

Random matrix universality and modular invariance

Felix Haehl

Bernoulli Center, 30/09/2024

2301.05698: with C. Marteau, W. Reeves, M. Rozali

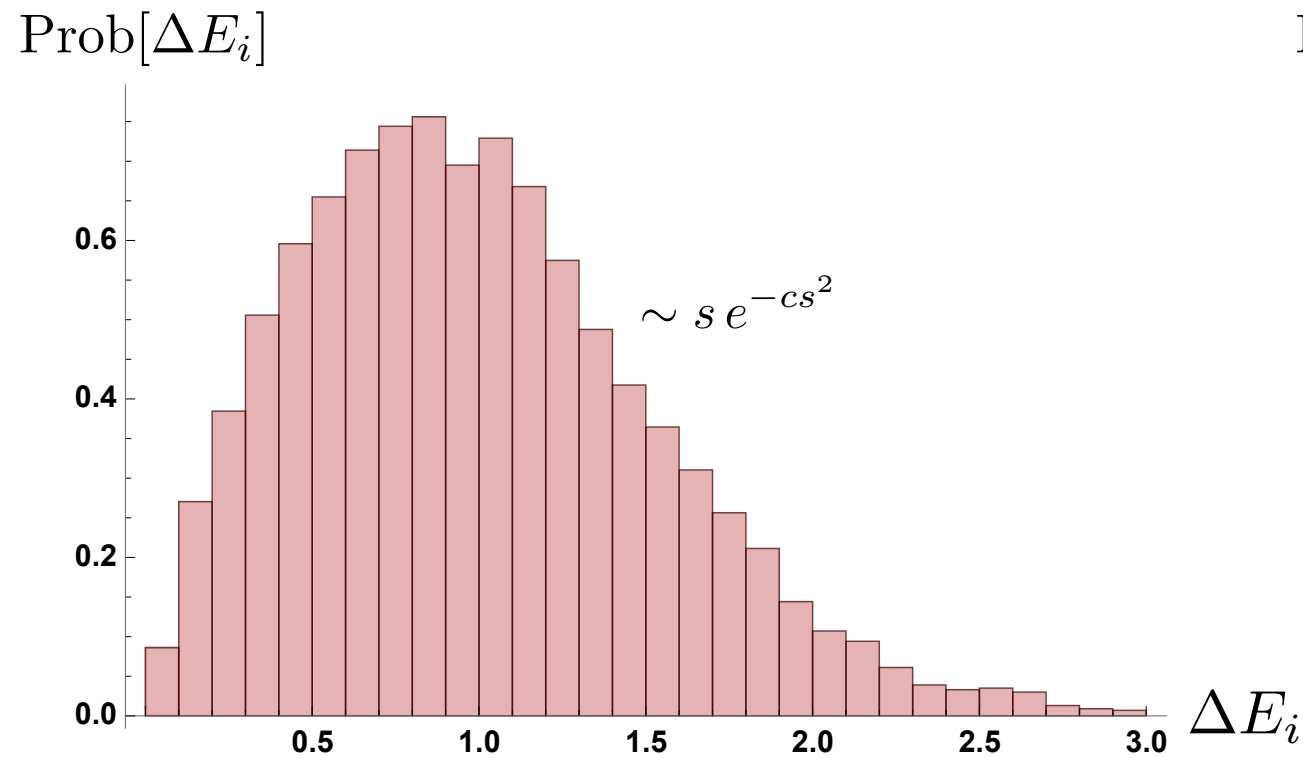
2309.00611, 2309.02533: with W. Reeves, M. Rozali

work in progress: with J. Boruch, G. Di Ubaldo, A. Etkin, E. Perlmutter, M. Rozali



Signatures of chaos

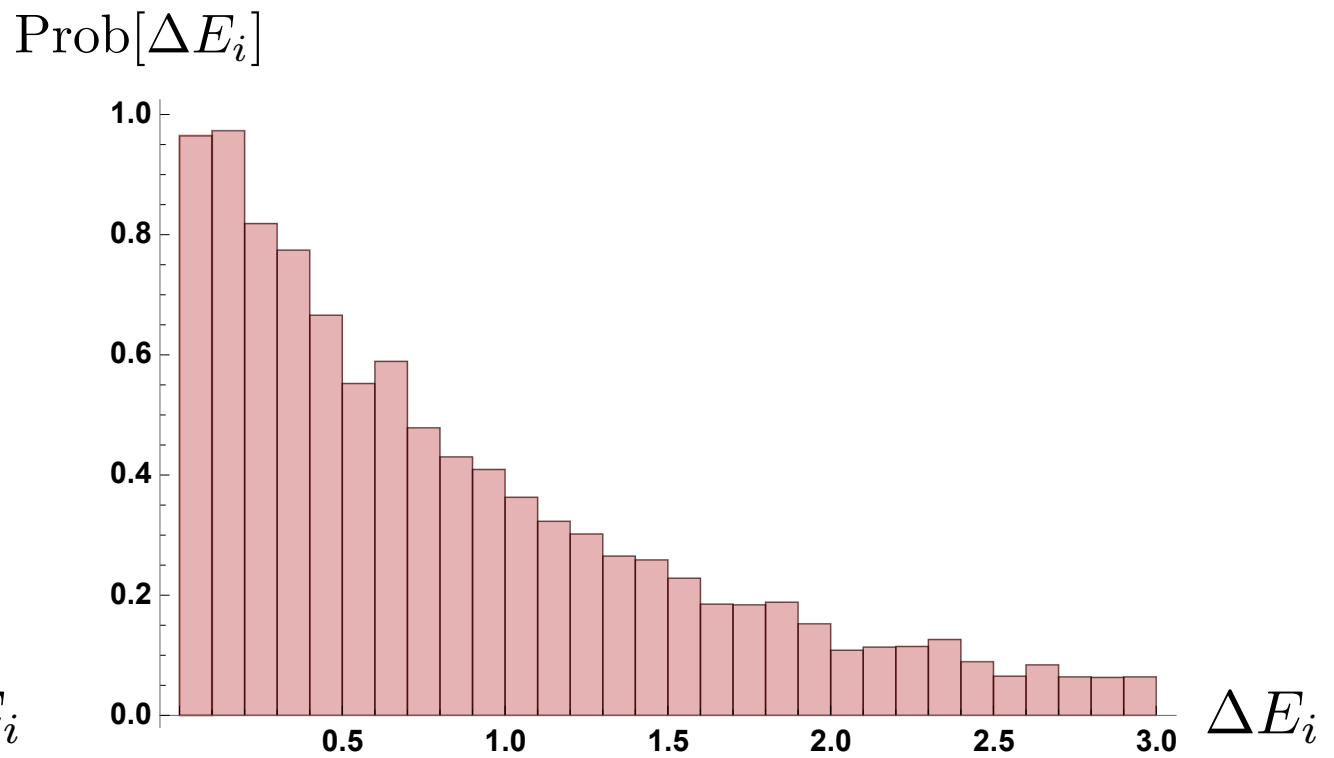
Random matrix statistics



GOE matrix eigenvalues



eigenvalue repulsion



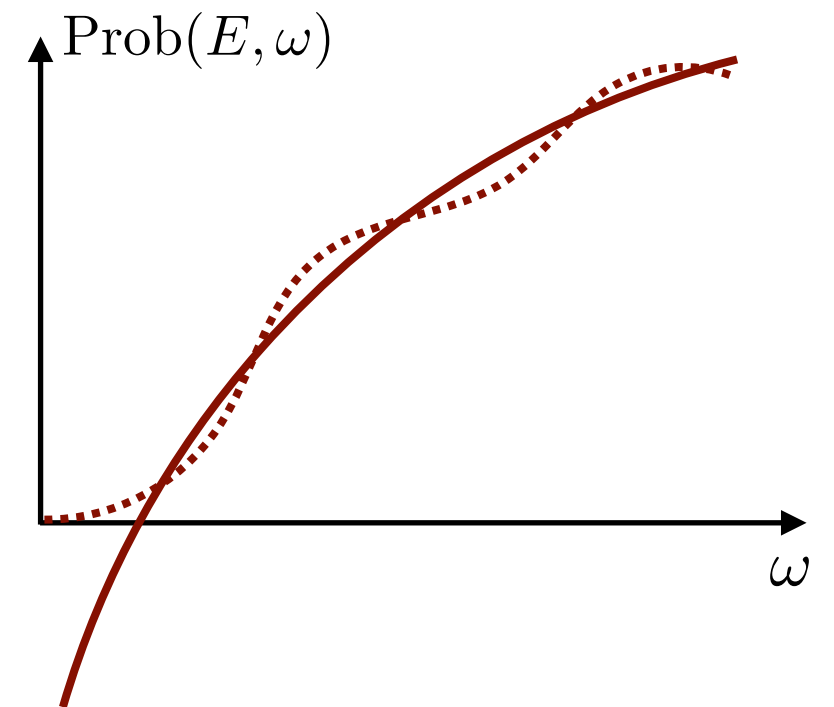
Poisson random numbers



eigenvalue attraction

► Stronger statement for $\rho(E) = \sum_i \delta(E - E_i)$:

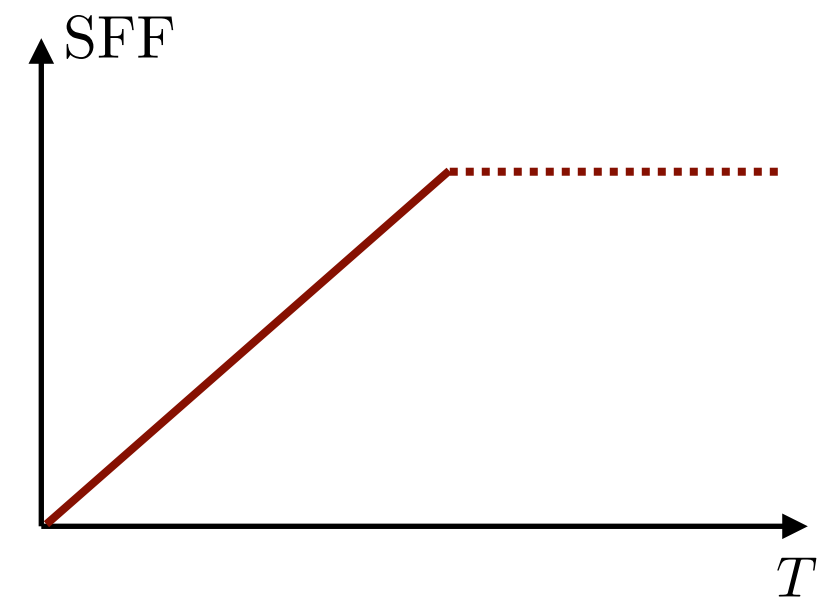
$$\langle \rho(E + \omega/2) \rho(E - \omega/2) \rangle_c \sim -\frac{1}{\pi^2 \omega^2} + \dots$$



$$\text{SFF}_\beta(T) \equiv |Z(\beta + iT)|^2 = \int dE d\omega \langle \rho(E + \omega/2) \rho(E - \omega/2) \rangle e^{-2\beta E} e^{-iT\omega}$$

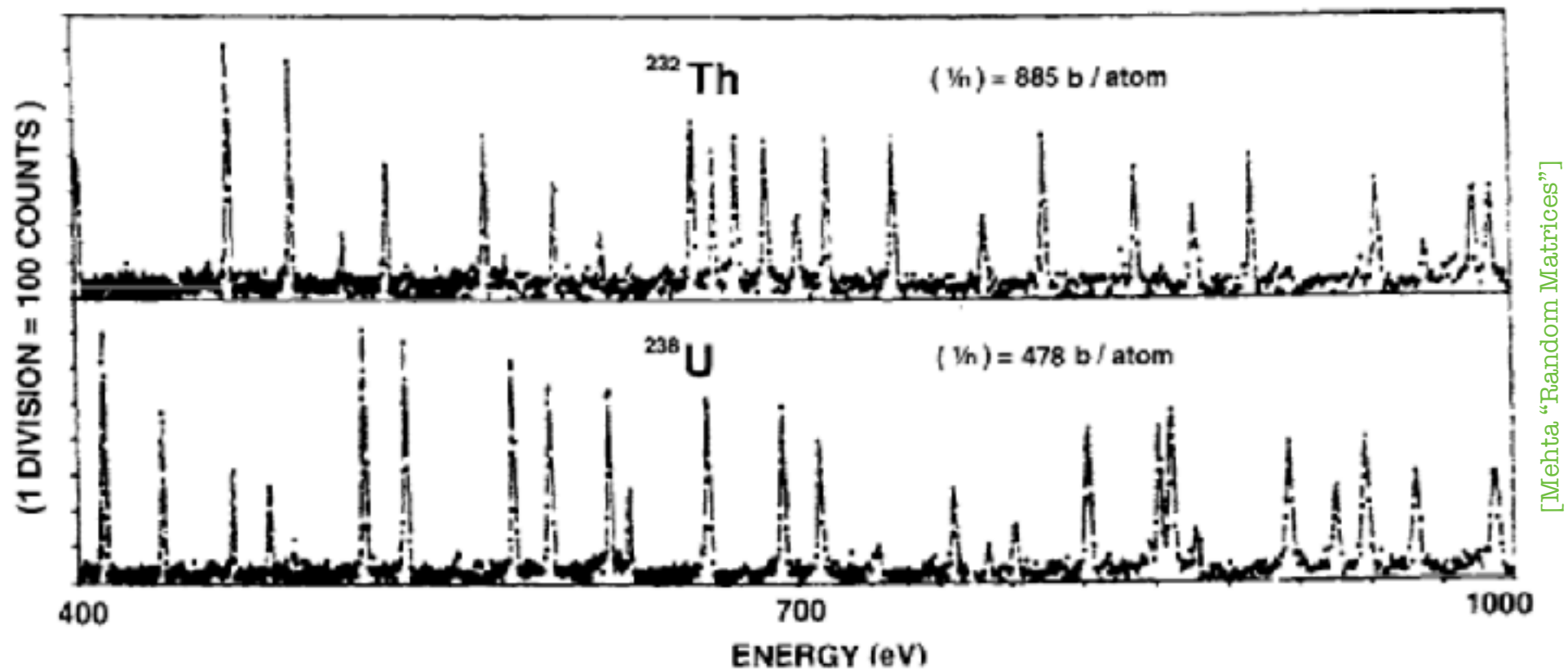
$$\sim (\text{connected}) + \frac{T}{2\pi\beta} + \dots$$

“linear ramp”



- ▶ **Random matrix universality** is ubiquitous in nature and mathematics:
 - ▶ Covariance matrices of large samples [Wishart '28]

