

- **Why we need a Backup Injector for PSI XFEL Project ?**
 - **Required Beam Parameters for PSI XFEL Project & Current Situation**
 - **A Backup Approach for PSI XFEL Project**
 - **Selection of an S-band RF Photoinjector for a Backup Injector**

- **How we can reduce beam emittance with an RF photoinjector**
 - **Relation between Thermal Emittance and Beam Size on the Cathode**
 - **Relation between Max. Gradient at the Cathode and Beamsizes on the Cathode**

- **Simulations Results with the LCLS type S-band RF Photoinjector**
 - **Simulation Results for 0.1 nC Case**
 - **Simulation Results for 0.2 nC Case**
 - **Simulation Results for 0.4 nC case**

- **Summary and Acknowledgement**

□ Required Beam Parameters for 0.1 nm PSI XFEL Project

- beam energy = 5.8 GeV
- single bunch charge = 0.2 nC
- normalized slice emittance $\leq 0.2 \mu\text{m}$
- rms slice energy spread = 0.6 MeV
- peak current = 1.5 kA
- saturation length ~ 32 m
- undulator period = 15 mm
- undulator strength $K = 0.84$ (rms)
- beta-function in undulator = 15 m

□ For PSI XFEL Project, we assumed :

- gap voltage of pulser = 1 MV (now 330 kV, we are still fighting ...)
- gap size = 4 mm, gradient = 250 MV/m (now < 30 MV/m, we are still fighting ...)
- normalized slice emittance at gun exit $\leq 0.1 \mu\text{m}$ (now $\sim 0.5 \mu\text{m}$, we are still fighting ...)
- peak current at gun exit = 5.5 A (now 0.3 A, it will be OK with new lasers)
- single bunch charge = 12 pC (it will be OK with new lasers)

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After consideration current situation, we need **a backup solution to keep our project !** Alternatively, we can choose **low-emittance & high peak current** instead of **ultra-low emittance & low peak current !**

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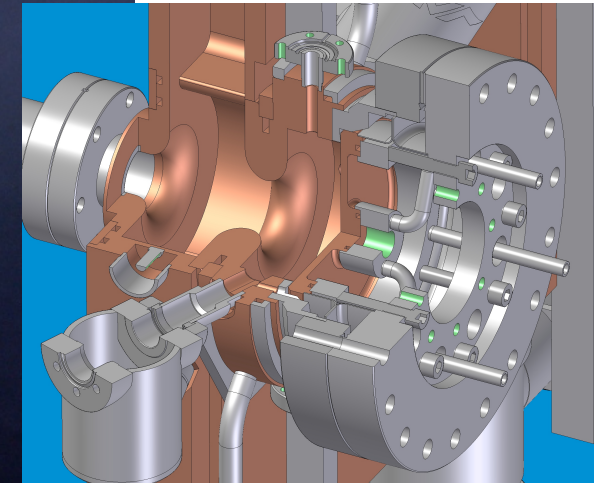
Demonstrated LCLS RF Photoinjector

LCLS RF Gun: Beam Side

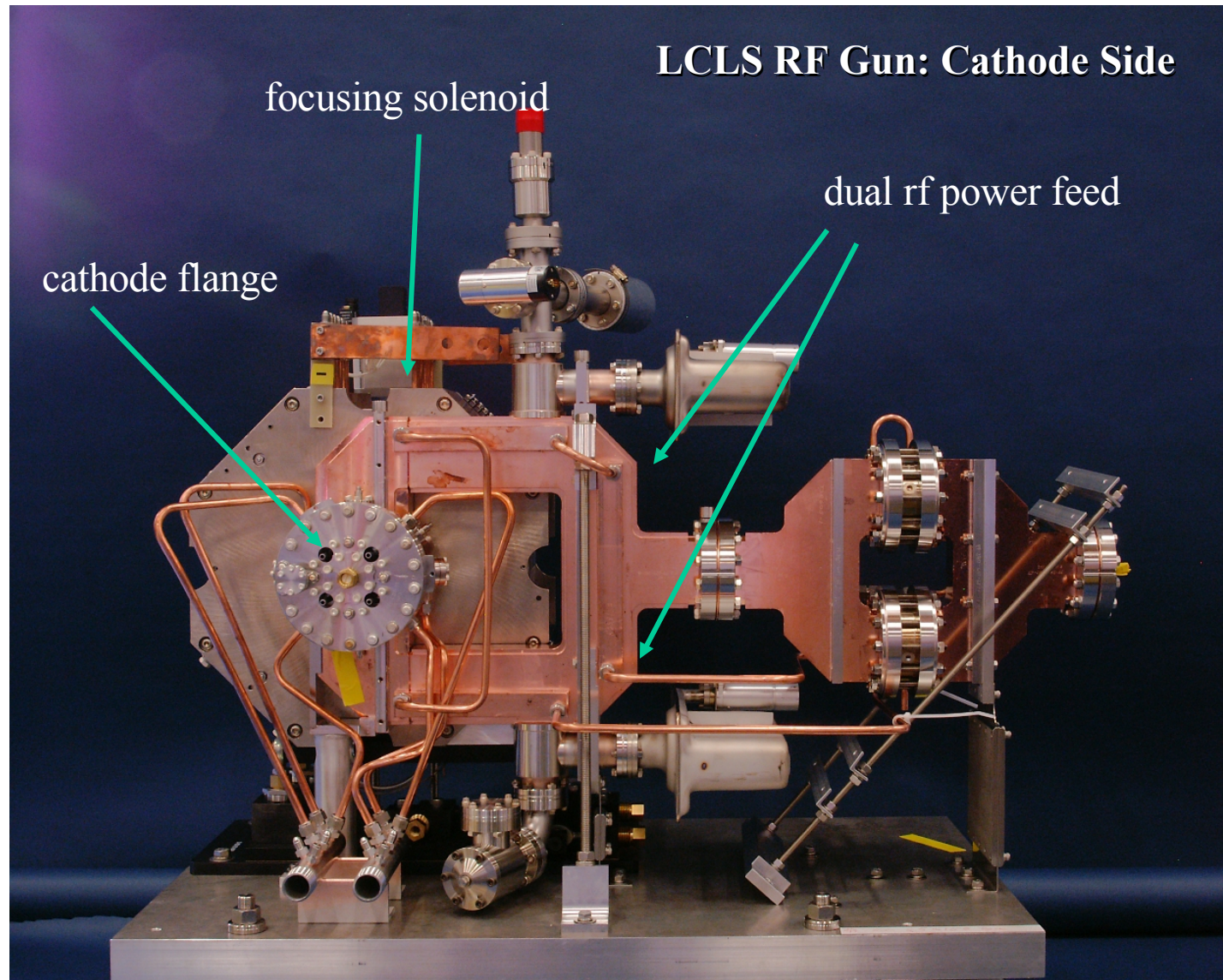
dual rf power feeds

focusing solenoid

beam port:
Attach 2-km
linac here



Courtesy of Dr. Dowell

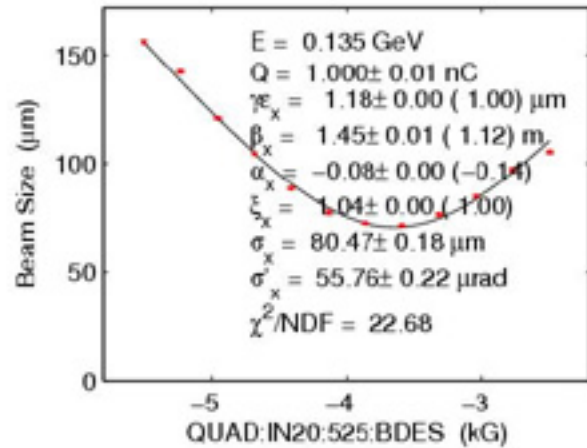


Courtesy of Dr. Dowell

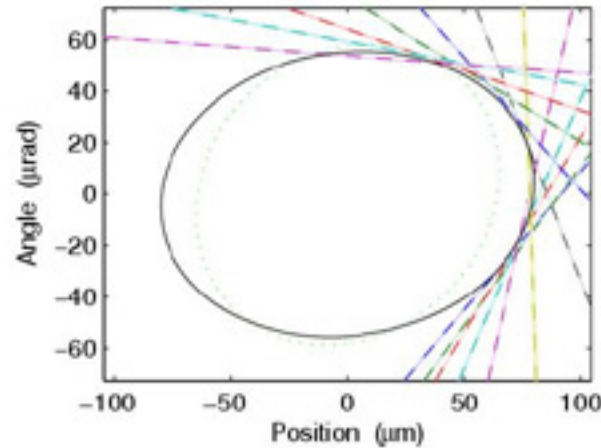
Demonstrated LCLS RF Photoinjector

Measured in August, 2007, Courtesy of LCLS Commissioning Team

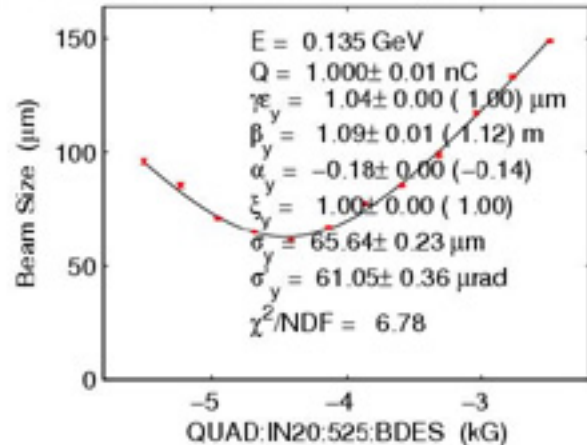
Emittance Scan on OTRS:IN20:571 18-Aug-2007 18:16:30RMS cut area



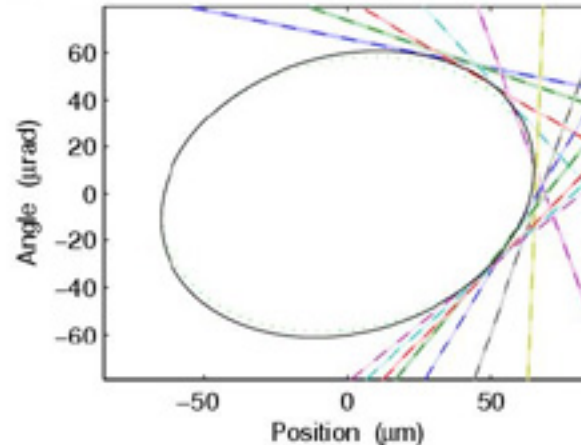
Phase Space



Emittance Scan on OTRS:IN20:571 18-Aug-2007 18:16:30RMS cut area



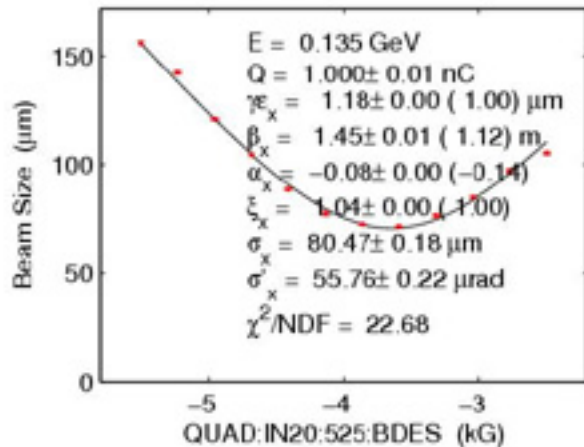
Phase Space



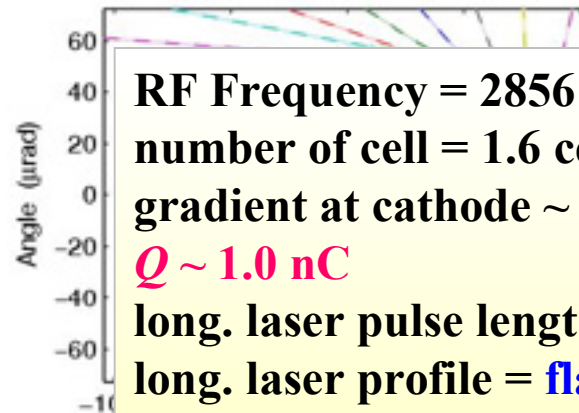
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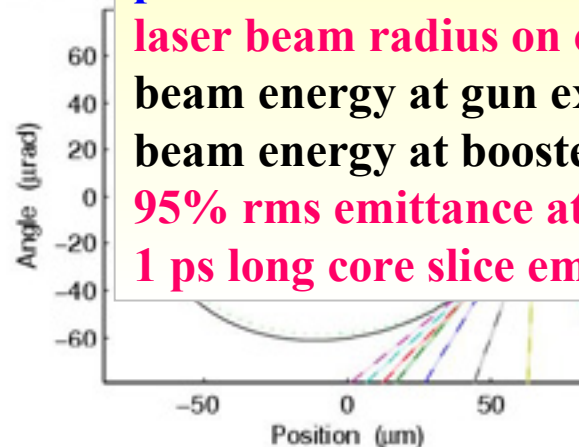
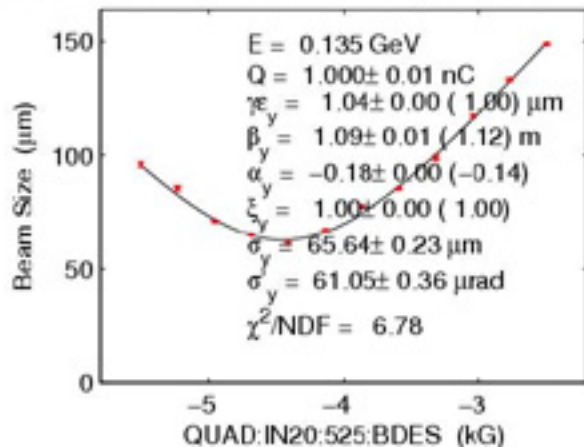


