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Precision Higgs Physics, QCD & Parton Showers [1/3]

PSI summer school - Zuoz, Aug 2024



Tentative outline of the lectures

- Lecture 1 (Monday): Collider physics & strong interactions
- Introduction to LHC Physics & the Higgs sector
- Exploring the Higgs boson with Quantum Chromodynamics (QCD)
- Lecture 2 (Thursday): Radiative corr^{ns} & Collider observables
- Infrared & Collinear (IRC) safety and differential distributions
- QCD beyond the standard perturbation theory
- Lecture 3 (Friday): Introduction to Parton Showers & Jets
- Building a toy parton shower for Higgs production in gluon fusion
- Modern event generators and hadronic jets





Collider Physics & Strong interactions



The incredibly diverse collider physics landscape



The rich physics programme of the LHC

ATLAS Heavy Particle Searches* - 95% CL Upper Exclusion Limits

Status: March 2023

| | Model | <i>ℓ</i> , γ | Jets† E _T ^{miss} | ∫£ dt[fl | b ⁻¹] | Limit | | | Reference |
|---|---|--|--|--|--|-------------------------------------|---|--|--|
| | $\begin{array}{c} \text{ADD } G_{KK} + g/q \\ \text{ADD non-resonant } \gamma\gamma \\ \text{ADD QBH} \\ \text{ADD BH multijet} \\ \text{RS1 } G_{KK} \rightarrow \gamma\gamma \\ \text{Bulk RS } G_{KK} \rightarrow WW/ZZ \\ \text{Bulk RS } g_{KK} \rightarrow tt \\ 2\text{UED } / \text{RPP} \end{array}$ | $\begin{array}{c} 0 \ e, \mu, \tau, \gamma \\ 2 \ \gamma \\ - \\ - \\ 2 \ \gamma \\ multi-channel \\ 1 \ e, \mu \\ 1 \ e, \mu \end{array}$ | 1 – 4 j Yes 2 j ≥3 j ≥1 b, ≥1J/2j Yes ≥2 b, ≥3 j Yes | 139 36.7 139 3.6 139 36.1 36.1 36.1 | M _D M _S M _{th} M _{th} G _{KK} mass G _{KK} mass g _{KK} mass KK mass | | 11.2 Te 8.6 TeV 9.4 TeV 9.55 TeV 2.3 TeV 3.8 TeV 1.8 TeV | $ \begin{array}{l} \textbf{V} n=2\\ n=3 \; \text{HLZ NLO}\\ n=6\\ n=6, \; M_D=3 \; \text{TeV, rot BH}\\ k/\overline{M}_{Pl}=0.1\\ k/\overline{M}_{Pl}=1.0\\ \Gamma/m=15\%\\ \text{Tier (1,1), } \mathcal{B}(A^{(1,1)} \rightarrow tt)=1 \end{array} $ | 2102.10874 1707.04147 1910.08447 1512.02586 2102.13405 1808.02380 1804.10823 1803.09678 |
| A central aspect is the search of new-physics (NP) phenomena, for which the LHC has set important constraints that forces us to think of some of the open questions | SSM $Z' \rightarrow \ell\ell$ SSM $Z' \rightarrow \tau\tau$ Leptophobic $Z' \rightarrow bb$ Leptophobic $Z' \rightarrow tt$ SSM $W' \rightarrow \ell\nu$ SSM $W' \rightarrow \tau\nu$ SSM $W' \rightarrow tb$ HVT $W' \rightarrow WZ$ model B HVT $W' \rightarrow WZ \rightarrow \ell\nu \ell'\ell'$ m HVT $Z' \rightarrow WW$ model B LRSM $W_R \rightarrow \mu N_R$ | $\begin{array}{c} 2 \ e, \mu \\ 2 \ \tau \\ - \\ 0 \ e, \mu \\ 1 \ e, \mu \\ 1 \ \tau \\ - \\ 0 - 2 \ e, \mu \\ 1 \ del \ C \ 3 \ e, \mu \\ 1 \ e, \mu \\ 2 \ \mu \end{array}$ | | 139 36.1 36.1 139 139 139 139 139 139 139 80 | Z' mass Z' mass Z' mass Z' mass W' mass W' mass W' mass W' mass W' mass Z' mass W _R mass | 340 GeV | 5.1 TeV 2.42 TeV 2.1 TeV 4.1 TeV 6.0 TeV 5.0 TeV 4.4 TeV 4.3 TeV 3.9 TeV 5.0 TeV | $\Gamma/m = 1.2\%$ $g_V = 3$ $g_V c_H = 1, g_f = 0$ $g_V = 3$ $m(N_R) = 0.5 \text{ TeV}, g_L = g_R$ | 1903.06248 1709.07242 1805.09299 2005.05138 1906.05609 ATLAS-CONF-2021-025 ATLAS-CONF-2021-043 2004.14636 2207.03925 2004.14636 1904.12679 |
| | $\begin{array}{c} CI qqqq\\ CI \ell \ell qq\\ CI eebs\\ CI \mu \mu bs\\ CI tttt \end{array}$ | _ 2 e, μ 2 e 2 μ ≥1 e,μ | 2j – – – 1b – 1b – ≥1b,≥1j Yes | 37.0 139 139 139 36.1 | Λ Λ Λ Λ Λ | | 1.8 TeV 2.0 TeV 2.57 TeV | 21.8 TeV η_{LL}^- 35.8 TeV η_{LL}^- $g_* = 1$ $ C_{4t} = 4\pi$ | 1703.09127 2006.12946 2105.13847 2105.13847 1811.02305 |
| | Axial-vector med. (Dirac DM Pseudo-scalar med. (Dirac D Vector med. Z'-2HDM (Dirac Pseudo-scalar med. 2HDM+ |) – DM) 0 e, μ, τ, γ c DM) 0 e, μ -a multi-channel | 2 j – 1 – 4 j Yes 2 b Yes | 139 139 139 139 | m _{med} m _{med} m _Z , m _a | 376 GeV 800 GeV | 3.8 TeV 3.0 TeV | $g_q=0.25, g_{\chi}=1, m(\chi)=10 \text{ TeV}$ $g_q=1, g_{\chi}=1, m(\chi)=1 \text{ GeV}$ $\tan \beta=1, g_{\chi}=0.8, m(\chi)=100 \text{ GeV}$ $\tan \beta=1, g_{\chi}=1, m(\chi)=10 \text{ GeV}$ | ATL-PHYS-PUB-2022-036 2102.10874 2108.13391 ATLAS-CONF-2021-036 |