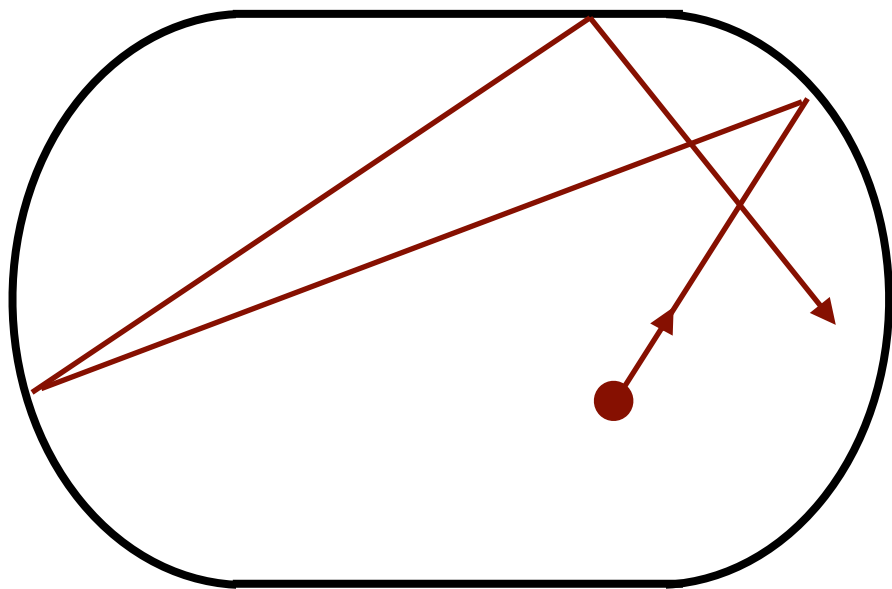
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 - ▶ Statistical distribution of nuclear energy levels [Wigner '55]




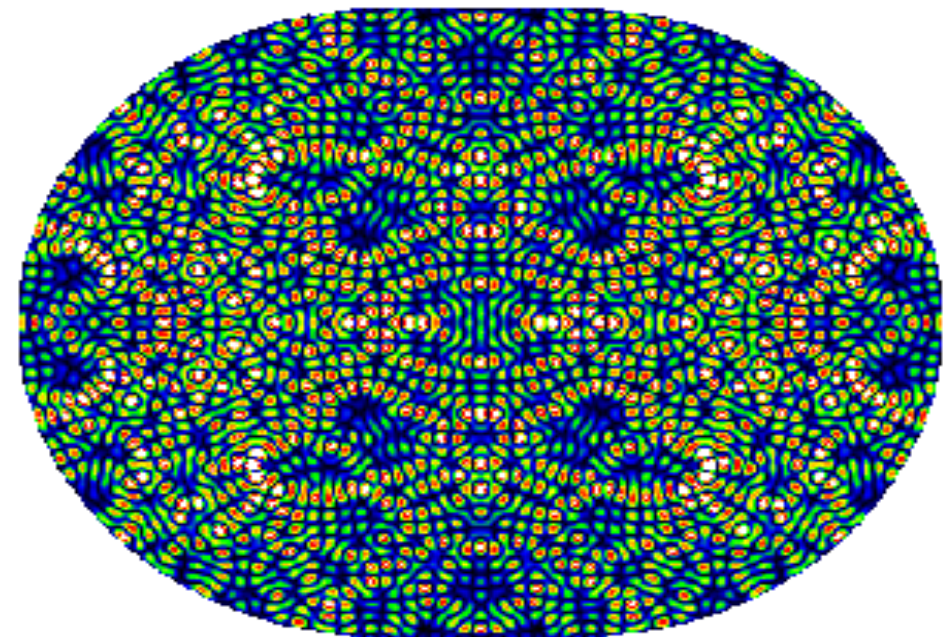
[Mehta "Random Matrices"]

Figure 1.1. Slow neutron resonance cross-sections on thorium 232 and uranium 238 nuclei.

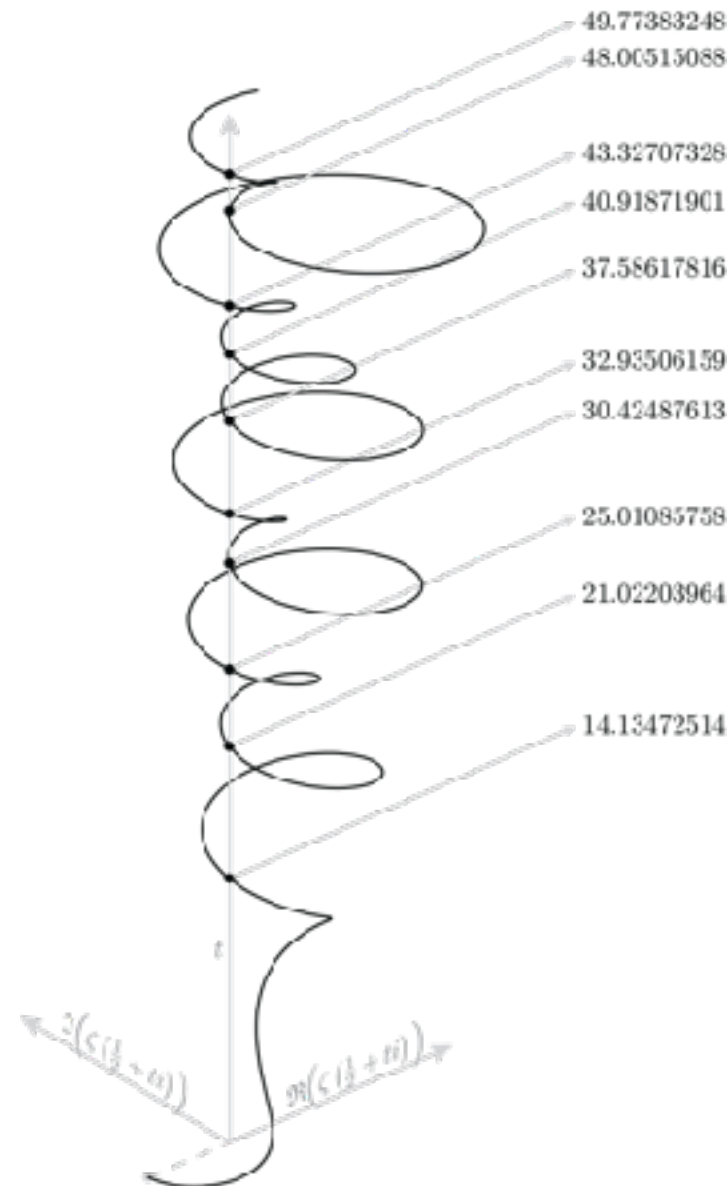
- ▶ **Random matrix universality** is ubiquitous in nature and mathematics:
 - ▶ Covariance matrices of large samples [Wishart '28]
 - ▶ Statistical distribution of nuclear energy levels [Wigner '55]
 - ▶ Quantum spectra with classically chaotic counterpart [BGS '84]



$$\hat{H}\Psi = E\Psi$$




- ▶ **Random matrix universality** is ubiquitous in nature and mathematics:
 - ▶ Covariance matrices of large samples [Wishart '28]
 - ▶ Statistical distribution of nuclear energy levels [Wigner '55]
 - ▶ Quantum spectra with classically chaotic counterpart [BGS '84]
 - ▶ Distribution of zeros of Riemann zeta-function [Montgomery (Dyson) '73]



- ▶ **Random matrix universality** is ubiquitous in nature and mathematics:
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 - ▶ Statistical distribution of nuclear energy levels [Wigner '55]
 - ▶ Quantum spectra with classically chaotic counterpart [BGS '84]
 - ▶ Distribution of zeros of Riemann zeta-function [Montgomery (Dyson) '73]
 - ▶ Many more.....
- ▶ **Universality:** statistics of eigenvalues “locally” independent of exact probability distribution, i.e., only depends on few general properties of the ensemble. —> can be modelled by RMT

*“What is here required is a new kind of statistical mechanics,
in which we renounce exact knowledge
not of the state of the system but of the system itself.”*

[Dyson]

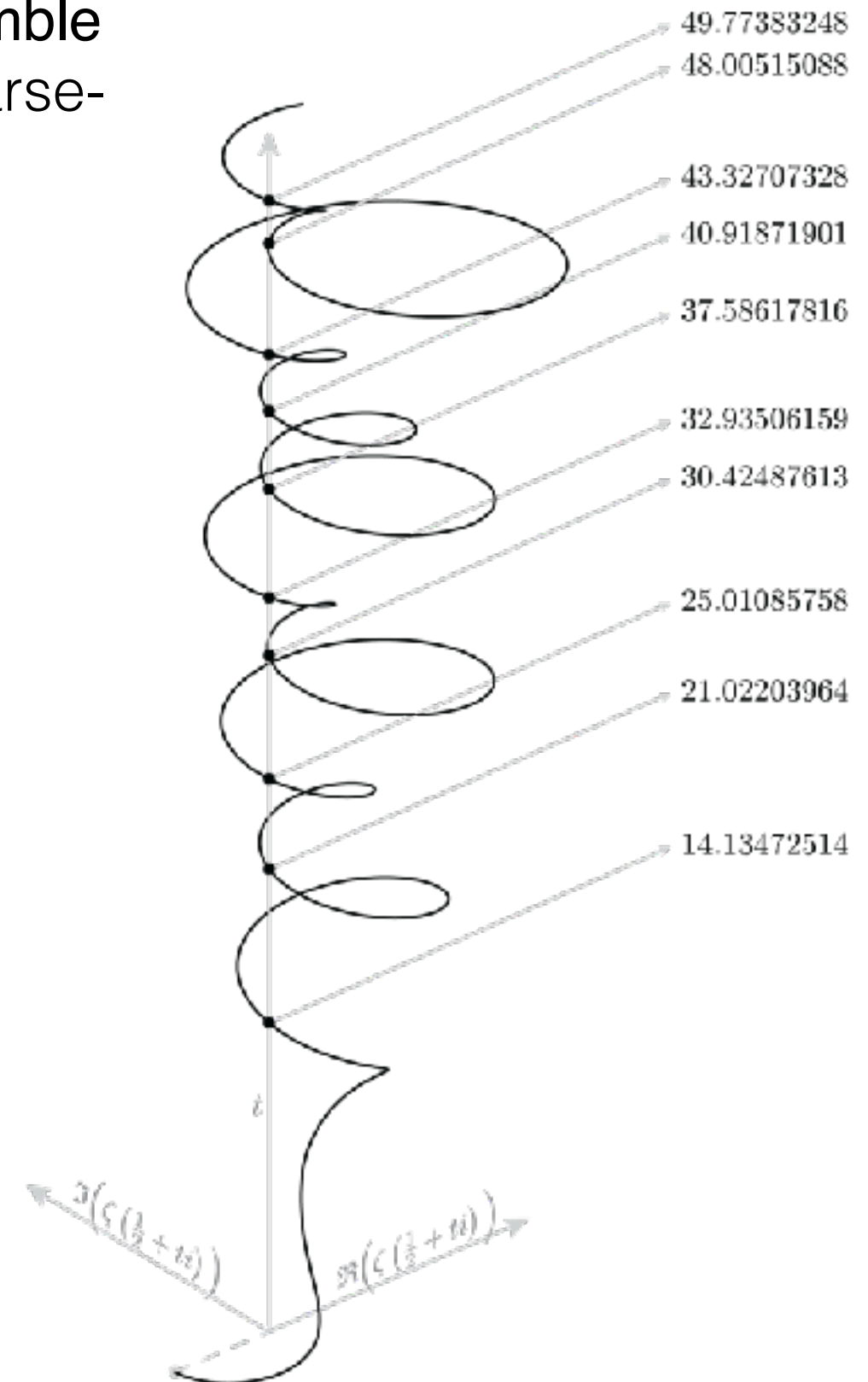
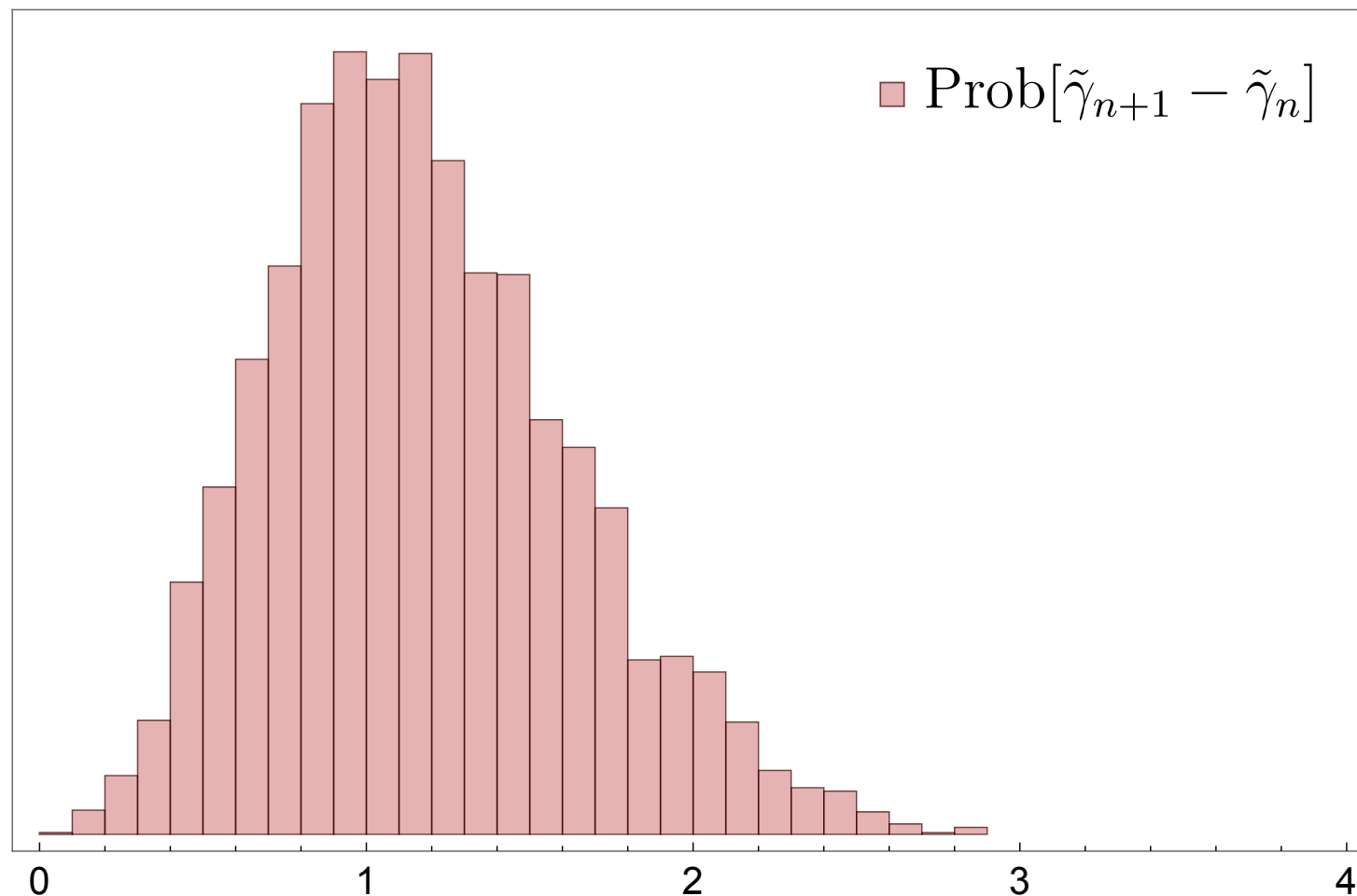
Comment 1

► Random matrix universality can be seen in **ensemble averages**, but also in **individual systems** after coarse-graining

