

# Theory of heavy muonic atoms and access to the nuclear properties

Natalia S. Oreshkina, Igor A. Valuev, Christoph H. Keitel  
*Max Planck Institute for Nuclear Physics (Heidelberg)*

Muonic Atoms at PSI'2022  
15 October, 2022

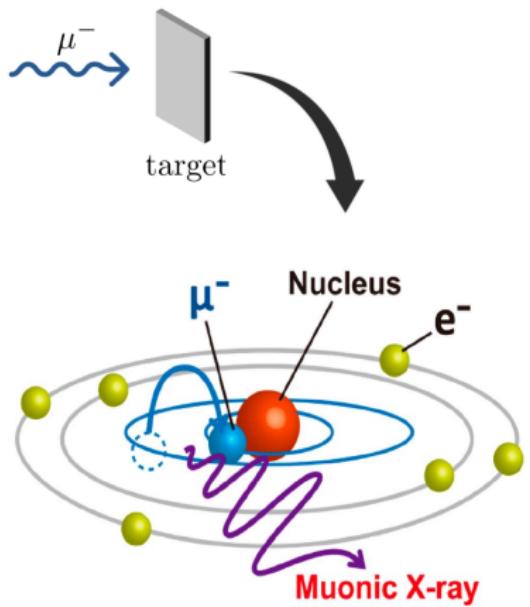


MAX-PLANCK-GESELLSCHAFT



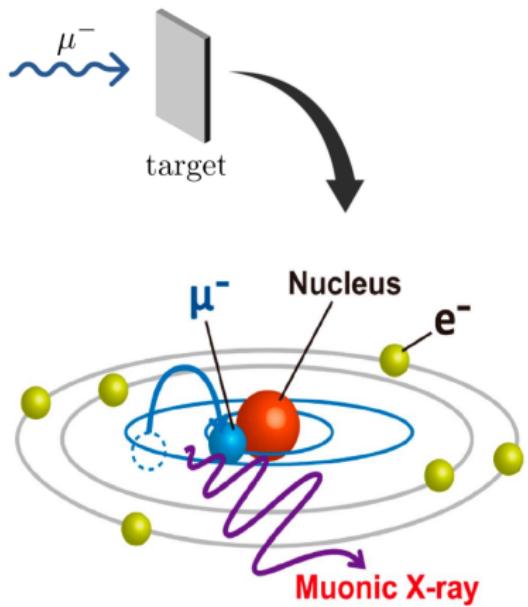
# Access to muonic atoms

- capture and cascade:  
 $10^{-12} - 10^{-9}$  s



<http://www.mdpi.com/2412-382-X/1/1/11/htm>

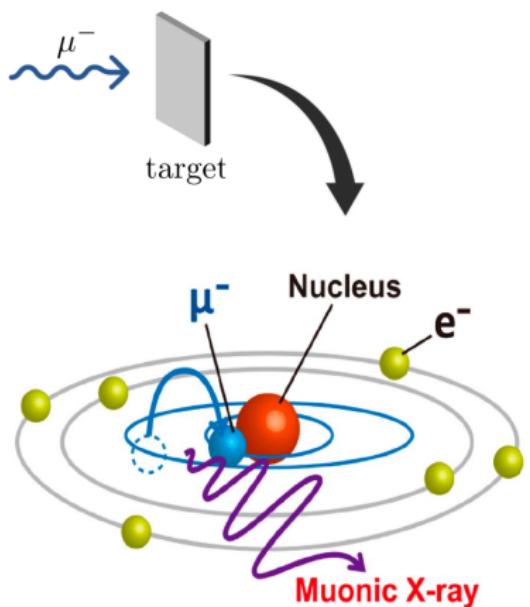
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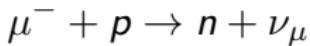
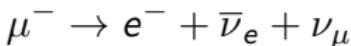
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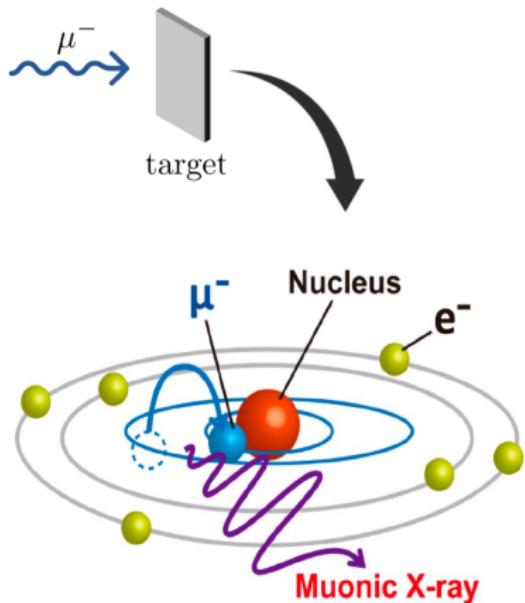


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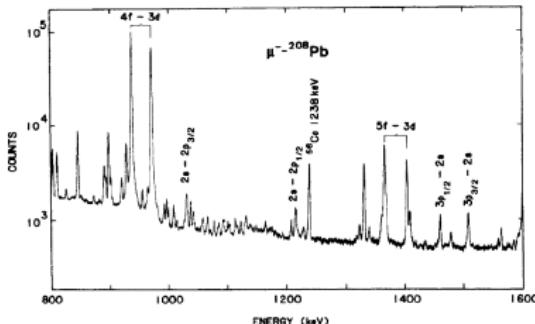


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$$\mu^- \rightarrow e^- + \bar{\nu}_e + \nu_\mu$$

$$\mu^- + p \rightarrow n + \nu_\mu$$



# Motivation for muon physics: Theory

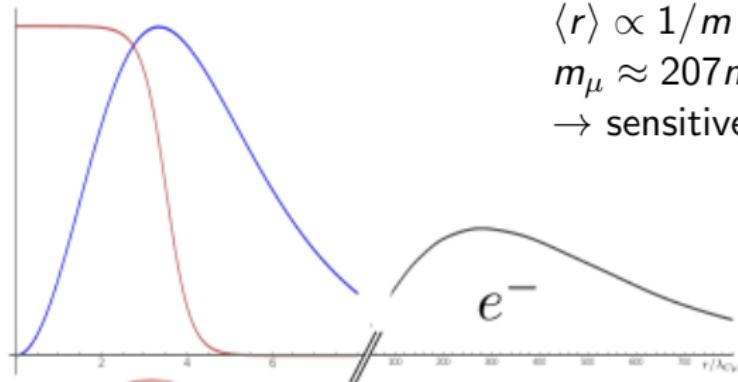


Fig: Niklas Michel

fit calculated spectra to  
measured ones  
→ determine nuclear parameters

# Motivation for muon physics: A fine-structure anomaly

muonic  $^{90}\text{Zr}$ ,  $^{112-124}\text{Sn}$ ,  $^{208}\text{Pb}$ : very poor fit,  $\chi^2/\text{DF} = 187$