| from new angles | Scalar LQ 1 st gen Scalar LQ 2 nd gen Scalar LQ 3 rd gen Scalar LQ 3 rd gen Scalar LQ 3 rd gen Scalar LQ 3 rd gen Vector LQ mix gen Vector LQ 3 rd gen | 2 e 2 μ 1 τ 0 e, μ \geq 2 e, μ , \geq 1 τ 0 e, μ , \geq 1 τ multi-channel 2 e, μ , τ | $ \begin{array}{c} \geq 2 \ j & \text{Yes} \\ \geq 2 \ j & \text{Yes} \\ 2 \ b & \text{Yes} \\ \geq 2 \ j, \geq 2 \ b & \text{Yes} \\ \geq 1 \ j, \geq 1 \ b & - \\ 0 - 2 \ j, 2 \ b & \text{Yes} \\ \geq 1 \ j, \geq 1 \ b & \text{Yes} \\ \geq 1 \ j, \geq 1 \ b & \text{Yes} \\ \geq 1 \ b & \text{Yes} \end{array} $ | 139 139 139 139 139 139 139 139 | LQ mass LQ mass LQ mass LQ mass LQ mass LQ mass LQ mass LQ mass LQ mass | 1 1.24 1. 1.26 | 1.8 TeV 1.7 TeV .49 TeV TeV 43 TeV 2.0 TeV 1.96 TeV | $\begin{split} \beta &= 1\\ \beta &= 1\\ \mathcal{B}(\mathrm{LQ}_3^u \to b\tau) &= 1\\ \mathcal{B}(\mathrm{LQ}_3^u \to t\nu) &= 1\\ \mathcal{B}(\mathrm{LQ}_3^d \to t\tau) &= 1\\ \mathcal{B}(\mathrm{LQ}_3^d \to b\nu) &= 1\\ \mathcal{B}(\tilde{U}_1 \to t\mu) &= 1, \text{ Y-M coupl.}\\ \mathcal{B}(\mathrm{LQ}_3^V \to b\tau) &= 1, \text{ Y-M coupl.} \end{split}$ | 2006.05872 2006.05872 2303.01294 2004.14060 2101.11582 2101.12527 ATLAS-CONF-2022-052 2303.01294 |
| e.g. scan for new phenomena (heavy | VLQ $TT \rightarrow Zt + X$ VLQ $BB \rightarrow Wt/Zb + X$ VLQ $T_{5/3}T_{5/3} T_{5/3} \rightarrow Wt +$ VLQ $T \rightarrow Ht/Zt$ VLQ $Y \rightarrow Wb$ VLQ $B \rightarrow Hb$ VLQ $T' \rightarrow Z\tau/H\tau$ | $\begin{array}{rl} 2e/2\mu/\geq 3e,\mu\\ \text{multi-channel}\\ -X & 2(SS)/\geq 3e,\mu\\ & 1e,\mu\\ & 1e,\mu\\ & 0e,\mu & \geq\\ \text{multi-channel} \end{array}$ | $ \geq 1 \text{ b}, \geq 1 \text{ j} - \\ \geq 1 \text{ b}, \geq 1 \text{ j} \text{ Yes} \\ \geq 1 \text{ b}, \geq 3 \text{ j} \text{ Yes} \\ \geq 1 \text{ b}, \geq 1 \text{ j} \text{ Yes} \\ \geq 1 \text{ b}, \geq 1 \text{ j} \text{ Yes} \\ 2 \text{ b}, \geq 1 \text{ j}, \geq 1 \text{ J} - \\ \geq 1 \text{ j} \text{ Yes} \\ \end{cases} $ | 139 36.1 36.1 139 36.1 139 139 | T mass B mass T _{5/3} mass T mass Y mass B mass τ' mass | 1. 1.3 898 GeV | 46 TeV 4 TeV 1.64 TeV 1.8 TeV 1.85 TeV 2.0 TeV | SU(2) doublet SU(2) doublet $\mathcal{B}(T_{5/3} \rightarrow Wt) = 1, c(T_{5/3}Wt) = 1$ SU(2) singlet, $\kappa_T = 0.5$ $\mathcal{B}(Y \rightarrow Wb) = 1, c_R(Wb) = 1$ SU(2) doublet, $\kappa_B = 0.3$ SU(2) doublet | 2210.15413 1808.02343 1807.11883 ATLAS-CONF-2021-040 1812.07343 ATLAS-CONF-2021-018 2303.05441 |
| new physics) within various models, | Excited quark $q^* \rightarrow qg$ Excited quark $q^* \rightarrow q\gamma$ Excited quark $b^* \rightarrow bg$ Excited lepton τ^* | - 1 γ - 2 τ | 2j – 1j – 1b,1j – ≥2j – | 139 36.7 139 139 | q* mass q* mass b* mass τ* mass | | 6.7 TeV 5.3 TeV 3.2 TeV 4.6 TeV | only u^* and $d^*, \Lambda = m(q^*)$ only u^* and $d^*, \Lambda = m(q^*)$ $\Lambda = 4.6$ TeV | 1910.08447 1709.10440 1910.08447 2303.09444 |
| with exclusion limits on NP scale | Type III Seesaw LRSM Majorana v Higgs triplet $H^{\pm\pm} \rightarrow W^{\pm}W^{\pm}$ Higgs triplet $H^{\pm\pm} \rightarrow \ell \ell$ Multi-charged particles Magnetic monopoles | 2,3,4 <i>e</i> , µ 2 µ 2,3,4 <i>e</i> , µ (SS) 2,3,4 <i>e</i> , µ (SS) - - - √s = 13 TeV partial data | ≥2j Yes 2j – various Yes – – – – $\sqrt{s} = 13 \text{ TeV}$ full data | 139 36.1 139 139 139 34.4 | $ \begin{array}{c} N^0 \text{ mass} \\ N_R \text{ mass} \\ H^{\pm\pm} \text{ mass} \\ H^{\pm\pm} \text{ mass} \\ multi-charged particle mass} \\ monopole mass \\ 1 1 1 1 \\ 10^{-1} \end{array} $ | 910 GeV 350 GeV 1.08 Te ss | 3.2 TeV 2.37 TeV 3.2 T | $m(W_R) = 4.1 \text{ TeV}, g_L = g_R$ DY production DY production DY production, $ q = 5e$ DY production, $ g = 1g_D$, spin 1/2 | 2202.02039 1809.11105 2101.11961 2211.07505 ATLAS-CONF-2022-034 1905.10130 |