► E.g.: distribution of **zeros of the Riemann zeta-function**

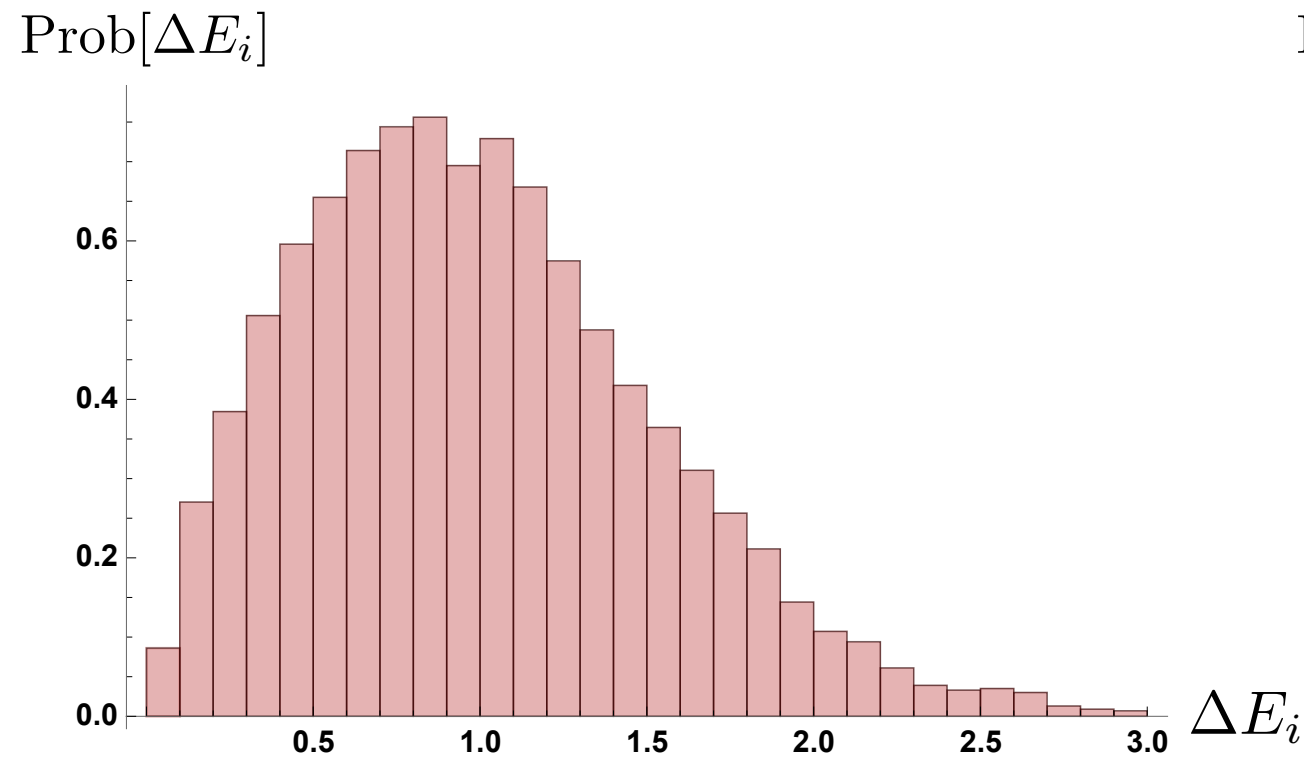
$$\zeta\left(\frac{1}{2} + i\gamma_n\right) = 0$$

$$\tilde{\gamma}_n \equiv \frac{\gamma_n}{\text{average spacing near } \gamma_n}$$



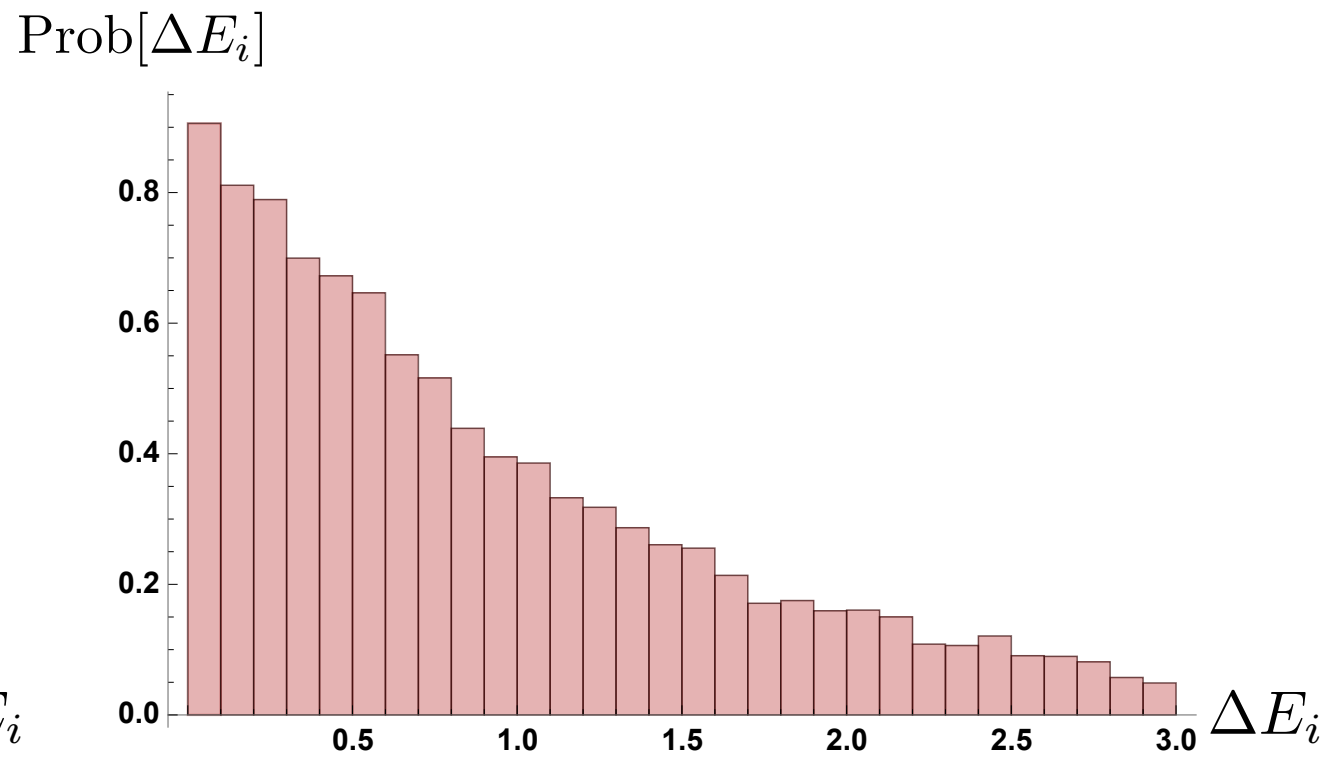
Comment 2

- Symmetries obscure RMT statistics



**single GOE matrix
→ RMT**

$$\left([GOE]_{N \times N} \right)$$



**10 GOE blocks
→ Poisson**

$$\left(\begin{array}{cccc} [GOE]_{\frac{N}{10} \times \frac{N}{10}} & & & \\ & [GOE]_{\frac{N}{10} \times \frac{N}{10}} & & \\ & & \ddots & \\ & & & [GOE]_{\frac{N}{10} \times \frac{N}{10}} \end{array} \right)$$

- With symmetries: focus on fixed “charge sector” to see chaos

▶ Goal of this talk:

- ▶ Propose formalism for studying random matrix statistics in systems of interest in holography: **2d conformal field theories**

▶ Main points:

- ▶ RMT universality can be uplifted to 2d CFTs
- ▶ Interesting interplay: RMT universality \longleftrightarrow rigid symmetry structure
- ▶ Discover “gravity from chaos”

Outline

- ▶ Holography
- ▶ Quantum chaos in 2d CFT
- ▶ Spinning operators and arithmetic chaos
- ▶ Topological expansion

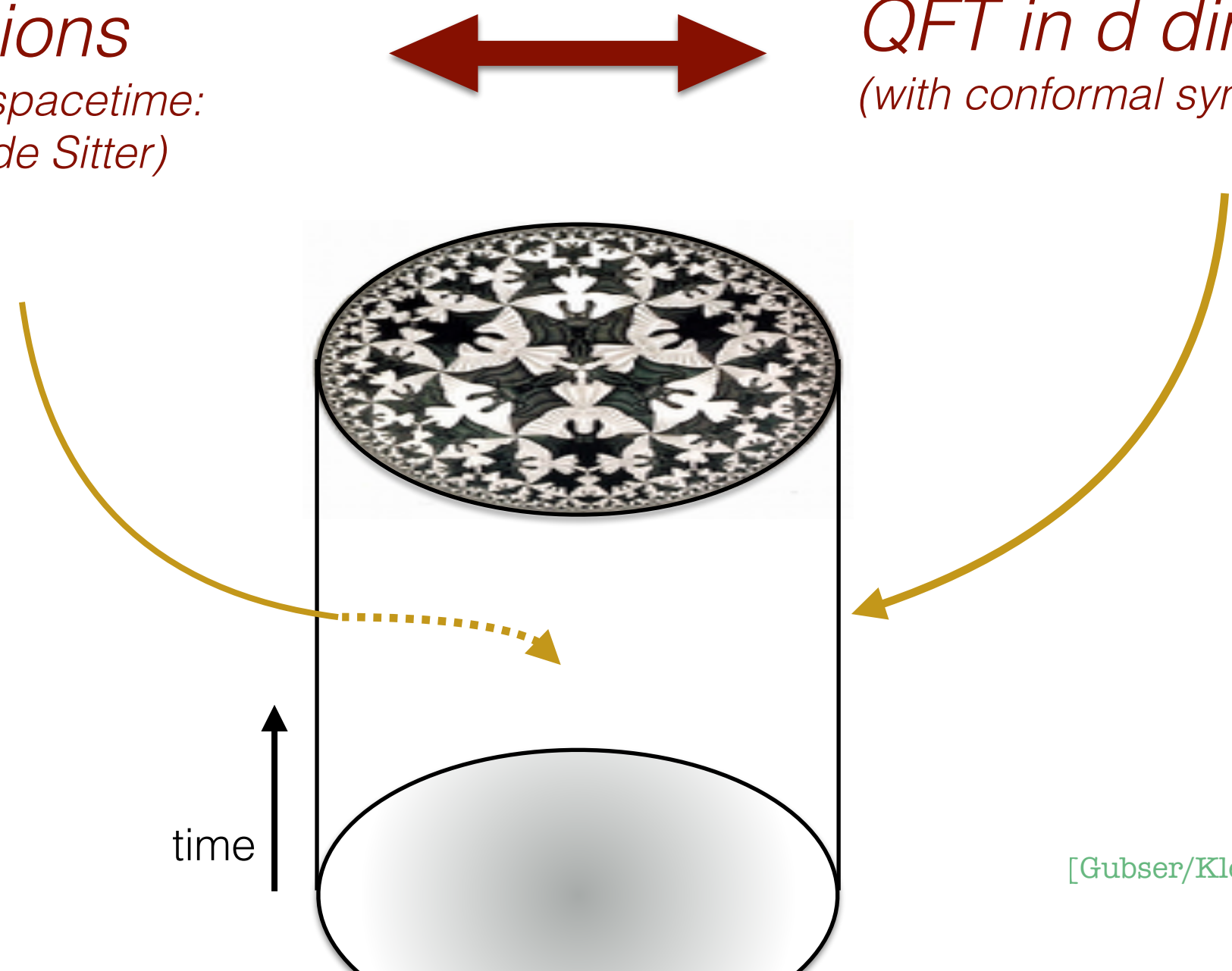
Holography

AdS/CFT duality

- Some (quantum) gravitational systems are dual to quantum many body systems:

Quantum gravity in $d+1$ dimensions
(negatively curved spacetime: asymptotically anti-de Sitter)

QFT in d dimensions
(with conformal symmetry)



[Maldacena '97]

[Gubser/Klebanov/Polyakov '98]

[Witten '98]

*Quantum gravity in
 $d+1$ dimensions*

*(negatively curved spacetime:
asymptotically anti-de Sitter)*



QFT in d dimensions

(with conformal symmetry)

weakly coupled gravity



strongly coupled CFT

black hole geometry

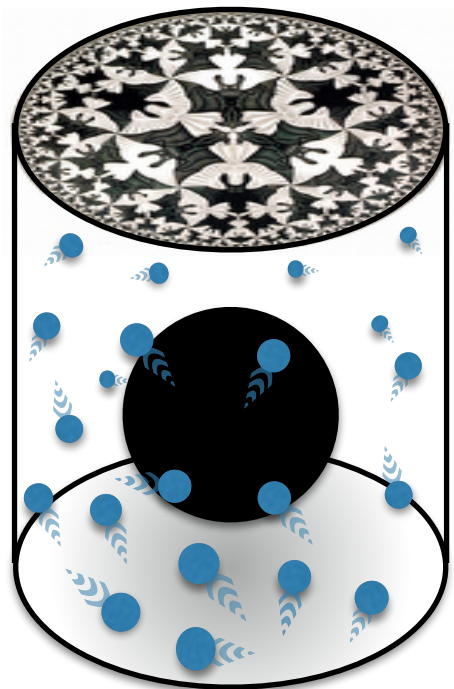


CFT thermal state

black hole entropy



thermal entropy
of microstates

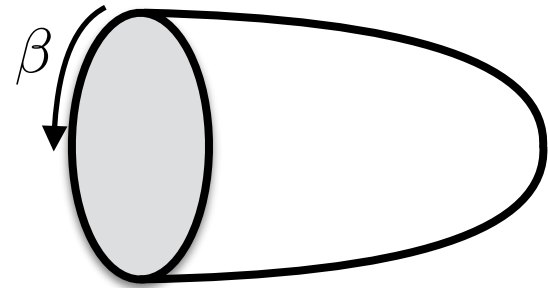


A laboratory for quantum gravity

Factorization puzzle

- ▶ Thermal CFT partition function:

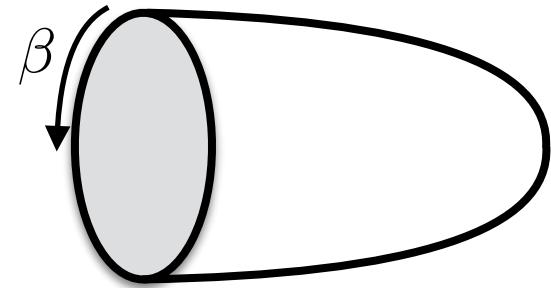
$$Z_{\text{CFT}}(\beta) = e^{-S_{\text{grav}}(\beta)}$$



Factorization puzzle

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$$Z_{\text{CFT}}(\beta) = e^{-S_{\text{grav}}(\beta)}$$



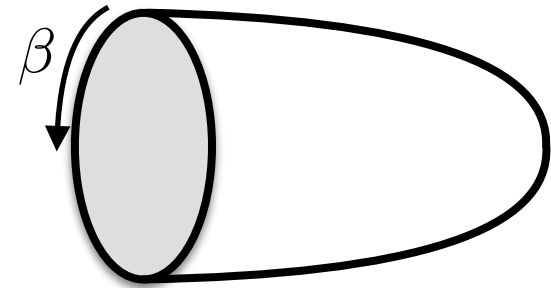
- ▶ What if the boundary conditions consist of two CFTs?

$$Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) = \text{Diagram of two separate thermal geometries}$$

Factorization puzzle

- ▶ Thermal CFT partition function:

$$Z_{\text{CFT}}(\beta) = e^{-S_{\text{grav}}(\beta)}$$



- ▶ What if the boundary conditions consist of two CFTs?