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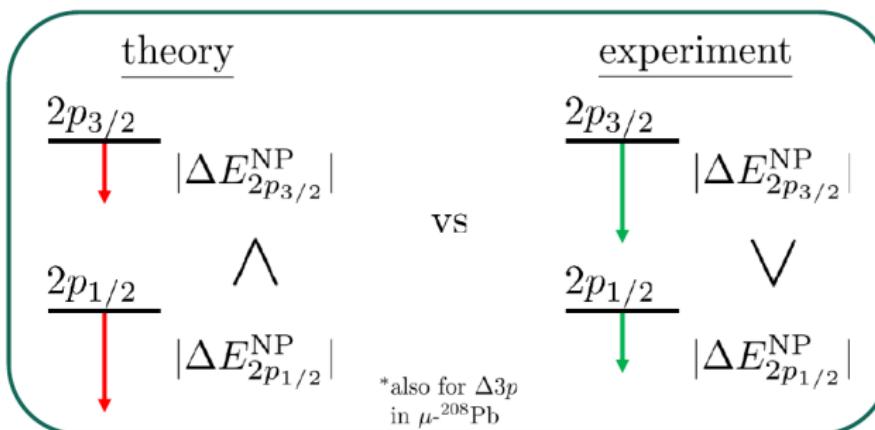
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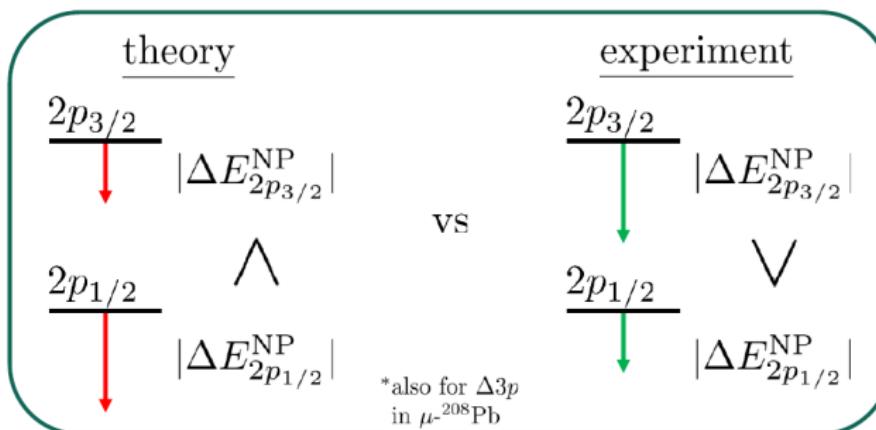


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 → nuclear polarization correction as variable parameters:

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$2p_{1/2}$  is closer to a nucleus and should be affected more strongly

P. Bergem et al., Phys. Rev. C 37 2821 (1988)

# Outline

Introduction and Motivation

Basic and brief theory

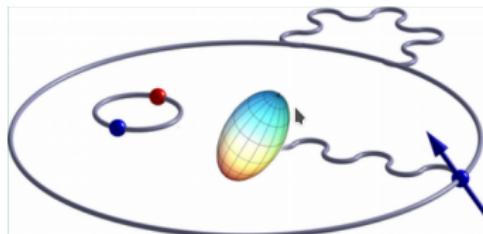
Last improvements

Self-energy correction

Nuclear polarization correction

Access to nucleus

Summary



## Introduction and Motivation

## Basic and brief theory

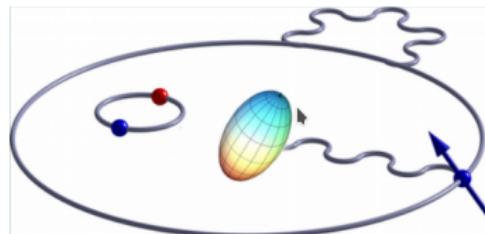
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# Basic and brief theory

- Muons are close to the nucleus, relativistic → Dirac equation

A. S. M. Patoary and NSO, EPJD **72**, 54 (2018)  
N. Michel, NSO, and C. H. Keitel, PRA **96**, 032510 (2017)  
N. Michel and NSO, PRA **99**, 042501 (2019)

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- Extended nucleus: sphere,

$$V_{\text{Sph}}(r) = \begin{cases} a + br^2; & r \leq R \\ -\frac{Z\alpha}{r}; & r \geq R \end{cases}$$

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# Basic and brief theory

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$$\rho_{a,c}^F(r_\mu) = \frac{N}{1 + e^{(r-c)/a}}$$

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# Basic and brief theory

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$$\rho_{a,c,\beta}(r_\mu, \vartheta_\mu) = \frac{N}{1 + e^{[r - c(1 + \beta Y_{20}(\vartheta_\mu))] / a}}$$

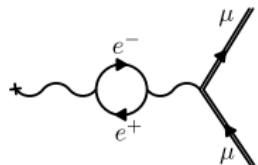
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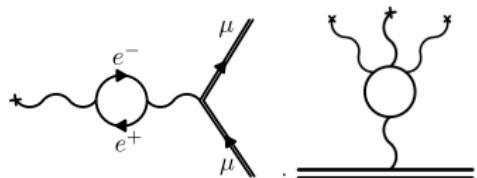
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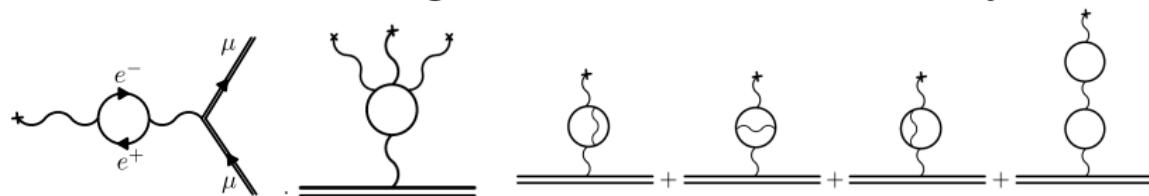
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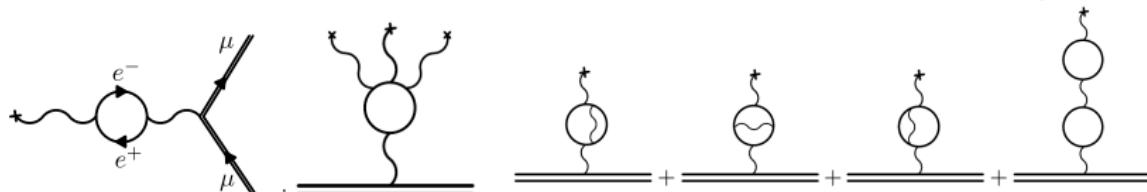
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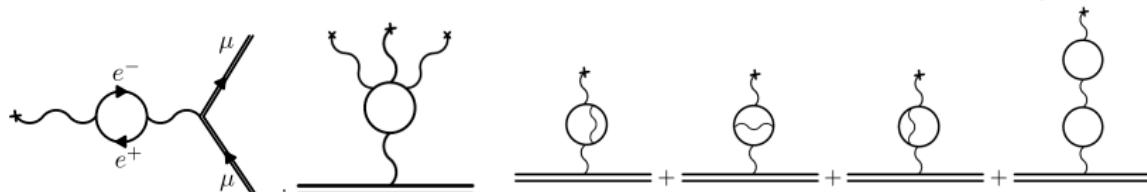
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- Electron screening effect