Phase Space



RF Frequency = 2856 MHz (S-band)
number of cell = 1.6 cells
gradient at cathode ~ 115 MV/m (Max 140 MV/m)
 $Q \sim 1.0 \text{ nC}$
long. laser pulse length ~ 7.05 ps (FWHM)
long. laser profile = flat-top
rise/fall time of laser pulse ~ 2.0 ps
peak current ~ 100 A
laser beam radius on cathode ~ 0.33 mm (rms)
beam energy at gun exit ~ 7 MeV
beam energy at booster exit ~ 135 MeV
95% rms emittance at booster exit ~ 1.1 μm
1 ps long core slice emittance ~ 0.9 μm

Emittance Scan on OTRS:IN20:571 18-Aug-2007 18:16:30RMS cut area

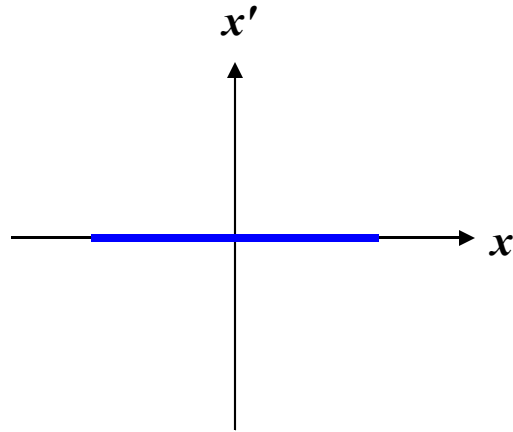


Projected Emittance Compensation

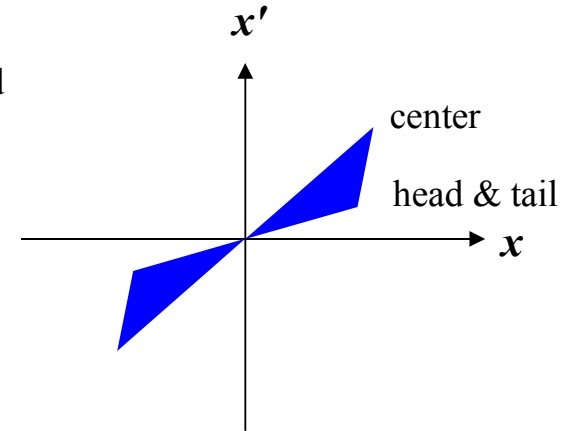
emitted electron bunch



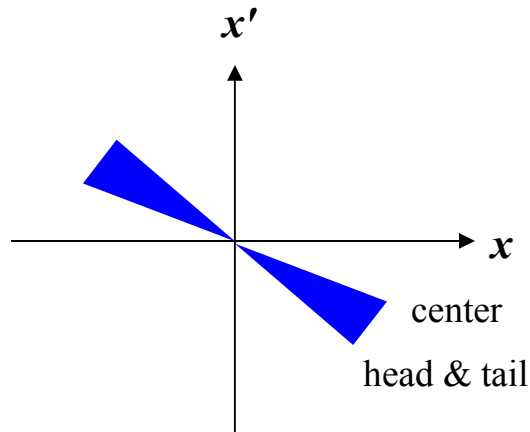
tail center head



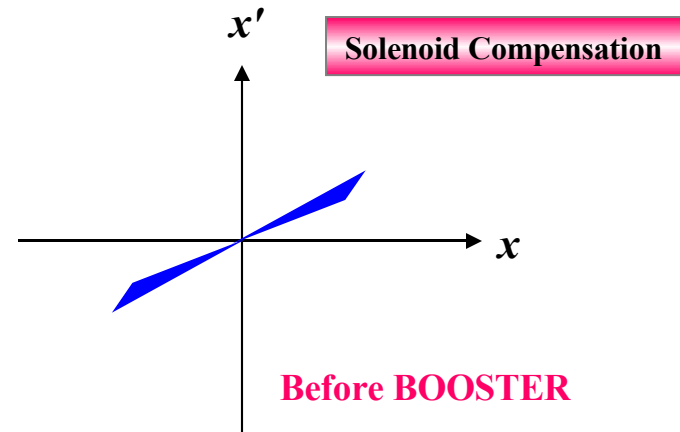
initial zero emittance just after emission from cathode



increased emittance due to space charge force



rotated phase space by an external focusing solenoid



compensation by reaction of space charge force after some drift



Space charge force induces oscillations in envelope and emittance.

The emittance and envelope oscillation around an ideal envelope can be damped by acceleration in booster. (L. Serafini and J. Rosenzweig PRE Vol 55, Page 7565)

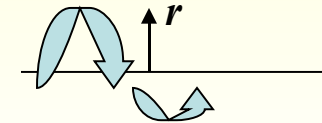
$$\varepsilon_n \approx \frac{(\sigma_r - \sigma_{r,INV})}{\gamma'} \sqrt{\frac{I}{3I_0\gamma}} |\cos\psi - \sqrt{2} \sin\psi|, \quad \psi = \frac{1}{\sqrt{2}} \ln\left(\frac{\gamma}{\gamma_0}\right)$$

Invariant envelope is an ideal case which makes a constant slope $(-\gamma'/2)$ for all different slices in the phase space by the acceleration of booster. In this case, beam spot size as well as transverse momentum are reduced together due to reduced space charge force in booster. Projected (and even slice) emittance damping in booster !!!

Pondermotive RF Focusing (PRE Vol. 47, page 2031, 1993)

Periodic longitudinal accelerating electric field E_z induces periodic transverse Lorentz force and electron's periodical transverse motion. Due to nonzero spatial gradient of the force, the net momentum transfer (or total effective focusing strength) for one periodic cycle is not zero, which is the pondermotive RF focusing force.

$$\overline{F_r} \approx -r \frac{(eE_o)^2}{8\gamma mc^2} \text{ for fundamental mode in SW linac}$$



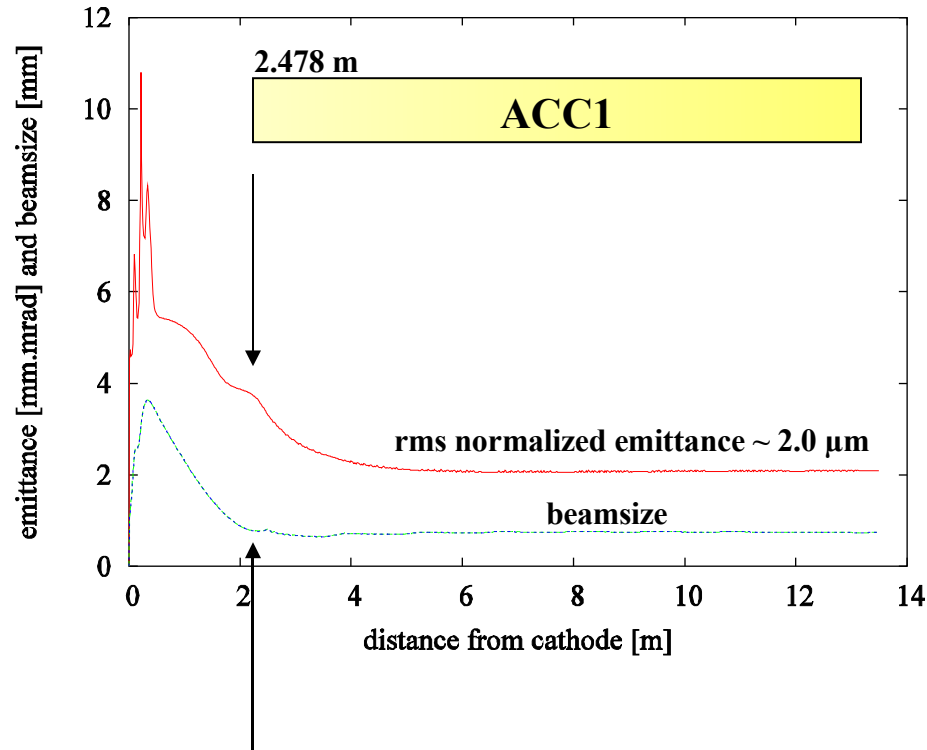
To avoid space charge effects in the drift space and to avoid too strong pondermotive RF focusing in the booster linac, at the entrance of booster, (PRE Vol 55, Page 7565, SLAC-PUB-8400)

$$\sigma' = 0 \text{ (laminar waist)}$$

$$\gamma' = \frac{2}{\sigma_w} \sqrt{\frac{\hat{I}}{3I_o\gamma}} \text{ for SW linac (invariant envelope), } I_o = 17 \text{ kA.}$$

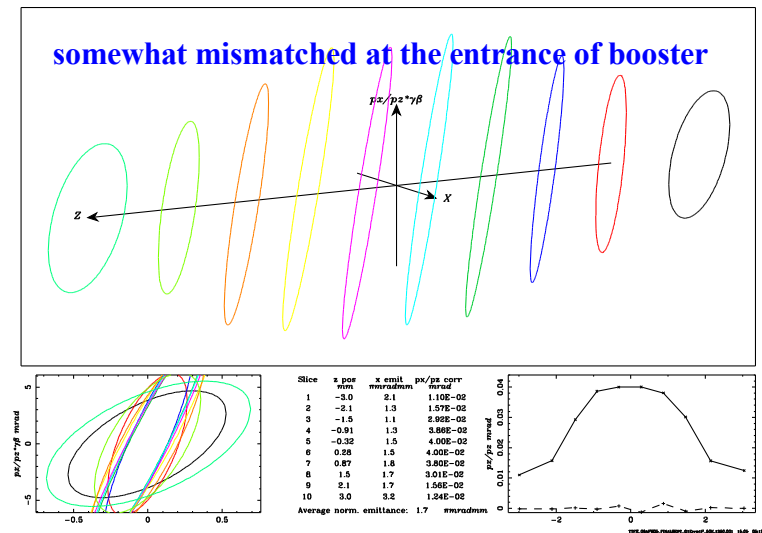
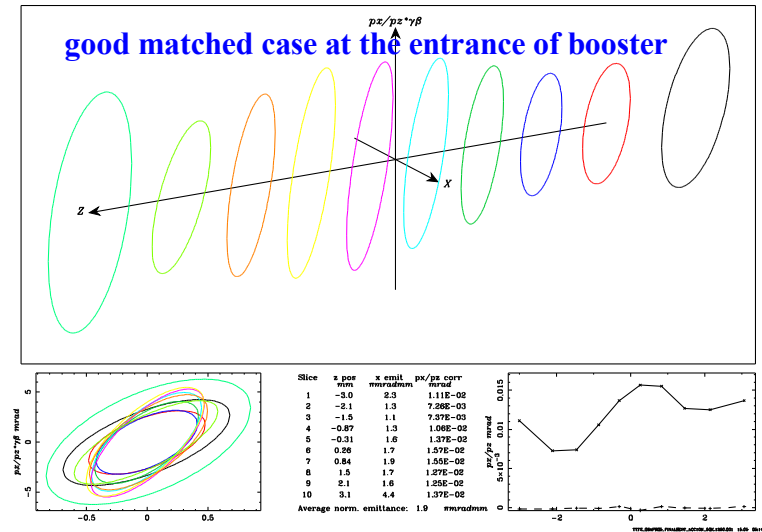
These means that at the entrance of booster linac, emittance should be its 2nd maximum, and beamsizes should be its minimum. If these two conditions are satisfied, envelope is oscillated around the invariant envelope and we can get continuous emittance damping in booster linac.

Matching Conditions at Booster



At the entrance of booster, invariant envelope concept based matching conditions should be satisfied to get emittance damping in booster !