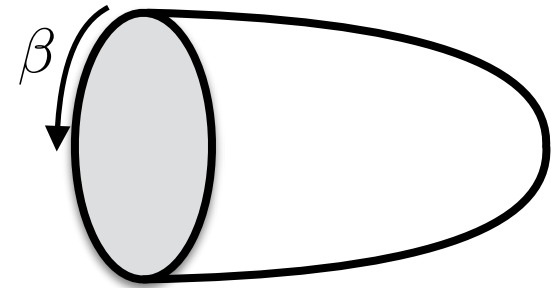
$$Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) = \text{[Diagram 1]} + \text{[Diagram 2]}$$

The diagram shows the product of two thermal CFT partition functions, $Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2)$, equated to the sum of two geometries. The first geometry is a cylinder with a shaded circular boundary on the left, representing the product of two separate thermal states. The second geometry is a cylinder with a shaded circular boundary on the left and a shaded circular boundary on the right, representing a single thermal state with two boundaries. A plus sign with a question mark is placed between the two diagrams, indicating the puzzle of how the product of two thermal states can be represented as a single thermal state.

Factorization puzzle

- ▶ Thermal CFT partition function:

$$Z_{\text{CFT}}(\beta) = e^{-S_{\text{grav}}(\beta)}$$



- ▶ What if the boundary conditions consist of two CFTs?

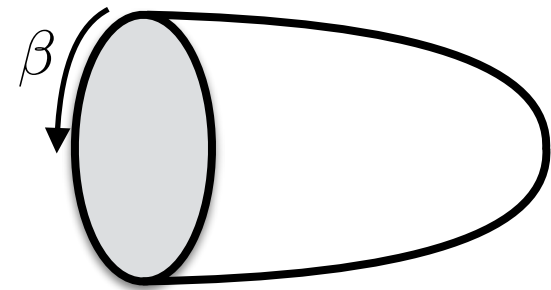
$$Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) = \text{[Diagram 1]} + \text{[Diagram 2]} + \text{[Diagram 3]} + \dots$$

The diagram shows a series of terms representing different geometries for two CFTs. The first term is a cylinder with a shaded circular boundary on the left. The second term is a cylinder with a shaded circular boundary on the right. The third term is a cylinder with shaded circular boundaries on both ends. The fourth term is a cylinder with a shaded circular boundary on the left and a shaded circular boundary on the right, with a curved arrow in the center. The terms are separated by plus signs and followed by an ellipsis.

Factorization puzzle

- ▶ Thermal CFT partition function:

$$Z_{\text{CFT}}(\beta) = e^{-S_{\text{grav}}(\beta)}$$



- ▶ What if the boundary conditions consist of two CFTs?

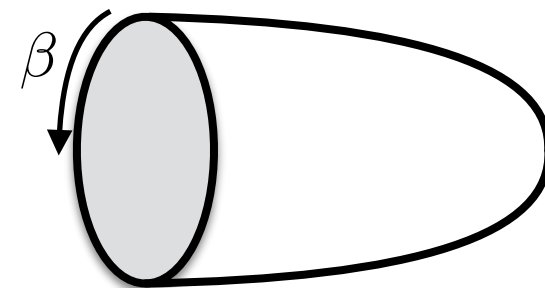
$$Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) = \text{factorized} + \text{not factorized} + \dots$$

The equation shows the product of two CFT partition functions on the left, followed by an equals sign and a series of diagrams representing different gravitational geometries. The first two diagrams are simple cylinders with shaded circular boundaries, with a question mark above the plus sign between them. The next two diagrams are more complex, representing geometries with a central neck and a handle, with two question marks above the plus sign between them. An arrow points from the text "factorized" to the first two diagrams, and another arrow points from the text "not factorized" to the last two diagrams. The series ends with an ellipsis.

Factorization puzzle

- ▶ Thermal CFT partition function:

$$Z_{\text{CFT}}(\beta) = e^{-S_{\text{grav}}(\beta)}$$



- ▶ What if the boundary conditions consist of two CFTs?

$$\langle Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) \rangle = \text{[Diagram 1]} + \text{[Diagram 2]} + \text{[Diagram 3]} + \text{[Diagram 4]} + \dots$$

The diagram shows a sequence of terms representing different gravitational geometries. The first term is a cylinder with a shaded left boundary. The second term is a cylinder with a shaded right boundary. The third term is a cylinder with a shaded left boundary and a central neck. The fourth term is a cylinder with a shaded left boundary and a central neck, with a shaded region on the right boundary. The terms are separated by plus signs and followed by an ellipsis.

$$\begin{array}{c}
 \text{?} \\
 \swarrow \quad \searrow \\
 \langle Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) \rangle = \text{[Diagram 1]} + \text{[Diagram 2]} + \text{[Diagram 3]} + \text{[Diagram 4]} + \dots
 \end{array}$$

The diagram shows a sequence of surfaces: a disk, a sphere, a cylinder, a torus, and an annulus with a handle, each with shaded circular boundaries. The terms are separated by plus signs, with question marks and double question marks above some of them. An arrow from a question mark above points to the first two terms.

► For 2d gravity: dual to random matrix theory

► Average over random matrices [Saad/Shenker/Stanford '19] ...

$$\langle Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) \rangle = \text{?} = \text{[diagram 1]} + \text{[diagram 2]} + \text{[diagram 3]} + \text{[diagram 4]} + \dots$$

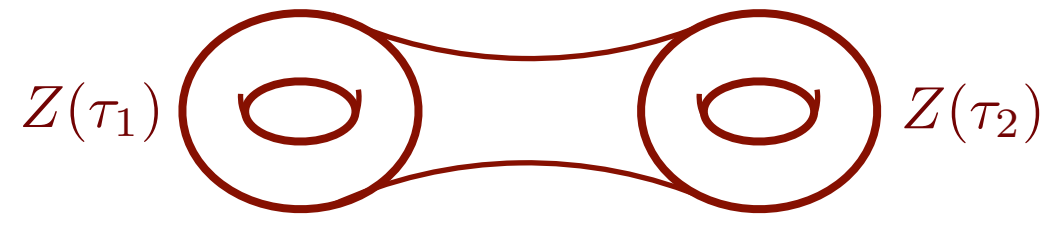
The diagram shows a sequence of terms in a sum. The first term is a sphere with a shaded cap. The second term is a sphere with a shaded equator. The third term is a hyperboloid of one sheet. The fourth term is a hyperboloid of one sheet with a handle. The terms are separated by plus signs, and the sequence ends with an ellipsis.

► For 2d gravity: dual to random matrix theory

► Average over random matrices [Saad/Shenker/Stanford '19] ...

► In higher dimensions: no obvious ensemble

► Nevertheless, interesting wormhole geometries exist (and predict RMT universality) [Cotler/Jensen '20] ...



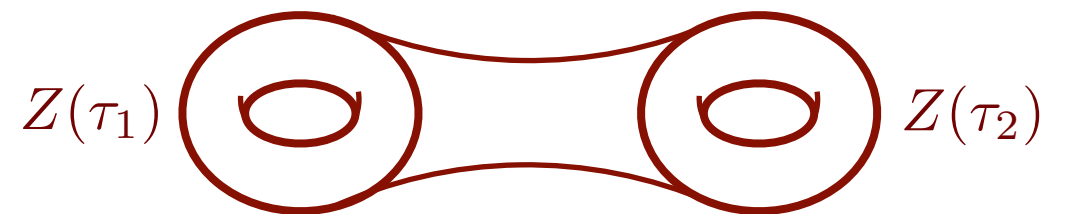
$$\langle Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) \rangle = \text{?} = \text{[diagram of two disks]} + \text{[diagram of a cylinder]} + \text{[diagram of a cylinder with a handle]} + \dots$$

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► One idea: average over ensemble of approximate CFTs

[Belin/de Boer '20] [+ Anous, Jafferis, Liska, Nayak, Sonner] ... [Chandra/Collier/Hartman/Maloney '22] ...

$$\langle Z_{\text{CFT},1}(\beta_1) Z_{\text{CFT},2}(\beta_2) \rangle = \text{[diagram of two disks]} + \text{[diagram of a cylinder]} + \text{[diagram of a cylinder with a handle]} + \dots$$

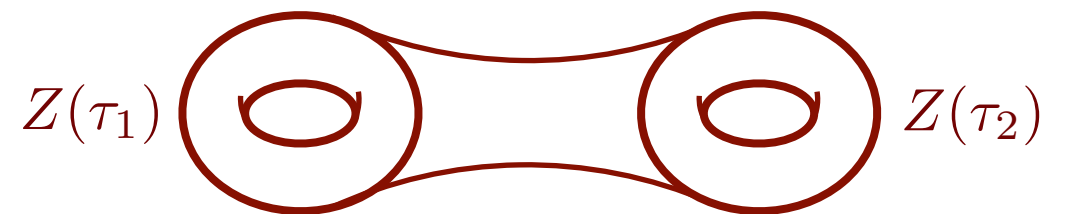
The diagram shows a sequence of terms representing different topologies of a two-boundary geometry. It starts with two separate disks, followed by a cylinder, a cylinder with a handle, and so on. A question mark is placed above the first two terms, and double question marks are above the third and fourth terms.

► For 2d gravity: dual to random matrix theory

► Average over random matrices [Saad/Shenker/Stanford '19] ...

► In higher dimensions: no obvious ensemble

► Nevertheless, interesting wormhole geometries exist (and predict RMT universality) [Cotler/Jensen '20] ...



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[Belin/de Boer '20] [+ Anous, Jafferis, Liska, Nayak, Sonner] ... [Chandra/Collier/Hartman/Maloney '22] ...

► Focus of this talk: (i) average microcanonically over a single CFT

[Pollack/Rozali/Sully/Wakeham '20] ...

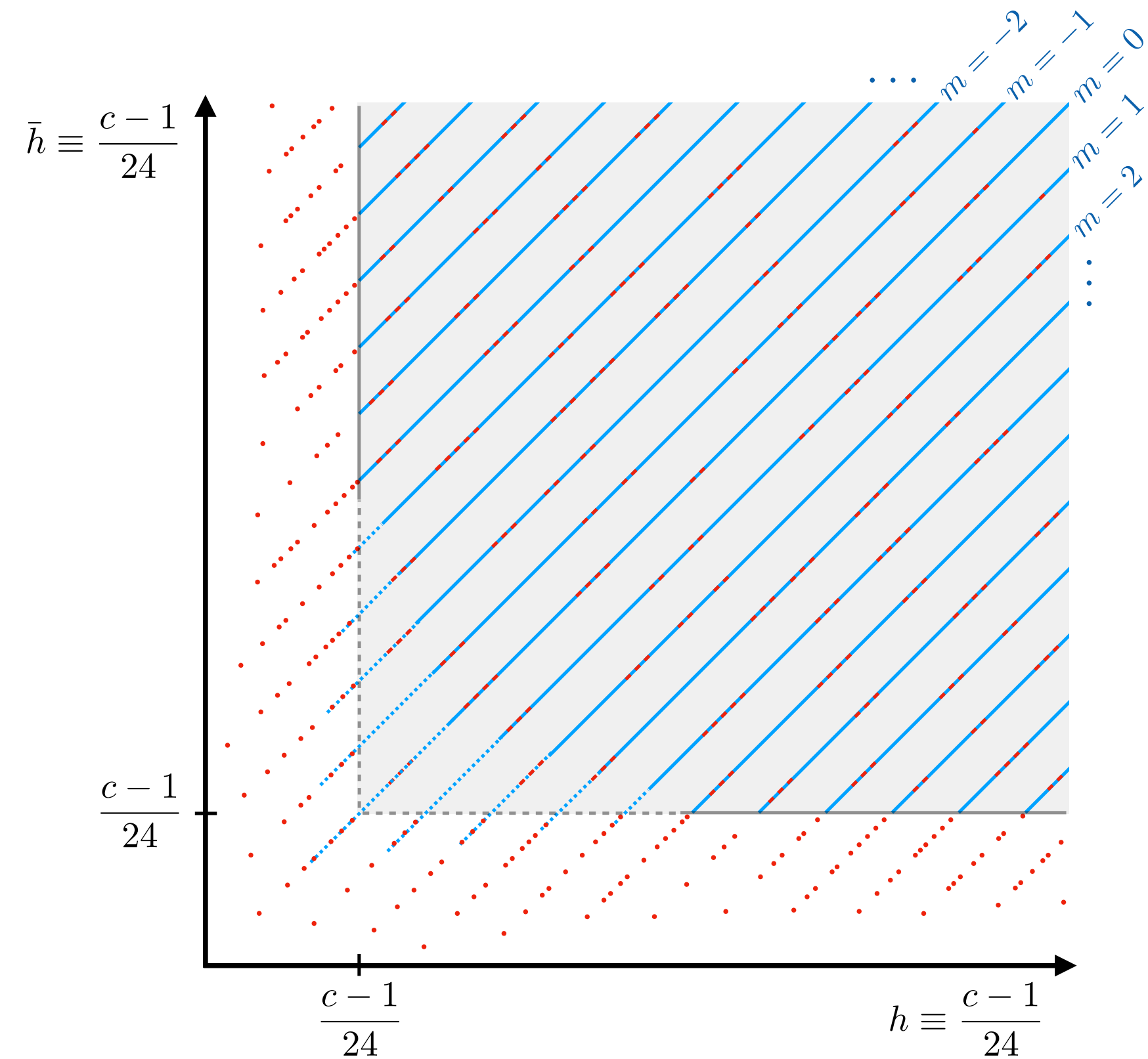
[**FH**/Marteau/Reeves/Rozali '23] [Di Ubaldo/Perlmutter '23] ...