Source: ATLAS physics results (link)

*Only a selection of the available mass limits on new states or phenomena is shown. *†Small-radius (large-radius) jets are denoted by the letter j (J).*

 $\int \mathcal{L} dt = (3.6 - 139) \text{ fb}^{-1}$

ATLAS Preliminary $\sqrt{s} = 13 \text{ TeV}$

The rich physics programme of the LHC



e.g. precise measurements of the top and Higgs mass has direct implications on the stability of the vacuum of our universe

Figures from 1205.6497 & 2104.06821

Higgs mass M_h in GeV



What makes the Higgs boson special?

- as large as the theory cutoff (Planck scale M_{Planck} ?)
- e.g. analogy with the pion (scalar, lightest hadron): π mass is determined by the hadronic scale of the theory Λ (~ 300 MeV). Why is the Higgs boson mass so much smaller than M_{Planck} ?





• The Higgs boson plays a central role in this programme. Only fundamental (?) scalar observed so far

- Mass of scalar particles not protected by symmetry arguments (e.g. like for gauge bosons), and can be



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The Higgs boson's possible connection to (some) big open questions

Cosmological constant: The Higgs potential would lead to a large cosmological constant. Why is this much smaller in nature?

> EW baryogenesis: Deviations from the SM in the Higgs potential could unveil whether the EWSB was a first order phase transition.

Naturalness: Is the Higgs boson a

(composite) condensate? Are there heavy

top partners that could stabilise the Higgs

mass (e.g. SUSY)?





Is it the SM Higgs boson? e.g. interaction with SM particles

- first exploration of some of 2nd generation Yukawa interactions ongoing



Figure from The Higgs boson turns 10 2207.00478

Interaction with EW bosons & 3rd generation fermions (Yukawa interactions) established to be SM like







Is it the SM Higgs boson? e.g. the potential



Future colliders necessary for <u>stringent</u> constraints & direct measurement. Present LHC data shows $\lambda_3 \lesssim 6 \times SM$

Goal of these lectures

• The goal of these lectures is to explore the main concepts used in the theoretical description of collider events. We will take a learn-by-doing approach, using the Higgs boson as a concrete example

e.g. A few snapshots of the Higgs observation papers:

Samples of MC events used to represent signal and background are fully simulated using GEANT4 [103]. The simulations include pileup interactions matching the distribution of the number of such interactions observed in data. The description of the Higgs boson signal is obtained from MC simulation using, for most of the decay modes and production processes, the next-to-leadingorder (NLO) matrix-element generator роwнес [104,105], interfaced with PYTHIA 6.4 [106]. For the dominant gluon-gluon fusion process, the transverse momentum spectrum of the Higgs boson in the 7 TeV MC samples is reweighted to the next-tonext-to-leading-logarithmic (NNLL) + NLO distribution computed with Hqt [71,72,107] and FeHiPro [108,109], except in the $H \rightarrow ZZ$ analysis, where the effect is marginal. The agreement of the $p_{\rm T}$ spectrum in the simulation at 8 TeV with the NNLL + NLO distribution makes reweighting unnecessary. The improved agreement is due to a modification in the POWHEG setup recommended in Ref. [102]. The simulation of associated-production signal samples uses PYTHIA and all signal samples for $H \rightarrow bb$ are made using POWHEG interfaced to HERWIG++ [110]. Samples used for background studies are generated with PYTHIA, POWHEG, and MAD-GRAPH [111], and the normalisations are obtained from the best available NNLO or NLO calculations. The uncertainty in the signal cross section related to the choice of parton distribution functions is determined with the PDF4LHC prescription [96–100].

2

?

2

An exclusive category of events containing two jets improves the sensitivity to VBF. The other nine categories are defined by the presence or not of converted photons, η of the selected photons, and p_{Tt} , the component³ of the diphoton $p_{\rm T}$ that is orthogonal to the axis defined by the difference between the two photon momenta [99,100]. Jets are reconstructed [101] using the anti-k_t algorithm [102] with radius parameter R = 0.4. At least two jets with $|\eta| < 4.5$ and $p_{\rm T} > 25$ GeV are required in the 2-jet selection. In the analysis of the 8 TeV data, the *p*_T threshold is raised to 30 GeV for jets with $2.5 < |\eta| < 4.5$.

?

The event generators used to model signal and background processes in samples of Monte Carlo (MC) simulated events are listed in Table 1. The normalisations of the generated samples are obtained from the state of the art calculations described above. Several different programs are used to generate the hard-scattering processes. To generate parton showers and their hadronisation, and to simulate the underlying event [66–68], PYTHIA6 [69] (for 7 TeV samples and 8 TeV samples produced with MadGraph [70,71] or AcerMC) or PYTHIA8 [72] (for other 8 TeV samples) are used. Alternatively, HERWIG [73] or SHERPA [74] are used to generate and hadronise parton showers, with the HERWIG underlying event simulation performed using JIMMY [75].





How to study the Higgs boson at the LHC

Exploring the Higgs sector at the LHC demands an accurate control over hadronic events

- Precise prediction for Higgs production and decay modes, as well as for background processes e.g. total prodⁿ cross section and branching ratios:





LHC events are shaped by strong interactions (QCD)

General principles: SU(3)_{colour} gauge invariance, Poincaré invariance (also causality, unitarity)

 ψ_{3}

Quarks (fermions): Fundamental 3 (quark), 3 (anti-quark) representation of SU(3)_{colour} (3 colour configurations)

> QCD lecture 1 (p. 5) What is QCD

Quarks — 3 colours:
$$\psi_a = \begin{pmatrix} \psi_1 \\ \psi_2 \\ \psi_3 \end{pmatrix}$$

 A^1_μ

6 types (3 families):

Quark part of Lagrangian:

3rd 1^{st} 2^{nd} SU(3) local gauge symmetry $\leftrightarrow 8 \ (= 3^2 - 1)$ generators $t_{ab}^1 \dots t_{ab}^8$ $\underbrace{\mathcal{U}}_{\checkmark}$ \mathcal{C} corresponding to 8 gluens $\mathcal{A}^1_{\mu} \dots \mathcal{A}^8_{\mu}$. $m_c \simeq 1.3 \,\text{GeV}$ Anneprepresentiation is: $t^{\hat{A}} = \frac{1}{2} \lambda^A$, $m_{u} \simeq 0$ $\underbrace{\underbrace{S}}_{m_{s}\simeq 0} \qquad \lambda^{1} = \begin{pmatrix} b_{-1}^{0} & 1 & 0 \\ -1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \ \lambda^{2} = \underbrace{\frac{1}{2}}_{3} \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \ \lambda^{3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \ \lambda^{4} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \ q\bar{q} \text{ pairs})$ \underbrace{d} $m_d \simeq 0$

Gluons (bosons): Adjoint representation of $SU(3)_{colour}: 3 \oplus 3 = 8 \oplus 1$ (8 colour configurations)







Feynman rules adapted from Introduction to QCD and loop calculations G.Heinrich (TUM) Unobserved, CP violating term, strong experimental

 $(D^{\mu})_{ab} = \delta_{ab}\partial^{\mu} + ig_s t^c_{ab}A^{c,\mu} \qquad F^c_{\mu\nu} = \partial_{\mu}A^c_{\nu} - \partial_{\nu}A^c_{\mu} - g_s f^{abc}A^b_{\mu}A^c_{\nu}$











Feynman rules adapted from
Introduction to QCD and loop calculations G.Heinrich
served, CP violating term, strong experimental
ounds on
$$\theta$$
 (neutron electric dipole moment)
$$= -\frac{1}{4}F_{\mu\nu}^{a}F^{a,\mu\nu} + \sum_{q} \bar{\psi}_{q}(i\gamma_{\mu}D^{\mu} - m_{q})\psi_{q} + \theta \frac{g_{s}^{2}}{64\pi^{2}}\epsilon^{\mu\nu\rho\sigma}F_{\mu\nu}^{a}$$

