DAQ Introduction

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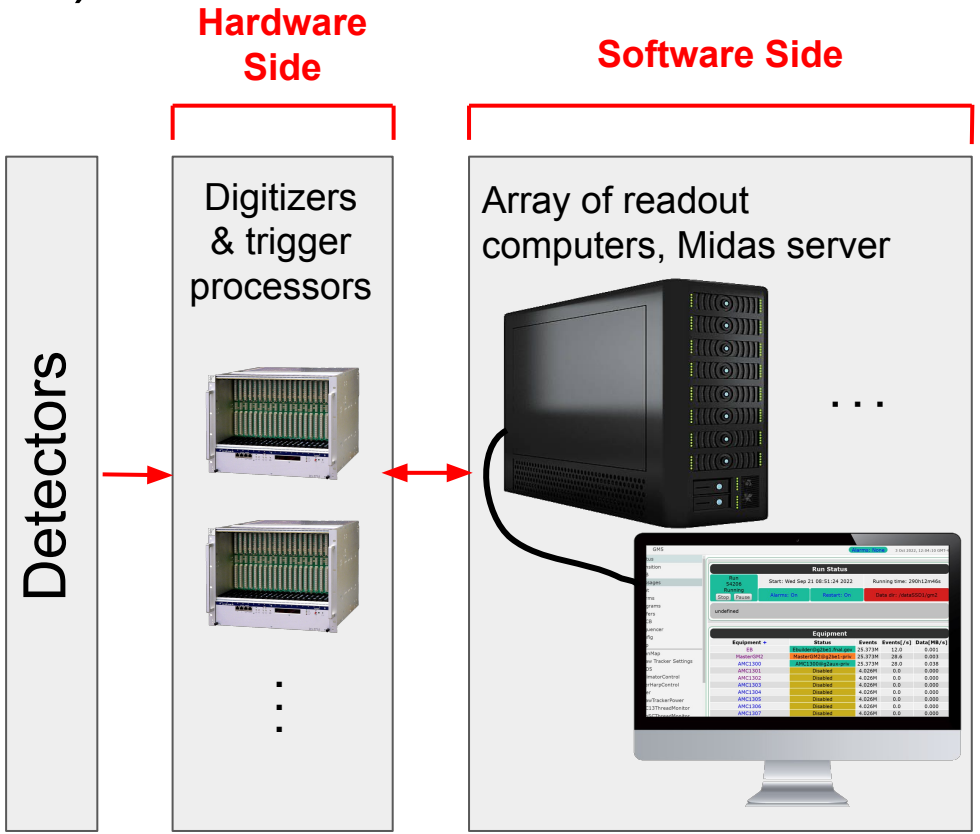
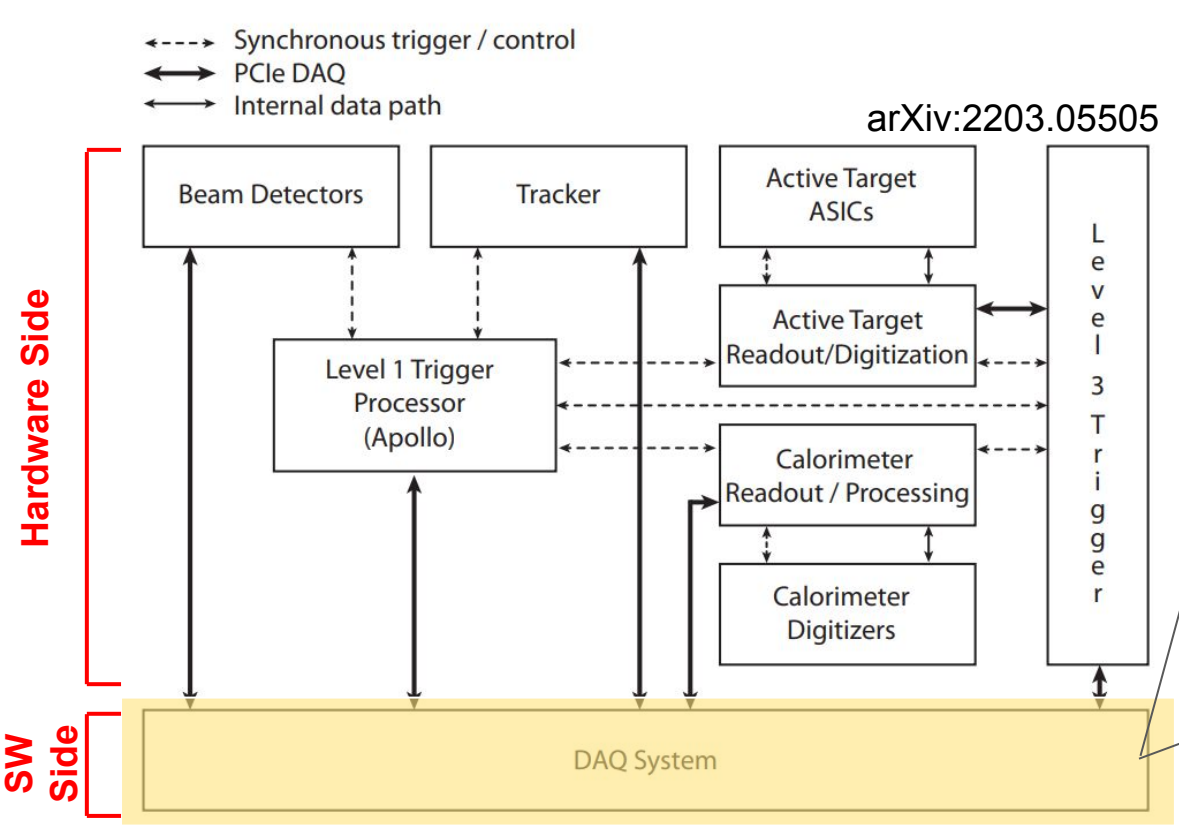
What is Data Acquisition (DAQ)?

- **“DAQ” refers to the system of electronics used to convert analog signals from an experiment and package them into digital “events”**
  - Usually “DAQ” refers to the “software side”, but sometimes refers to hardware as well
  - Hardware side also called “electronics”
- I like to differentiate between the software and hardware sides

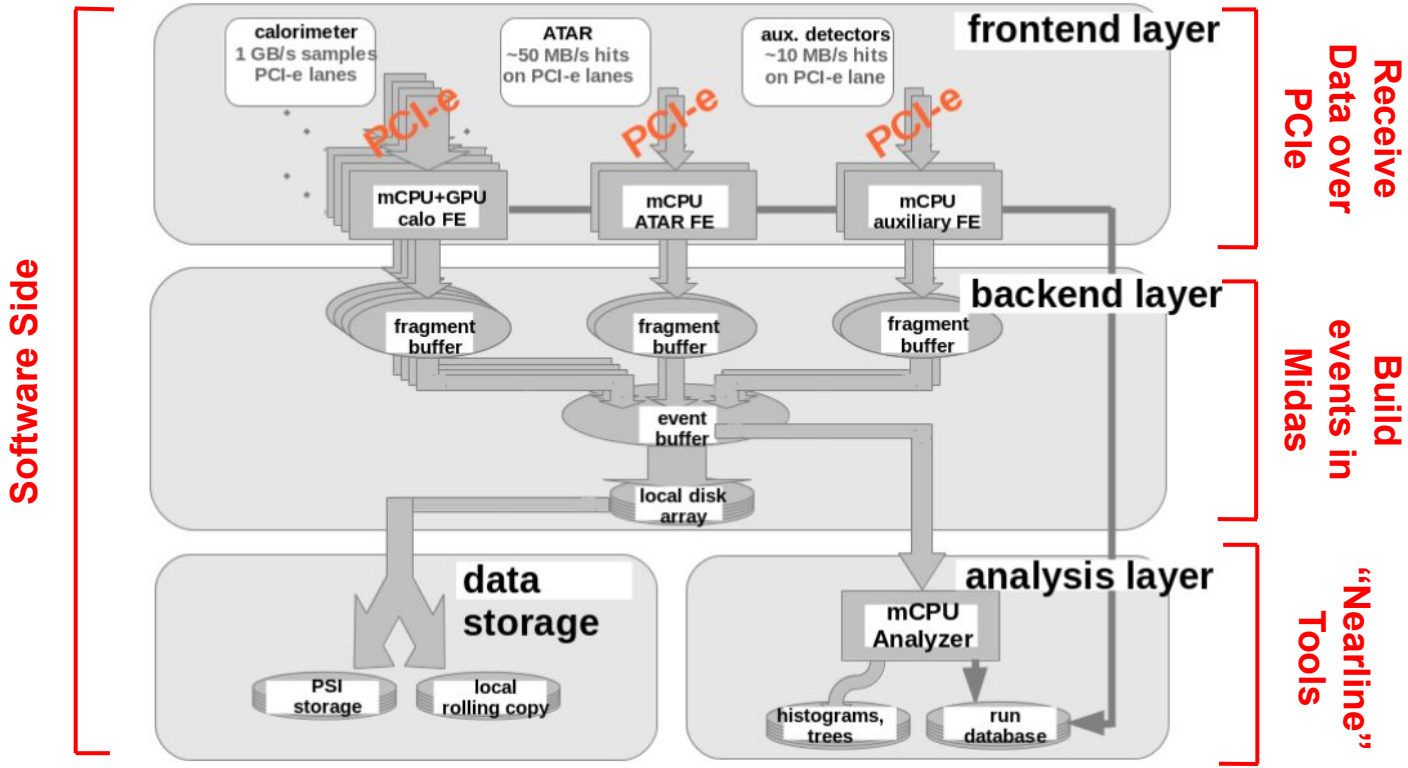


Proposed Data Acquisition (DAQ) Framework



Proposed Data Acquisition (DAQ) Framework

arXiv:2203.05505



Data Rates

arXiv:2203.01981

triggers	prescale	range	rate	CALO			ATAR digitizer			ATAR high thres	
		TR(ns)	(kHz)	ΔT(ns)	chan	MB/s	ΔT(ns)	chan	MB/s	chan	MB/s
PI	1000	-300,700	0.3	200	1000	120	30	66	2.4	20	0.012
CaloH	1	-300,700	0.1	200	1000	40	30	66	0.8	20	0.004
TRACK	50	-300,700	3.4	200	1000	1360	30	66	27	20	0.014
PROMPT	1	2,32	5	200	1000	2000	30	66	40	20	0.2

