

SC Cyclotrons

C.Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RF

OPAL
Simulation

The PSI
Cyclotrons

Summary

Space-Charge Dominated Beam Transport in High Power Cyclotrons

Christian Baumgarten

11.5.2012

SC Cyclotrons

C.Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

- ❶ “Traditional” understanding of isochronous cyclotrons with space charge (SC).
- ❷ Space charge dominated acceleration.
- ❸ Simplified model and the influence of the phase $\phi(E)$.
- ❹ Conditions for space charge induced “longitudinal focusing”.
- ❺ RF considerations.
- ❻ Short intro to OPAL
- ❼ Model versus OPAL simulations.
- ❽ Conclusions.


Consider an isochronous cyclotron with space charge.

“Naive” expectations:

- No longitudinal focussing (isochronism).
- Longitudinal space charge (SC) increases phase width.
- Phase width exceeds acceptance.
- Energy gain depends on phase \Rightarrow increase energy width (i.e. momentum spread).
- Large momentum spread \Rightarrow large beam width \Rightarrow high losses.

Countermeasures:

- 1 **Flat top cavity** to increase phase acceptance.
- 2 **Increase cavity** voltage: less turns \Rightarrow lower losses (Joho's N^3 -law¹).

¹W. Joho, High Intensity Problems in Cyclotrons, Proc. 5th Intl. Conf. on Cycl. Appl., Caen 1981. 

Counterfacts:

- PSI Injector II with 2.4 mA without flattop and low losses.
- Explanation: Space charge “dominated” acceleration.
- Two bunchers in front of cyclotron (**increase SC forces**).
- Injector two has high ν_r and ν_z (**increase SC forces**).
- Works better the higher the beam current.
- Extremely contra-intuitive. And it works.
- But: What is it and how does it work?

⇒ Develop simple model:

- Transverse - longitudinal only (⇒ sectors can be omitted.)
- ⇒ Use **rotational symmetrie**: $\vec{B} = \vec{e}_z B_0 \gamma$.
- ⇒ The (matched) **beam sizes are constant**.
- ⇒ Space charge forces are constant.
- ⇒ Linear approximation for SC forces.
- ⇒ EQOM should have a simple solution.
- Use TRANSPORT like description in local coordinates:
(horiz./vert./long.)=(x,y,z).
- First assume **coasting beam**, no acceleration.

SC Cyclotrons

C.Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

Single particle dynamics:

- Radial coordinate $x = r(\theta) - r_0$ and x' .
- Longitudinal position $z = r_0 (\theta - \theta_0)$.
- Momentum deviation $\delta = \frac{\Delta p}{p_0}$.
- Put in state vector $\psi = (x, x', z, \delta)^T$ in **local co-moving coordinates**.
- Define $h = 1/r_0$ as curvature of orbit.

The linearized EQOM including space charge are:

$$\dot{\psi} = \mathbf{F} \psi,$$

with solution (for “average”² force matrix $\mathbf{F} = \frac{1}{L} \log(\mathbf{M})$):

$$\psi(s) = \exp(\mathbf{F} s) \psi(0),$$

explicitely:

$$\frac{d}{ds} \begin{pmatrix} x \\ x' \\ z \\ \delta \end{pmatrix} = \begin{pmatrix} . & 1 & . & . \\ -k_x + K_x & . & . & h \\ -h & . & . & \frac{1}{\gamma^2} \\ . & . & K_z \gamma^2 & . \end{pmatrix} \begin{pmatrix} x \\ x' \\ z \\ \delta \end{pmatrix},$$

Focusing terms and defocusing terms (SC) are colored.

Dispersive coupling $h = 1/r_0$. Drift terms in black.

²R. Talman: Geometric Mechanics; 2nd Ed., Wiley-VCH Weinheim, Germany, 2007.

K_x and K_z represent horizontal and longitudinal space charge forces³:

$$K_x = \frac{K_3 (1-f)}{(\sigma_x + \sigma_y) \sigma_x \sigma_z}$$

$$K_z = \frac{K_3 f}{\sigma_x \sigma_y \sigma_z}$$

$$K_y = \frac{K_3 (1-f)}{(\sigma_x + \sigma_y) \sigma_y \sigma_z}$$

$$K_3 = \frac{3 q I \lambda}{20 \sqrt{5} \pi \varepsilon_0 m c^3 \beta^2 \gamma^3}$$

$$f \approx \frac{\sqrt{\sigma_x \sigma_y}}{3 \gamma \sigma_z}$$

Note that always

$$K_x > 0$$

$$K_z > 0$$

and typically

$$K_x \approx K_z \ll k_x.$$

³ Frank Hinterberger, Physik der Teilchenbeschleuniger, 2. Auflage, Springer, Heidelberg 2008.