 $(D^{\mu})_{ab} = \delta_{ab}\partial^{\mu} + ig_s t^c_{ab}A^{c,\mu} \qquad F^c_{\mu\nu} = \partial_{\mu}A^c_{\nu} - \partial_{\nu}A^c_{\mu} - g_s f^{abc}A^b_{\mu}A^c_{\nu}$

Basic colour algebra we'll use later

The <u>emission</u> of a gluon of colour *c* from a parton $i \in \{q, g\}$ is associated with a colour charge operator \mathbf{T}_i

$$(\mathbf{T}_{i})_{ab}^{c} \equiv if_{acb}, \quad i = g; \quad (\mathbf{T}_{i})_{ab}^{c} \equiv t_{ab}^{c}, \quad i = q$$

$$t^{a}t^{b}) = \underbrace{\mathbf{T}_{R}}_{=1/2} \delta^{ab}, \quad \sum_{c,b} t^{c}_{ab}t^{c}_{bd} = \underbrace{\mathbf{C}_{F}}_{=4/3} \delta_{ad}, \quad \sum_{b,c} f_{abc}f_{dbc} = \underbrace{\mathbf{C}_{F}}_{=4/3} \delta_{ad}, \quad t^{c}_{ab}t^{c}_{ab} = \underbrace{\mathbf{C}_{F}}_{ab} \delta_{ad}, \quad t^{c}_{ab} = \underbrace{\mathbf{C}_{F}}_{=4/3} \delta_{ad}, \quad t^{c}_{ab} = \underbrace{\mathbf{C}_{F}}_{ab} \delta_{ad}, \quad t^{c}_{ab} = \underbrace{\mathbf{C}_{F}}_{ab} \delta_{ad}, \quad t^{c}_{ab} = \underbrace{\mathbf{C}_{F}}_{ab} \delta_{ad}, \quad t^{c}_{ab} = \underbrace{\mathbf{C}_{F}}_$$

Representation of SU(3) generators in terms of the Gell-Mann matrices (cf. Appendix)



QCD for high-energy scattering

• Functional of the QCD fields (e.g.
$$G = F_{\mu\nu}F^{\mu\nu}\bar{\psi}$$

 $\langle 0 \mid TG[A, \psi, \bar{\psi}] \mid 0 \rangle = \mathcal{N} \int \mathscr{D}A \mathscr{D}\psi \mathscr{D}\bar{\psi}e^{i\int d}$
• Time ordering
• Vacuum

- Contains full information about the theory, but extremely hard to solve exactly. An exception is given by lattice methods, although describing a scattering process is unfeasible at present (Minkowskian problem, enormous lattice size required)
- In practice we resort to perturbative methods, i.e. solve integral for the free theory (simple!) and then account for interacting Lagrangian as perturbations around the free-theory solution

Any observable (e.g. Green functions, S matrix from LSZ) can be obtained from the functional integral

NB: including gauge fixing and Faddeev-Popov Lagrangians necessary to keep formulation well defined



Great success of perturbation theory at the LHC

Standard Model Production Cross Section Measurements



Status: June 2024



A realistic LHC event: e.g. dilepton (Drell-Yan) production

CMS Experiment at LHC, CERN Data recorded: Sat Aug 22 04:13:48 2015 CEST Run/Event: 254833 / 1268846022 Lumi section: 846



Complexity of hadronic scattering

Each event is the result of multiple pp collisions per bunch crossing (pile up), ! and each pp collision involves several simultaneous scatterings (MPI)

Electron 0, pt = 1256.20 eta = -0.239phi = -2.741





A simplified structure of a LHC event





A simplified structure of a LHC event



A "spherical-cow" approximation (which however captures most of the physics!)





A simplified structure of a LHC event



A "spherical-cow" approximation (which however captures most of the physics!)



 Hard scattering (2 hardest partons): large momentum transfer, where new physics may be hiding

 Multi-scale evol.ⁿ: copious emission of (mainly) strongly interacting particles. System evolves towards lower energies

- Connects observation/measurement to hard event

 QCD phase transition (non-perturbative): partons are combined into the colour-singlet hadrons eventually observed in the detector





Asymptotic freedom ⊕ factorisation → perturbative QCD

- Key observation 1: separation (factorisation) of dynamics taking place at different time scales[‡]

- The "hard" scattering happens on shorter time scales
$$(\tau \sim 10^{-2} \text{ GeV}^{-1})$$
 than the interactions within the proton or among the final-state hadrons $(\tau \sim 1 \text{ GeV}^{-1})$

$$Dynamics at long time scales = \sum_{ij} \int_{0}^{1} dx_1 dx_2 f_i(x_1, \mu_F) f_j(x_2, \mu_F) + \mathcal{O}\left(\frac{\Lambda^p}{m_X^p}\right)$$

$$\times d\hat{\sigma}_{ij \to X}(x_1 x_2 s, \mu_R, \mu_F) + \mathcal{O}\left(\frac{\Lambda^p}{m_X^p}\right)$$
Dynamics at short time scales