- PIONEER DAQ expects data rate of **~3.5GB/s**
- This is **~100,000 TB/year**
- How do we compress this in real time? (Not in this talk)
  - Fit data, store fit parameters
  - Compress and store residuals, throw some out
  - Graphics Processing Units (GPUs) used for this operation

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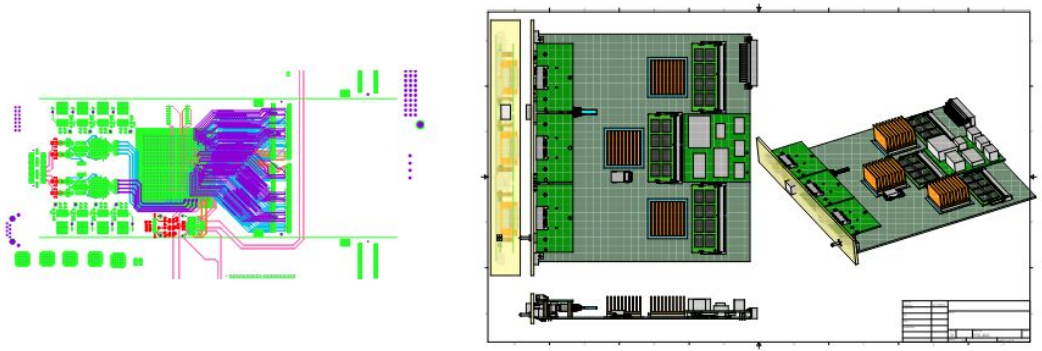
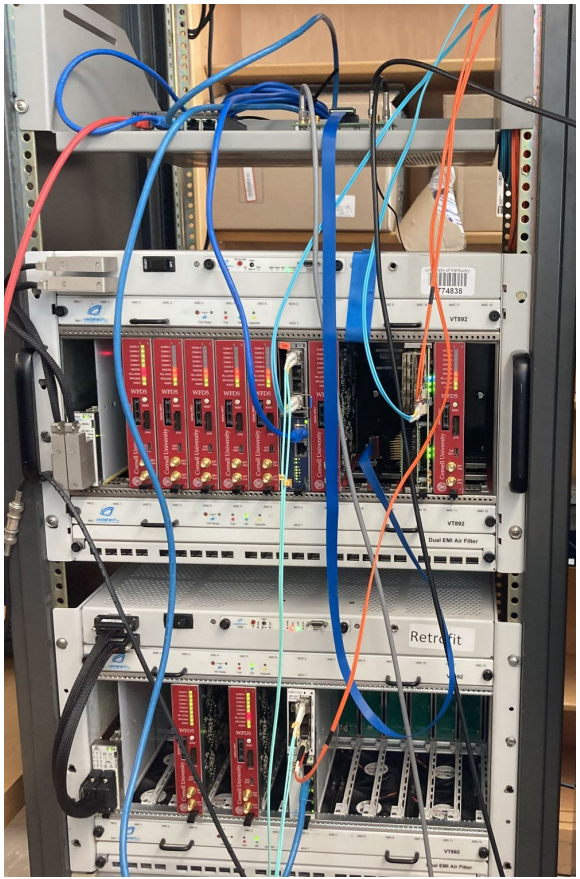
Our Two DAQs

- g-2 modified DAQ
  - Modified for various experiments across the collaboration (test beam, LXe testing, LYSO testing, …)
- PIONEER DAQ
  - In nascent development state
  - Design catered to PIONEER full experiment necessities



PIONEER ADC schematic drawings



UKY test stand MicroTCA crates

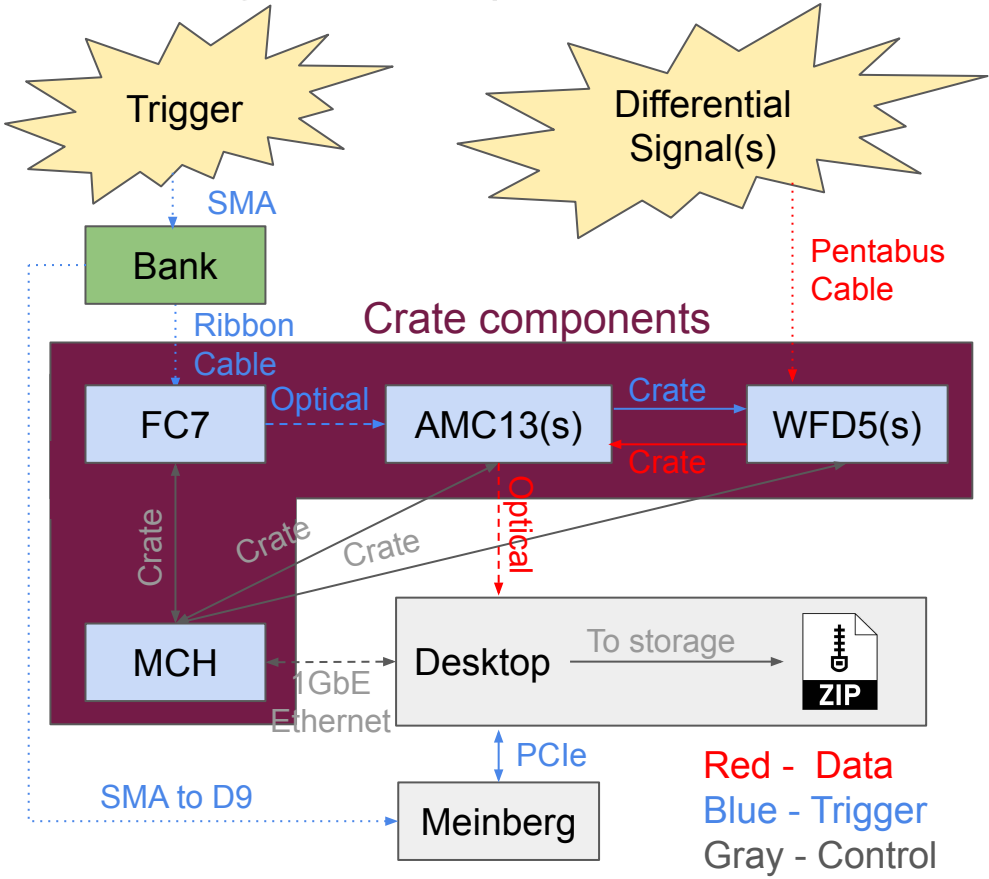
What is a Field Programmable Gate Array (FPGA)?

- Commonly used for real time data processing
- Programmable
  - Typically use a software tool called Vivado
  - Typically programmed using Verilog or VHDL
  - Use low-level software called “firmware”
- Allows for fast, flexible control of logic signals to board components
- Building block in almost all of our hardware (WFD5s, FC7s, AMC13s)

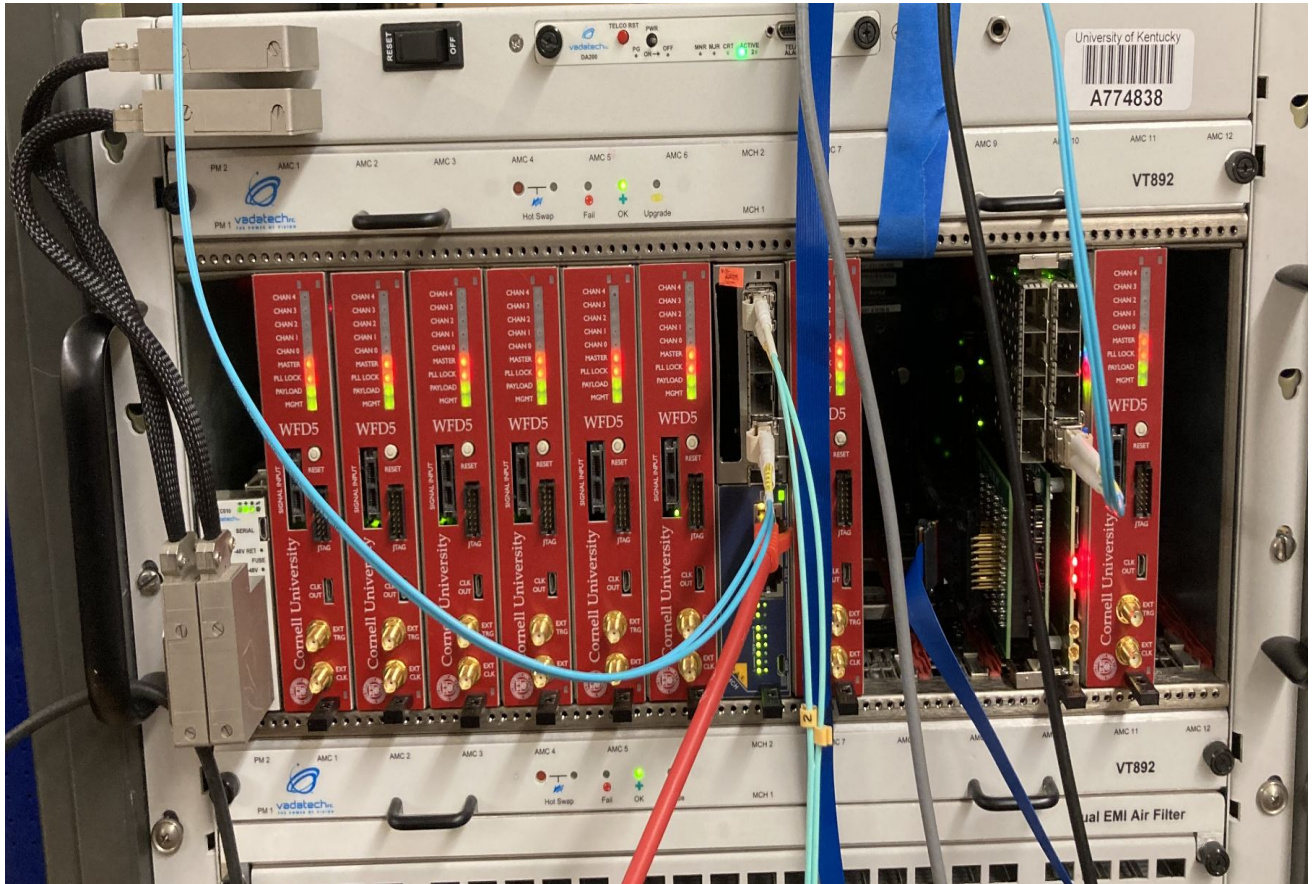
A Xilinx Development Board with a XC6LX45T FPGA (Spartan-6)

Teststand DAQ Hardware (Modified g-2 DAQ)