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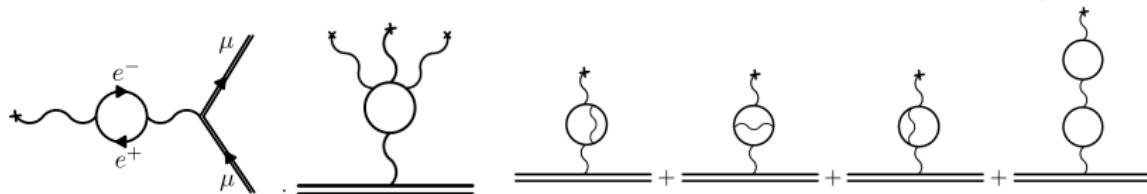
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- Electron screening effect
- HFS: electric quadrupole and magnetic dipole

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# Dynamic hyperfine structure in muonic atoms

Muonic FS and/or HFS  $\approx$  nuclear rotational states energies  
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Toy model:  $^{185}\text{Re}$  with  $I \in \{\frac{5}{2}, \frac{7}{2}, \frac{9}{2}\}$  + muonic  $(2p_{1/2}, 2p_{3/2})$

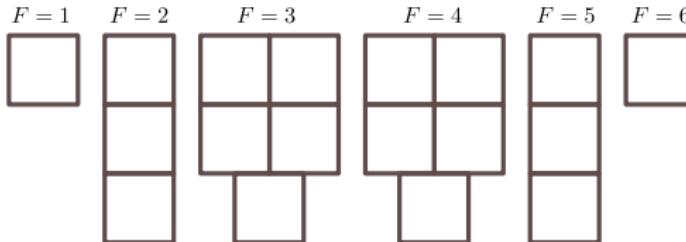
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for given  $F, M_F$ : 6 unperturbed states:  $|FM_F(n\kappa)(IK)\rangle$



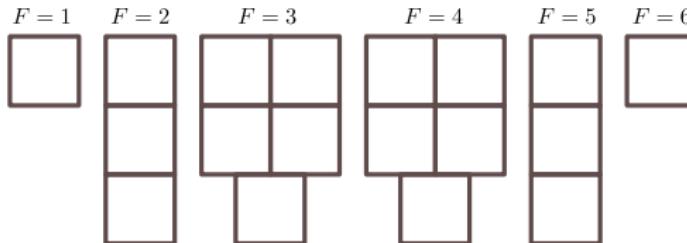
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diagonalise quadrupole interaction in every  $F$ -block

# Dynamic hyperfine structure in muonic atoms for Re

$$E_{5/2} = 0 \text{ keV}$$

$$E_{7/2} = 125 \text{ keV}$$

$$E_{9/2} = 284 \text{ keV}$$

$$E_{2p_{1/2}} = -4059 \text{ keV}$$

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$$\Delta E_{2p} = 149 \text{ keV}$$

Figure courtesy: N. Michel

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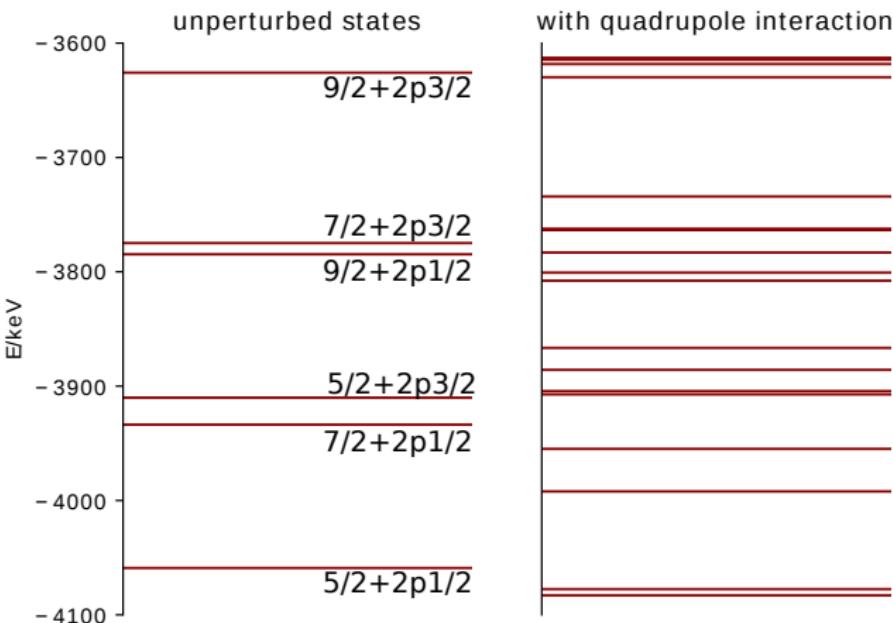


Figure courtesy: N. Michel

# Introduction and Motivation

## Basic and brief theory

## Last improvements

Self-energy correction

Nuclear polarization correction

## Access to nucleus

## Summary

PHYSICAL REVIEW LETTERS 128, 203001 (2022)

### Evidence Against Nuclear Polarization as Source of Fine-Structure Anomalies in Muonic Atoms

Igor A. Valuev<sup>1,\*</sup>, Gianluca Colò<sup>2,3</sup>, Xavier Roca-Maza<sup>2,3</sup>, Christoph H. Keitel<sup>1</sup>, and Natalia S. Oreshkina<sup>1,†</sup>

<sup>1</sup>Max-Planck-Institut für Kernphysik, Saupfercheckweg 1, 69117 Heidelberg, Germany

<sup>2</sup>Dipartimento di Fisica, Università degli Studi di Milano, via Celoria 16, I-20133 Milano, Italy

<sup>3</sup>INFN, Sezione di Milano, via Celoria 16, I-20133 Milano, Italy

(Received 25 January 2022; revised 29 March 2022; accepted 18 April 2022; published 17 May 2022)

### Self-energy correction to the energy levels of heavy muonic atoms

Natalia S. Oreshkina<sup>1,\*</sup>

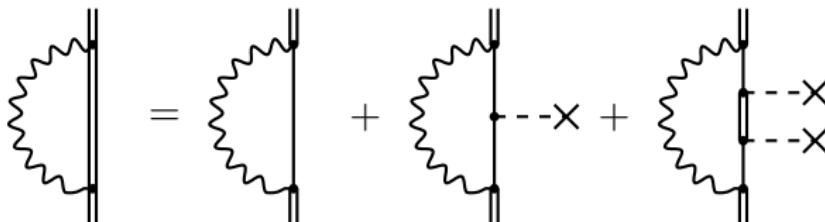
<sup>1</sup>Max-Planck-Institut für Kernphysik, Saupfercheckweg 1, 69117 Heidelberg, Germany

(Dated: June 14, 2022)

# Self-energy correction

$$\langle a | \Sigma(E) | b \rangle = \frac{i}{2\pi} \int_{-\infty}^{\infty} d\omega \sum_n \frac{\langle an | I(\omega) | nb \rangle}{E - \omega - \varepsilon_n(1 - i0)},$$