‡ Actually proven to all order only for very simple quantities, e.g. Drell-Yan total cross section



Asymptotic freedom ⊕ factorisation → perturbative QCD

- Allows for a perturbative approximation of the primary scattering as a power series in α_{s} (truncated when the desired precision is reached)

$$d\sigma_{pp\to X} = \sum_{ij} \int_0^1 dx_1 \, dx_2 \, f_i(x_1, \mu_F) f_j(x_2, \mu_F)$$

$$\times d\hat{\sigma}_{ij\to X}(x_1x_2s,\mu_R)$$

$$\sum \alpha_s^{n+n_B}(\mu_R) \alpha$$

n

• Key observation 2: strong interactions become weakly coupled at high energies (asymptotic freedom)

 $(R_R, \mu_F) + \mathcal{O}\left(\frac{\Lambda^p}{m_X^p}\right)$

n (n)



Asymptotic freedom ⊕ factorisation → perturbative QCD



• Key observation 2: strong interactions become weakly coupled at high energies (asymptotic freedom)

The perturbative-QCDer's workflow

Feynman rules



Scattering amplitudes











Cross sections

$$d\hat{\sigma}_{2 \to n} = \frac{1}{F} \int \langle |\mathcal{A}|^2 \rangle d\Phi_n \mathcal{O}(\Phi_n)$$

$$d\sigma_{2 \to n} = \int f_1(x_1) f_2(x_2) d\hat{\sigma} dx_1 dx_2$$

Event rates

$$N_{\text{events}} = \mathscr{L} \times \sigma$$



Ingredients of the master formula: parton distribution functions

$$d\sigma_{pp\to X} = \sum_{ij} \int_0^1 dx_1 \, dx_2 \, f_i(x_1, \mu_F) f_j(x_1, \mu_F) dx_1 \, dx_2 \, dx_1 \, dx_2 \, dx_2 \, dx_1 \, dx$$





• PDF $f_i(x, \mu)$ encodes the distribution of partons of flavour i and longitudinal momentum x within the proton probed at a scale μ . Composition of the proton evolves with the scale μ (QCD improved parton model).

- Heuristic interpretation in factorisation theorem: resolve partons in the proton at resolution scale μ_F

 $(x_2, \mu_F) \times d\hat{\sigma}_{ij \to X}(x_1 x_2 s, \mu_R, \mu_F) + \mathcal{O}\left(\frac{\Lambda^p}{m_X^p}\right)$

In collinear factorisation partons fly in exactly the same direction as the proton, and share its longitudinal momentum. Transverse d.o.f.s are neglected as part of Λ^p/m_X^p corrections (higher twist).







DGLAP evolution equation

• Key property: although PDFs are intrinsically non-perturbative objects, their evolution with the scale at which the proton is resolved is perturbative! The evolution is governed by the DGLAP equation

The scale evolution of a flavour

with that of other flavours
nomalous dimension
$$\hat{P}_{ij}$$

$$\frac{d}{d \ln \mu^2} f_i(x,\mu) = \frac{\alpha_s(\mu)}{2\pi} \int_x^1 \frac{dz}{z} \hat{P}_{ij}(z,\alpha_s(\mu)) f_j\left(\frac{x}{z},\mu\right) \equiv \frac{\alpha_s(\mu)}{2\pi} \hat{P}_{ij}(x,\alpha_s(\mu)) \otimes f_j\left(x,\mu\right)$$

$$\frac{P_{ij}(z)}{f_j(x/z,\mu)} = \frac{P_{ij}(z)}{f_j(x/z,\mu)} = \frac{P_{$$

interplays with that of other flavours
via the anomalous dimension
$$\hat{P}_{ij}$$

$$\frac{d}{d \ln \mu^2} f_i(x,\mu) = \frac{\alpha_s(\mu)}{2\pi} \int_x^1 \frac{dz}{z} \hat{P}_{ij}(z,\alpha_s(\mu)) f_j\left(\frac{x}{z},\mu\right) \equiv \frac{\alpha_s(\mu)}{2\pi} \hat{P}_{ij}(x,\alpha_s(\mu)) \otimes f_j(x,\mu)$$

$$\hat{P}_{ij}(z) = \frac{\alpha_s(\mu)}{f_j(x/z,\mu)} \int_x^1 \frac{dz}{z} \hat{P}_{ij}(z,\alpha_s(\mu)) f_j\left(\frac{x}{z},\mu\right) \equiv \frac{\alpha_s(\mu)}{2\pi} \hat{P}_{ij}(x,\alpha_s(\mu)) \otimes f_j(x,\mu)$$

$$\hat{P}_{ij}(z) = \frac{P_{ij}(z)}{f_j(x/z,\mu)} \int_x^1 \frac{dz}{z} \hat{P}_{ij}(z,\alpha_s(\mu)) f_j\left(\frac{x}{z},\mu\right) \equiv \frac{\alpha_s(\mu)}{2\pi} \hat{P}_{ij}(x,\alpha_s(\mu)) \otimes f_j(x,\mu)$$