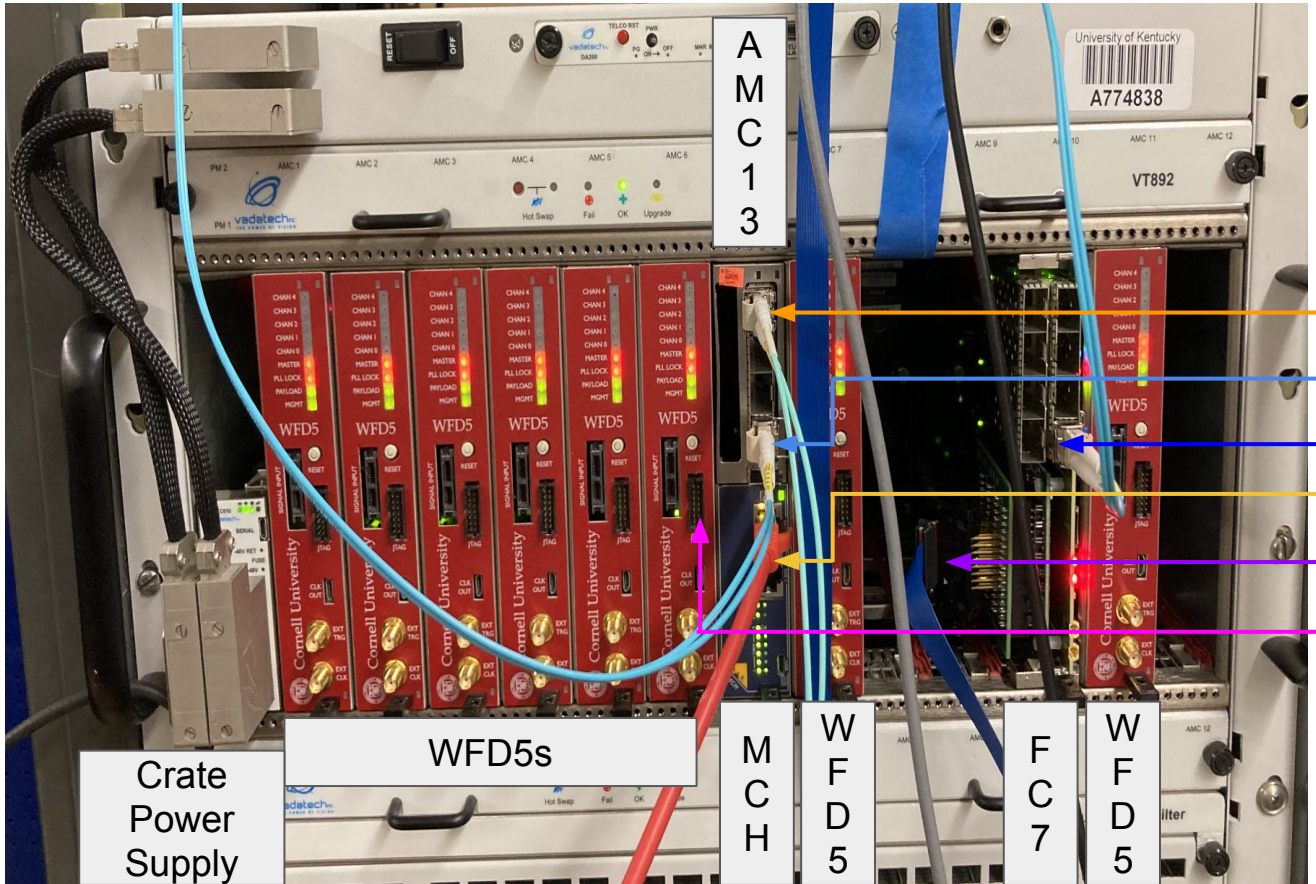
- Differential signal into WFD5 (Waveform Digitizer)
- Trigger signal into FC7 (Flexible Controller)
- AMC13 (Advanced Mezzanine Card) gathers data, sends over 10GbE (10 Gigabit Ethernet) to desktop
- MCH (MicroTCA Carrier Hub) facilitates Desktop↔Crate communication over 1GbE
- Desktop CPU handles event processing
- Meinberg gives trigger timestamp to computer



Teststand DAQ Hardware (Modified g-2 DAQ)



Teststand DAQ Hardware (Modified g-2 DAQ)



Note: AMC13 and MCH are half slot modules

10GbE out (data) AMC13→desktop

Trigger in AMC13

Trigger out FC7

1GbE MCH in/out (comm.)

FC7 Trigger in

WFD5 5-channel, differential signal in (no connection in this picture)

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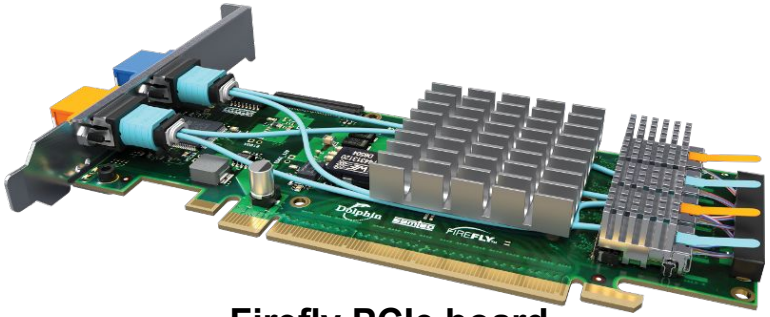
PIONEER DAQ Hardware (In a Nascent State)

- Using APOLLO system (no more µTCA crates)
- Data is moved using “Firefly” optical flyover system
  - 25 gb/s > 10gb/s links from g-2
- Data received by desktop through Firefly PCIe cards



Firefly PCIe board

Command Module (CU)

+

Service Module (BU)

=

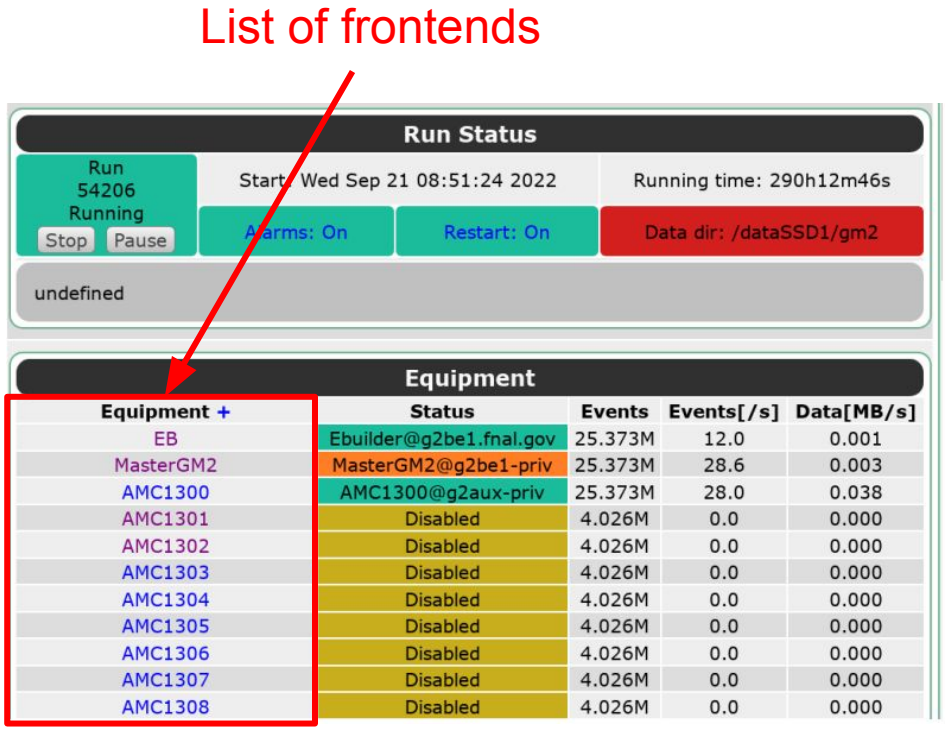
Midas Framework

- C/C++ (mostly) package of modules for
  - run control,
  - expt. configuration
  - data readout
  - event building
  - data storage
  - slow control
  - alarm systems
  - Etc.
- Can link with custom software

Example g-2 Midas Webpage

Midas Frontends

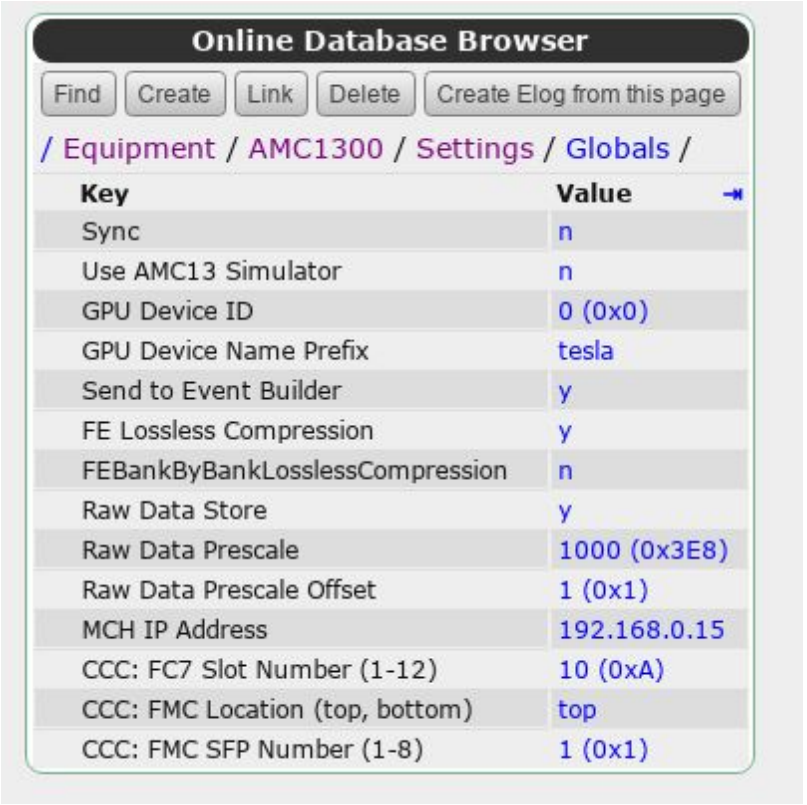
- C++ programs operating in the midas framework
- Typically handle receiving, processing, and packing data into midas events
- Simple example frontend



Example g-2 Midas Webpage

Online Database (ODB)

- GUI on midas webpage
  - Also available command line
- Allows for “on the fly” adjustments between runs
- Built in configurations:
  - Midas webpage
  - Logger write location
  - Webpage update rate
  - Etc.
- Custom configurations
  - Configure hardware
  - etc.