- local maximum emittance
- local minimum beamsize



$$\rho \approx \frac{1}{4} \left[\frac{1}{2\pi^2} \frac{I_{pk}}{I_A} \frac{\lambda_u^2}{\beta \epsilon_n} \left(\frac{K}{\gamma} \right)^2 \right]^{1/3} \quad L_G \approx \frac{\lambda_u}{4\pi\sqrt{3}\rho} \quad L_{sat} \approx L_G \ln \left(\frac{P_{sat}}{\rho E e \Delta \omega} \right) \approx 20 L_G$$

higher peak current and lower slice emittance

→ **higher ρ , shorter L_G , shorter saturation length L_{sat}**

→ **shorter undulator and possible compact XFELs (cXFELs)**

$$N_{photon@sat,e^-} = \rho E_{beam} / E_{ph}, \quad \text{Total } N_{photon@sat} = \rho E_{beam} Q_{lasing} / E_{ph}$$

here Q_{lasing} ($\ll Q$) is charge which contributes lasing !

$$\Delta\lambda / \lambda \sim 2\rho$$

$$P = P_o \exp(z / L_G) \quad \lambda = \frac{\lambda_u}{2\gamma^2} \left(1 + \frac{K^2}{2} \right), \quad K \approx 0.934 B_o [\text{T}] \lambda_u [\text{cm}]$$

Parameter	SCSS XFEL	cXFELs
beam energy [GeV]	6	6
single bunch charge [nC]	0.8	0.1
slice emittance [μm]	0.85	0.4
slice energy spread	1×10^{-4}	1×10^{-4}
peak current [kA] /bunch length [μm]	3 / 33	4 / 3.3
undulator period [mm]	15	15
undulator gap [mm]	3.5	3.5
K-parameter	1.3	1.3
β -function [m]	30	30 (not optimized)
ρ FEL parameter	2.8×10^{-4}	4.0×10^{-4}
power gain length 3D [m]	4.0	2.0
saturation length [m]	75	38.7
total undulator length [m]	80	45 (~ 45% reduction)
wavelength [\AA]	1.0	1.0
peak power [GW]	2.9	11
spectral bandwidth [%]	0.061	0.087
photon per pulse [#]	4.1×10^{11}	1.4×10^{11}
peak brilliance [B]	8.9×10^{32}	2.4×10^{33}

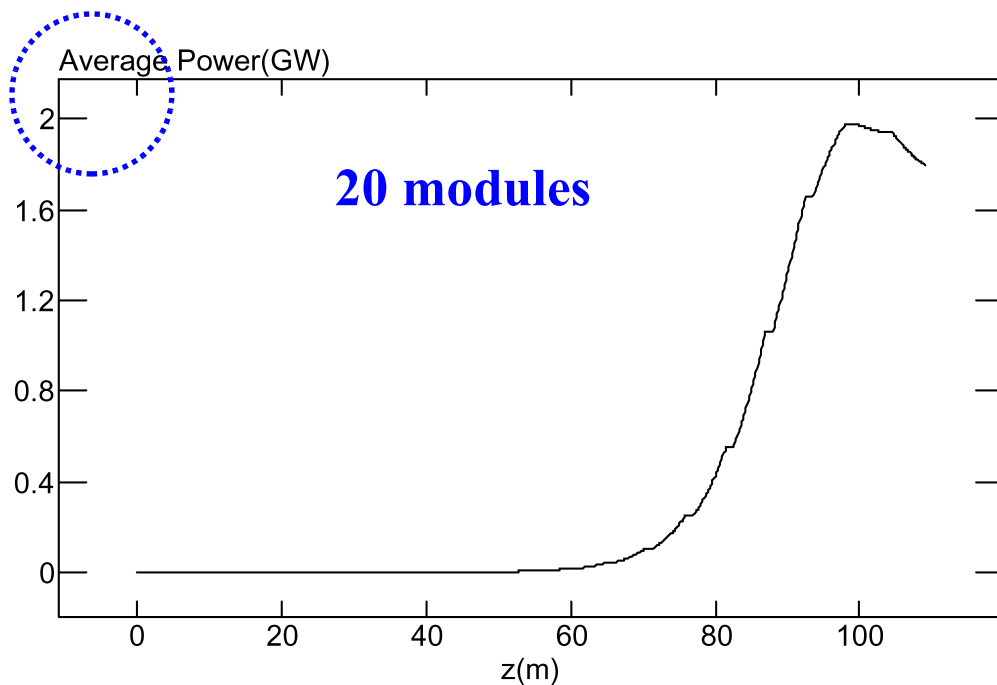
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wavelength [\AA]	1.0	1.0
		11
		0.087
		1.4×10^{11}
		2.4×10^{33}

By improving slice emittance and peak current,
we can reduce saturation length !!!
We can realize XFEL with a much shorter undulator !

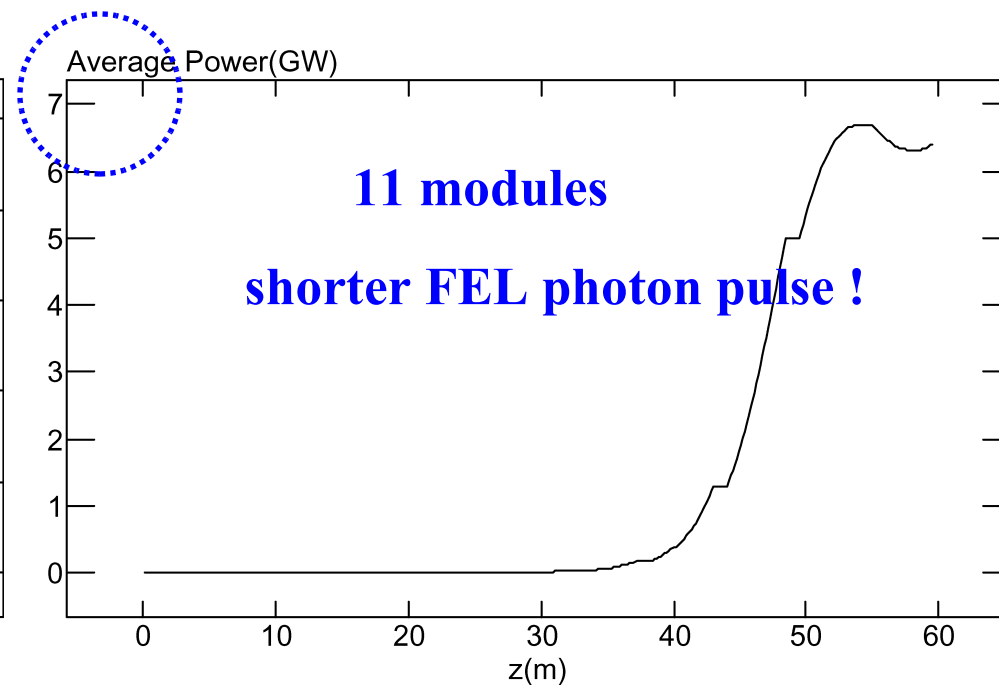
Average FEL Power along Undulator

Length of Pure Undulator Module = 4.5 m

Length of Undulator + Drift Between Undulators = 5.5 m



[SCSS CDR2005]



[cXFELs]

~ 45% reduction in the needed undulator !!!

One Example - S2E simulation with 0.1 nC

S2E Simulation Results from Cathode to Entrance of Undulator

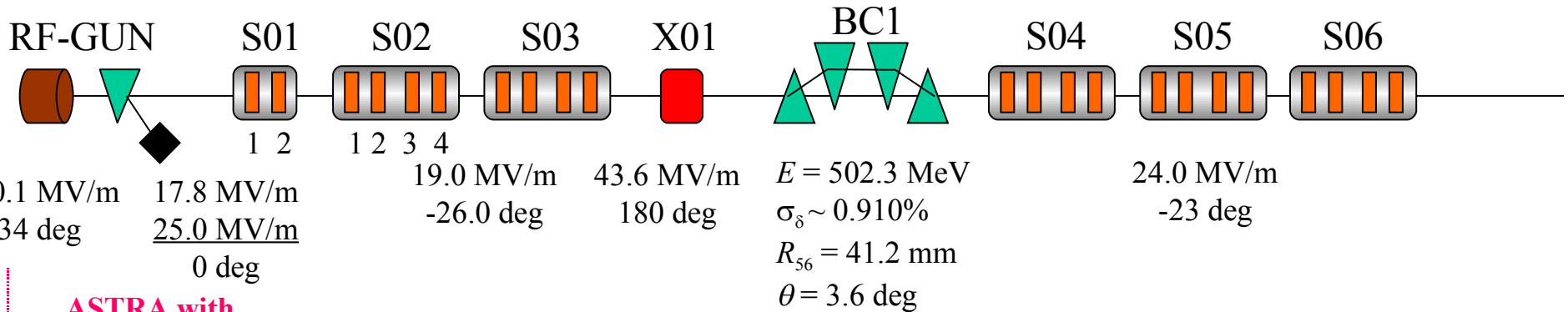
$Q = 0.1 \text{ nC}$

$\sigma_z = 400 \text{ } \mu\text{m}$

$\longrightarrow 25 \text{ } \mu\text{m}$

e-beams

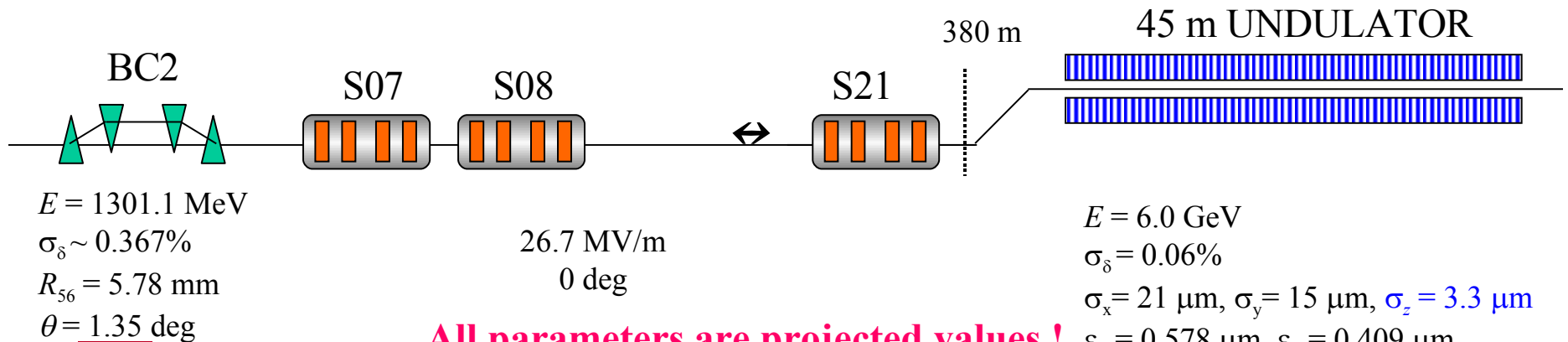
Here assumed thermal emittance is $0.33 \text{ } \mu\text{m}$ (upper limit) for Cu cathode !



ASTRA with Space Charge

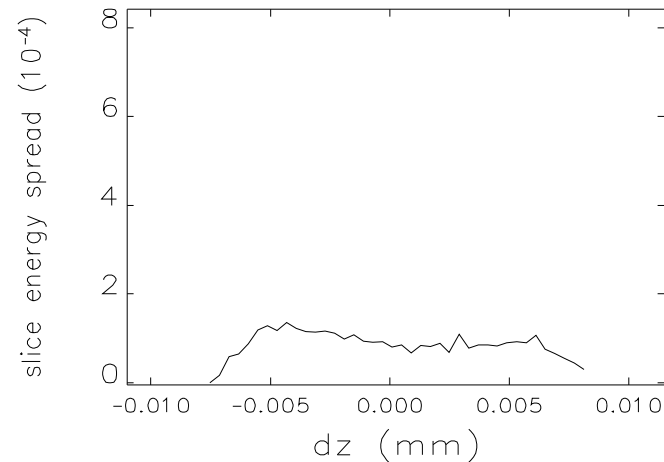
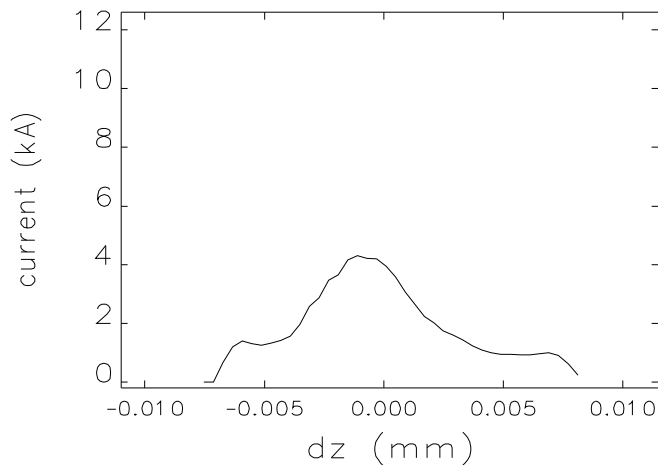
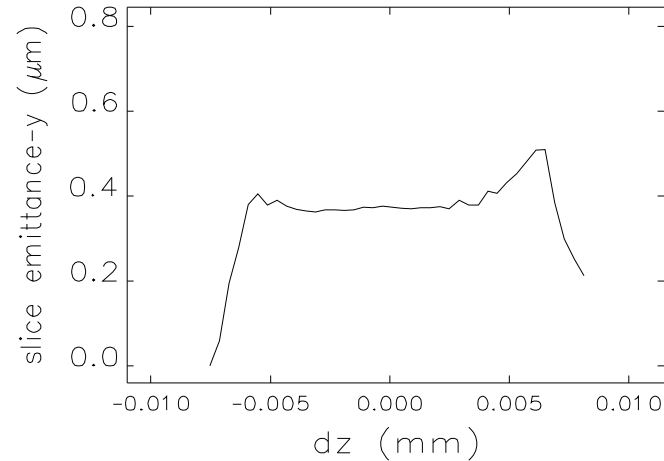
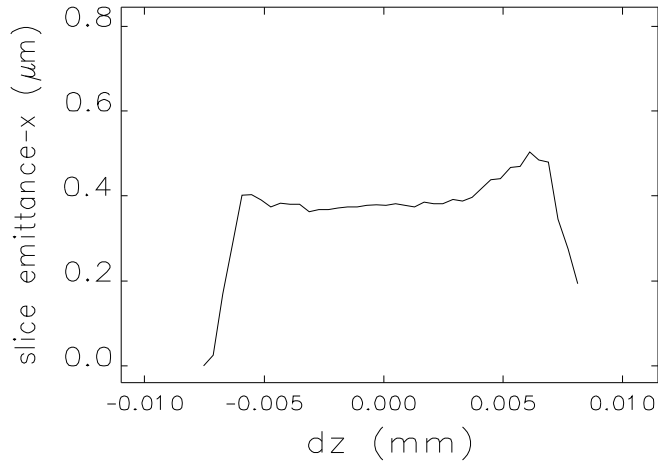
To the end of LINAC : ELEGANT with consideration of CSR and geometric wakefields