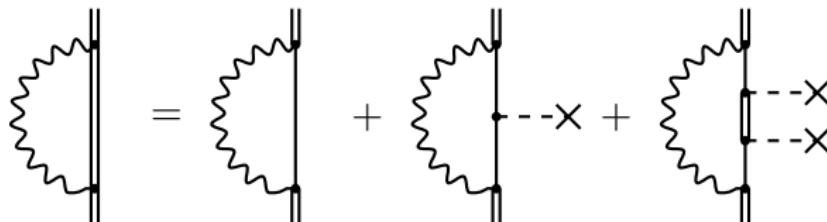
$$I(\omega, \mathbf{x}_1, \mathbf{x}_2) = \frac{(1 - \alpha_1 \alpha_2) \exp(i\sqrt{\omega^2 + i0}x_{12})}{4\pi x_{12}}.$$



# Self-energy correction

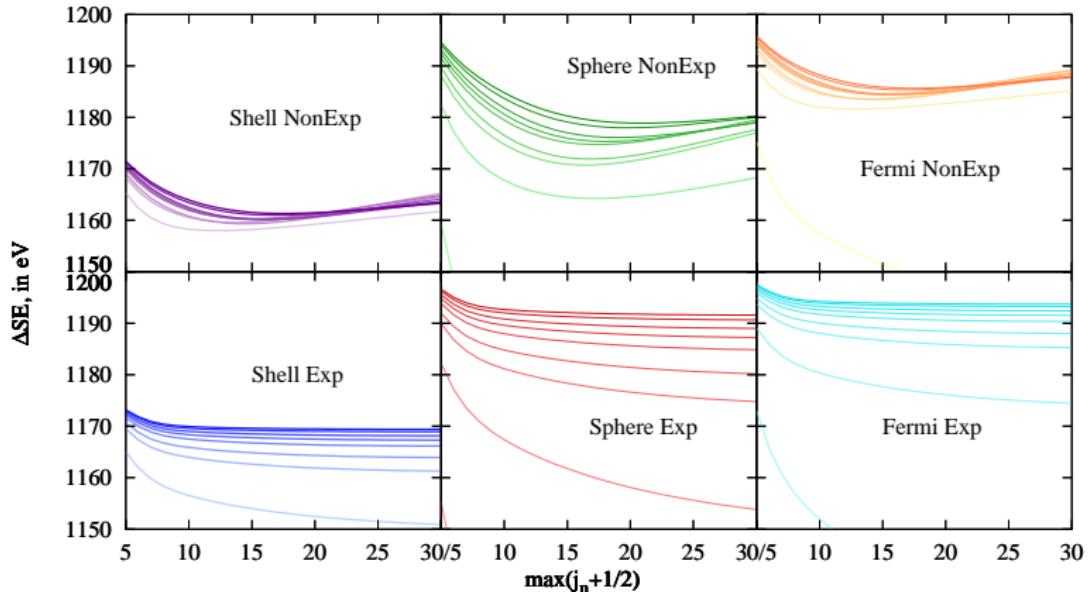
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- Order-of-magnitude improvement for  $1s_{1/2}$  state
- Rigorous for  $2p_{1/2}, 2p_{3/2}$
- Three nuclear models: shell, sphere, Fermi
- Two integration grids
- Contains infinite summation over intermediate  $\kappa$

# Models and grid compared: $\mu - {}^{90}_{40}\text{Zr}$



$\Delta E_{\text{SE}}$  contribution to the  $1s_{1/2}$  state of the muonic zirconium in units of eV as a function of maximal intermediate angular momentum  $j_n$  for different nuclear models and numerical grids. The colors of the lines on every panel change depending on the number of used DKB basis functions from light for  $n_{\text{DKB}} = 50$  to dark for  $n_{\text{DKB}} = 150$

# SE: results

Ion	State	Final	Previous
$\mu - {}^{90}\text{Zr}$	$1s_{1/2}$	1191(4)	1218
	$2p_{1/2}$	6.99(5)	1
	$2p_{3/2}$	46.52(6)	41
	$\Delta 2p$	39.53(8)	40
$\mu - {}^{208}\text{Pb}$	$1s_{1/2}$	3225(15)	3373
			3270(160)*
	$2p_{1/2}$	453(5)	413
	$2p_{3/2}$	745(5)	707
	$\Delta 2p$	292(7)	294

The previous results are from [Haga *et al.*, PRC **75**, 044315 (2007)], \* is from [Cheng *et al.*, PRA **17**, 489 (1978).]

# Nuclear polarization effect

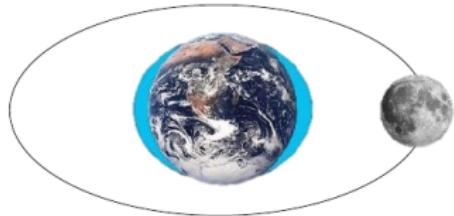


Image source: [www.universetoday.com](http://www.universetoday.com)

$$V_{\text{Coul}}(r) = -\frac{\alpha Z}{r}$$

$$V_{\text{ext}}(r) = -\alpha \int \frac{\rho(\mathbf{r}')}{|\mathbf{r} - \mathbf{r}'|} d\mathbf{r}'$$

$$V_{\text{NP}}(r) = -\alpha \sum_Z \frac{1}{|\mathbf{r} - \mathbf{r}_{N_i}|}$$

# Nuclear polarization effect



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$$H = H_N + \alpha \mathbf{p} + \beta m_\mu + V(\mathbf{r}, \mathbf{r}_{N_i})$$

$$\Delta E_I = \sum'_N \frac{\langle I | \Delta V | N \rangle \langle N | \Delta V | I \rangle}{E_I - E_N}$$

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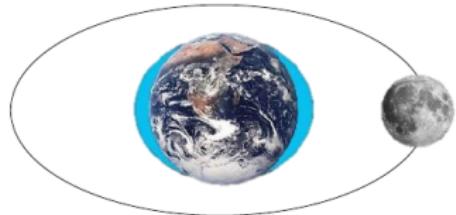


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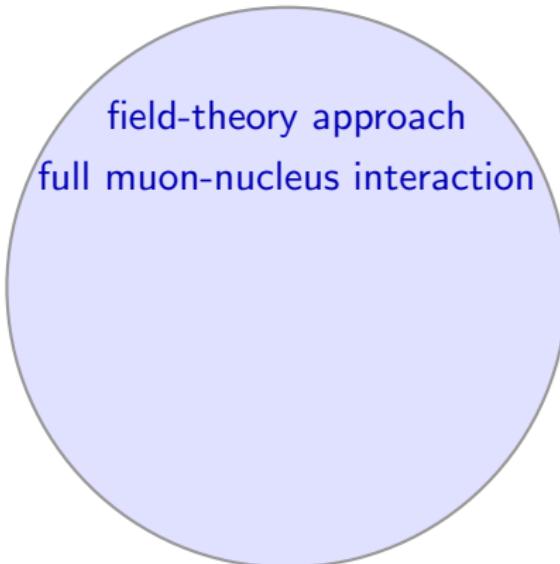
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Only longitudinal (Coulomb) part