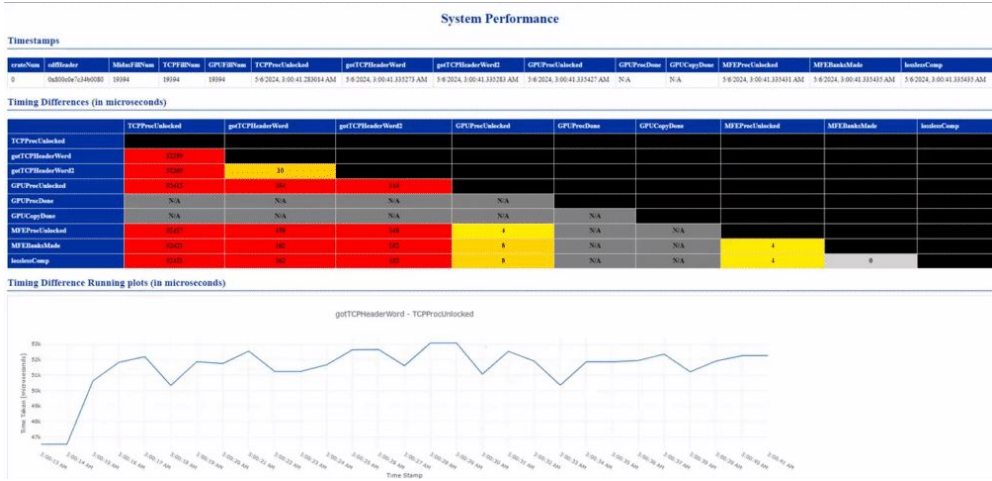


Example ODB Page on Midas Webpage

Custom Software

- Can write “clients” that connect to midas experiment
  - Python
  - C++

- Allows for user to write software to fit their needs, for example:
  - Data Quality Monitor
  - Offline analysis scripts
  - Automatic ODB management



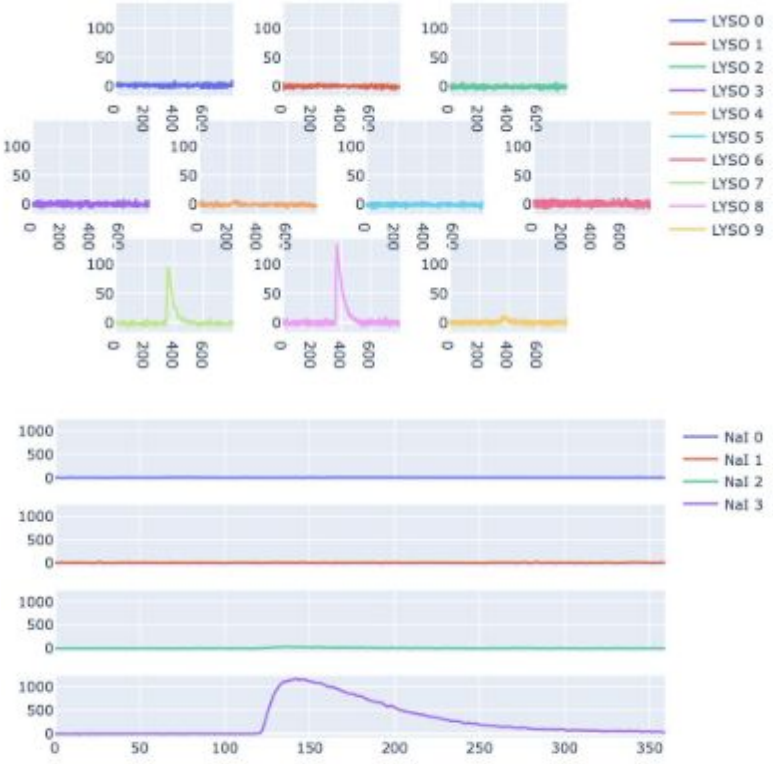
Example System Performance Webpage that Links with Midas

Nearline Processing

- Any preliminary processing on the data before moving to permanent storage

Examples:

- Data quality monitors (DQM) that effectively sample and display data
- Building ROOT trees from midas files (Unpacker, by Sean Foster)
- Moving/Mirroring files



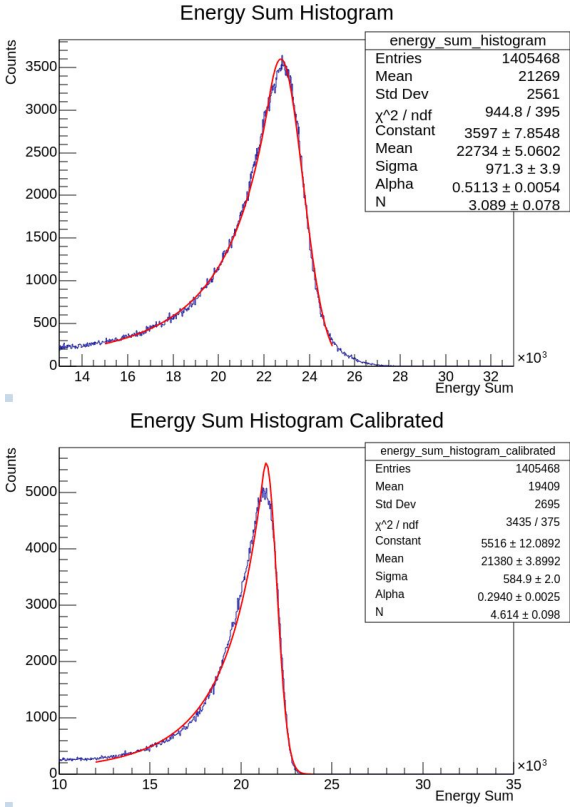
Josh LaBounty's 2023 testbeam DQM page

Offline Processing

- Any processing on the data after it has been moved to permanent storage

Examples:

- Creating deposited energy histograms
- Chaining runs together
- Pretty much any rigorous analysis



Preliminary Energy Sum Histograms from the 2023 Testbeam

Auxiliary Slides

Outline

I. Introduction and Motivation
   A. What is DAQ?
   B. Proposed PIONEER DAQ Framework
   C. Why do all this? - Data Rates
   D. Two DAQs - Why?
II. The Hardware Side
   A. What is an FPGA?
   B. g-2 DAQ Hardware
   C. PIONEER DAQ proposed hardware
III. The Software Side
   A. Midas
   B. Frontends
   C. “Nearline” Processing
   D. “Offline” Processing

Hardware Initialism Cheatsheet

Initialism	Meaning	Example (if applicable)
DAQ	**D**ata **Ac**quisition	
ADC	**A**nalog-to-**D**igital **C**onverter	
10GbE	**10 G**iga**b**it **E**thernet	
AFE	**A**nalog **F**ront **E**nd	
FPGA	**F**ield **P**rogrammable **G**ate **A**rray	
CPU	**C**entral **P**rocessing **U**nit	Intel Core i7-12700K
GPU	**G**raphics **P**rocessing **U**nit	NVIDIA A5000
uTCA (or µTCA)	**M**icro **T**elecommunications **C**omputing **A**rchitecture	
WFD	**W**ave**f**orm **D**igitizer	WFD5
FC	**F**lexible **C**ontroller	FC7
AMC	**A**dvanced **M**ezzanine **C**ard	AMC13 (confusingly, also FC7 and WFD5)
MCH	**M**icroTCA **C**arrier **H**ub	
DDR	**D**ouble **D**ata **R**ate	DDR3, DDR4 (RAM)
PCIe	**P**eripheral **C**omponent **I**nterconnect **E**xpress	PCIe2, PCIe3, …

FPGA Types

Rough example name breakdown:

XCVU190+1:

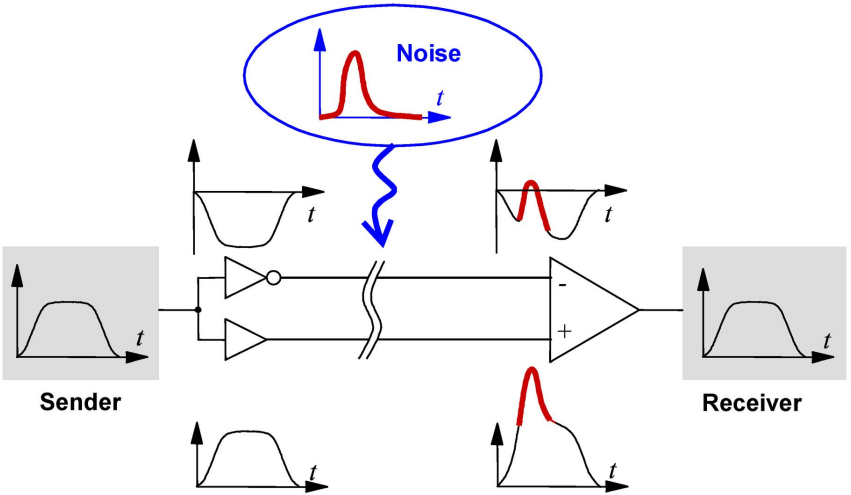
- **X**: Xilinx
- **C:** Some family indicator (?)
- **VU**: FPGA Family. "VU" → Virtex UltraScale family.
- **9**: Device capacity or size
- **+1,+2,+3:** A speed grade for the FPGA

Series	Example FPGA
Virtex UltraScale+	XCVU9P
Virtex UltraScale	XCVU190
Kintex UltraScale+	XCKU15P
Kintex UltraScale	XCKU040
Artix UltraScale+	XA7A50T
Artix-7	XC7A200T
Zynq UltraScale+ MPSoC	XCZU9EG
Zynq-7000 SoC	XC7Z045
Spartan-7	XC7S100
Spartan-6	XC6SLX75

Why a Differential Signal?