(ii) uplift structure of SSS matrix model to 2d: “RMT₂”

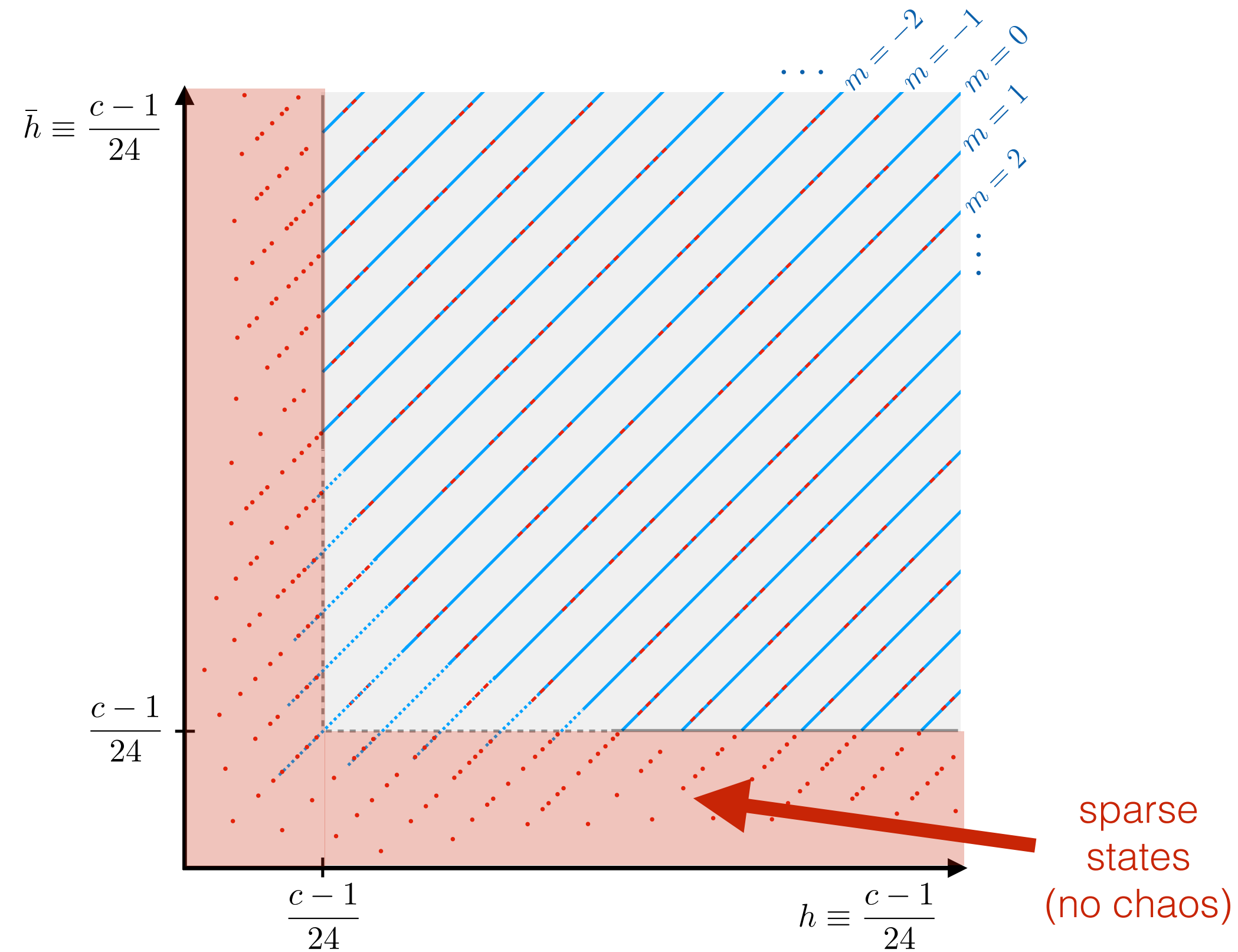
[Boruch/Di Ubaldo/**FH**/Perlmutter/Rozali (to appear)]

Chaos in 2d CFT

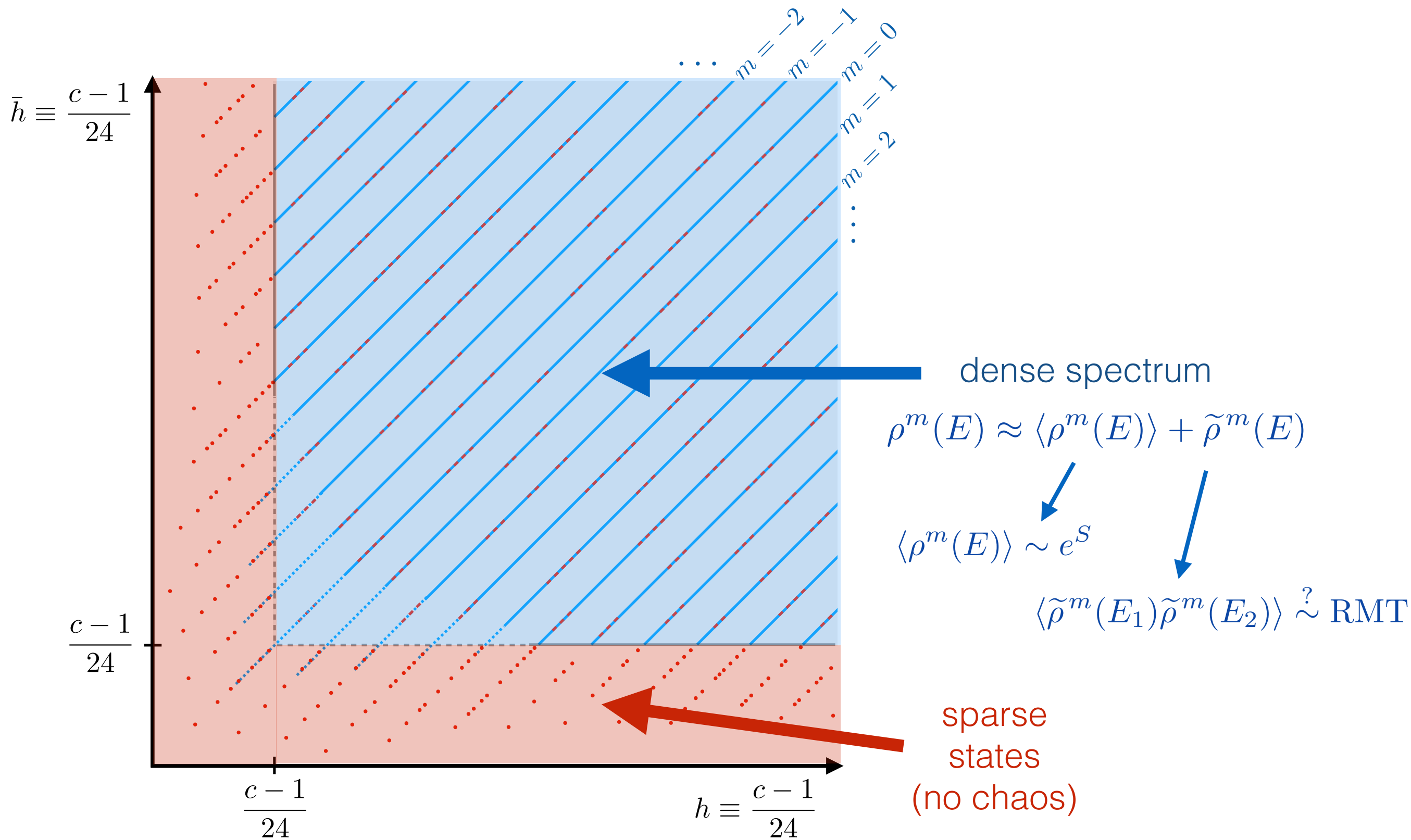
► Cartoon of holographic 2d CFT spectrum:



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► 2d CFTs are **modular invariant**:

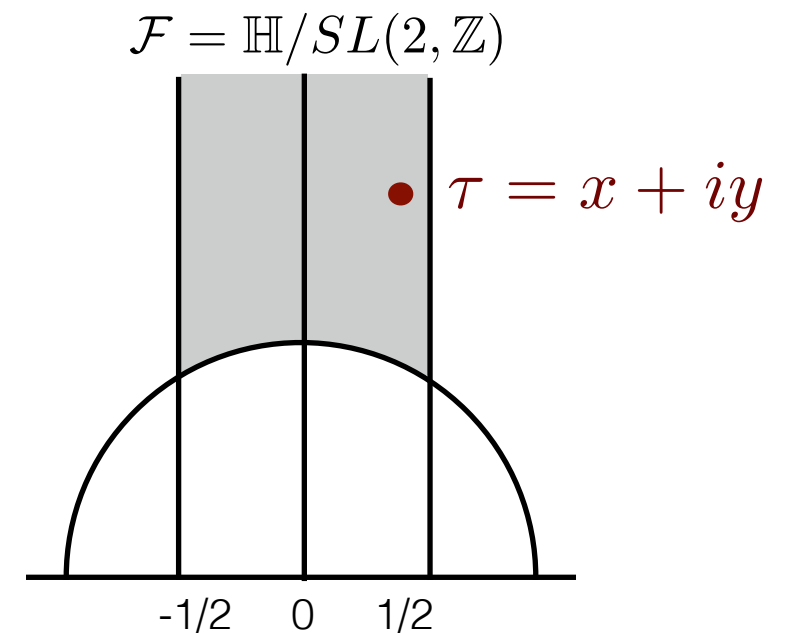
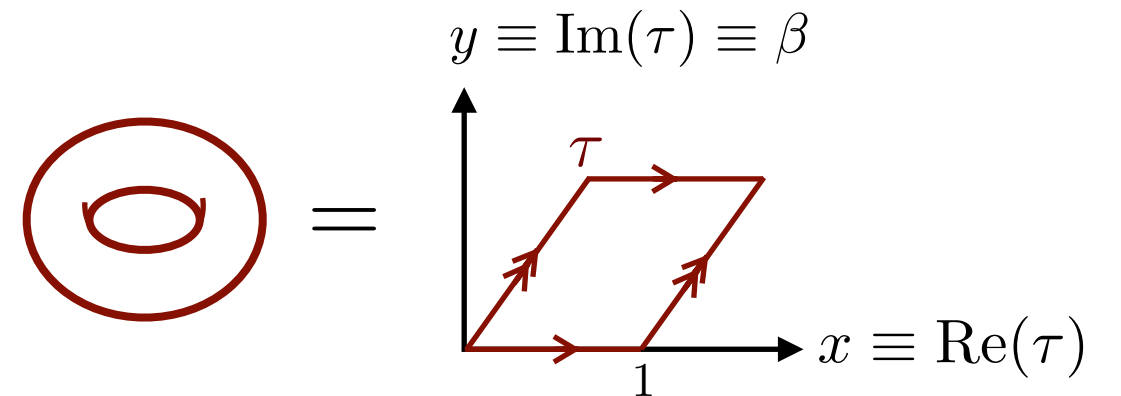
$$Z(\tau) = Z(\gamma \cdot \tau) \quad \gamma \in \text{SL}(2, \mathbb{Z})$$

$$\gamma_T : \quad \tau \mapsto \tau + 1$$

(implies spin quantization)

$$\gamma_S : \quad \tau \mapsto -1/\tau$$

(relates high energy to low energy spectrum)



► 2d CFTs are **modular invariant**:

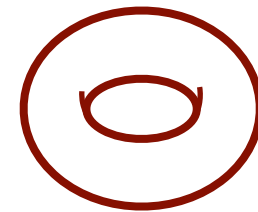
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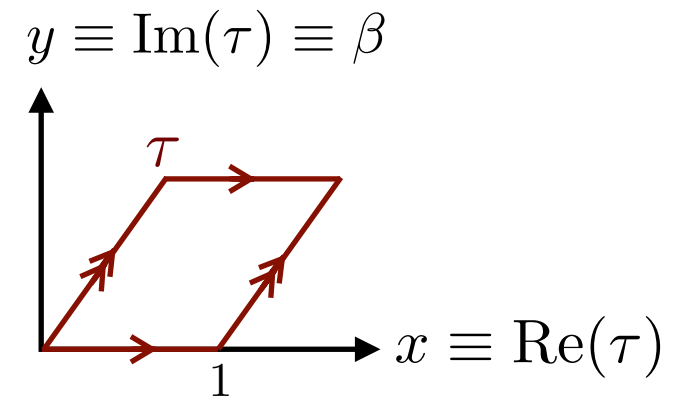
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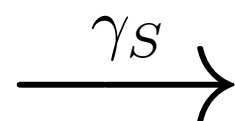


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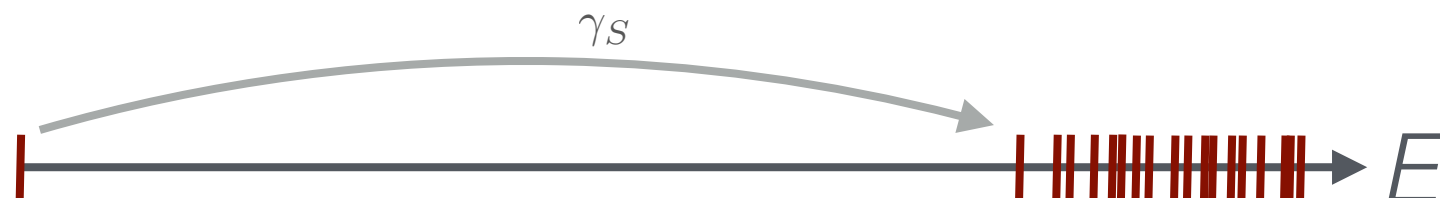


► Cardy formula:

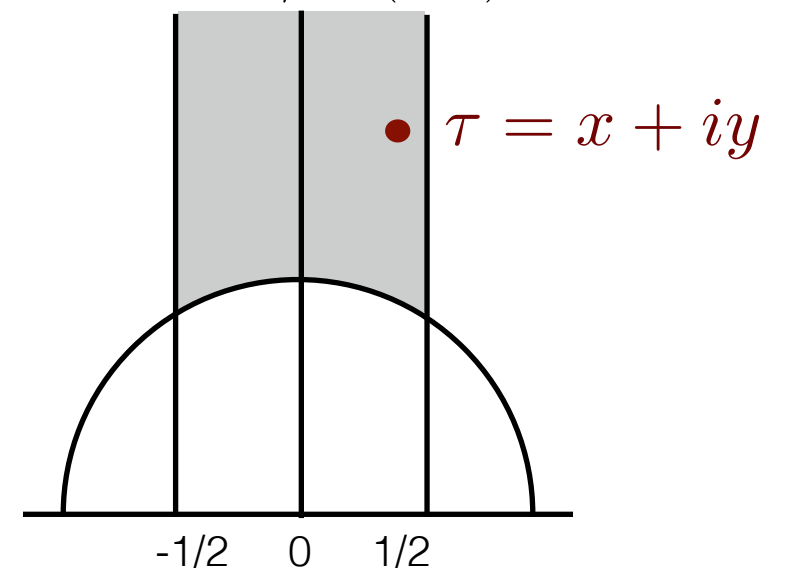
low temperature
dominated by
ground state