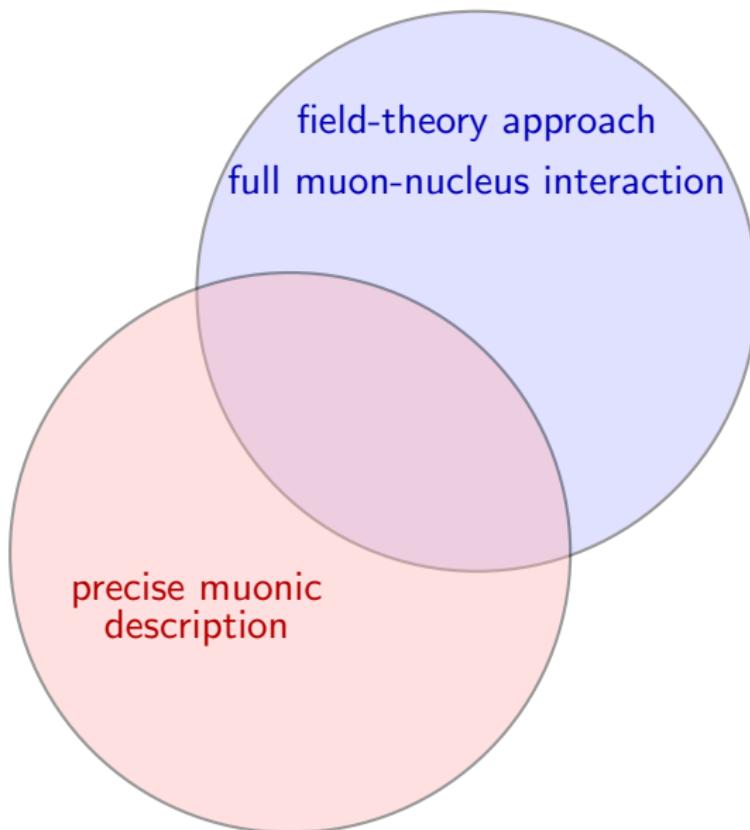
Also: transverse part, only via field-theory approach

# Our goal

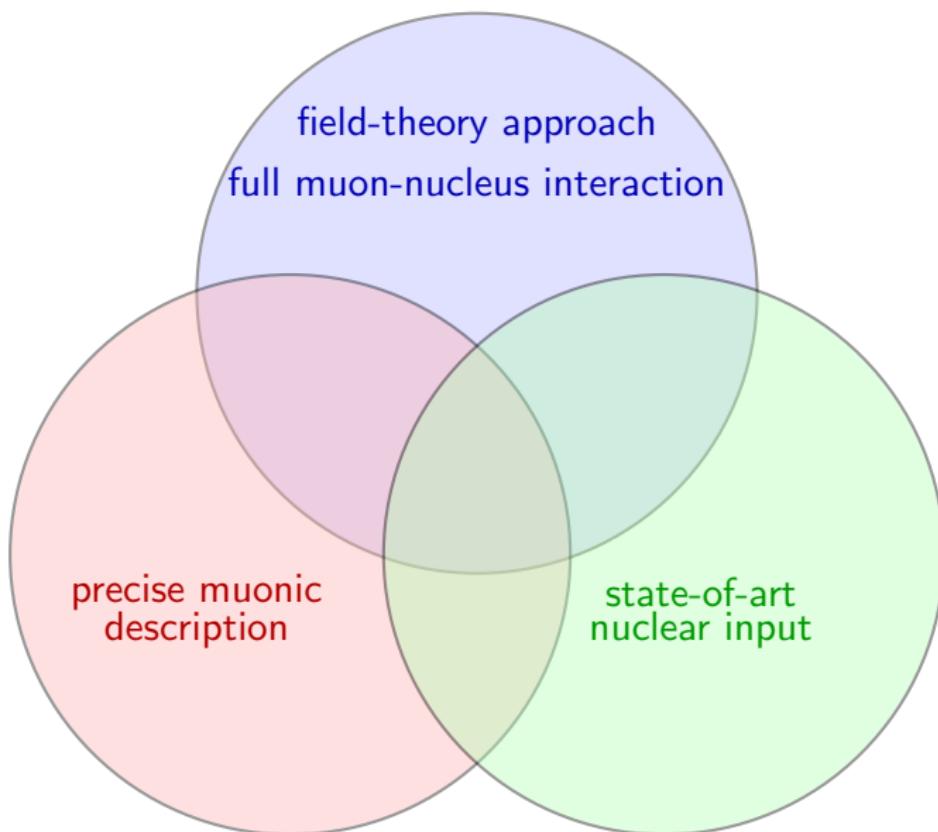


field-theory approach  
full muon-nucleus interaction

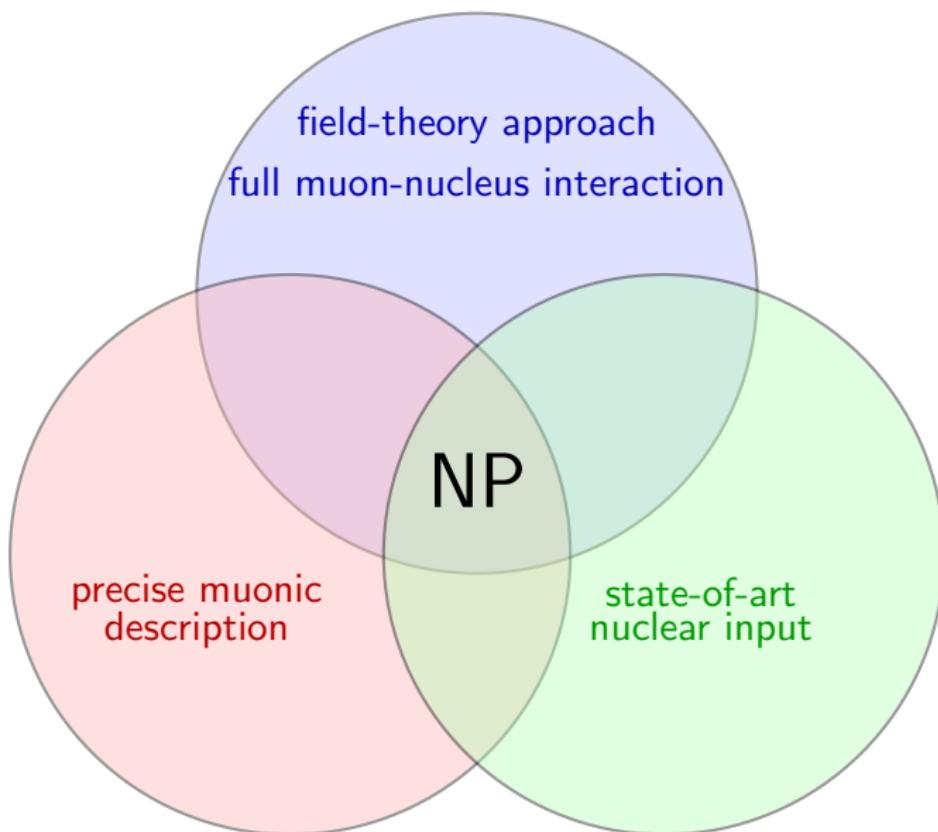
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# Transverse part of muon-nucleus interaction

$$H = H_N + \alpha \mathbf{p} + \beta m_\mu + V(\mathbf{r}, \mathbf{r}_{N_i})$$



$$H = H_N + \alpha (\mathbf{p} - e \mathbf{A}(\mathbf{r}, \mathbf{r}_{N_i})) + \beta m_\mu + V(\mathbf{r}, \mathbf{r}_{N_i})$$