$\sigma_z = 25 \text{ } \mu\text{m} \longrightarrow 3.3 \text{ } \mu\text{m}$



All parameters are projected values !

S2E Simulation Results on Slice Parameters at the entrance of Undulator



Projected Parameters at the end of Linac

$$Q = 0.1 \text{ nC}$$

$$E = 6.0 \text{ GeV}$$

$$\sigma_\delta = 0.06\%$$

$$\sigma_x = 21 \mu\text{m}$$

$$\sigma_y = 15 \mu\text{m}$$

$$\sigma_z = 3.3 \mu\text{m}$$

$$\varepsilon_{nx} = 0.578 \mu\text{m}$$

$$\varepsilon_{ny} = 0.409 \mu\text{m}$$

**Here all CSR, space charge,
wakefields are considered !**

Due to no high spike at head and tail, a much shorter undulator length, and a lower charge, effects of AC wakefields in undulator become weaker.

- Note that thermal emittance is the most biggest contribution in slice emittance
- We can reduce slice emittance by reducing thermal emittance on the cathode !

$$\varepsilon_{th} \approx \sigma_{x,y} \sqrt{\frac{2K}{3m_e c^2}}, \quad \sigma_x = \sigma_y \text{ for a round beam}$$

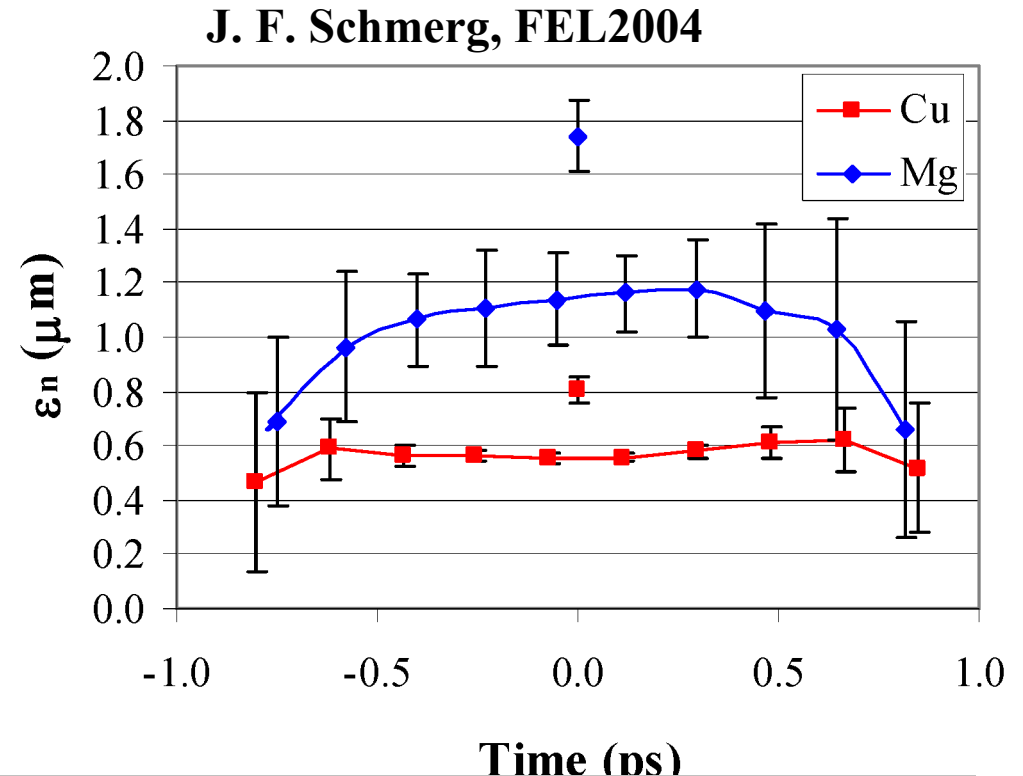
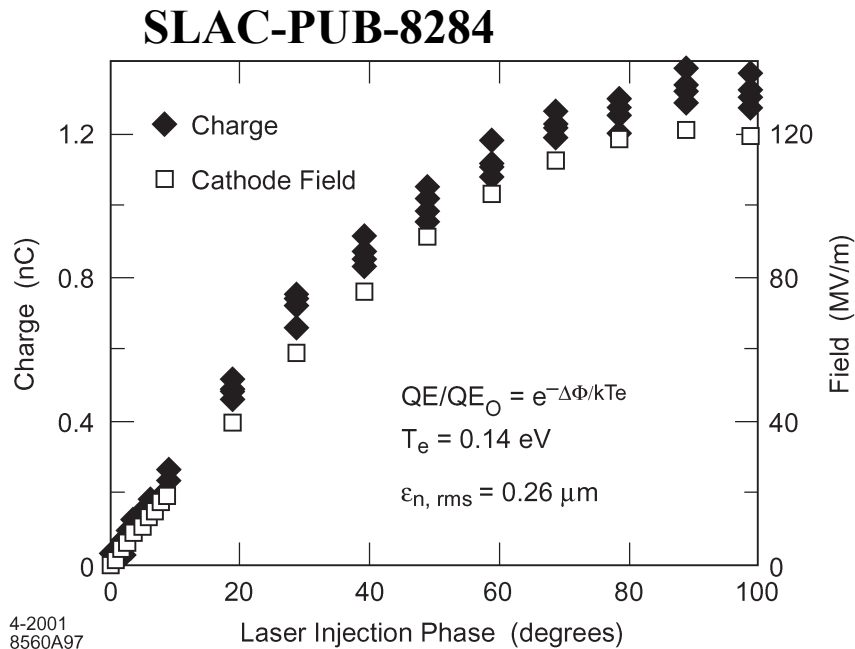
Assumed $K = 0.14 \sim 1.10376$ eV for Cu cathode

If we choose a smaller laser spotsize on the cathode, we can reduce the thermal emittance. But the space charge force becomes stronger if the laser spotsize is too small and the total emittance is increased again.

To reduce the emittance growth due to the space charge force on cathode, we need a higher gradient on the cathode surface. That is the reason why I choose 1.6 Cell S-band RF photoinjector (reliable operation ~ 125 MV/m & peak gradient ~ 140 MV/m).

Recent Measured K with Cu Cathodes

- Note that it seems that recent measured K is smaller than 1.1 eV (upper limit).
- We can reduce slice emittance by reducing thermal emittance on the cathode !



Measured K with Cu cathode $\sim 0.14 \text{ eV}$

At PAC2001, W. Graves reported that measured K is about 0.4 eV for Cu.
I used this K value of 0.4 eV for my new injector optimization.

- Note that thermal emittance is the most biggest contribution in slice emittance
- We can reduce slice emittance by reducing thermal emittance on the cathode !

$$\varepsilon_{th} \approx \sigma_{x,y} \sqrt{\frac{2K}{3m_e c^2}}, \quad \sigma_x = \sigma_y \text{ for a round beam}$$

Here we assumed $K = 0.4$ eV for Cu cathode

Newly Optimized S-band RF Photoinjector for various Charges

Q	laser length (FWHM)	$I_{\text{peak, cathode}}$	laser $\sigma_{x,\text{or } y}$	$\varepsilon_{\text{thermal}}$	$\varepsilon_{\text{projected, exit}}$
0.4 nC	7.4 ps	54 A	0.44 mm	0.32 μm	$\sim 0.47 \mu\text{m}$
0.2 nC	5.8 ps	34 A	0.35 mm	0.25 μm	$\sim 0.37 \mu\text{m}$
0.1 nC	4.6 ps	22 A	0.28 mm	0.20 μm	$\sim 0.28 \mu\text{m}$

Note that peak current is much higher than 5.5 A !

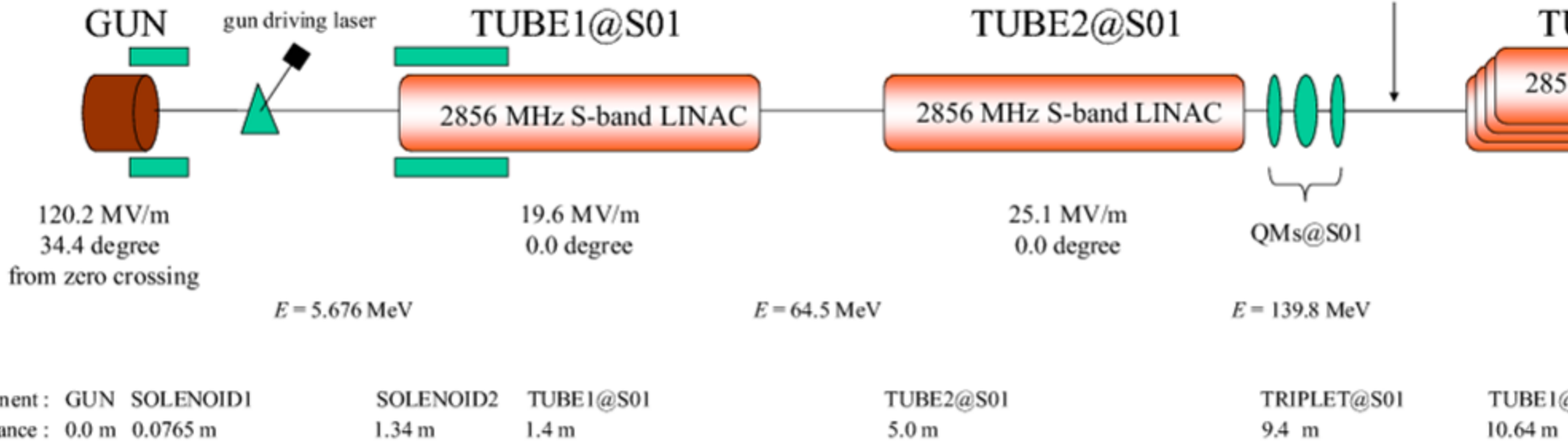
0.1 nC - Newly Optimized RF Photoinjector



Note that **all parameters are projected ones**

laser beam : $\sigma_{x,y} = 0.280 \text{ mm}$, $\Delta T = 4.60 \text{ ps}$ (FWHM)
 e-beams : $Q \sim 0.1 \text{ nC}$, $\epsilon_{\text{thermal}} = 0.20 \mu\text{m}$

$E = 139.8 \text{ MeV}$
 $\sigma_{\delta} = 0.05\%$
 $\sigma_x = 200 \mu\text{m}$, $\sigma_y = 200 \mu\text{m}$, $\sigma_z = 40 \mu\text{m}$
 $\epsilon_{nx} = 0.284 \mu\text{m}$, $\epsilon_{ny} = 0.284 \mu\text{m}$