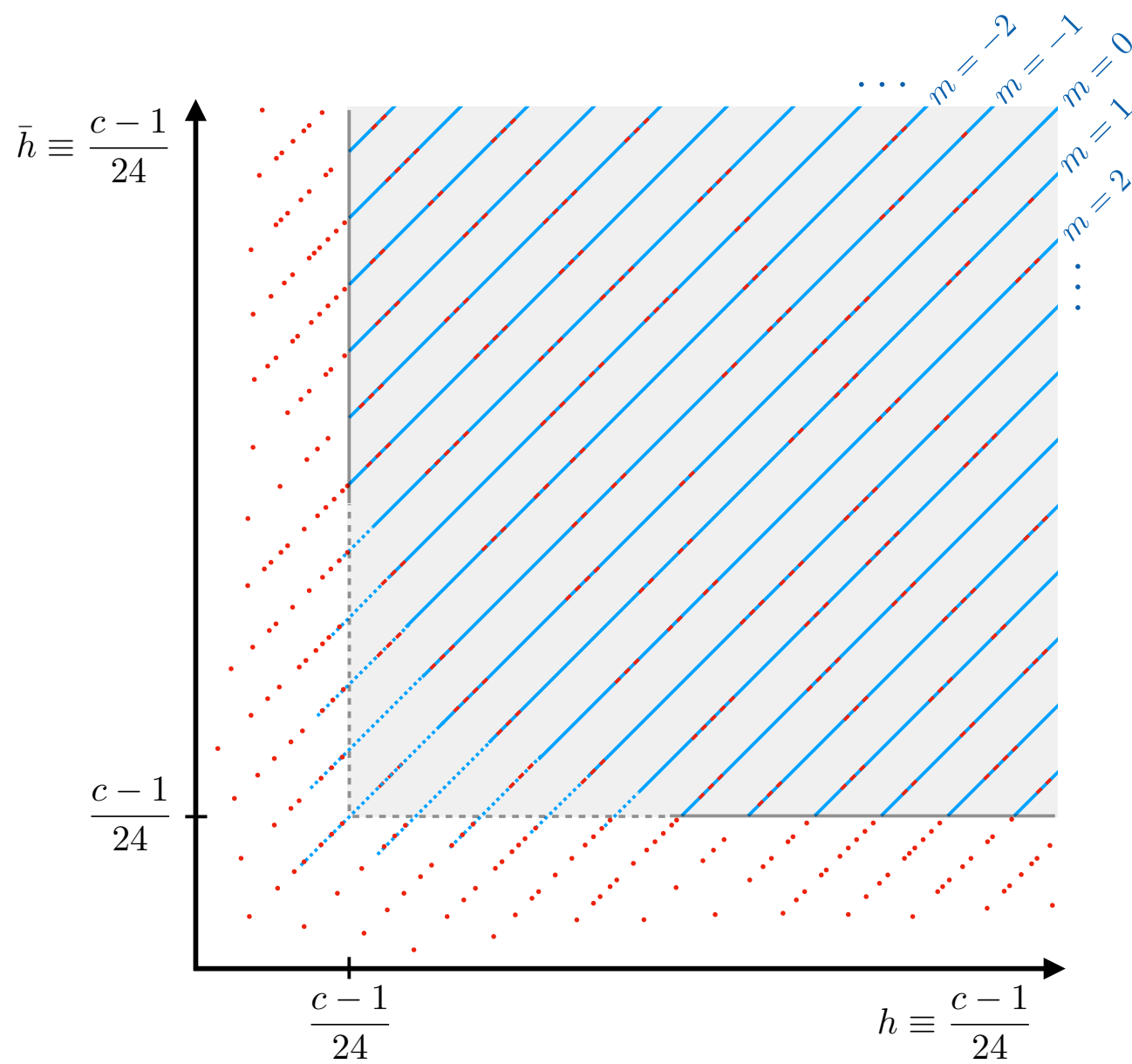
exponentially
dense high
energy spectrum



$$\mathcal{F} = \mathbb{H}/SL(2, \mathbb{Z})$$



- Focus on chaotic part of spectrum that's unconstrained by symmetries



1. Only consider primary states

$$\rho(E) \rightarrow \rho_P(E)$$

2. Subtract “background” due to sporadic states below extremality

$$\rho_P(E) = \hat{\rho}_{\text{sparse}}(E) + \tilde{\rho}_P(E)$$

~ light states
+ Cardy
(self-averaging)

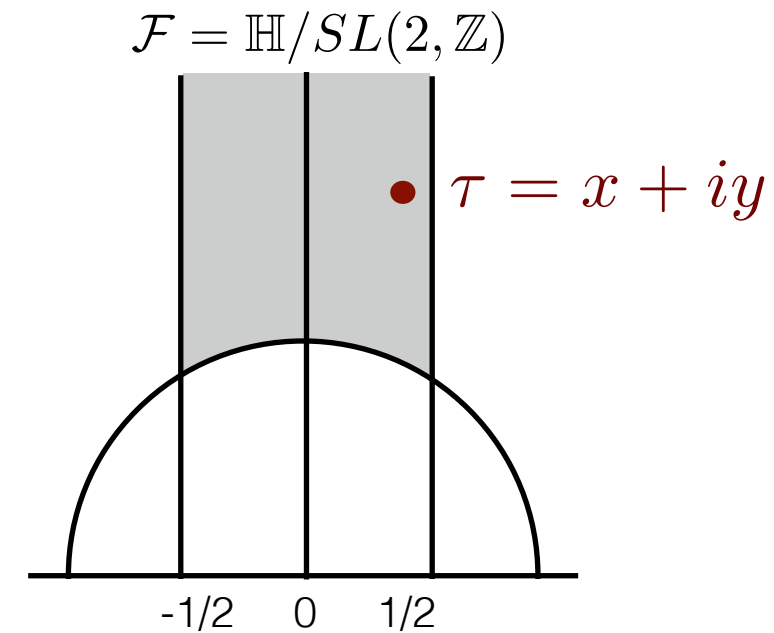
fluctuations around
dense average
(oscillatory)

- $\tilde{\rho}_P$ can be defined in modular invariant way [Benjamin/Collier/Fitzpatrick/Maloney/Perlmutter '21]
- RMT correlations in $\tilde{\rho}_P^m$ are now a reasonable assumption!

► Want to discuss RMT statistics without giving up modular invariance

► E.g., $\langle \tilde{Z}_P^m(y_1 = \beta + iT) \tilde{Z}_P^m(y_2 = \beta - iT) \rangle \sim \frac{T}{2\pi\beta}$

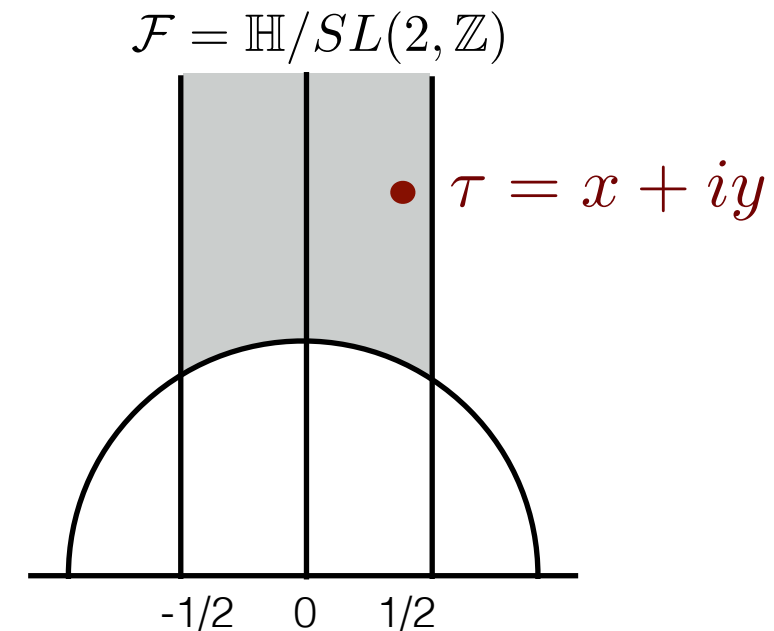
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(and modular transformations mix spin)



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is not a modular invariant statement
(and modular transformations mix spin)



- ▶ Work in variables where modular invariance is manifest!

$$\tilde{Z}_P(\tau) = \text{const.} + \int_{s \equiv \frac{1}{2} + i\mathbb{R}} ds (\tilde{Z}_P, E_s) E_s(\tau) + \sum_{n \geq 1} (\tilde{Z}_P, \bar{\nu}_n) \bar{\nu}_n(\tau)$$

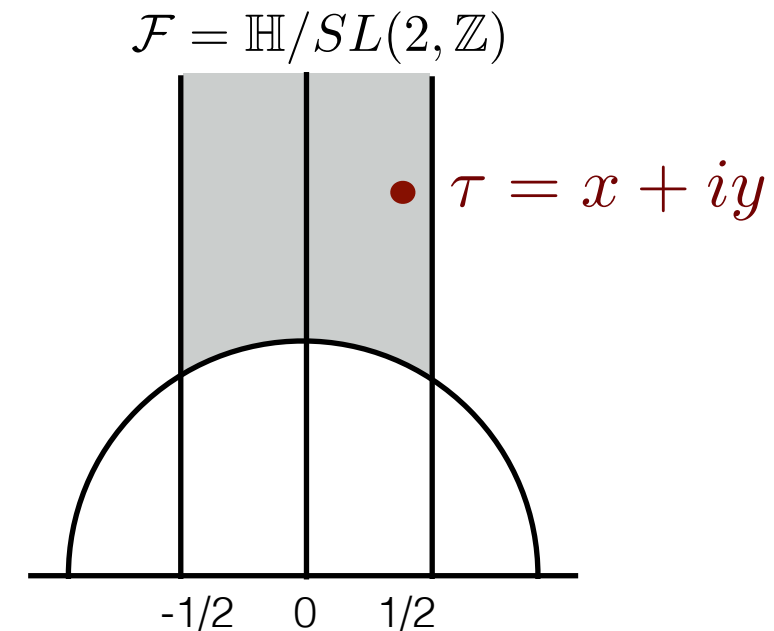
[Rankin '39] [Selberg '40] ...

[Benjamin/Collier/Fitzpatrick/
Maloney/Perlmutter '21]

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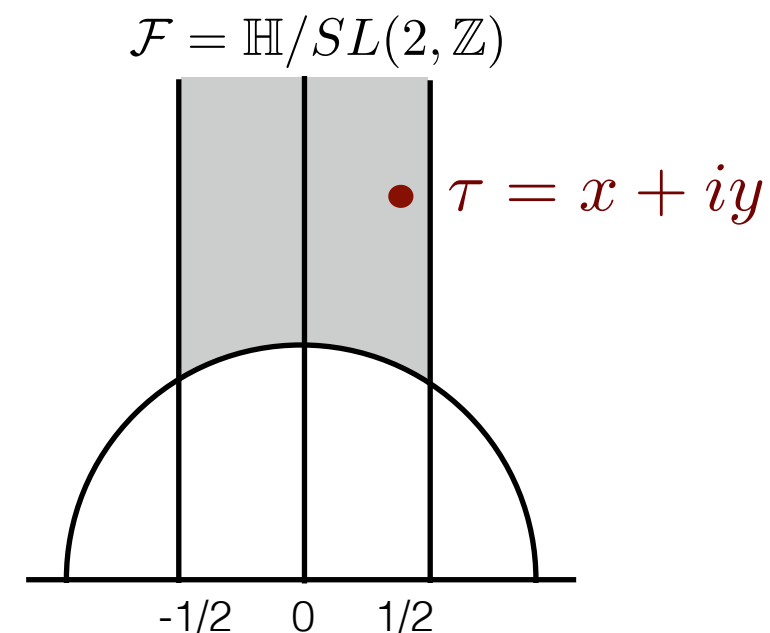
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encode all information about **spectrum**.

—> encode **spectral statistics** in $\langle z_{s_1} z_{s_2} \rangle$, $\langle z_{n_1} z_{n_2} \rangle$ etc.

[Rankin '39] [Selberg '40] ...

[Benjamin/Collier/Fitzpatrick/
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$$\tilde{Z}_P(\tau) = \text{const.} + \int_{s \equiv \frac{1}{2} + i\mathbb{R}} ds (\tilde{Z}_P, E_s) E_s(\tau) + \sum_{n \geq 1} (\tilde{Z}_P, \bar{\nu}_n) \bar{\nu}_n(\tau)$$

1. Real-analytic Eisenstein series (“scattering states”):

$$\Delta_{\mathcal{F}} E_s = s(1-s)E_s \quad s \in \frac{1}{2} + i\mathbb{R}$$

2. Maass cusp forms (“bound states”):

$$\Delta_{\mathcal{F}} \nu_n = \left(\frac{1}{4} + R_n^2 \right) \nu_n \quad R_n = 13.780, 17.739, 19.424, \dots \quad (n = 1, 2, 3, \dots)$$

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Fourier coefficients: $\tilde{a}_m^{(\alpha)} = \frac{4}{\Gamma(-i\alpha)\zeta(-2i\alpha)} \sum_{d|m} \left(\frac{d^2}{m\pi}\right)^{i\alpha}$

2. Maass cusp forms (“bound states”):

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$$\nu_n(\tau = x + iy) = \sum_{m > 0} \cos(2\pi mx) a_m^{(n)} \sqrt{y} K_{iR_n}(2\pi my)$$

Fourier coefficients: not known analytically (*arithmetic chaos*)

interesting math conjectures (e.g., $-2 \leq a_{m \text{ prime}}^{(n)} \leq 2$)

Spin $m=0$ 'ramp'

- ▶ 'Ramp' in the spectrum of scalar operators:

$$\frac{1}{(4\pi i)^2} \iint_{\frac{1}{2} + i\mathbb{R}} ds_1 ds_2 \langle z_{s_1} z_{s_2} \rangle_{\text{ramp}} E_{s_1}^0(x_1 + iy_1) E_{s_2}^0(x_2 + iy_2) \sim \frac{1}{\pi} \frac{y_1 y_2}{y_1 + y_2} + (\text{subleading})$$

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[FH/Marteau/Reeves/Rozali]

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- ▶ For leading term (linear ramp), only need asymptotics:

[Di Ubaldo/Perlmutter]

$$\langle z_{s_1} z_{s_2} \rangle_{\text{ramp}} \sim e^{-\pi \alpha_1} \times 4\pi \delta(\alpha_1 + \alpha_2)$$

different functions with these asymptotics give different **subleading corrections**

Spin $m=0$ 'ramp'

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time domain



modular invariant basis

$$\langle \tilde{Z}_P^m(\beta + iT) \tilde{Z}_P^m(\beta - iT) \rangle$$

$$\sim \frac{T^2}{2\pi\beta} + (\text{subleading})$$



required for mod.inv.

$$\langle z_{\frac{1}{2}+i\alpha_1} z_{\frac{1}{2}+i\alpha_2} \rangle$$

$$\sim (e^{-\pi\alpha_1} + \text{subleading}) \times 4\pi\delta(\alpha_1 + \alpha_2)$$



mod.inv. by itself!

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Any choice is an allowed
modular completion.

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How to find possible
modular completions?