- Longitudinal (or Coulomb) interaction  $V(\mathbf{r}, \mathbf{r}_{N_i})$   
always  $|\Delta E_{2p_1/2}^{\text{NP}}| > |\Delta E_{2p_3/2}^{\text{NP}}|$

Tanaka and Horikawa, Nucl. Phys. **A580**, 291 (1994)

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always  $|\Delta E_{2p_1/2}^{\text{NP}}| > |\Delta E_{2p_3/2}^{\text{NP}}|$
- Transverse interaction  $\mathbf{A}(\mathbf{r}, \mathbf{r}_{N_i})$   
contributes with the opposite muon-spin dependence

Tanaka and Horikawa, Nucl. Phys. **A580**, 291 (1994)

# Transverse part of muon-nucleus interaction

$$H = H_N + \alpha \mathbf{p} + \beta m_\mu + V(\mathbf{r}, \mathbf{r}_{N_i})$$

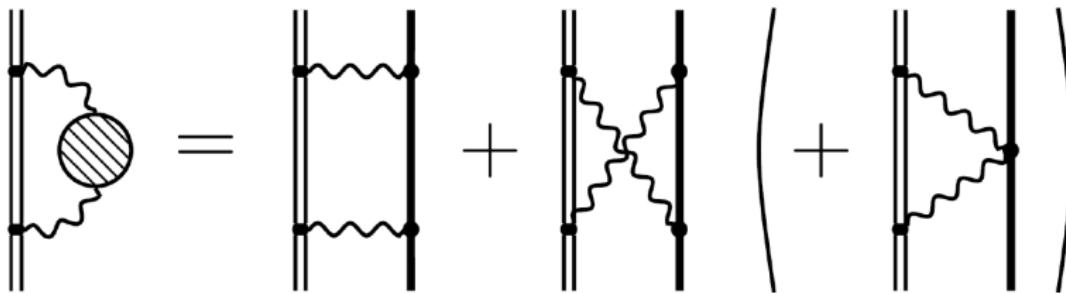


$$H = H_N + \alpha (\mathbf{p} - e \mathbf{A}(\mathbf{r}, \mathbf{r}_{N_i})) + \beta m_\mu + V(\mathbf{r}, \mathbf{r}_{N_i})$$

- Longitudinal (or Coulomb) interaction  $V(\mathbf{r}, \mathbf{r}_{N_i})$  always  $|\Delta E_{2p_1/2}^{\text{NP}}| > |\Delta E_{2p_3/2}^{\text{NP}}|$
- Transverse interaction  $\mathbf{A}(\mathbf{r}, \mathbf{r}_{N_i})$  contributes with the opposite muon-spin dependence
- However, the anomalies still persisted (for more than 40 years)

Tanaka and Horikawa, Nucl. Phys. **A580**, 291 (1994)

# Total leading-order nuclear polarization



$$\Delta E_{NP}^L = -i(4\pi\alpha)^2 \sum_{i' I'} \iint \frac{d\mathbf{q} d\mathbf{q}'}{(2\pi)^6} \int \frac{d\omega}{2\pi} \frac{D_{\mu\xi}(\omega, \mathbf{q}) D_{\zeta\nu}(\omega, \mathbf{q}') \langle iI | j_m^\mu(-\mathbf{q}) J_N^\xi(\mathbf{q}) | i'I' \rangle \langle i'I' | J_N^\zeta(-\mathbf{q}') j_m^\nu(\mathbf{q}') | iI' \rangle}{(\omega + \omega_m - iE_{i'}\epsilon)(\omega - \omega_N + i\epsilon)},$$

$$\Delta E_{NP}^X = +i(4\pi\alpha)^2 \sum_{i' I'} \iint \frac{d\mathbf{q} d\mathbf{q}'}{(2\pi)^6} \int \frac{d\omega}{2\pi} \frac{D_{\mu\xi}(\omega, \mathbf{q}) D_{\zeta\nu}(\omega, \mathbf{q}') \langle iI' | j_m^\mu(-\mathbf{q}) | i'I \rangle \langle i'I | J_N^\zeta(-\mathbf{q}') j_m^\nu(\mathbf{q}') | iI' \rangle}{(\omega + \omega_m - iE_{i'}\epsilon)(\omega + \omega_N - i\epsilon)},$$

$$\Delta E_{NP}^{SG} = -i(4\pi\alpha)^2 \sum_{i'} \iint \frac{d\mathbf{q} d\mathbf{q}'}{(2\pi)^6} \int \frac{d\omega}{2\pi} \frac{D_{\mu\xi}(\omega, \mathbf{q}) \delta^{\xi\zeta} D_{\zeta\nu}(\omega, \mathbf{q}') \langle i | j_m^\mu(-\mathbf{q}) | i' \rangle \langle i' | j_m^\nu(\mathbf{q}') | i \rangle \langle I | \rho_N(\mathbf{q} - \mathbf{q}') | I \rangle}{(\omega + \omega_m - iE_{i'}\epsilon)} m_p,$$

summations over entire muonic ( $i'$ ) and nuclear ( $I'$ ) spectra

# Muonic spectrum

Dirac equation:

$$[\alpha \mathbf{p} + \beta m_\mu + V_0(\mathbf{r})] \psi(\mathbf{r}) = E \psi(\mathbf{r})$$