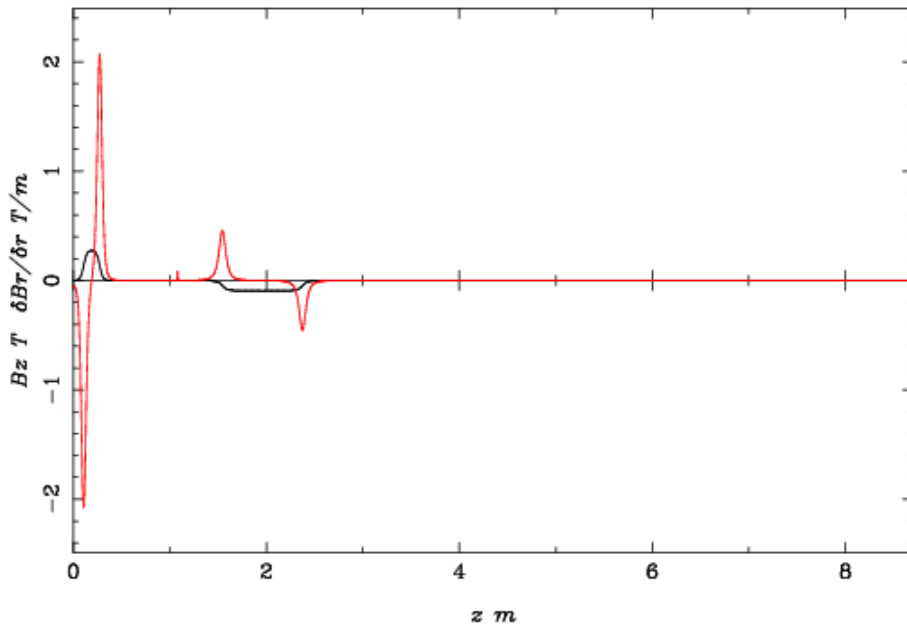
PSI XFEL New Injector = S-band RF GUN + Booster LINAC (=TUBE12@S01) + QMs

0.1 nC - Newly Optimized RF Photoinjector

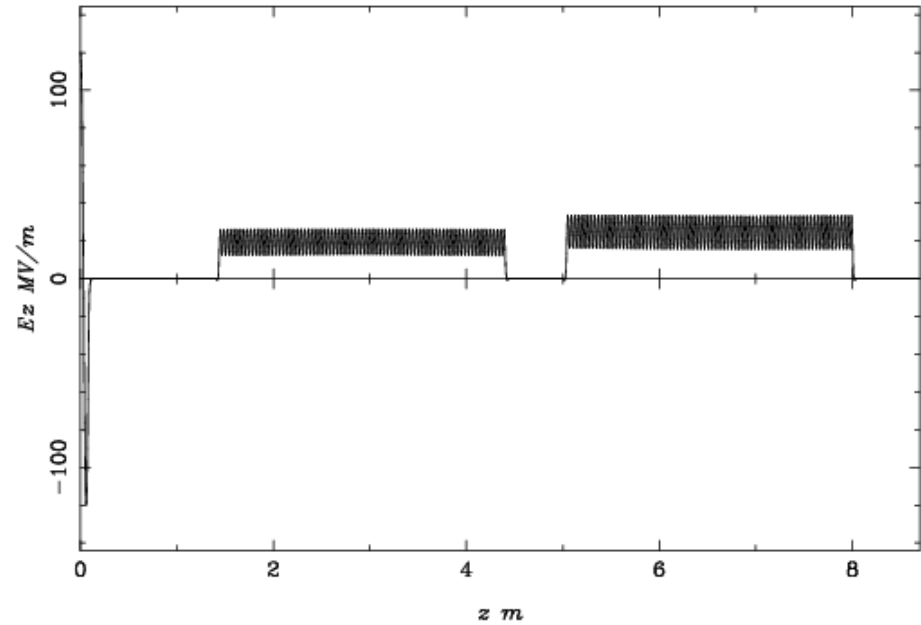
PAUL SCHERRER INSTITUT



Solenoid Field



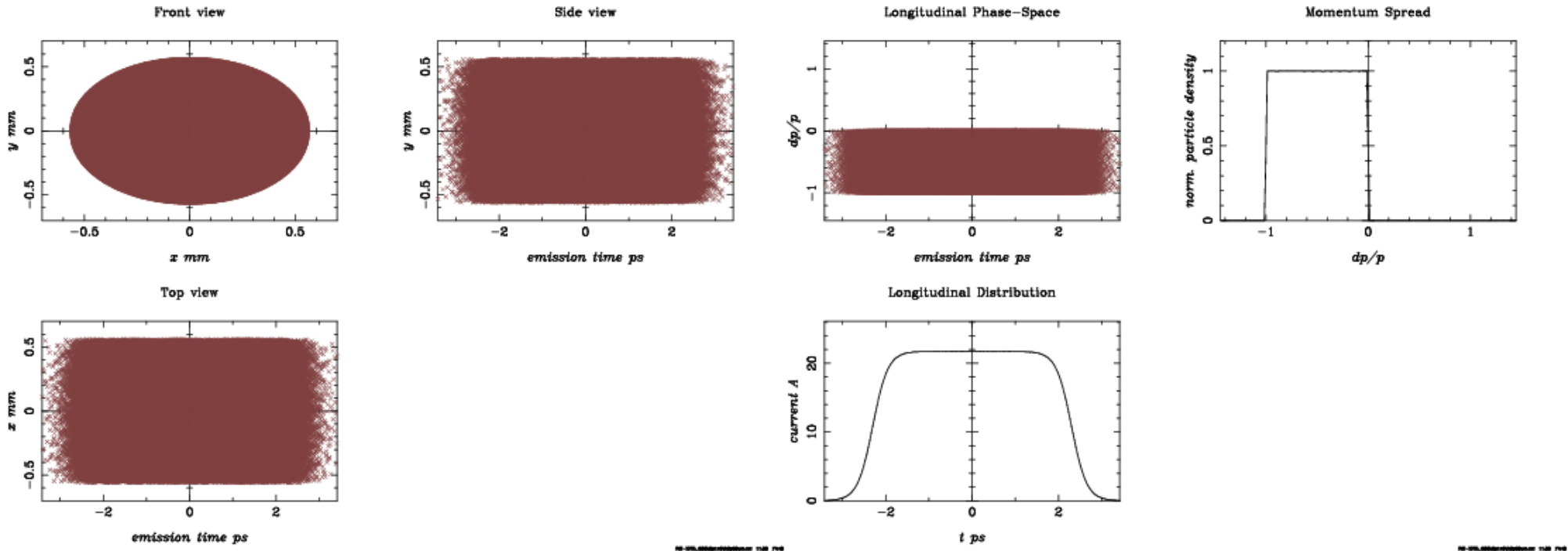
longitudinal electric field



peak magnetic field of the 1st solenoid = 0.2731 T
length of the 1st solenoid = 0.225 m
peak magnetic field of 2nd solenoid = -0.0947 T
length of the 2nd solenoid = 0.8 m

peak gradient on cathode = 120.2 MV/m
gradient of the 1st accelerating tube = 19.6 MV/m
gradient of the 2nd accelerating tube = 25.1 MV/m

Laser Beam Profile : Uniform for Transverse and Flat-Top for Longitudinal



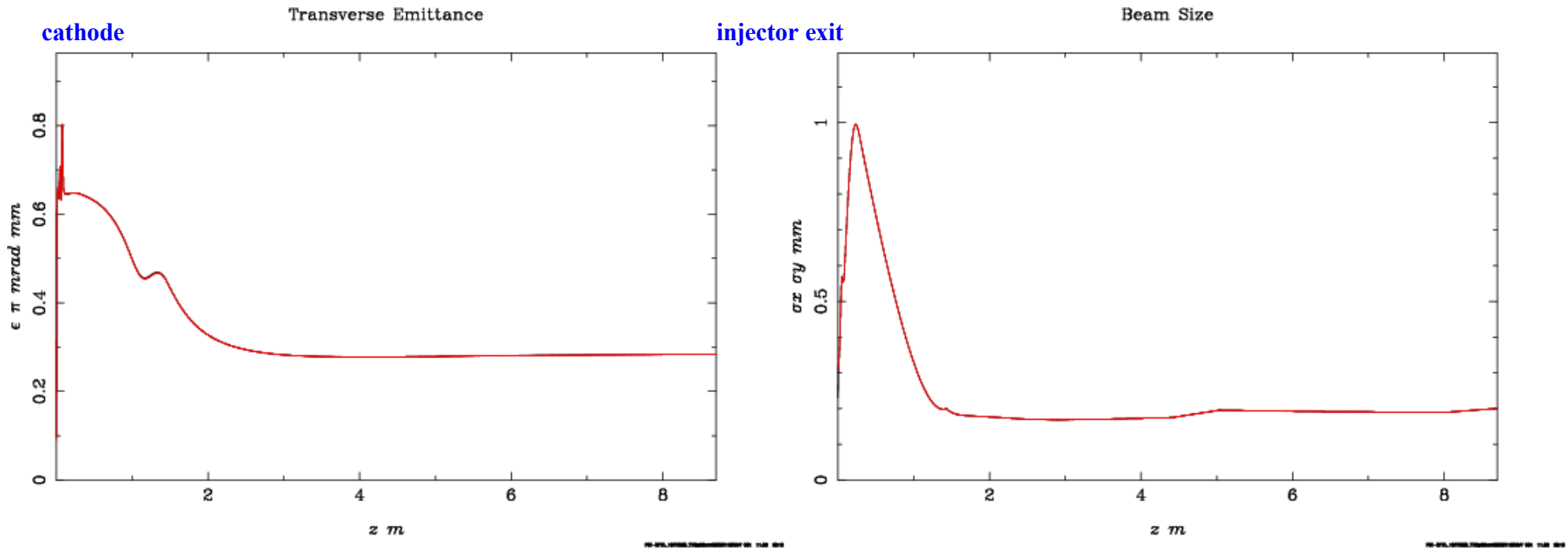
laser beam : $\sigma_{x,y} = 0.280$ mm , $\Delta T = 4.60$ ps (FWHM)

e-beams : $Q \sim 0.1$ nC, $I_{\text{peak}} \sim 22$ A, $\epsilon_{\text{thermal}} = 0.20$ μm

0.1 nC - Newly Optimized RF Photoinjector



ASTRA results along injector



$$E = 139.8 \text{ MeV}, \sigma_\delta = 0.05\%$$

$$\sigma_x = 200 \mu\text{m}, \sigma_y = 200 \mu\text{m}, \sigma_z = 401 \mu\text{m}$$

$$\epsilon_{nx} = 0.284 \mu\text{m}, \epsilon_{ny} = 0.284 \mu\text{m}$$

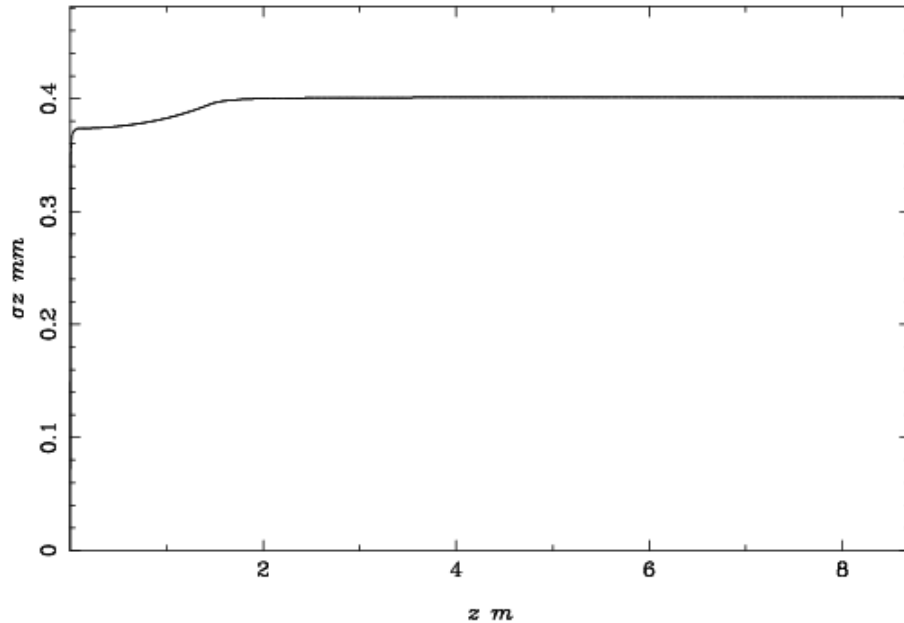
0.1 nC - Newly Optimized RF Photoinjector