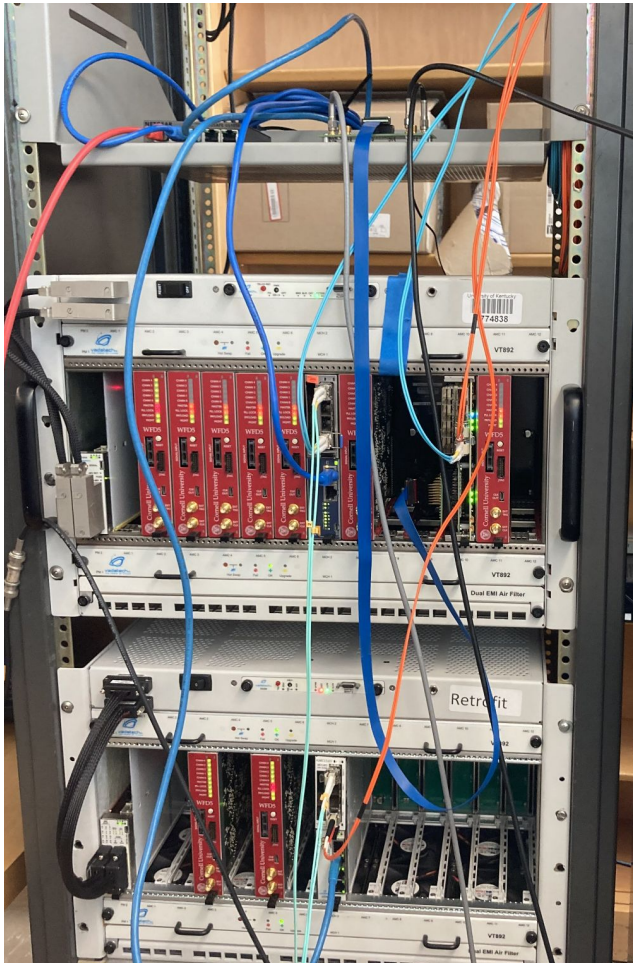
- More resistant to noise → cleaner signal

- Lower supply voltages can be used
  - reduce power consumption, and allow for higher operating frequencies.
  - Low Voltage CMOS (LVCMOS) is 3.0–3.3 V



Multiple Crate g-2 DAQ Hardware

- Each crate needs an MCH to communicate with desktop
  - Another 1GbE link required, ethernet splitter introduced (see blue 1GbE cables)
- Each crate needs an AMC13
  - Another 10GbE data link to desktop introduced (see bottom mint cable)
  - Trigger signal fed from FC7 in first crate to AMC13 in bottom crate via optical cable (see orange cable)
- Note: There are two mint optical cables running towards a desktop rather than 1 mint cable connecting both AMC13s



Why the Apollo System?

- CERN + CMS/ATLAS → APOLLO platform
  - Cornell already had a hand in designing boards for APOLLO system
- Unlike μTCA, the actual data handling does not need to move through the backplane
  - More user control
- APOLLO system handles more channels per optical link → fewer desktops needed
  - APOLLO System ~ 3000 channels/(400 chan/board * 2 boards/computer) ~ **4 computers**
  - μTCA System ~ 3000 channels/(60 chan/crate * 2 crates/computer) ~ **30 computers**

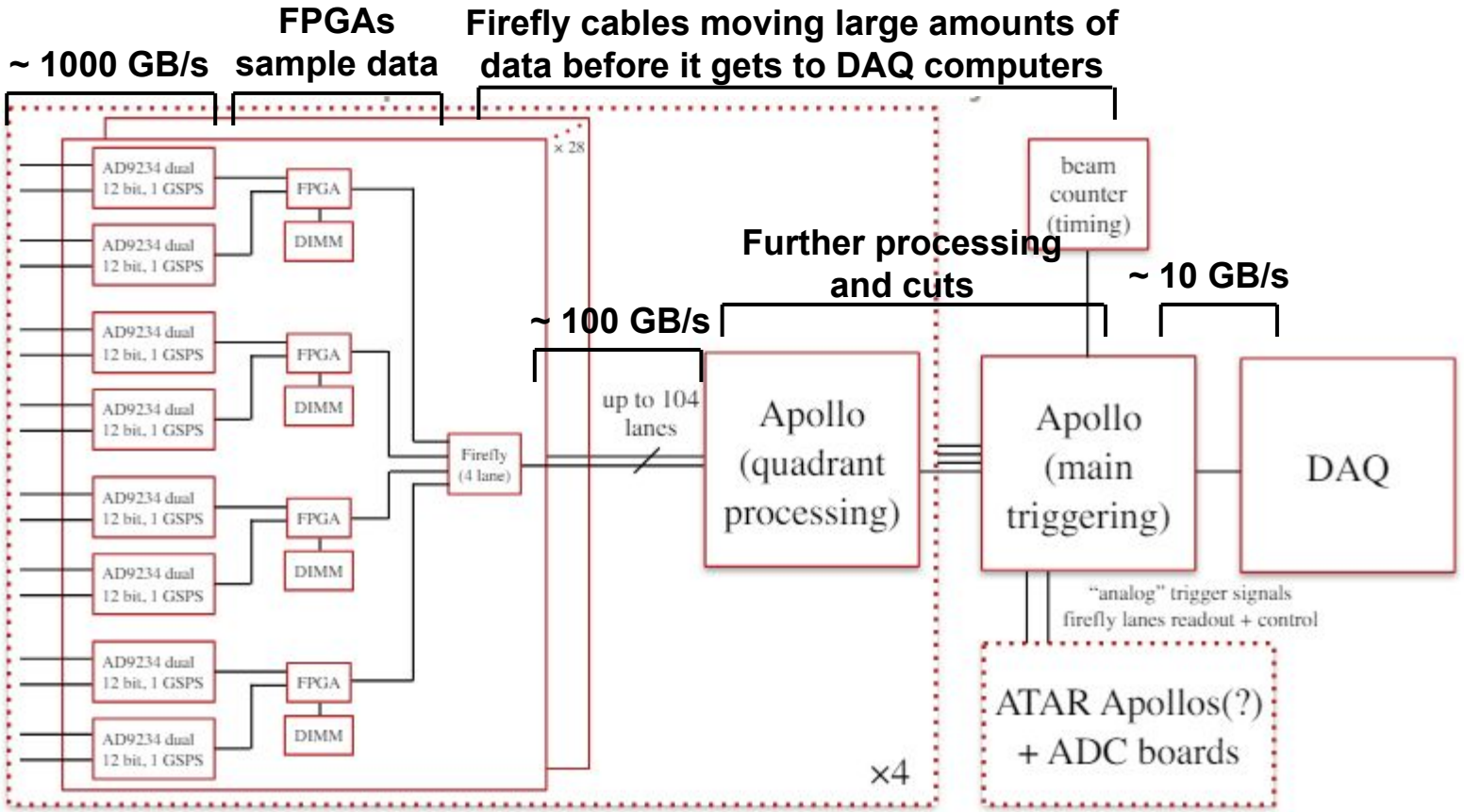
Command Module (CU)

+

Service Module (BU)

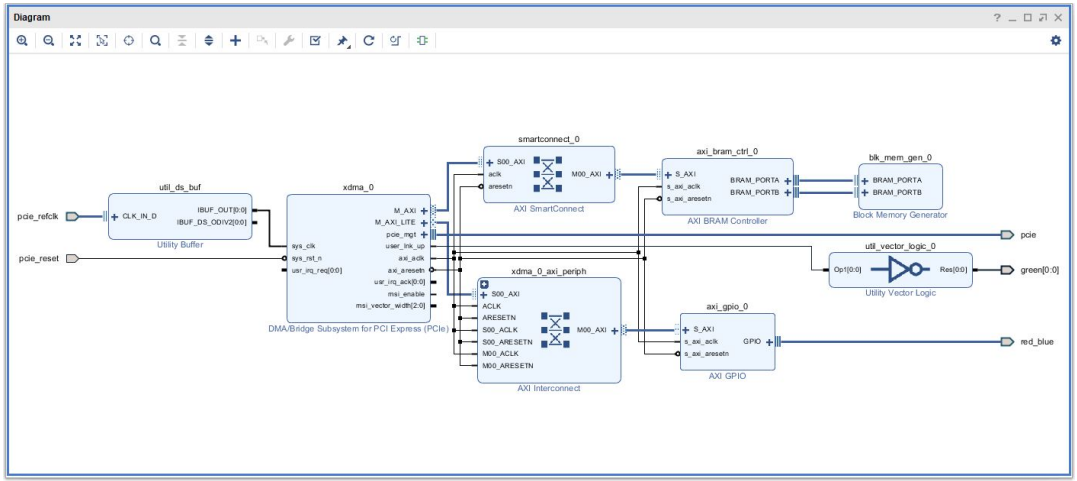
=

Why Firefly Cables?



Communication with FPGA over PCIe

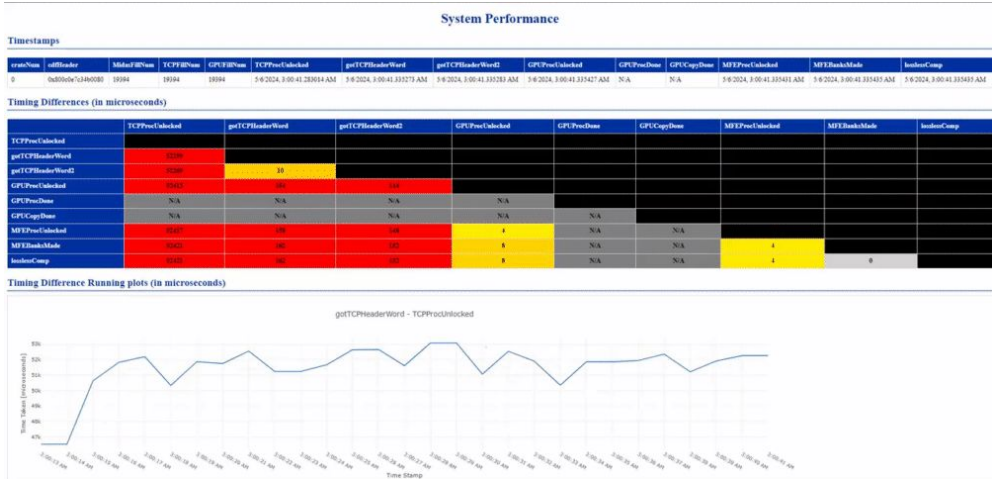
- Want a midas frontend that communicates with an FPGA over PCIe
- This should streamline implementation when Cornell finalizes hardware



Example block diagram (made in Vivado) for a PCIe FPGA

Adding More Debugging Diagnostics to g-2 modified DAQ

- Created a more general DQM page (no assumption on number of channels/channel mapping)
- Rate limitations were an issue during 2023 test beam
  - Could only run at ~300Hz
- Added timing diagnostics to identify bottleneck
- Plan to add CPU, RAM, and FC7 diagnostic pages as well