Important example: holography

(subleading)_{AdS₃ wormhole}

$$= \sum_c S(m_1, m_2, c)(\dots)$$

[Cotler/Jensen '20]

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[Collier] [Di Ubaldo/Perlmutter '23]

[FH/Reeves/Rozali '23]

- ▶ What about RMT universality in spin $m > 0$ spectrum?
- ▶ Can be encoded in Maass cusp forms
- ▶ Shortcut: **spectral decomposition** follows from a **trace formula**
 [Bruggeman '78][Kuznetsov '81][FH/Reeves/Rozali '23]

$$\delta_{m_1 m_2} \mathcal{I}[g](y_1, y_2) + \mathcal{G}_{m_1 m_2}[g](y_1, y_2)$$

$$= \int_{\mathbb{R}} \frac{d\alpha}{4\pi} \frac{g(\alpha)}{2 \cosh(\pi\alpha)} E_{\frac{1}{2}+i\alpha}^{m_1}(y_1) E_{\frac{1}{2}+i\alpha}^{m_2}(y_2) + \sum_{n \geq 1} \frac{g(R_n)}{2 \cosh(\pi R_n)} \frac{\nu_n^{m_1}(y_1)}{\|\nu_n\|} \frac{\nu_n^{m_2}(y_2)}{\|\nu_n\|}$$

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$$\mathcal{I}[g](y_1, y_2) = \frac{y_1 y_2}{\pi^2} \int_{\mathbb{R}} d\alpha \alpha \tanh(\pi\alpha) g(\alpha) K_{i\alpha}(2\pi m_1 y_1) K_{i\alpha}(2\pi m_2 y_2)$$

$$\mathcal{G}_{m_1 m_2}[g](y_1, y_2) = 8i\sqrt{y_1 y_2} \sum_{c \geq 1} \frac{S(|m_1|, |m_2|; c)}{c} \int_{\mathbb{R}} \frac{d\alpha}{4\pi} \frac{\alpha g(\alpha)}{\cosh(\pi\alpha)} J_{2i\alpha} \left(\frac{4\pi\sqrt{|m_1 m_2|}}{c} \right) K_{i\alpha}(2\pi m_1 y_1) K_{i\alpha}(2\pi m_2 y_2) \\ + \frac{16}{\pi} \sqrt{y_1 y_2} \sum_{c \geq 1} \frac{S(|m_1|, -|m_2|; c)}{c} \int_{\mathbb{R}} \frac{d\alpha}{4\pi} \alpha g(\alpha) \sinh(\pi\alpha) K_{2i\alpha} \left(\frac{4\pi\sqrt{|m_1 m_2|}}{c} \right) K_{i\alpha}(2\pi m_1 y_1).$$

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spin-diagonal term

“modular completion”
(subleading for $T \gg \beta$)

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Eisenstein series

Maass cusp forms

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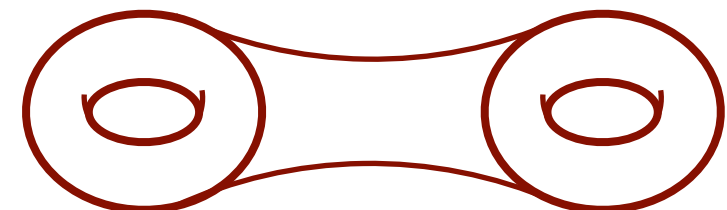
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[Cotler/Jensen '20]

wormhole amplitude
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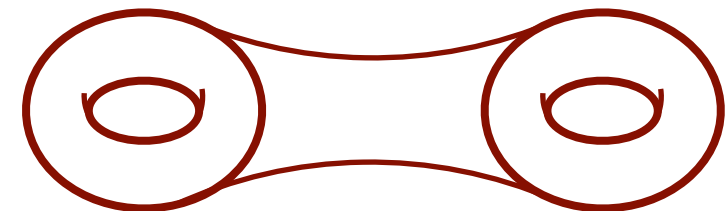
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wormhole amplitude
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Gravity amplitude = simplest (minimal) completion of the ‘bare ramp’ into a SFF consistent with modular invariance

“MaxRMT” principle

[Di Ubaldo/Perlmutter '23]

... [FH/Reeves/Rozali '23]

Spinning ramps and arithmetic chaos

- Spinning ramps encoded in “random sum of cusp forms”! How??

$$\frac{1}{\pi} \frac{y_1 y_2}{y_1 + y_2} e^{-2\pi m(y_1 + y_2)} + \dots = \sum_{n \geq 1} \frac{1}{2 \cosh(\pi R_n)} \frac{\nu_n^m(y_1) \nu_n^m(y_2)}{\|\nu_n\|^2}$$

spin m ‘ramp’
(quantum chaos)

‘arithmetic chaos’
[Sarnak ’93] [Hejhal/Arno ’93] [Steil ’94]

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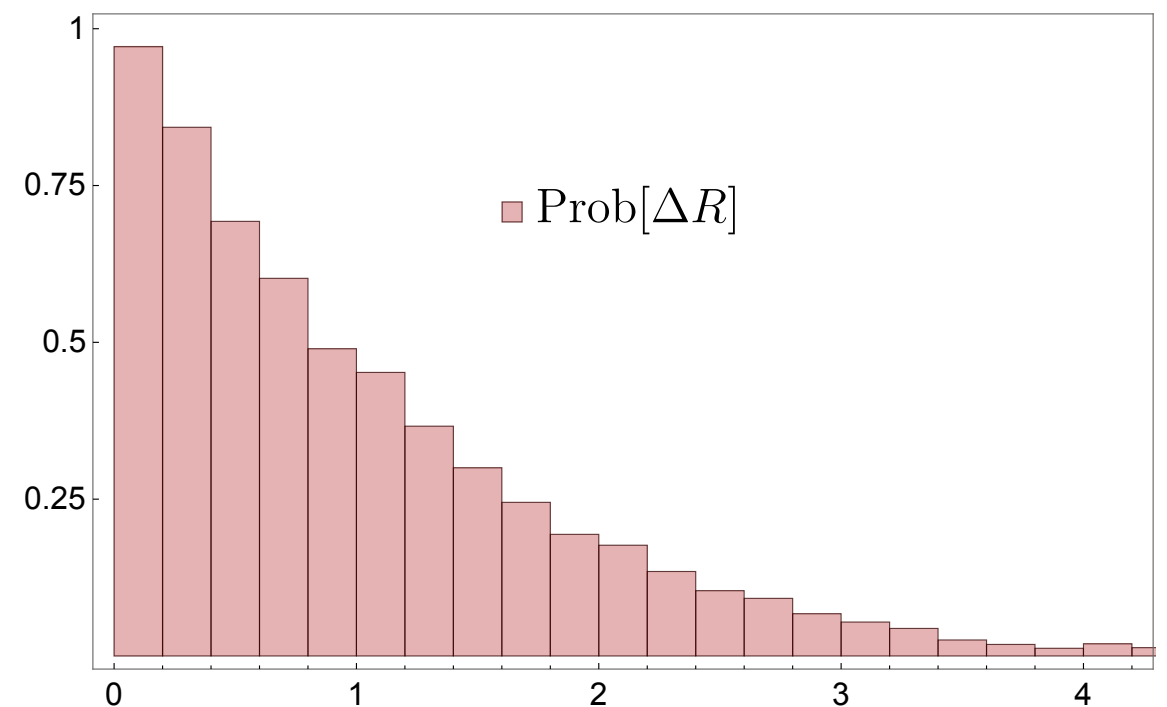
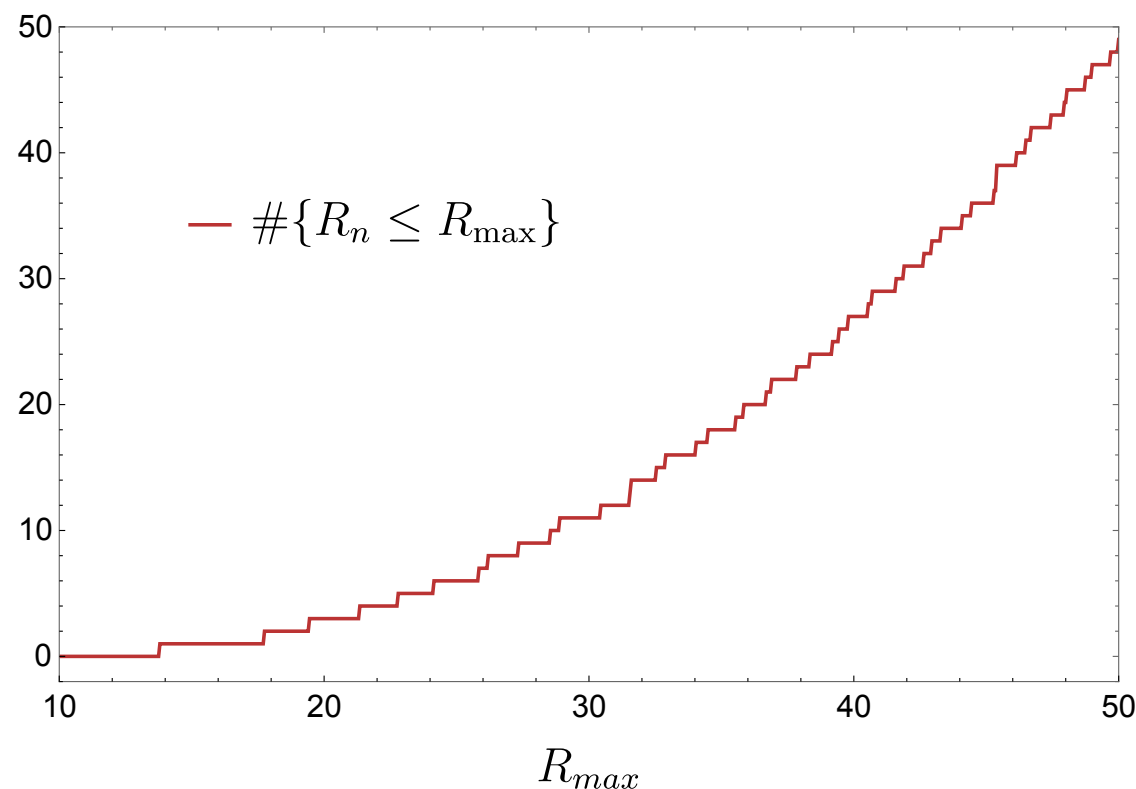
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[Sarnak '93] [Hejhal/Arno '93] [Steil '94]

- ▶ Eigenvalues R_n : sporadic numbers, Poisson distributed

$$R_n = 13.7798\dots, \quad 17.7386\dots, \quad 19.4235\dots, \quad 21.3158\dots, \quad 22.7859\dots, \quad 24.1124\dots, \quad 25.8262\dots, \dots$$



[Then '04] [2309.00611]

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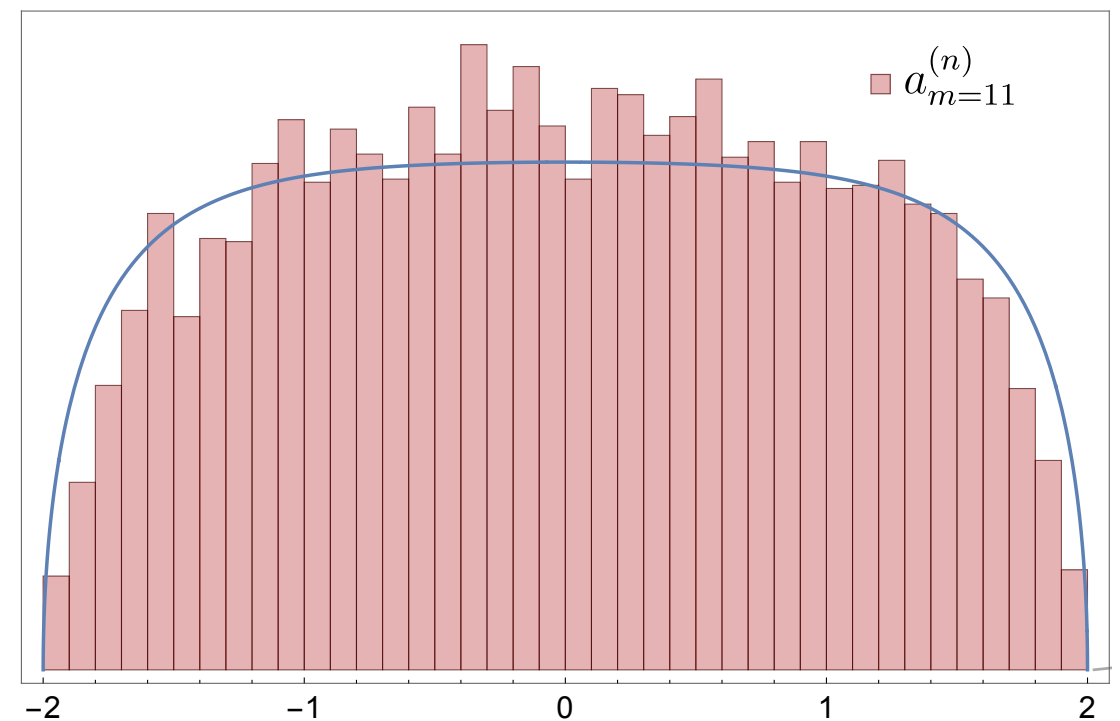
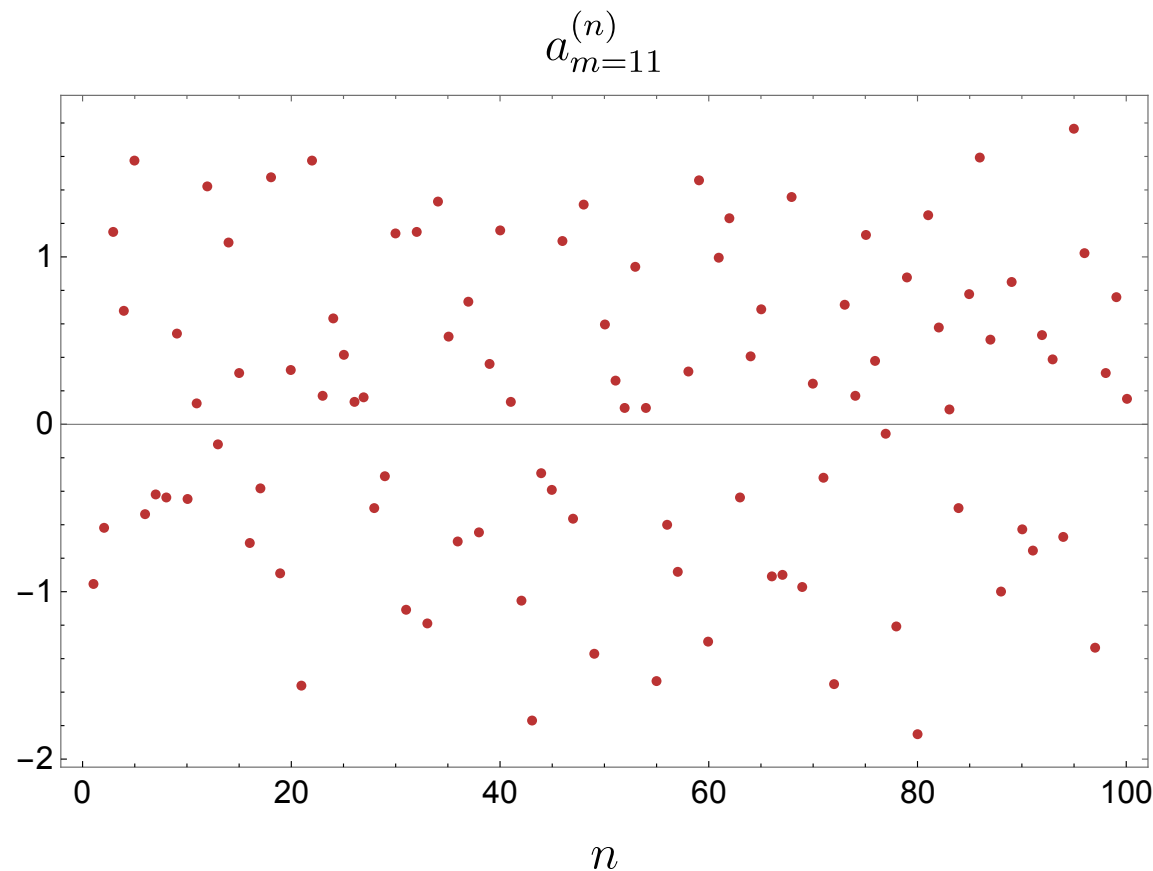
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[Sarnak '93] [Hejhal/Arno '93] [Steil '94]