ASTRA results along injector

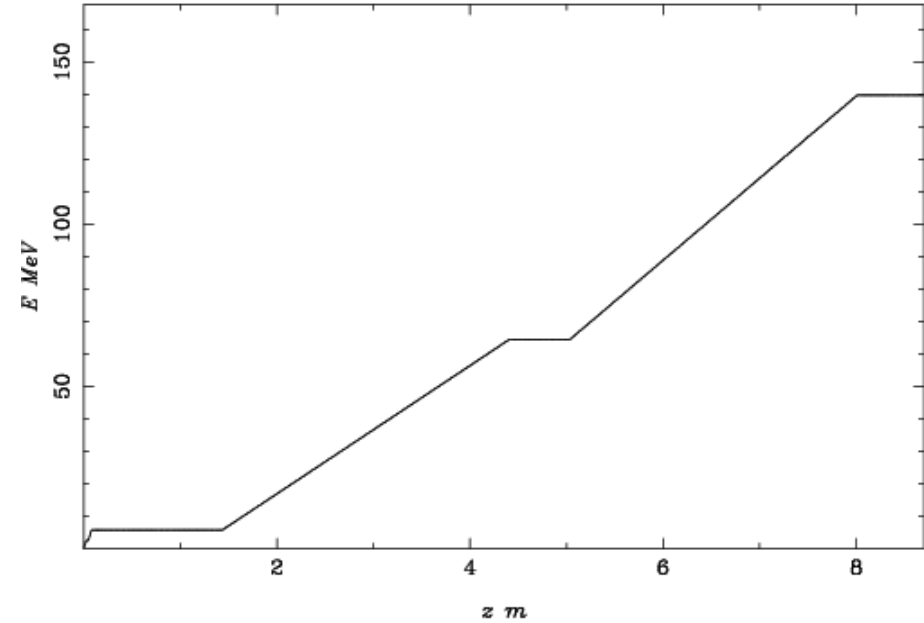
cathode

Bunch Length



injector exit

average particle energy



$$E = 139.8 \text{ MeV}$$

$$\sigma_\delta = 0.05\%$$

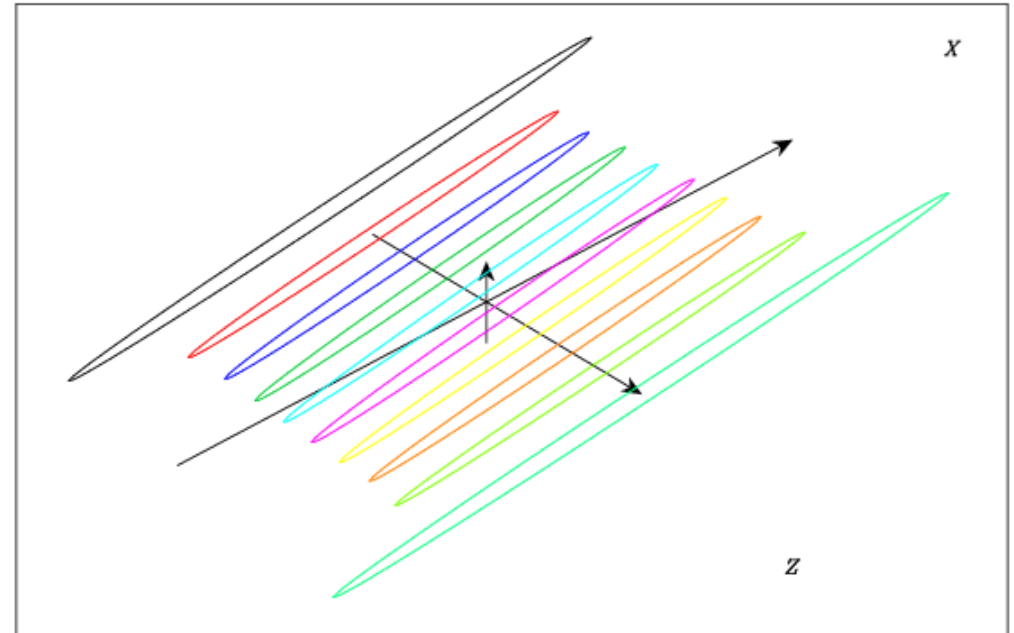
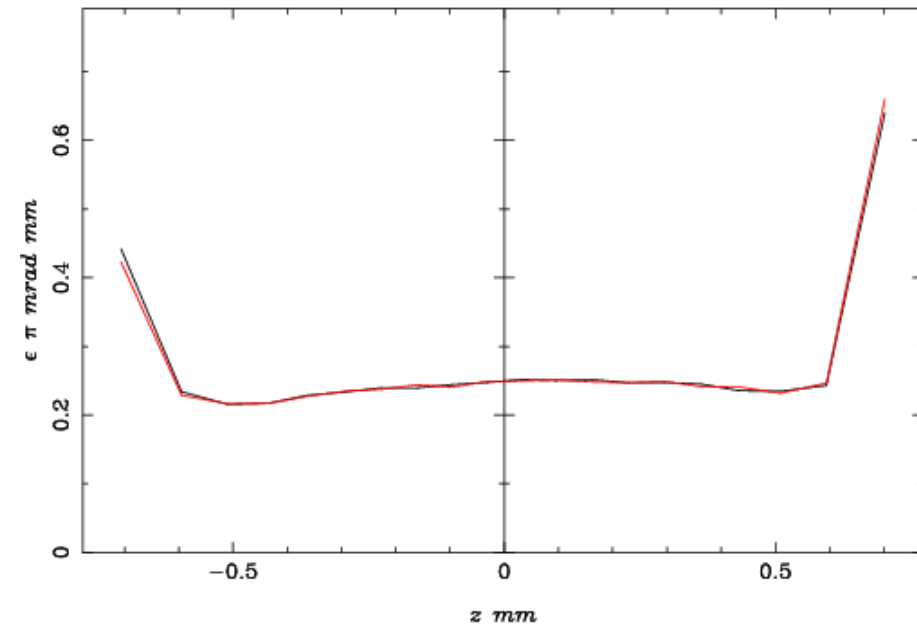
$$\sigma_x = 200 \text{ } \mu\text{m}, \sigma_y = 200 \text{ } \mu\text{m}, \sigma_z = 401 \text{ } \mu\text{m}$$

$$\epsilon_{nx} = 0.284 \text{ } \mu\text{m}, \epsilon_{ny} = 0.284 \text{ } \mu\text{m}$$

0.1 nC - Newly Optimized RF Photoinjector

ASTRA results at the exit of RF photoinjector, 8.7 m

Slice Emittance



core slice $\epsilon_n \sim 0.25 \mu\text{m}$

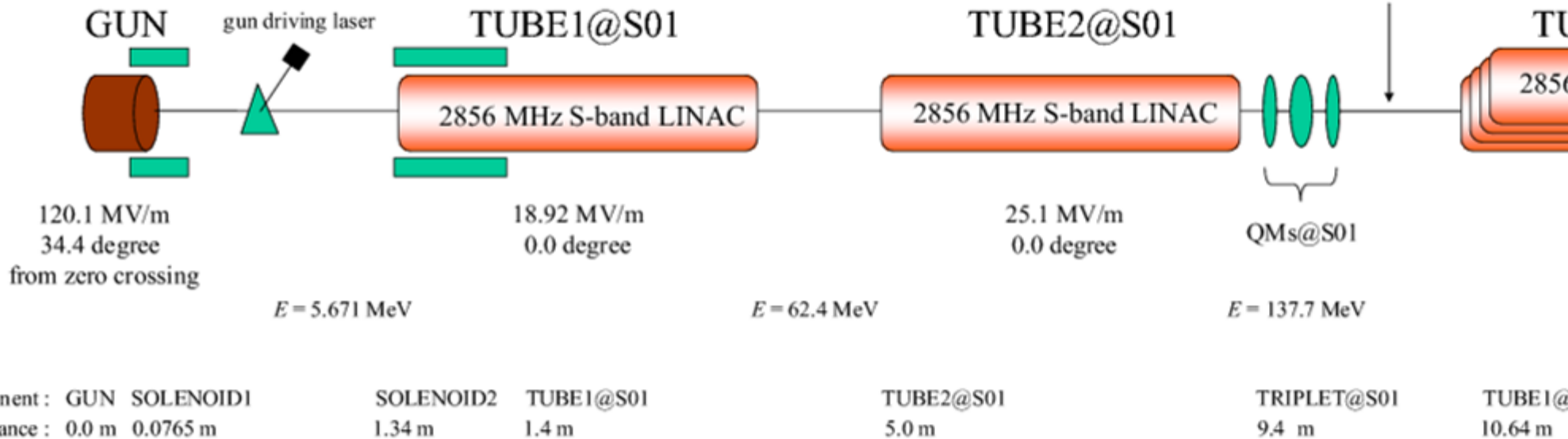
0.2 nC - Newly Optimized RF Photoinjector



Note that **all parameters are projected ones**

laser beam : $\sigma_{x,y} = 0.350 \text{ mm}$, $\Delta T = 5.8 \text{ ps}$ (FWHM)
 e-beams : $Q \sim 0.2 \text{ nC}$, $\epsilon_{\text{thermal}} = 0.25 \text{ } \mu\text{m}$

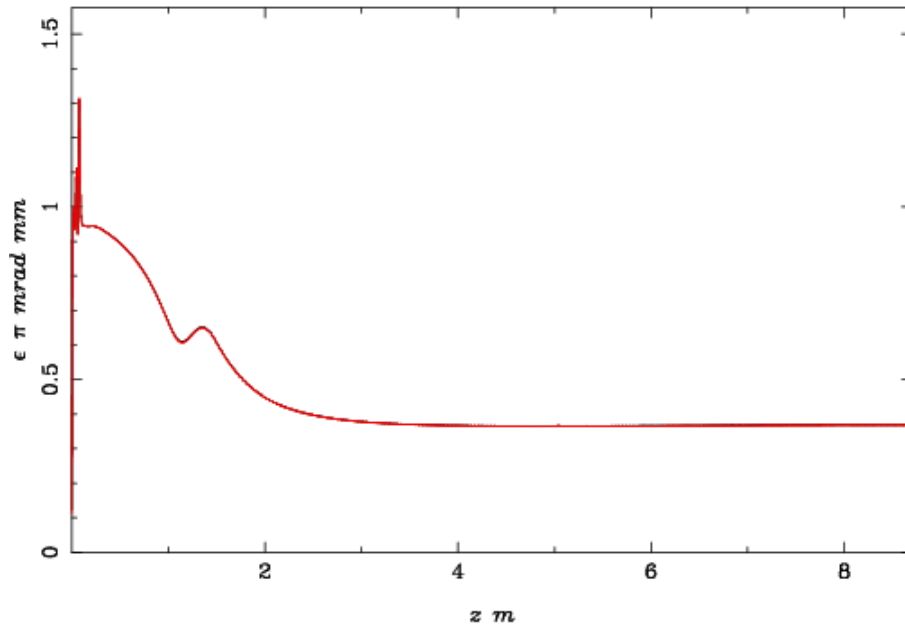
$E = 137.7 \text{ MeV}$
 $\sigma_s = 0.07\%$
 $\sigma_x = 247 \text{ } \mu\text{m}$, $\sigma_y = 247 \text{ } \mu\text{m}$, $\sigma_z = 51 \text{ } \mu\text{m}$
 $\epsilon_{xx} = 0.369 \text{ } \mu\text{m}$, $\epsilon_{yy} = 0.369 \text{ } \mu\text{m}$



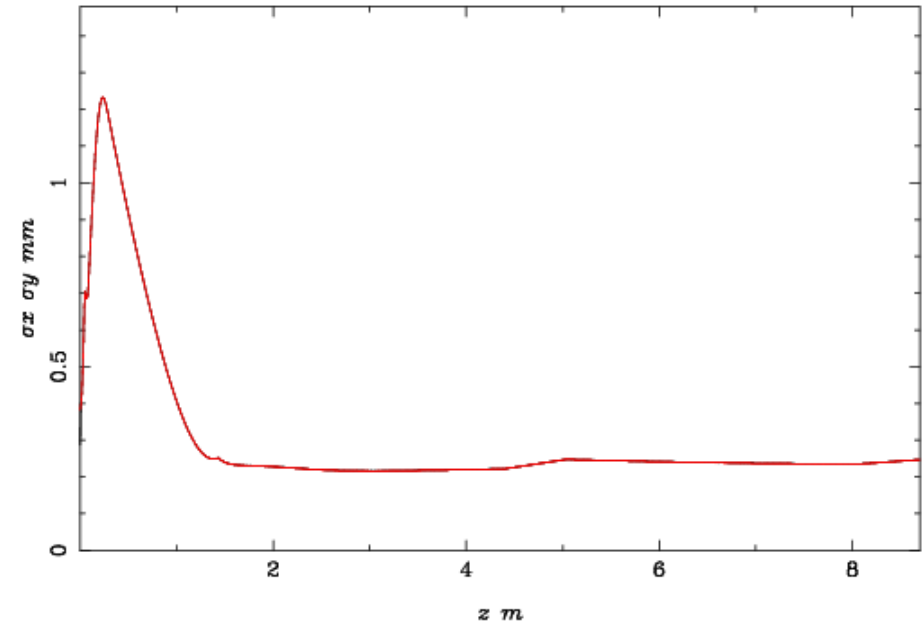
PSI XFEL New Injector = S-band RF GUN + Booster LINAC (=TUBE12@S01) + QMs