Focusing of the single-particle motion is given for a matrix **F** with **imaginary eigenvalues**.

Computing the eigenvalues ($\pm i\Omega_+$ and $\pm i\Omega_-$):

$$\begin{aligned} a &\equiv \frac{k_x - K_x - K_z}{2} \\ b &\equiv K_z (K_x + h^2 \gamma^2 - k_x) \\ \Omega_+ &= \sqrt{a + \sqrt{a^2 - b}} \\ \Omega_- &= \sqrt{a - \sqrt{a^2 - b}}. \end{aligned}$$

If **b is negative** $\Rightarrow a < \sqrt{a^2 - b}$, $\Rightarrow \Omega_-$ imaginary, \Rightarrow **solution is unstable (divergent)**.

\Rightarrow **a and b** must be positive to give real-valued frequencies.

With $b \ll a$, $K_x \ll k_x$ and $K_z \ll k_x$ and assumption of perfect isochronism: $k_x = h^2 \gamma^2 = h^2 \nu_r^2$, we approximate $a \approx \frac{k_x}{2}$ and $b \approx K_x K_z$:

$$\Omega_+ = \sqrt{a + \sqrt{a^2 - b}} \approx h \nu_r \left(1 - \frac{K_x K_z}{k_x^2} - \dots \right)$$

$$\Omega_- = \sqrt{a - \sqrt{a^2 - b}} \approx \sqrt{\frac{K_x K_z}{2}} \left(1 + \frac{K_x K_z}{2 k_x^2} + \dots \right).$$

$\Rightarrow \Omega_+$ is horizontal focusing, reduced by space charge.

$\Rightarrow \Omega_-$ is effective longitudinal focusing, induced by space charge and coupling.

Focusing requires

$$b = K_z (K_x + h^2 \gamma^2 - k_x) > 0$$

$$\Rightarrow K_x + h^2 \gamma^2 - k_x > 0$$

The focusing force k_x can be calculated by:

$$k_x = h^2 (1 + n) = h^2 \left(1 + \frac{r}{B} \frac{dB}{dr} \right)$$

The isochronous field plus a **small but important field error** ε can be written as

$$B(r) = B_0 \gamma (1 + \varepsilon) = B_0 \frac{1 + \varepsilon}{\sqrt{1 - (r/a)^2}},$$

This gives

$$k_x = h^2 \gamma^2 + \frac{1}{r} \frac{d\varepsilon}{dr}.$$

Focusing condition:

$$K_x - \frac{1}{r} \frac{d\varepsilon}{dr} > 0$$

SC Cyclotrons

C.Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

$\omega_0 = N_h \omega_{rf}$ is **nominal** orbital frequency,
 N_h is the harmonic number, ω **real** orbital frequency and ϕ is
 phase.

Then:

$$\varepsilon \approx 1 - \frac{\omega_0}{\omega} = -\frac{1}{2\pi N_h} \frac{d\phi}{dE} \frac{dE}{dn}.$$

With $\frac{dE}{dn} = V \cos \phi$ this gives:

$$\begin{aligned} \frac{d\varepsilon}{dr} &= \frac{d\varepsilon}{dE} \frac{dE}{dr} \\ &\approx -\frac{V}{2\pi N_h} \frac{dE}{dr} \left(\frac{d^2\phi}{dE^2} \cos \phi - \left(\frac{d\phi}{dE} \right)^2 \sin \phi \right). \end{aligned}$$

SC Cyclotrons

C.Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

If $\phi \approx 0$ then:

$$\frac{1}{r} \frac{d\varepsilon}{dr} \approx -C_0 \times \frac{d^2\phi}{dE^2}$$

where

$$C_0 = \frac{E_0 \gamma^3}{2\pi N_h a^2} \frac{dE}{dn}$$

Focusing condition:

$$K_x + C_0 \frac{d^2\phi}{dE^2} > 0.$$

⇒ **Longitudinal focusing depends on phase curve!**

Take **RF-effects** into account:

$$\frac{d}{ds} \begin{pmatrix} x \\ x' \\ z \\ \delta \end{pmatrix} = \begin{pmatrix} \cdot & 1 & \cdot & \cdot \\ -k_x + K_x & \cdot & \cdot & h \\ -h & \cdot & \cdot & \frac{1}{\gamma^2} \\ \cdot & \cdot & K_z \gamma^2 + K_{rf} & \cdot \end{pmatrix} \begin{pmatrix} x \\ x' \\ z \\ \delta \end{pmatrix},$$

Focusing terms and **defocusing terms (SC)** are colored.

Dispersive coupling $h = 1/r_0$. Drift terms in black.