$V_0$  from Fermi nuclear charge distribution

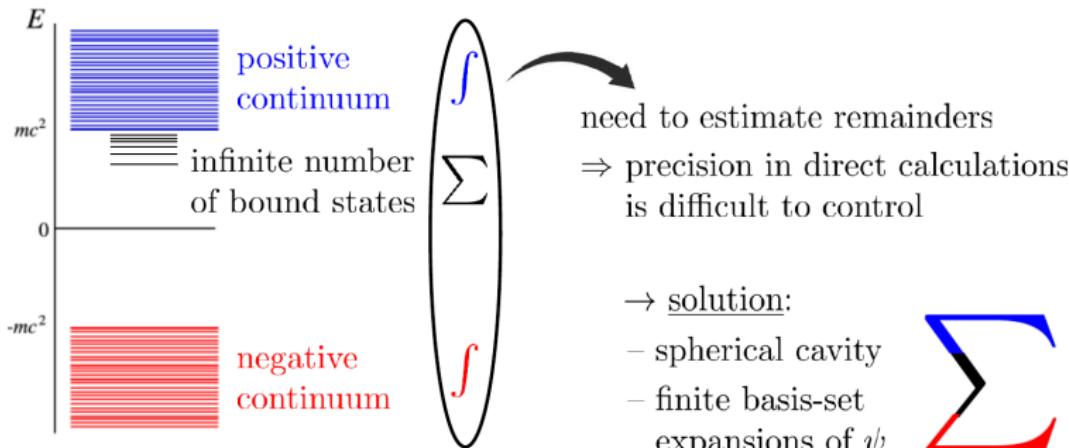


Fig: Igor Valuev

## Nuclear spectrum

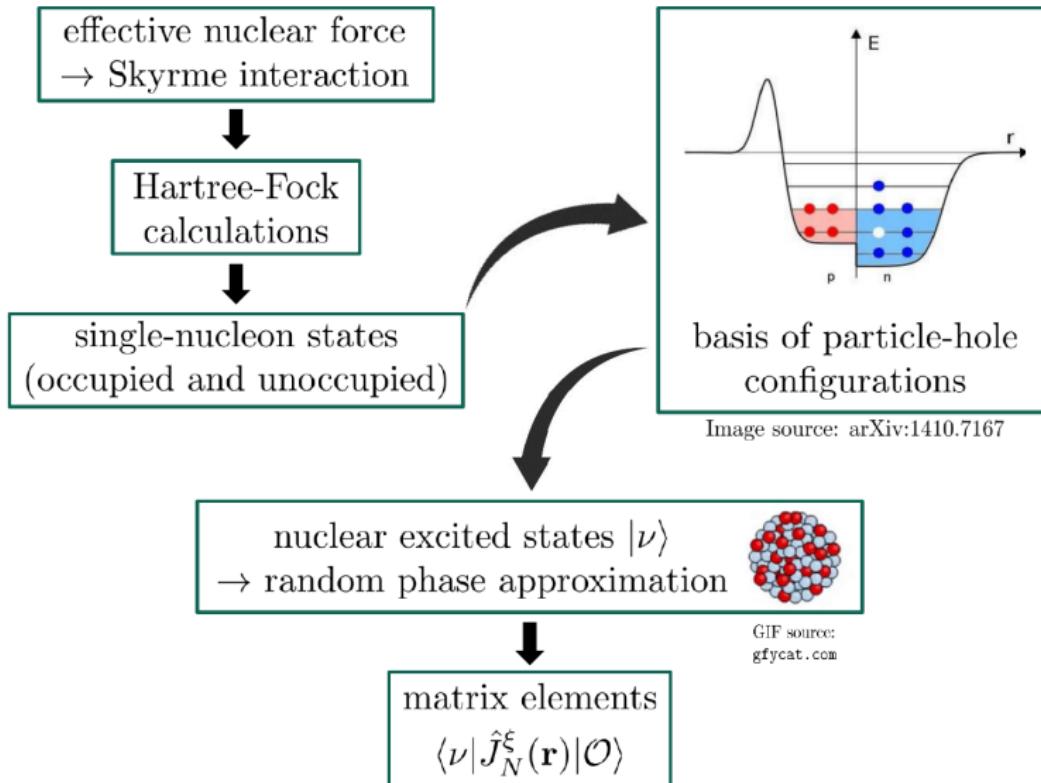


Fig: Igor Valuev

# Skyrme-type nuclear interaction



Tony Skyrme in 1946

Fig:

[https://en.wikipedia.org/  
wiki/Tony\\_Skyrme](https://en.wikipedia.org/wiki/Tony_Skyrme)

$$\begin{aligned}
 V(\mathbf{r}_1, \mathbf{r}_2) = & t_0(1 + \chi_0 P_\sigma)\delta(\mathbf{r}) \\
 & + \frac{1}{2}t_1(1 + \chi_1 P_\sigma)[\mathbf{P}^{\dagger 2}\delta(\mathbf{r}) + \delta(\mathbf{r})\mathbf{P}^2] \\
 & + t_2(1 + \chi_2 P_\sigma)\mathbf{P}^\dagger \cdot \delta(\mathbf{r})\mathbf{P} \\
 & + \frac{1}{6}t_3(1 + \chi_3 P_\sigma)\rho^\lambda(\mathbf{R})\delta(\mathbf{r}) \\
 & + iW_0(\boldsymbol{\sigma}_1 + \boldsymbol{\sigma}_2) \cdot [\mathbf{P}^\dagger \times \delta(\mathbf{r})\mathbf{P}]
 \end{aligned}$$

$$\mathbf{r} = \mathbf{r}_1 - \mathbf{r}_2, \mathbf{R} = \frac{1}{2}(\mathbf{r}_1 + \mathbf{r}_2)$$

$$\mathbf{P} = \frac{1}{2i}(\nabla_1 - \nabla_2), P_\sigma = \frac{1}{2}(1 + \boldsymbol{\sigma}_1 \cdot \boldsymbol{\sigma}_2)$$

10 parameters  
Nuclear wave functions  
dependence

# Calculations details

- complete muonic Dirac spectrum

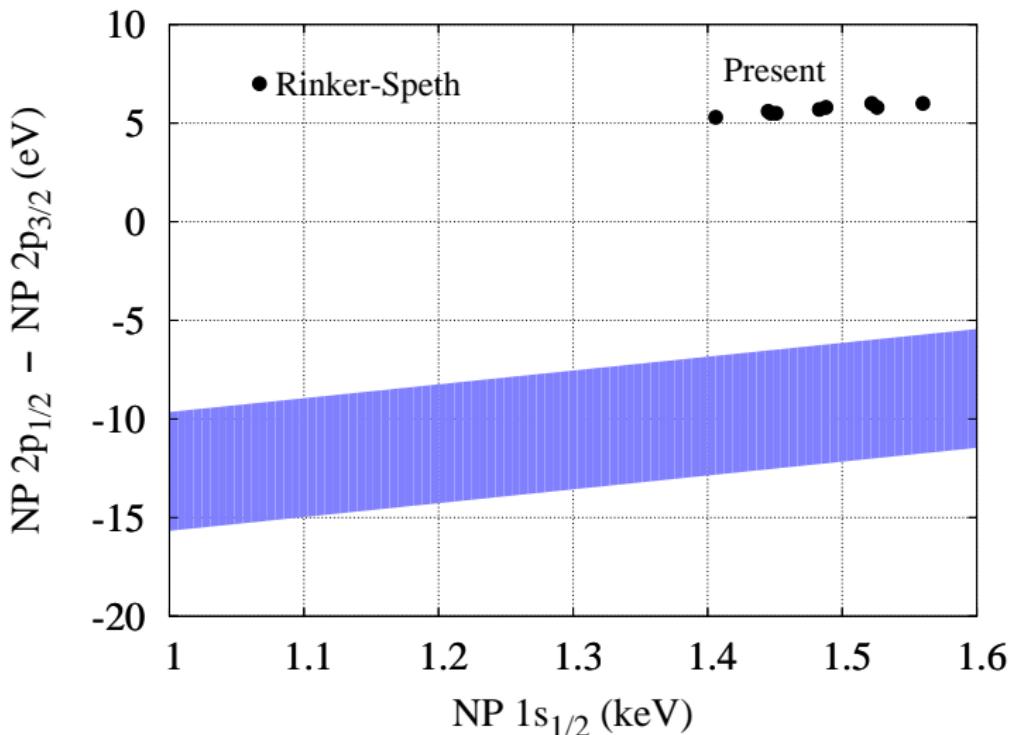
# Calculations details

- complete muonic Dirac spectrum
- 9 different parametrizations of the Skyrme interaction
- Covers all realistic ranges for nuclear properties
- $0^+, 1^-, 2^+, 3^-, 4^+, 5^-$  and  $1^+$  excitation modes