ASTRA results along injector

Transverse Emittance



Beam Size



$$E = 137.7 \text{ MeV}$$

$$\sigma_\delta = 0.07\%$$

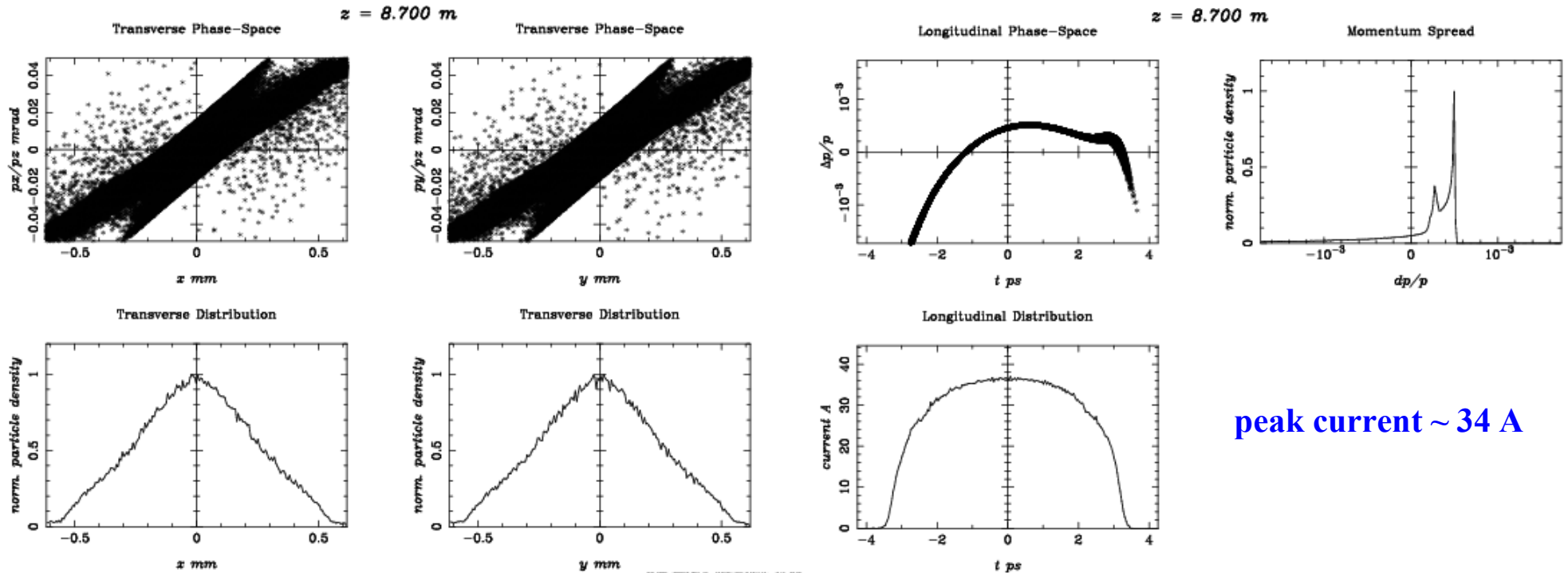
$$\sigma_x = 247 \mu\text{m}, \sigma_y = 247 \mu\text{m}, \sigma_z = 510 \mu\text{m}$$

$$\epsilon_{nx} = 0.369 \mu\text{m}, \epsilon_{ny} = 0.369 \mu\text{m}$$

0.2 nC - Newly Optimized RF Photoinjector



ASTRA results at the exit of RF photoinjector, 8.7 m



peak current ~ 34 A

$$E = 137.7 \text{ MeV}$$

$$\sigma_\delta = 0.07\%$$

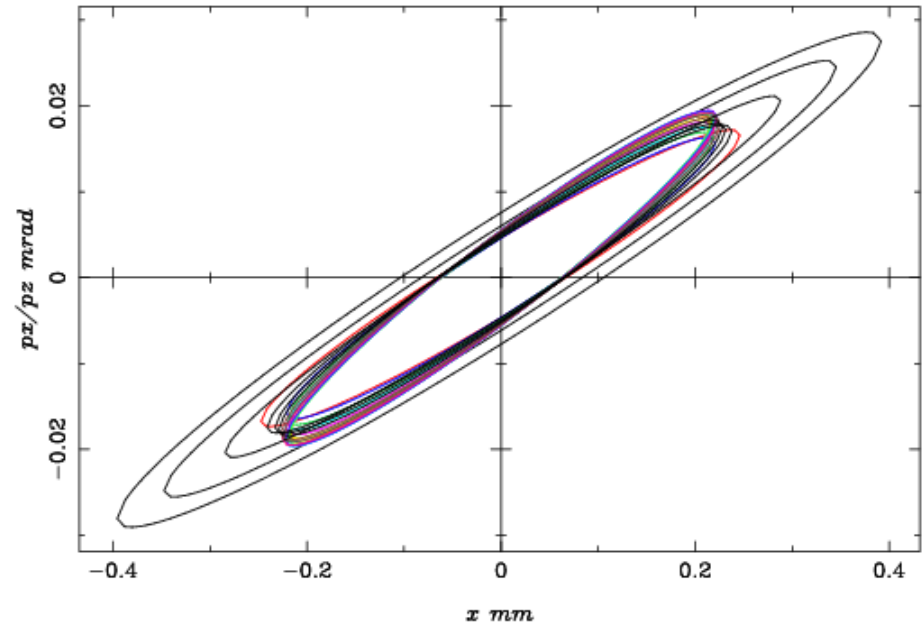
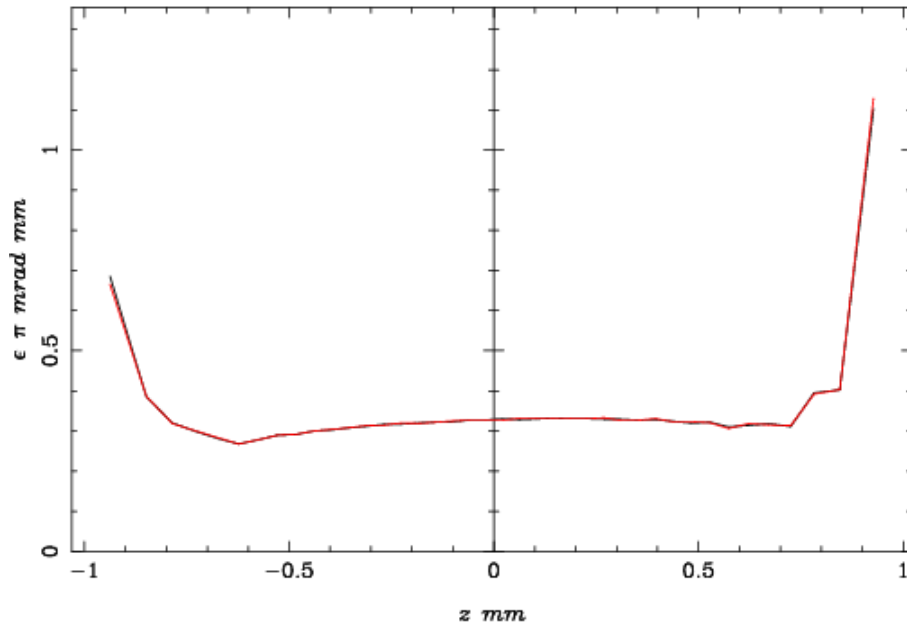
$$\sigma_x = 247 \mu\text{m}, \sigma_y = 247 \mu\text{m}, \sigma_z = 510 \mu\text{m}$$

$$\varepsilon_{nx} = 0.369 \mu\text{m}, \varepsilon_{ny} = 0.369 \mu\text{m}$$

0.2 nC - Newly Optimized RF Photoinjector

ASTRA results at the exit of RF photoinjector, 8.7 m

Slice Emittance



core slice $\epsilon_n \sim 0.32 \mu\text{m}$

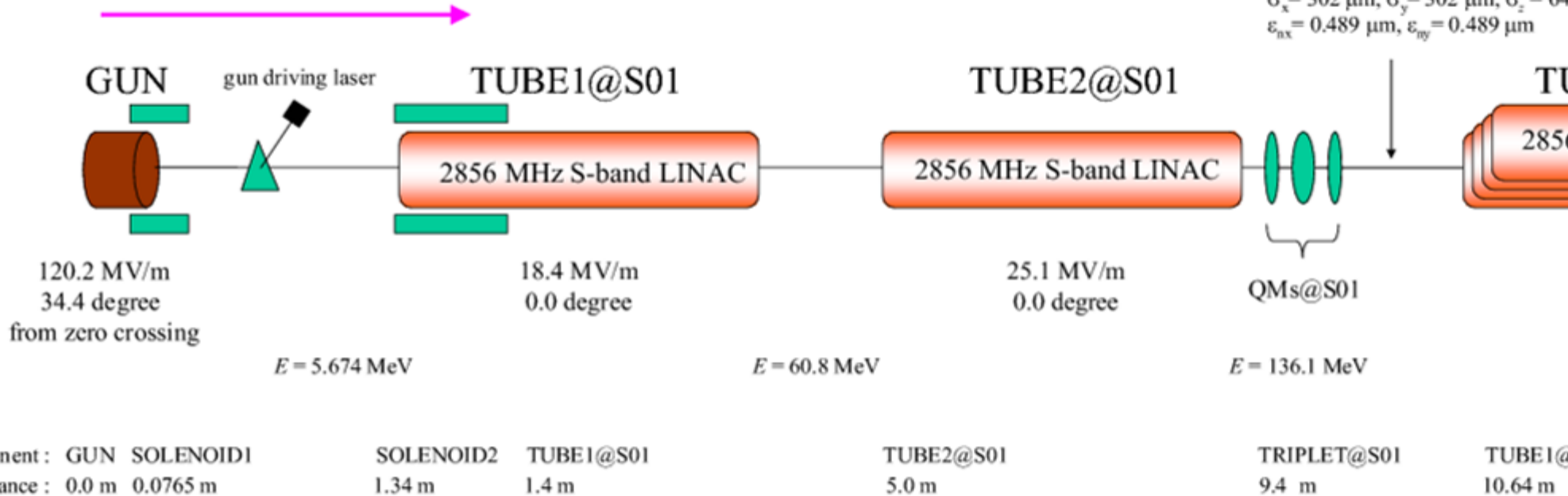
0.4 nC - Newly Optimized RF Photoinjector



Note that **all parameters are projected ones**

laser beam : $\sigma_{x,y} = 0.442 \text{ mm}$, $\Delta T = 7.368 \text{ ps}$ (FWHM)
 e-beams : $Q \sim 0.4 \text{ nC}$, $\epsilon_{\text{thermal}} = 0.32 \text{ } \mu\text{m}$

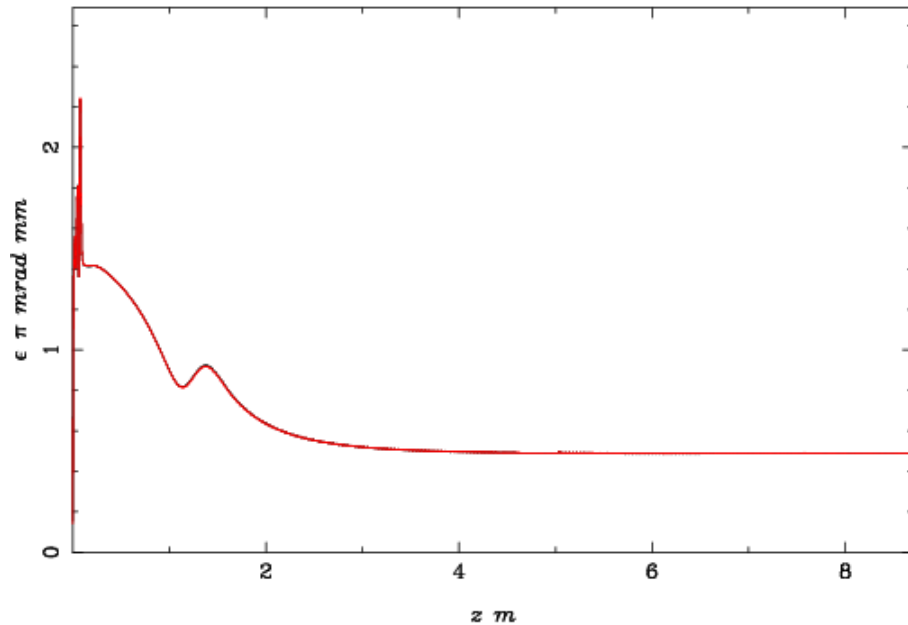
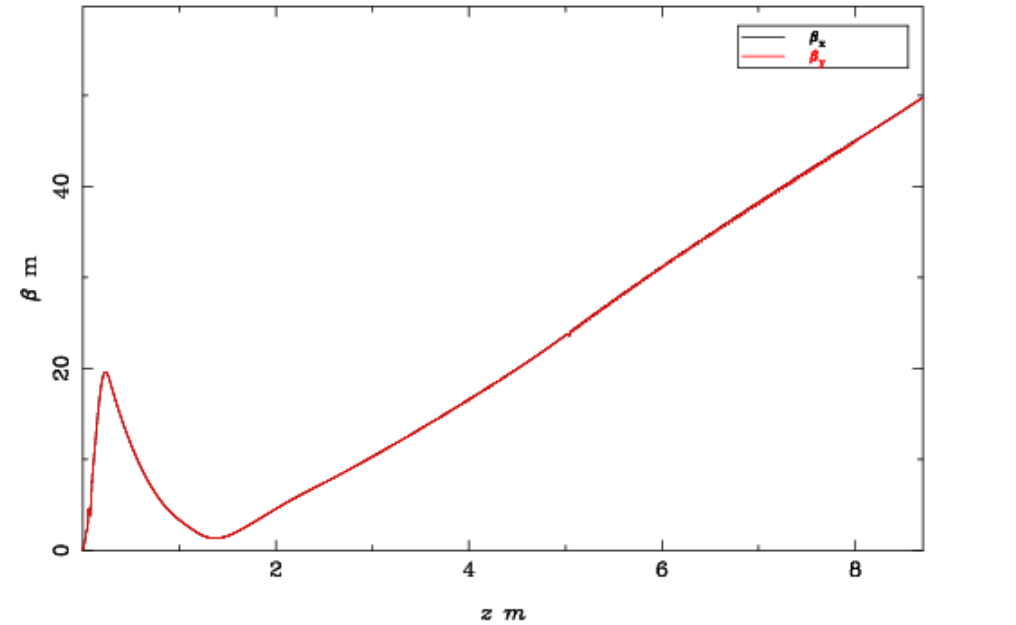
$E = 136.1 \text{ MeV}$
 $\sigma_{\delta} = 0.109\%$
 $\sigma_x = 302 \text{ } \mu\text{m}$, $\sigma_y = 302 \text{ } \mu\text{m}$, $\sigma_z = 64 \text{ } \mu\text{m}$
 $\epsilon_{\text{nx}} = 0.489 \text{ } \mu\text{m}$, $\epsilon_{\text{ny}} = 0.489 \text{ } \mu\text{m}$