- $K_{rf} > 0$: “Debunching” phase.
- $K_{rf} < 0$: “Bunching” phase.

$$K_{rf} = \frac{q V_0 \sin(\phi)}{p v} \frac{h^2 N_h}{2 \pi}.$$

SC Cyclotrons

C.Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

- OPAL⁴: **O**bject oriented **P**arallel **A**ccelerator **L**ibrary developed at PSI (amas.web.psi.ch).
- Flavor OPAL -cycl dedicated for the simulation of high intensity cyclotrons.
- Space charge solver: Particle in cell (PIC)-method to compute space charge potential.
- FFT-method for solving electrostatic forces.
- Parallel computing allows to track 10^5 or more particles simultaneously in the cyclotron.
- OPAL uses MAD language with extensions.
- Other flavors for beam transport lines / Linacs available.

⁴ J. J. Yang, A. Adelman, M. Humbel, M. Seidel, and T. J. Zhang, Phys. Rev. ST Accel. Beams 13, 064201 (2010).

Y. J. Bi, A. Adelman, R. Dölling, M. Humbel, W. Joho, M. Seidel, and T. J. Zhang, Phys. Rev. ST Accel. Beams 14, 054402 (2011).

SC Cyclotrons

C.Baumgarten

Outline / Intro

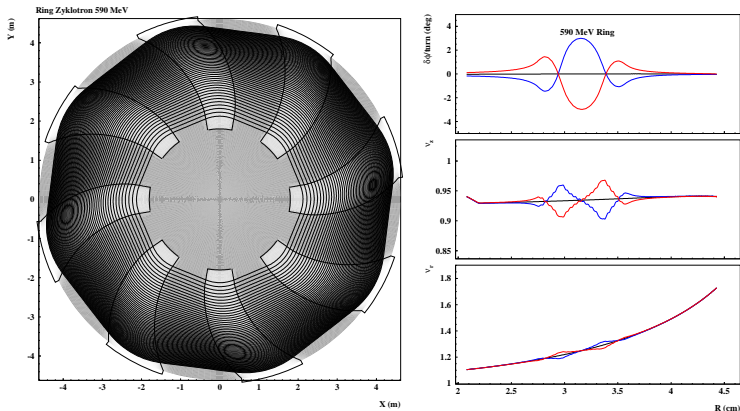
Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

- ❶ Create “ideal” ring machine: Geometry similar to ring machine.
- ❷ Adjust perfect or distorted isochronism (see figure).
- ❸ Compute matched beam distribution ⁵.



⁵C. Baumgarten; Phys. Rev. ST Accel. Beams 14, 114201 (2011) and 114002 (2011).
C. Baumgarten; arXiv:1201.0907 (2012), submitted to Phys. Rev. ST Accel. Beams.

SC Cyclotrons

C.Baumgarten

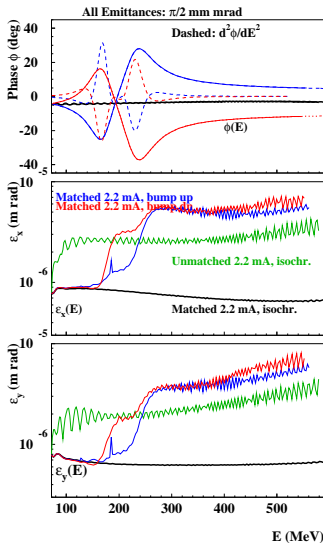
Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary



Matched beam, flat phase (black):

(Matched Beam

SC Cyclotrons

C.Baumgarten

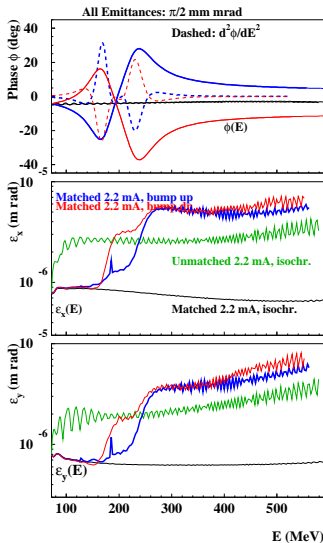
Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary



Matched beam, blue phase:

(Matched Beam

SC Cyclotrons

C.Baumgarten

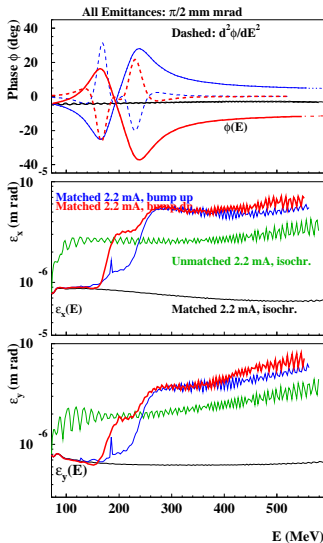
Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary



Matched beam, red phase:

(Matched Beam

SC Cyclotrons

C.Baumgarten

Outline / Intro

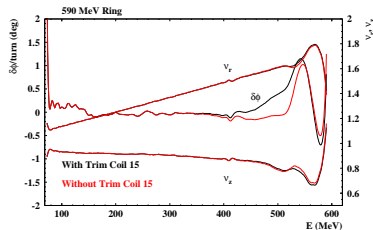
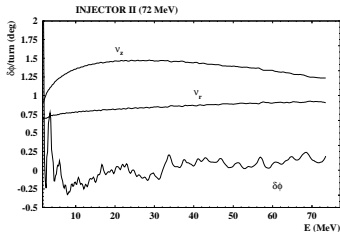
Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

	PSI Injector II	PSI Ring Cyclotron
Energy	0.86..72 MeV	72..590 MeV
Phase Curve	flat	excursion before extraction
ε at Injection ⁶	$1.3 \mu m rad$	$2.5 \mu m rad$
ε at Extraction	$2.5 \mu m rad$	$7.5 \mu m rad$
Flattop	no	yes
ν_r	$1.2 \dots 1.35$	$1.1 \dots 1.8$
ν_z	$1.35 \dots 1.7$	$0.7 \dots 0.9$
Buncher	1st and 3rd harm.	10th harmonic



⁶Here: horizontal emittance. Measured by D. Reggiani.

SC Cyclotrons

C.Baumgarten

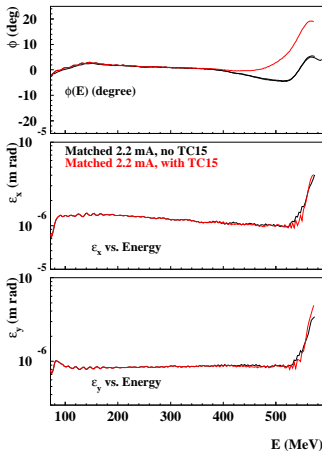
Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary



Matched beam, red phase, with TC15:

(Matched Beam in RING)

SC Cyclotrons

C.Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

What, if the cyclotron is **not well-prepared**?

- ❶ If the longitudinal focusing frequency is **imaginary**, the beam **expands** longitudinally.
- ❷ The horizontal-longitudinal coupling increases also horizontal beam size.
- ❸ The beam expansion reduces space charge forces.
- ❹ The reduced space charge forces reduce focusing.
- ❺ \Rightarrow filamentation \Rightarrow **irreversible** increase of emittance.
- ❻ \Rightarrow increased extraction losses.

First conclusion: “Simple” linear matching model works - even in case of space charge. But: Iteration required for accurate solution⁷.

If high power cyclotrons (“dream machines”) are supposed to take advantage of longitudinal focusing by space charge, ...

- ...the injected beam should be matched.
- ...the phase curve should be flat over all turns.
- ...a high beam brightness is required (PSI-Ring: $\varepsilon \leq 1.5 \mu m rad$ at 2.2 mA).
- ...the focusing frequency ν_z should be as high as possible.
- ...the cyclotron optics should be simulated before the finalization of design.

⁷C. Baumgarten; Phys. Rev. ST Accel. Beams 14, 114201 (2011).

SC Cyclotrons

C.Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

In order to achieve space charge dominated beam transport in the PSI Ring machine...

- ...the **emittance** of the injected beam **must be small enough**.
- ...the matching into INJECTOR II should be optimized.
- ...superbuncher has to be commissioned/optimized.
- ...the injected beam must be **matched**.
- ...the **phase curve** must be corrected (new Trim Coils/Shimming).

Thank you.

SC Cyclotrons

C. Baumgarten

Outline / Intro

Simplified
Theory

Phase Curve

Influence of
RFOPAL
SimulationThe PSI
Cyclotrons

Summary

- [1] J. J. Yang, A. Adelmann, M. Humbel, M. Seidel, and T. J. Zhang, Phys. Rev. ST Accel. Beams 13, 064201 (2010).
- [2] Y. J. Bi, A. Adelmann, R. Dölling, M. Humbel, W. Joho, M. Seidel, and T. J. Zhang, Phys. Rev. ST Accel. Beams 14, 054402 (2011).
- [3] Frank Hinterberger, Physik der Teilchenbeschleuniger, 2. Auflage, Springer, Heidelberg 2008.
- [4] R. Talman: Geometric Mechanics; 2nd Ed., Wiley-VCH Weinheim, Germany, 2007.
- [5] C. Baumgarten; Phys. Rev. ST Accel. Beams 14, 114201 (2011).
- [6] C. Baumgarten; Phys. Rev. ST Accel. Beams. 14, 114002 (2011).
- [7] C. Baumgarten; arXiv:1201.0907 (2012), submitted to Phys. Rev. ST Accel. Beams.