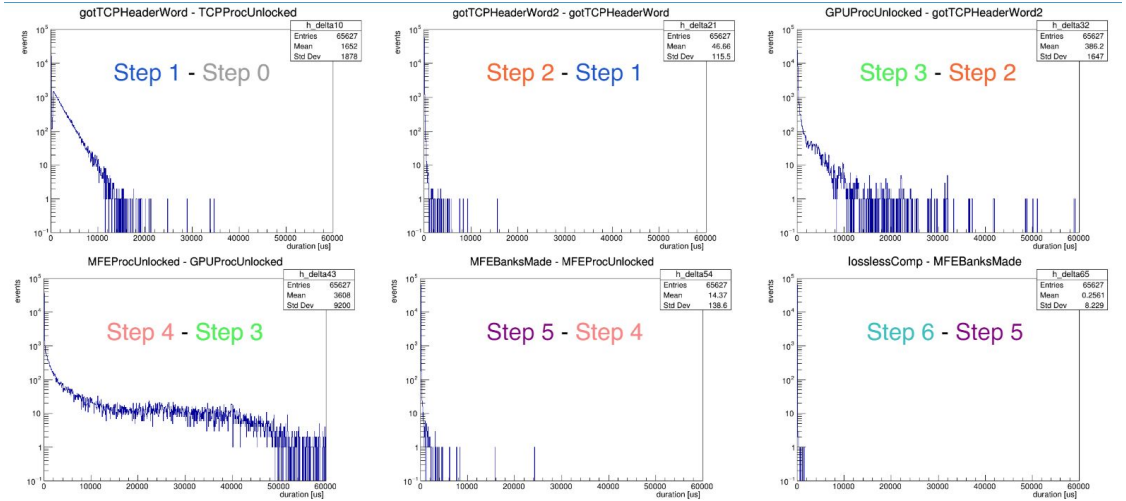


Example System Performance Webpage that Links with Midas

Citation: Unpacking and analysis of the CC performance banks, Sean Foster (Slide 9)

Rate Testing/Improving g-2 modified DAQ

- Analyzed test beam and UKY teststand performance data
  - Bottlenecks are due to rare, long pauses between events
  - Yet to determine exact reason for pauses
- Plan to remove Meinberg card from system, replace with parallel port system
  - Should be faster and more straightforward

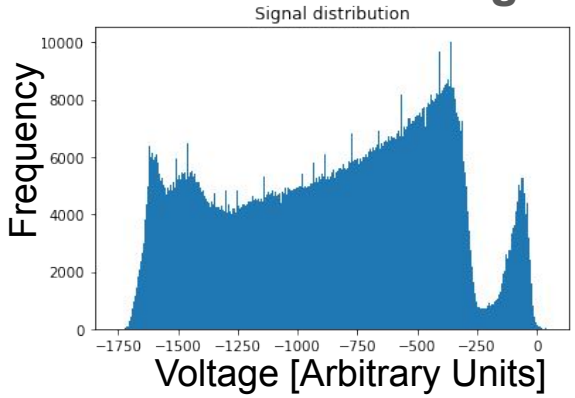


Timings of various stages of the data readout midas frontend

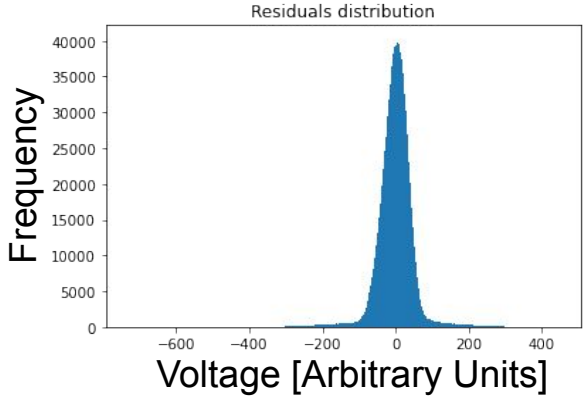
Signal Conditioning

- Want a narrow distribution for compression. Let r_i be the numbers we compress
- Methods tried:
  - No conditioning
  - Delta encoding:
    $r_i = y_{i+1} - y_i$
  - Twice Delta Encoding:
    $r_i = y_{i+2} - 2y_{i+1} + y_i$
  - Double Exponential Fit:
    $r_i = y_i - (A \cdot \exp(at_i) + B \cdot \exp(bt_i))$
  - **Shape Fit**:
    $r_i = y_i - (A \cdot T(t_i - t_0) + B)$

No Conditioning



Shape Fit



Shape Fitting Algorithm

1. Construct a discrete template from sample pulses
2. Interpolate template to form a continuous Template, *T(t)*
3. “Stretch” and “shift” template to match signal:

$$X[i] = a(t_0)T(t[i] - t_0) + b(t_0)$$

[Note: a and b can be calculated explicitly given t_0]

4. Compute χ^2 (assuming equal uncertainty on each channel i)

$$\chi^2 \propto \sum_i \{X[i] - a(t_0)T(t[i] - t_0) + b(t_0)\}^2$$

5. Use Euler’s method to minimize χ^2

Lossless Compression Algorithm

- Rice-Golomb Encoding
  - Let x be number to encode
    y = “s”+“q”+”r”
    - q = x/M (unary)
    - r = x%M (binary)
    - s = sign(x)
  - Any distribution
  - Close to optimal for valid choice of M
  - One extra bit to encode negative sign
  - Self-delimiting
  - If quotient too large, we “give up” and write x in binary with a “give up” signal in front

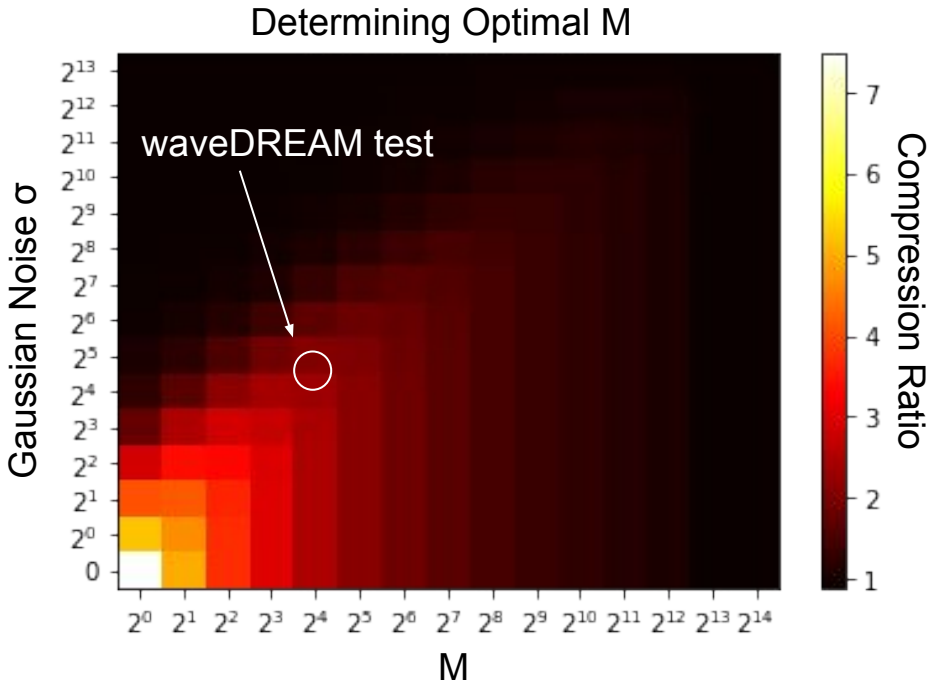
Rice-Golomb Encoding (M=2)

Value	Encoding
-1	011
0	000
1	001
2	1000

Red = sign bit
Blue = quotient bit(s) (Unary)
Yellow = remainder bit (binary)

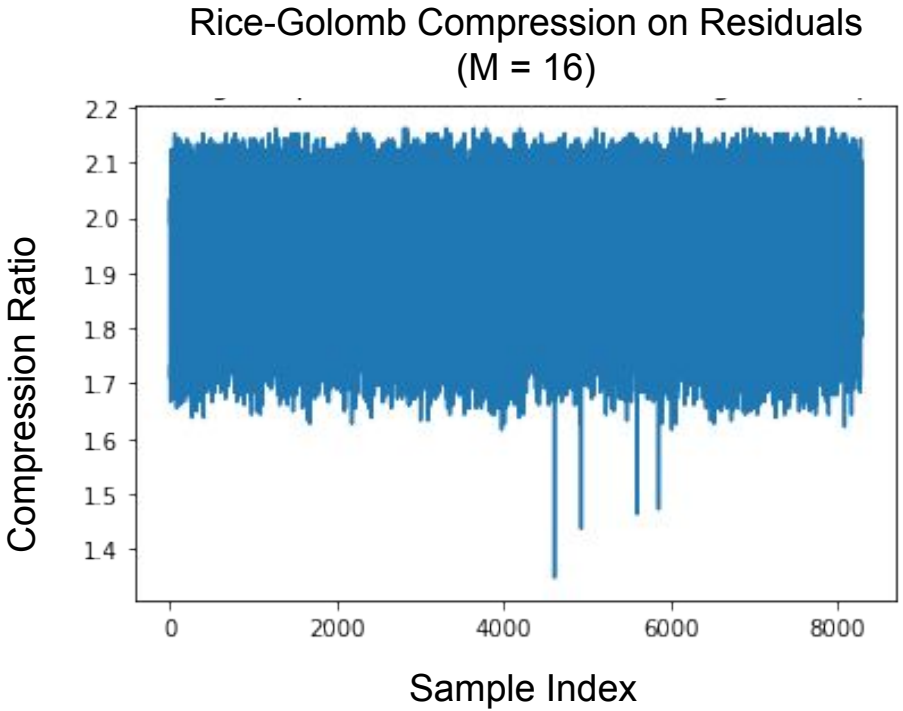
How to choose Rice-Golomb parameter M

- Generated fake Gaussian data (centered at zero) with variance σ^2
- For random variable X,
  M ≈ median(|X|)/2 is a good choice
  - This is the close to the diagonal on the plot
- σ ≈ 32 for residuals of shape on wavedream data → M = 16 is a good choice