PSI XFEL New Injector = S-band RF GUN + Booster LINAC (=TUBE12@S01) + QMs

ASTRA results along injector

Transverse Emittance

 β functions

$$E = 136.1 \text{ MeV}$$

$$\sigma_\delta = 0.109\%$$

$$\sigma_x = 302 \text{ } \mu\text{m}, \sigma_y = 302 \text{ } \mu\text{m}, \sigma_z = 645 \text{ } \mu\text{m}$$

$$\epsilon_{nx} = 0.489 \text{ } \mu\text{m}, \epsilon_{ny} = 0.489 \text{ } \mu\text{m}$$

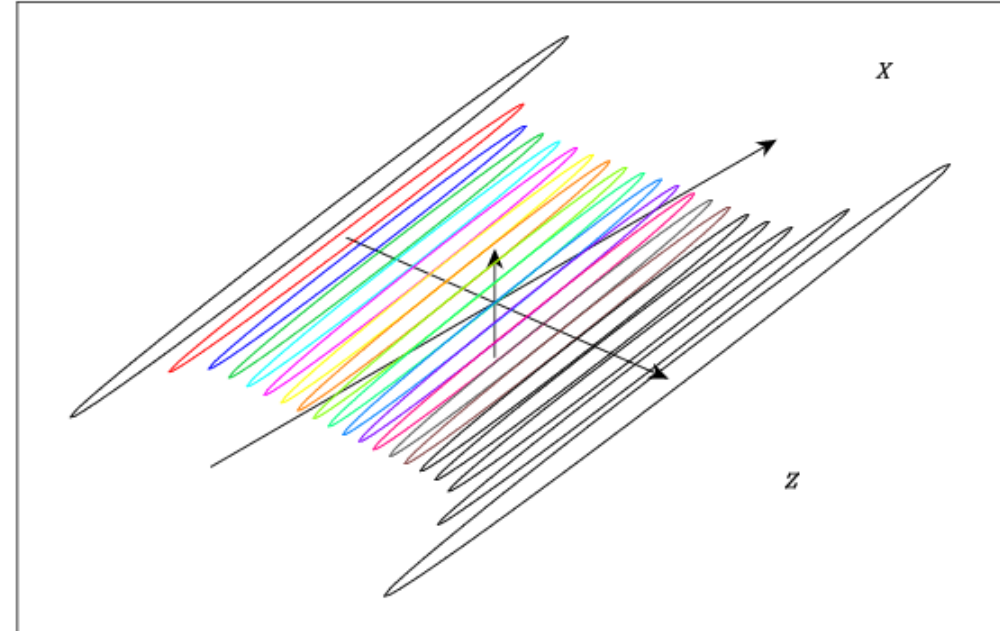
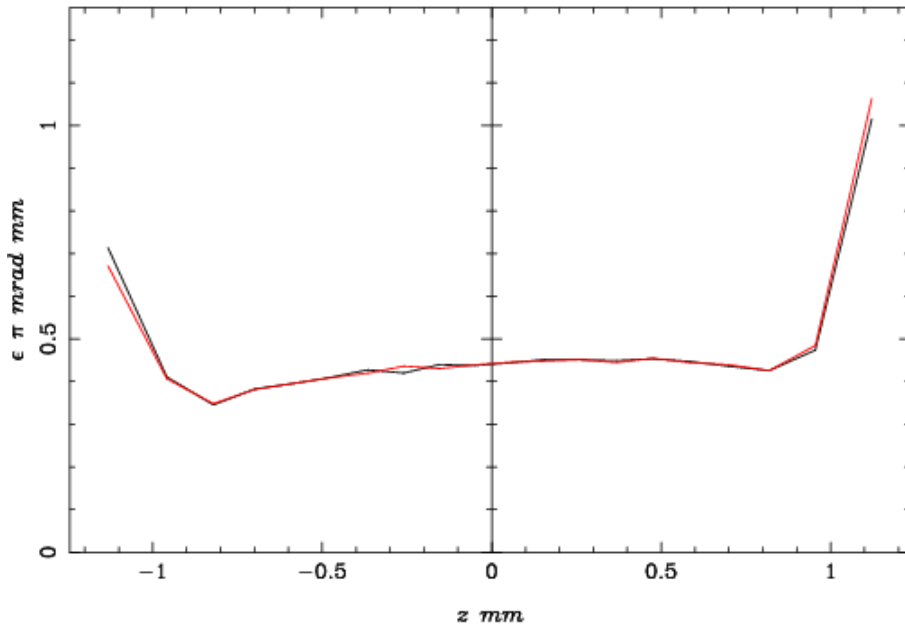
0.4 nC - Newly Optimized RF Photoinjector

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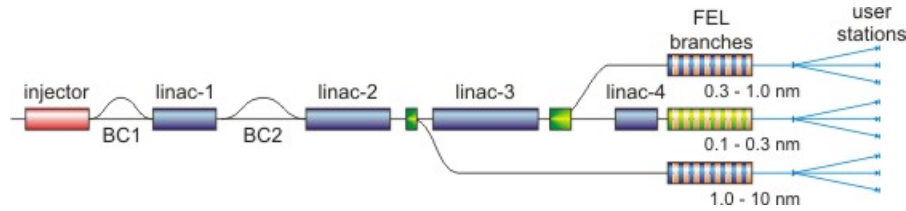
ASTRA results at the exit of RF photoinjector, 8.7 m

Slice Emittance

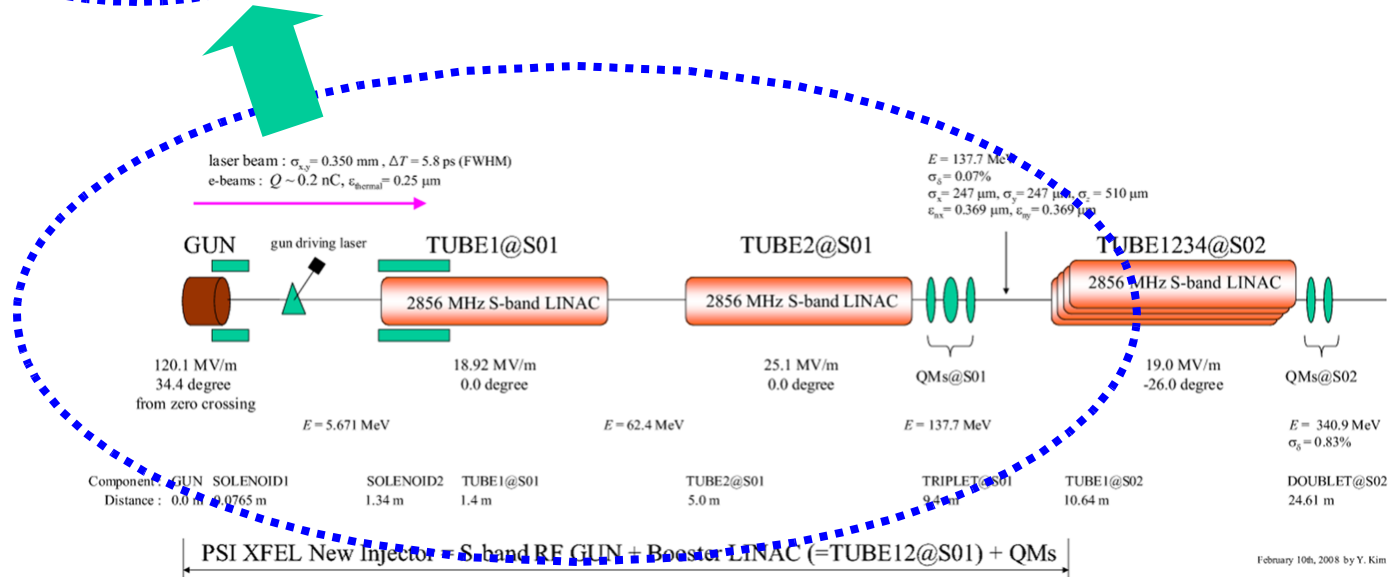
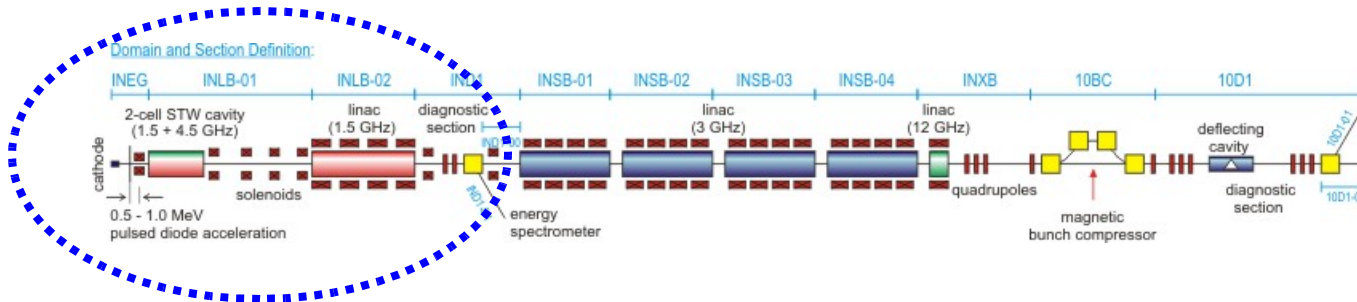


core slice $\epsilon_n \sim 0.43 \mu\text{m}$

Modified Injector Layout



With a new layout, overall compression factor of 272 will be dramatically reduced !



By changing our mind from ultra-low emittance & low peak current to low-emittance & high peak current, we can keep our compact PSI XFEL project continuously.

By the help of well optimized RF photoinjector which is based on invariant envelope matching concept, we can get continuous emittance damping at booster.

After consideration of the experimentally demonstrated high gradient of about 120 MV/m, we chose LCLS type 1.6 cell S-band RF photoinjector for the first simulation works. Later we will choose 2.5 cell CTF3 type S-band RF photoinjector.

For 0.1 nC, 0.2 nC, and 0.4 nC, estimated normalized projected emittances at 135 MeV are about 0.28 μm , 0.37 μm , and 0.47 μm , respectively. **And their core slice emittances are about 0.25 μm , 0.32 μm , and 0.43 μm .**

It seems that 0.1 nC and 0.2 nC operations are promising.

To optimize a backup injector further, we need more detail information on K for the Cu cathode. We will try to measure K at OBLA.

By re-optimizing S-band RF photoinjector with 0.1 nC and 0.2 nC and by optimizing bunch compressors, we can get a backup solution for Compact PSI XFEL project.

For the backup injector layout, we do not need the velocity bunching and overall bunch compression factor of 272 will be dramatically reduced (< 100). And RF jitter sensitivity will be also dropped and machine will be simplified.

Y. Kim sincerely thank Dr. Andreas Adelman, Dr. Rene Bakker, Dr. Andreas Streun, and Dr. Marco Pedrozzi for their encouragement for this work.