# Calculations details

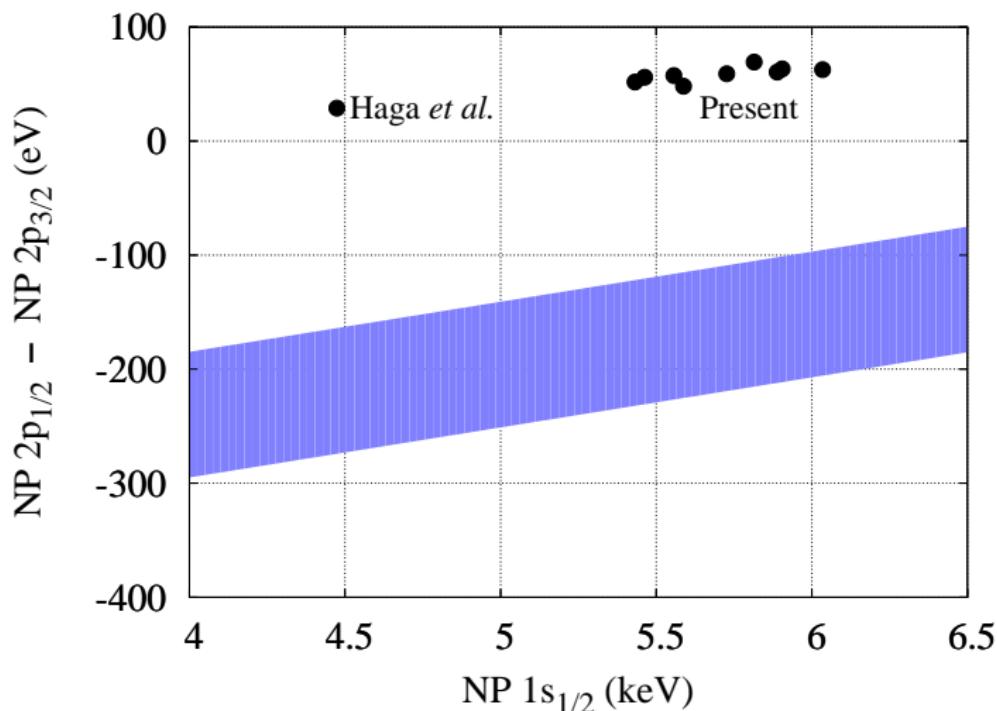
- complete muonic Dirac spectrum
- 9 different parametrizations of the Skyrme interaction
- Covers all realistic ranges for nuclear properties
- $0^+, 1^-, 2^+, 3^-, 4^+, 5^-$  and  $1^+$  excitation modes
- RMS value changes the NP predictions
- Comparison between theory and free-parameter fit of the experimental data

# Nuclear polarization correction $^{90}\text{Zr}$



around 15 eV ( $5 \sigma$ ) gap remains practically constant

# Nuclear polarization correction $^{208}\text{Pb}$



around 150 eV, or  $4 \sigma$  standard deviations gap

## Introduction and Motivation

## Basic and brief theory

## Last improvements

Self-energy correction

Nuclear polarization correction

## Access to nucleus

## Summary

# RMS values: why should we care?



Image: Homer Simpson

- Muonic spectroscopy provides RMS for majority of stable nuclei
- High importance for QED, PNC, VFC, ...
- High accuracy: 0.02% for Pb

# RMS values: why should we care?

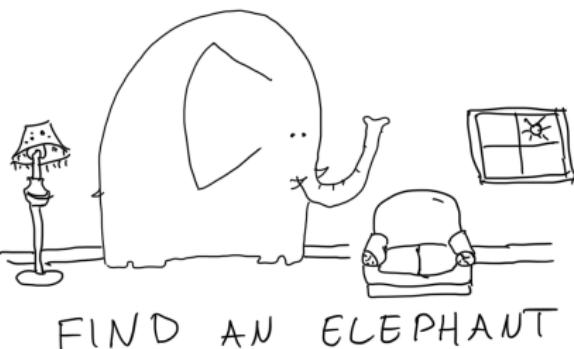


Image: Homer Simpson

However!

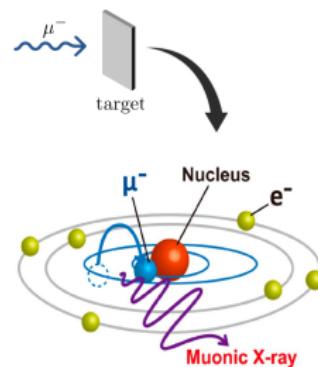
- Fine-structure anomaly
- Poor fit  $\chi^2/\text{DF} = 187$
- Estimation for theory
- How much can we trust it?

- Muonic spectroscopy provides RMS for majority of stable nuclei
- High importance for QED, PNC, VFC, ...
- High accuracy: 0.02% for Pb



# Summary and Outlook

- Muonic atom: QED for “heavy electron”
- Probe of nuclear parameters and new approaches



N. S. Oreshkina, accepted to Phys. Rev. Research (L) <https://arxiv.org/abs/2206.01006> (2022)

I. A. Valuev, G. Colò, X. Roca-Maza, C. H. Keitel, and N. S. Oreshkina, Phys. Rev. Lett. **128**, 203001 (2022)

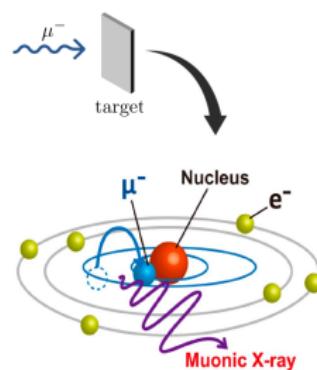
A. Antognini *et al.*, Phys. Rev. C **101**, 054313 (2020)

N. Michel, and N. S. Oreshkina, Phys. Rev. A **99**, 042501 (2019)

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# Summary and Outlook

- Muonic atom: QED for “heavy electron”
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- New rigorous values for SE and NP
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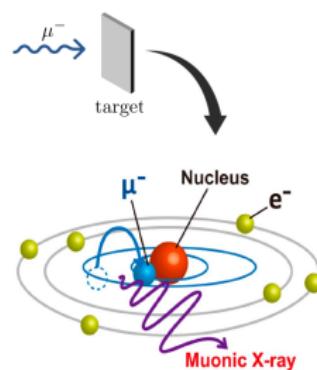
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# Summary and Outlook

- Muonic atom: QED for “heavy electron”
- Probe of nuclear parameters and new approaches
- New rigorous values for SE and NP
- State-of-the-art theory predictions
- No resolution of a fine-structure puzzle
- Re-evaluation of RMS values could be addressed



N. S. Oreshkina, accepted to Phys. Rev. Research (L) <https://arxiv.org/abs/2206.01006> (2022)

I. A. Valuev, G. Colò, X. Roca-Maza, C. H. Keitel, and N. S. Oreshkina, Phys. Rev. Lett. **128**, 203001 (2022)

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Image: Science Cartoons by Tom Gauld

*Thank you for your attention*