$$\hat{P}_{ij}(z) = C_F\left(\frac{1+z^2}{(1-z)_+} + \frac{3}{2}\delta(1-z)\right), \quad b_0 = \frac{11C_A - 4T_R n_f}{6}$$

$$\hat{P}_{ig}^{(0)}(z) = 2C_A\left(\frac{z}{(1-z)_+} + \frac{1-z}{z} + z(1-z)\right) + \delta(1-z)b_0$$

$$\hat{P}_{ig}^{(0)}(z) = 2C_A\left(\frac{z}{(1-z)_+} + \frac{1-z}{z} + z(1-z)\right) + \delta(1-z)b_0$$

$$\hat{P}_{ig}^{(0)}(z) = T_R(z^2 + (1-z)^2), \quad \hat{P}_{ig}^{(0)}(z) = C_F\frac{1+(1-z)^2}{z}$$

$$\hat{P}_{ig}^{(0)}(z) = C_F\left(\frac{1+(1-z)^2}{z} + \frac{1-(1-z)^2}{z}\right)$$

$$\hat{P}_{ig}^{(0)}(z) = C_F\left(\frac{1+(1-z)^2}{z} + \frac{1-(1-z)^2}{z}\right)$$





DGLAP evolution equation

Mathematica code available at this URL



Composition of the proton

DGLAP evolution determines the composition of the proton at perturbative scales given a fit of the parton densities at small (~non-perturbative) scales.

The growth of the gluon PDFs has a substantial impact on LHC phenomenology (e.g. Higgs, $t\bar{t}$, jets,...).

Heavier flavours (e.g. c, b) are produced dynamically via gluon splitting. Ongoing debate as to whether there is an "intrinsic" component in the proton (e.g. intrinsic charm).

Momentum sum rule:

$$\int_0^1 dx \, x \left(\sum_{i \in q, \bar{q}} f_i(x, \mu) + f_g(x, \mu) \right) = 1$$

Gluons carry roughly 50% of the proton's momentum at $\mu = m_h$



Х

Current status of global PDF determinations

- Many determinations for LHC. Modern global fits reach few-% precision for $x \in [10^{-3}, 0.1]$, although estimate of PDF uncertainties is currently an open problem (fit/theory uncertainties)
- State of the art sets are extracted with NNLO (DGLAP and QCD predictions for $\hat{\sigma}$), and a lot of data. First steps towards N³LO sets are being taken

e.g. Comparison of PDFs (g & u) between different fitting methodologies (neural networks, hessian, ...) and parametric settings (m_c, α_s, \ldots)



Figures from The path to proton structures at 1% accuracy 2109.02653

u at 100 GeV 10-2 10^{-1} 10⁰ Х

Kinematic coverage



Ingredients of the master formula: the partonic cross section

• Encodes the actual perturbative part of the high-energy partonic scattering

$$d\sigma_{pp \to X} = \sum_{ij} \int_{0}^{1} dx_{1} dx_{2} f_{i}(x_{1}, \mu_{F}) f_{j}(x_{2}, \mu_{F}) \times \underbrace{d\hat{\sigma}_{ij \to X}(x_{1}x_{2}s, \mu_{R}, \mu_{F})}_{n} + \mathcal{O}\left(\frac{\Lambda^{p}}{m_{X}^{p}}\right)$$

$$\underbrace{\sum_{n} \alpha_{s}^{n+n_{B}}(\mu_{R}) d\hat{\sigma}_{ij \to X}^{(n)}}_{(IH \ \text{error} \ \sim \ 50\%)} (IH \ \text{error} \ \sim \ 50\%) (IH \ \ \ 50\%) (IH \ \text{error} \ \sim \ 50\%) (IH \ \text{error} \ \sim \ 50\%) (IH \ \text{error} \ \sim \ 50\%) (IH \ \ \ 50\%) (IH \ \ 50$$



The dependence on the unphysical scales (μ_R , μ_F) will always be of higher orders w.r.t. the perturbative accuracy reached (gives a handle to estimate the size of missing corrections)



Computing the partonic cross section





- m body phase space (for all m contributing to a given perturbative order) $d\hat{\sigma}_{ij \to X}^{(n)} = \frac{1}{F} \sum_{m} \int d\Phi_m \left\langle \left| \mathscr{A}_{2 \to m} \right|^2 \right\rangle \mathcal{O}(\Phi_m)$ • Observable's measurement - Squared amplitude (averaged over initial-state spin & colour) $\mathscr{A} = \epsilon_1^{\mu_1} \cdots \epsilon_n^{\mu_n} \, \bar{\nu}$ **Computation of scattering amplitudes at** higher loops: entails VERY large expressions (algebraic complexity) & spaces of special functions (analytic complexity)









The squared amplitude (e.g. NLO for $2 \rightarrow 2$ partonic process)

L0[‡] (only tree-level diagrams)



NLO[‡] 1) Add a virtual loop to the LO process and expand the squared norm $2\mathfrak{R}$ 2) Add a real emission to the LO process

‡ We use representative diagrams, the actual number of Feynman diagrams explodes with the perturbative order



Ingredients of the master formula: the strong coupling constant

- As for PDFs, the coupling "runs" with the energy scale (renormalisation group equation)

$$\alpha_{s}(\mu) = \frac{\alpha_{s}(\mu_{0})}{1 + \alpha_{s}(\mu_{0})\beta_{0}\ln\frac{\mu^{2}}{\mu_{0}^{2}}} = \frac{1}{\beta_{0}\ln\frac{\mu^{2}}{\Lambda^{2}}}$$

Coupling becomes small at large scales (asymptotic freedom) and has a logarithmic divergence at small scales (breakdown of pQCD due to confinement) parametrised by theory IR cutoff Λ

 $\pm \beta(\alpha_s)$ currently known to 5 loops!