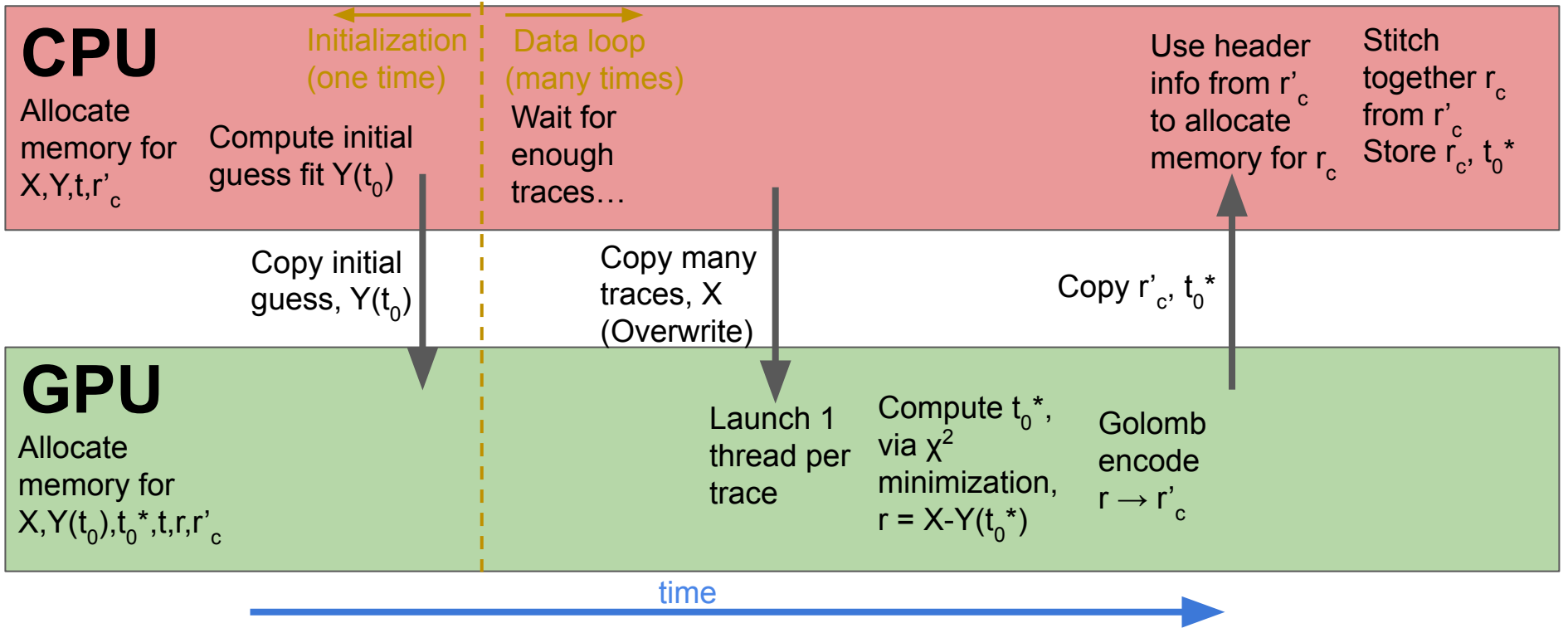
Compression Ratio from Rice-Golomb Encoding

- Lossless compression factor of ~2
- In agreement with plot from simulated data on last slide
- Best compression ratio we achieved



Real Time Compression Algorithm

- We choose to let the FE's GPU and CPU handle compression for flexibility

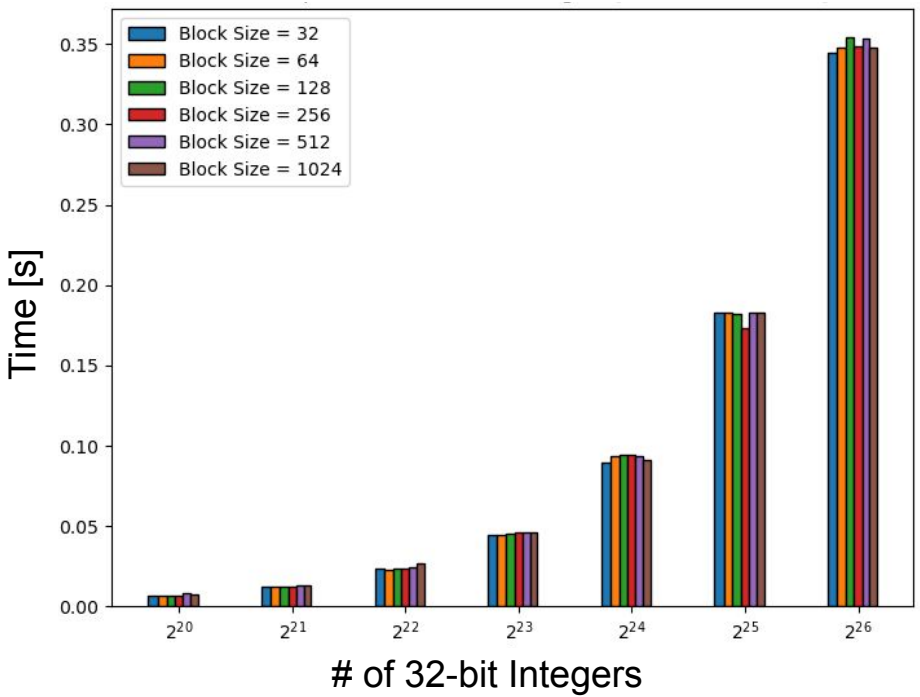


GPU Benchmarking (Timings)

- Block Size:
  - A GPU parameter, number of threads per multiprocessor

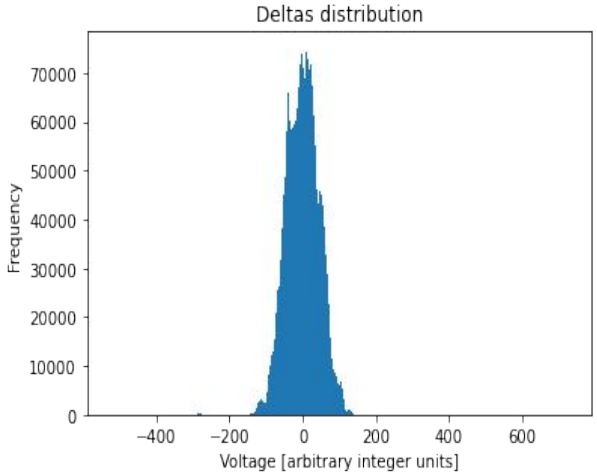
- Can compress 2^{26} integers (32-bit) in roughly ⅓ of a second.
  → ~ **0.8 GB/s** compression rate

Fit + Compression Time using A5000 in PCIe4
(Batch Size = 1024)

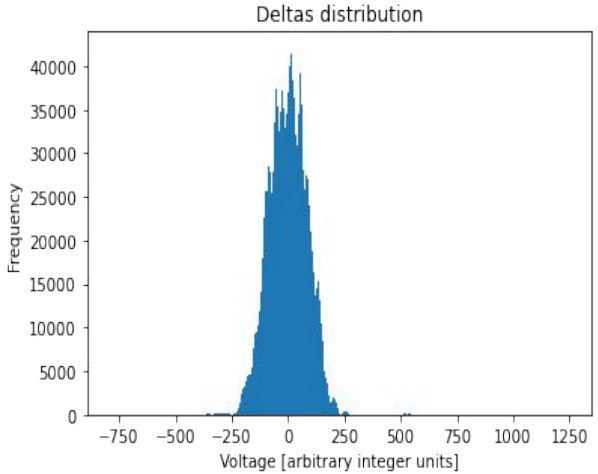


Other Conditioning Distributions

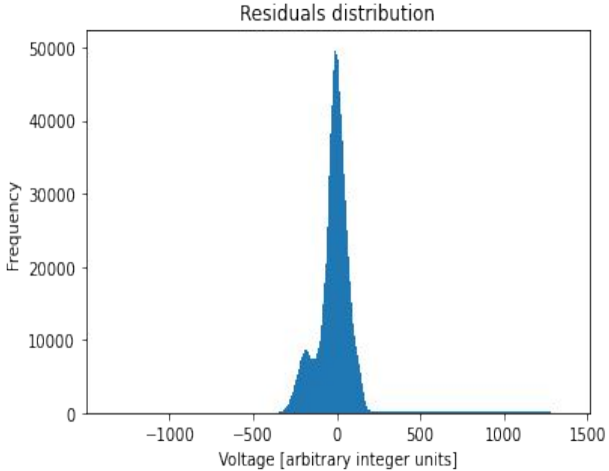
Delta Encoding



Twice Delta Encoding



Double Exponential Fit



Shape Fitting Details

Fit Function

$$X[i] = aT(t[i] - t_0) + b$$

Explicit $a(t_0)$ calc

$$a(t_0) = \frac{\sum_i^N X[i] \sum_i^N T(t[i] - t_0)^2 - \sum_i^N T(t[i] - t_0) \sum_i^N T(t[i] - t_0) X[i]}{N \sum_i^N T(t[i] - t_0)^2 - (\sum_i^N T(t[i] - t_0))^2}$$

Explicit $b(t_0)$ calc

$$b(t_0) = \frac{N \sum_i^N T(t[i] - t_0) X[i] - \sum_i^N T(t[i] - t_0) \sum_i^N X[i]}{N \sum_i^N T(t[i] - t_0)^2 - (\sum_i^N T(t[i] - t_0))^2}$$

Explicit χ^2 calc

$$f(t_0) \equiv \chi^2 \propto \sum_i \{X[i] - a(t_0)T(t[i] - t_0) + b(t_0)\}^2$$

Newton's method

$$(t_0)_{n+1} = (t_0)_n - \frac{f'((t_0)_n)}{f''((t_0)_n)}$$

Threshold requirement

$$|(t_0)_{n+1} - (t_0)_n| < \epsilon \equiv \text{"Threshold"}$$

Citation: Wikipedia
(https://en.wikipedia.org/wiki/Golomb_coding)

Golomb Encoding

- In general, M is an arbitrary choice
- Since computers work with binary, $M = 2^x$ such that x is an integer is a “fast” choice
  - This is called Rice-Golomb Encoding
- Self delimiting so long as the information M is provided

Golomb Encoding Example

Choose M = 10, $b = \log_2(M) = 3$
$2^{b+1} - M = 16 - 10 = 6$
$r < 6 \rightarrow$ r encoded in b=3 bits
$r \geq 6 \rightarrow$ r encoded in b+1=4 bits

Encoding of quotient part	
q	output bits
0	0
1	10
2	110
3	1110
4	11110
5	111110
6	1111110
⋮	⋮
N	111⋯1110

Encoding of remainder part			
r	offset	binary	output bits
0	0	0000	000
1	1	0001	001
2	2	0010	010
3	3	0011	011
4	4	0100	100
5	5	0101	101
6	12	1100	1100
7	13	1101	1101
8	14	1110	1110
9	15	1111	1111

Citation: Wikipedia
(https://en.wikipedia.org/wiki/Huffman_coding)