• The size of α_{s} determines how many perturbative orders are needed to reach the desired precision





Precise determinations of the strong coupling constant

- As for PDFs, we can predict the evolution between scales but not the absolute value of the coupling, which must be extracted from data
- Several extractions from different experiments/observables/methods
- Ongoing debate about uncertainties in many fits (e.g. hadronization corrns)
- First-principle computation possible with lattice QCD (many observables), uncert. reliably at and below % level
- Optimistic perspective to reach higher accuracy (few permille) from future lattice extractions and future colliders (e.g. FCC-ee)

2023 World Average:

 $\alpha_{\rm s}(m_{\rm Z}) = 0.1180 \pm 0.0009 \,(0.76\%)$

Figures from QCD chapter of Particle Data Book 2312.14015





Ingredients of the master formula: power corrections

at present (analytic methods in simple cases, otherwise Monte Carlo models)

$$d\sigma_{pp\to X} = \sum_{ij} \int_0^1 dx_1 \, dx_2 \, f_i(x_1, \mu_F) f_j(x_2, \mu_F) \times d\hat{\sigma}_{ij\to X}(x_1 x_2 s, \mu_R, \mu_F) + \mathcal{O}\left(\frac{\Lambda^p}{m_X^p}\right)$$

- Value of parameter p is observable dependent & it is crucial for precision physics programme

e.g. if $m_X \sim 100$ GeV a very rough estimate suggests

$$\frac{\Lambda}{m_X} \sim \mathcal{O}(1\%), \left(\frac{\Lambda}{m_X}\right)^2 \sim \mathcal{O}(0.1\%)$$

• Encode physics at hadronic scale due to either hadronization or dynamics within the protons (e.g. intrinsic transverse momentum, multiple parton scatterings). No general first-principle approach to control them

Some examples: p=2 for the Drell-Yan (Higgs) total cross section and related inclusive q_T distribution (2); p=1 for most jet observables 🔁





Let's put all this into practice: The Higgs total cross section (ggF)



The leading order (LO) cross section (effectively a one loop calculation)

• After averaging over colour & spin states, the partonic XS reads $\hat{\sigma}_0 = A_{gg} \delta(1-z)$

$$A_{gg} = \frac{\alpha_s(\mu_R)^2}{\pi} \frac{1}{256v^2} \left| \sum_{q \in \text{loop}} \tau_q (1 + (1 - \tau_q)f(\tau_q)) \right|^2$$

Total cross section is simply given by

$$\sigma_0 = \int_0^1 dx_1 dx_2 f_g(x_2, \mu_F) f_g(x_1, \mu_F) m_h^2 A_{gg} \delta(\hat{s} - m_h^2) = m_h^2 A_{gg} \delta(\hat{s} - m_h^2)$$

$$\hat{s} = x_1 x_2 s$$
 \bullet



 $A_{gg} \mathscr{L}_{gg} \left(\frac{m_h^2}{s} \right) \qquad \qquad \mathscr{L}_{ij}(\tau) = \int_{\tau}^{1} \frac{dx}{x} f_i(x, \mu_F) f_j \left(\frac{\tau}{x}, \mu_F \right)$ Parton (gluon) luminosity

The LO cross section vs. experiment

- However, comparison to data reveals a large discrepancy. Possible explanations:
- It may be a sign of new physics!





- Is the theory prediction sufficiently accurate & reliable? What is the theory uncertainty of our calculation?



Appendix



The generators of the SU(3) (colour) algebra

$$\lambda^{1} = \begin{pmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \qquad \qquad \lambda^{2} = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$\lambda^{5} = \begin{pmatrix} 0 & 0 & -i \\ 0 & 0 & 0 \\ i & 0 & 0 \end{pmatrix} \qquad \qquad \lambda^{6} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{pmatrix}$$

• The non-zero structure constants can be obtained from the commutation relation

$$f^{123} = 1 \qquad \qquad f^{147} = -f^{156} = f^{246} = f^{257} = f^{345} = -f^{367} = \frac{1}{2} \qquad \qquad f^{458} = f^{678} = \frac{\sqrt{3}}{2}$$

• The (traceless and Hermitian) Gell-Mann matrices span the SU(3) Lie algebra $[t^a, t^b] = i f^{abc} t^c$, with $t_{ij}^a = \frac{\lambda_{ij}^a}{2}$

$$\lambda^{3} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix} \qquad \qquad \lambda^{4} = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix}$$

$$\lambda^{7} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix} \qquad \qquad \lambda^{8} = \frac{1}{\sqrt{3}} \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -2 \end{pmatrix}$$



The static quark-antiquark potential

Computation of the QCD potential can be carried out with Lattice techniques (Wilson loop)