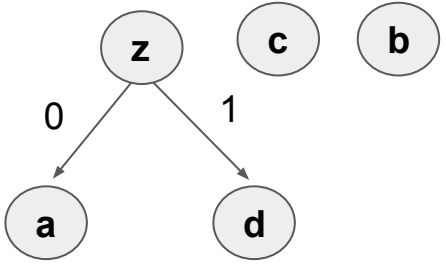
Huffman Encoding

- Requires finite distribution
- Values treated as “symbols”
- Self-delimiting (sometimes called “greedy”)

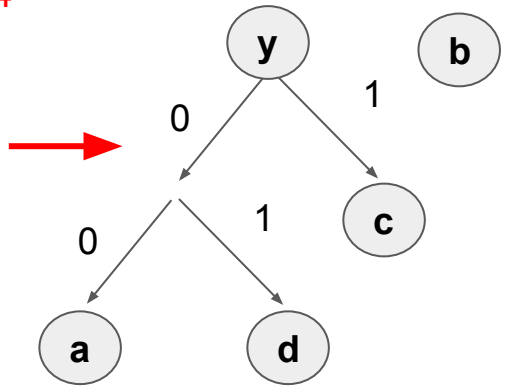
Huffman Encoding Example

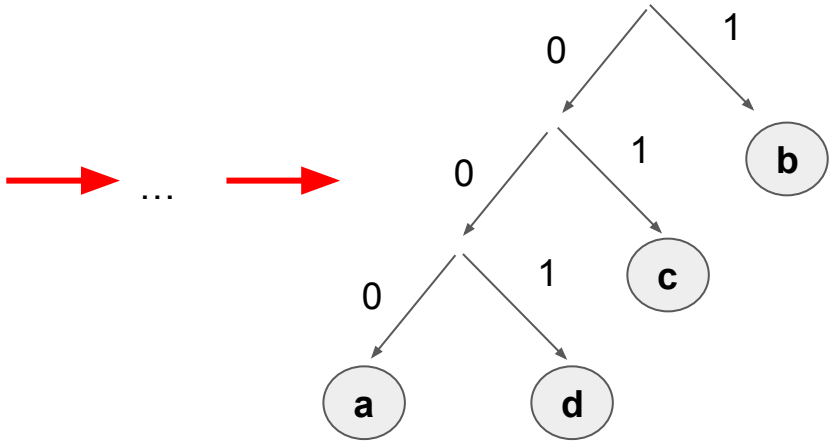
Value	Frequency	Encoding
-1 ≡ a	1	000
0 ≡ b	10	1
1 ≡ c	5	01
2 ≡ d	3	001

“Combine” two lowest frequencies into tree, Frequency z = 1+3 = 4



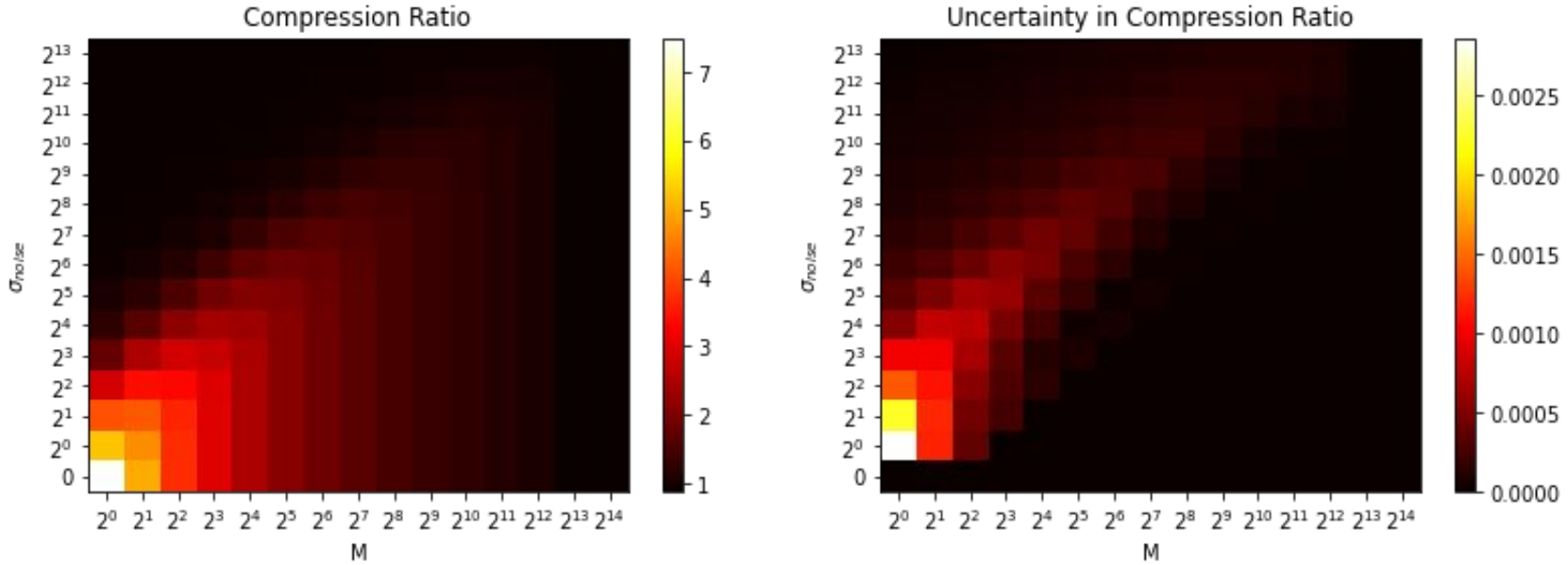
Repeat for set {z,c,b}





Theoretical Uncertainty in Compression Ratio from Gaussian Noise

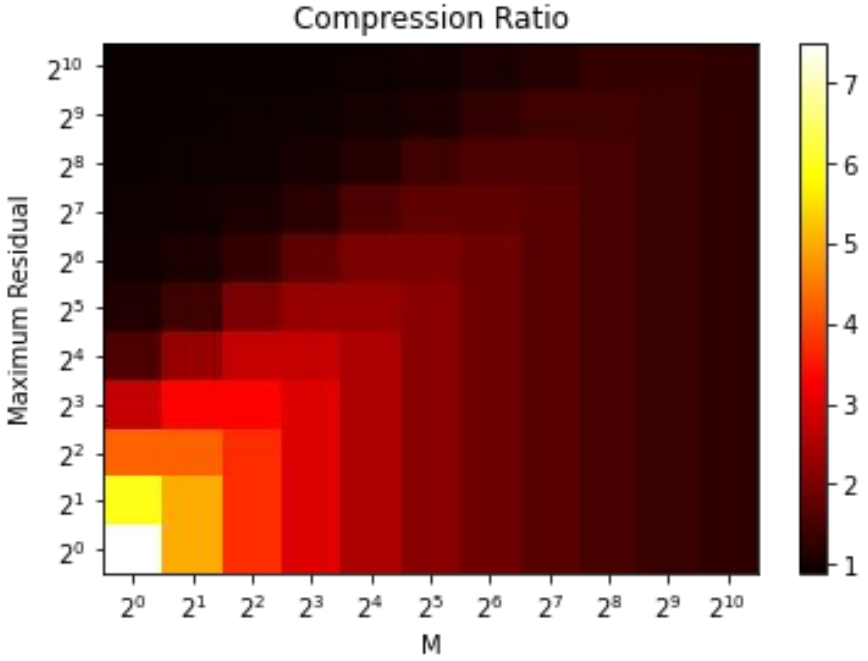
- ~ 0.1% relative error



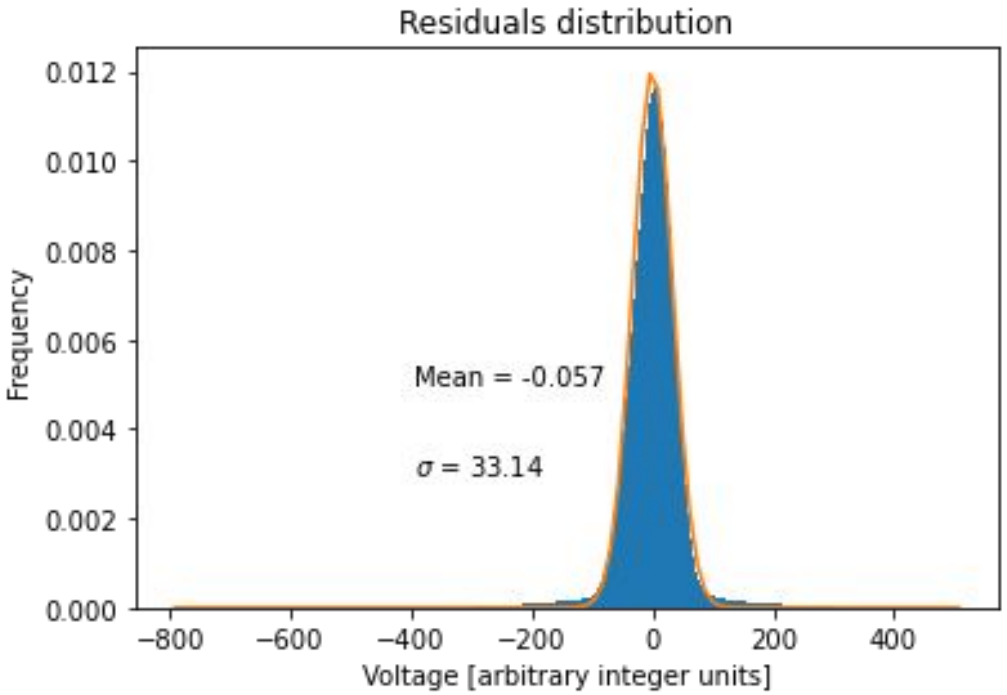
Uniform Distribution of Noise effect on Compression Ratio

- Here instead we use a uniform distribution to generate the noise

- Not much different than gaussian noise, same conclusions really



Residuals Distribution and Optimal M



M	Compression Ratio
1	1.04721105
2	1.21287474
4	1.53114598
8	1.92616642
16	**2.09307249**
32	2.02975311
64	1.86037914
128	1.66627451
. . .	. . .

Lossy Compression Idea

- In lossless compression, Rice-Golomb encodes:
  1. Fit parameters
  2. Residuals

- If the residuals meet some criteria, we may choose to threw them out just keeping our fit of the signal.

  Example Criteria: $$\sum_i r[i] < \epsilon \equiv \text{"Threshold"}$$