- ▶ Fourier coefficients $a_m^{(n)}$: also erratic, Poisson

$$a_{m=11}^{(n)} = -0.954\dots, -0.621\dots, +1.154\dots, +0.678\dots, +1.575\dots, -0.533\dots, \dots$$



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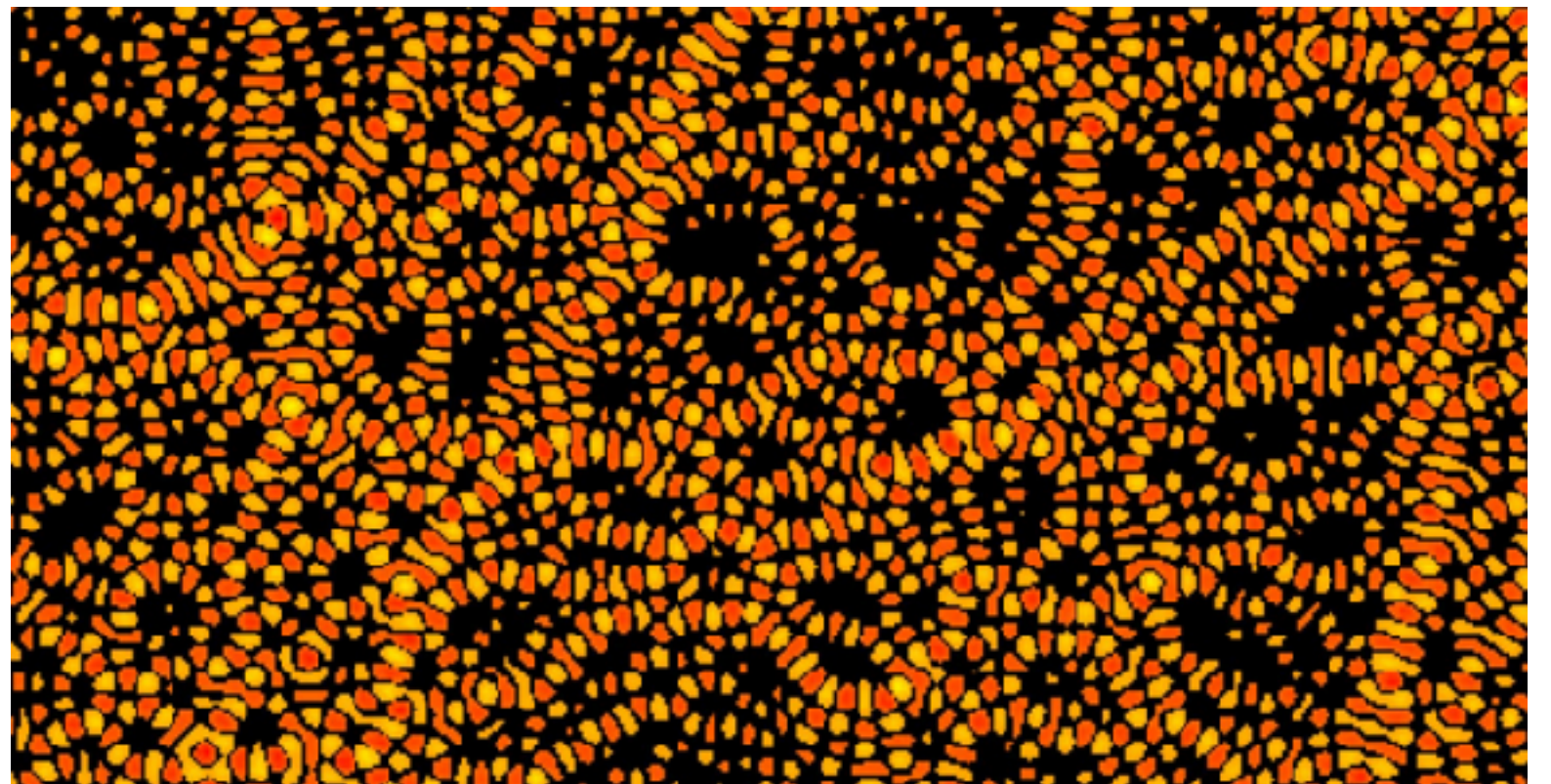
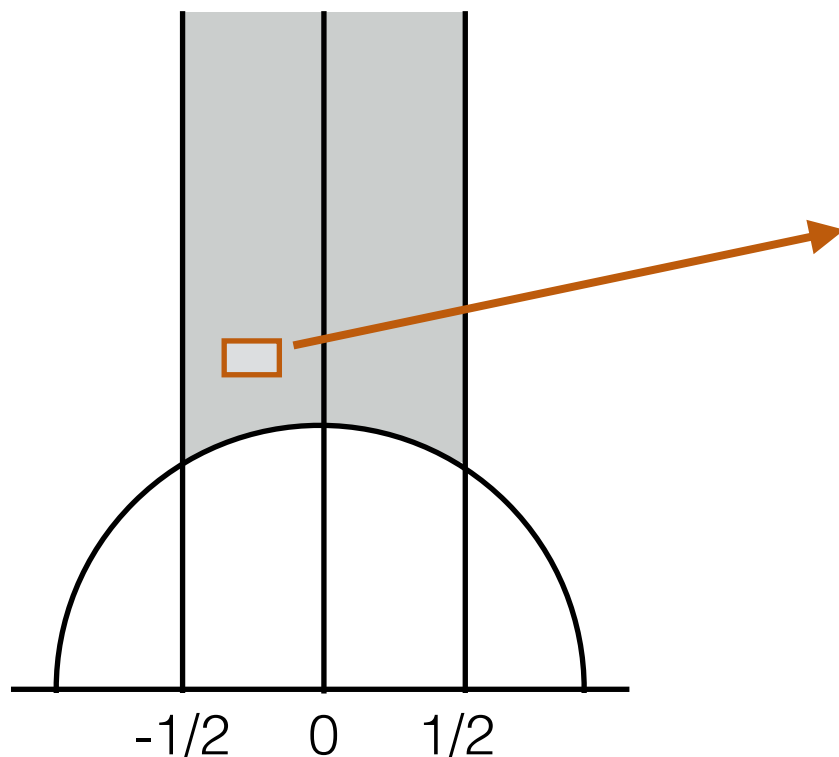
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e.g.: cusp form with $R_n = 40000.000164..$



[H. Then, Math. Comp. 74 (2004)]

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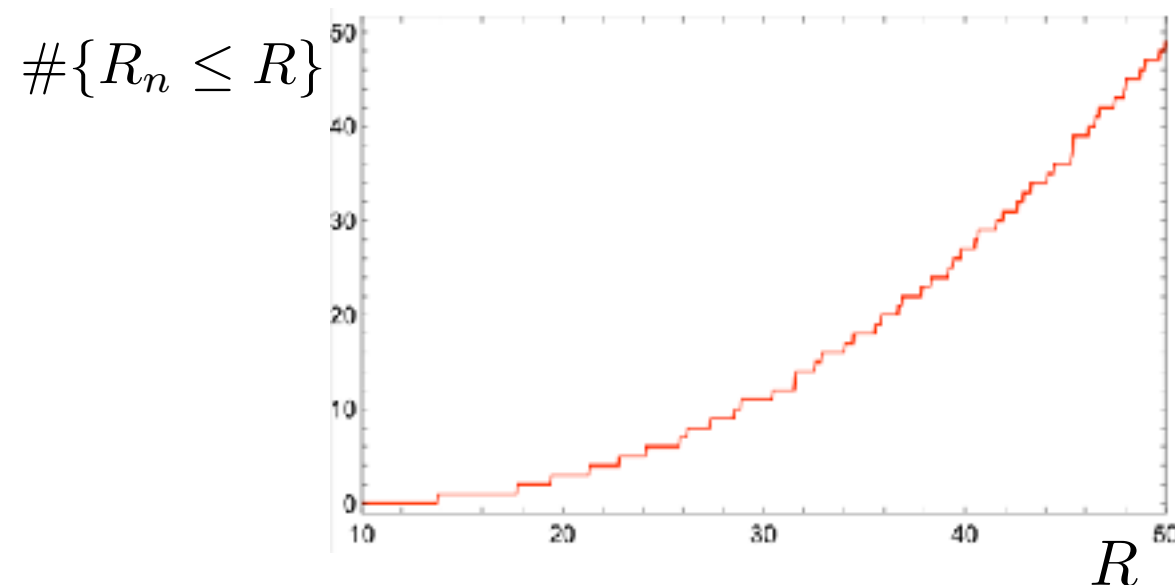
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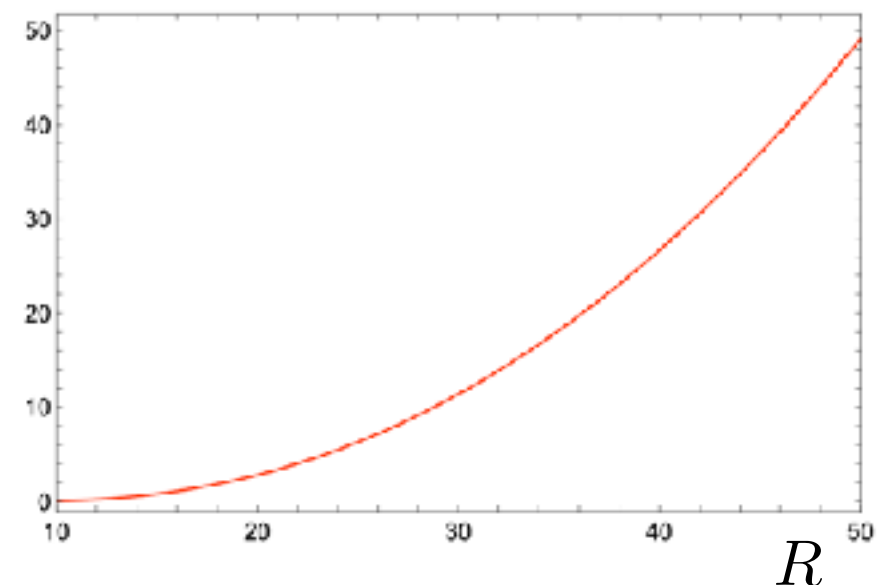
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- Can understand this in surprising detail [FH/Reeves/Rozali '23]

1. Numerically: sum over $O(10^4)$ cusp forms
2. Analytically: trace formula
3. Analytically: approximate (\star) using **statistical properties** of R_n & $a_m^{(n)}$



\approx



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Wormhole amplitude again implements RMT universality
in the most extreme way:

$\langle z_n z_n \rangle_{\text{wormhole}}$ encodes complete statistical information
(all moments) of all cusp form data.

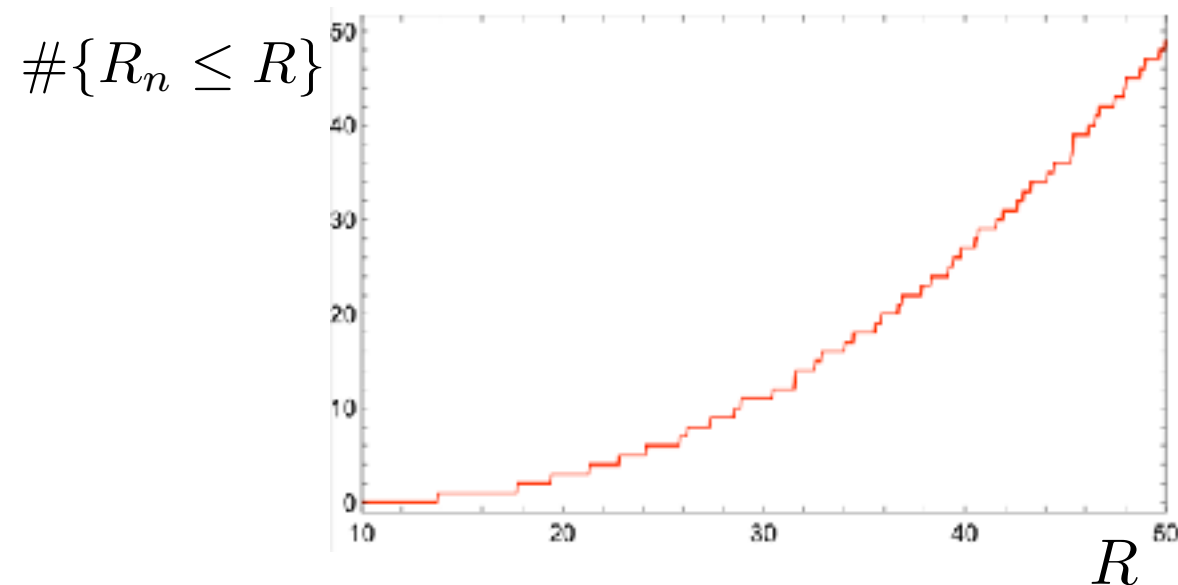
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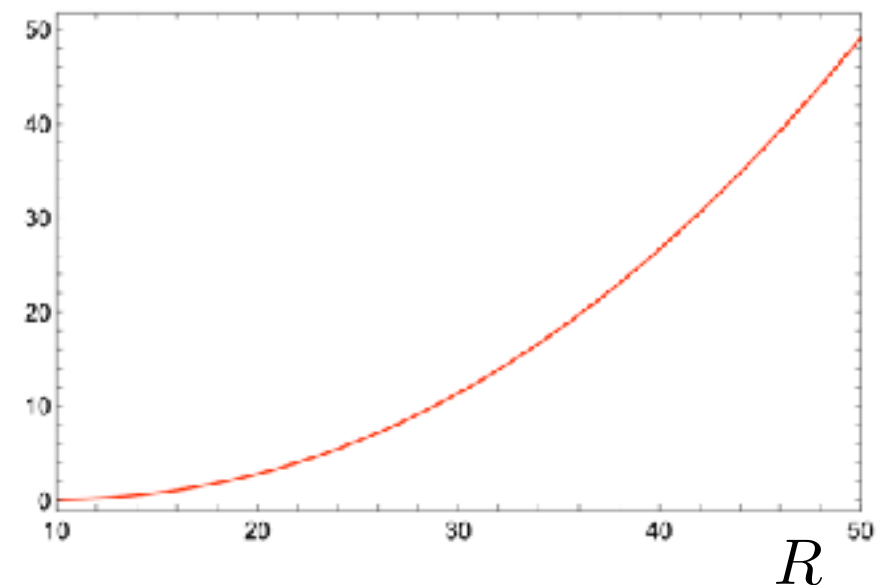
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$$\sum_{n \geq 1} \frac{1}{2 \cosh(\pi R_n)} \frac{\nu_n^m(y_1) \nu_n^m(y_2)}{\|\nu_n\|^2} \longrightarrow \int_0^\infty dR \bar{\mu}(R) (\dots) K_{iR}(2\pi m y_1) K_{iR}(2\pi m y_2)$$

Topological expansion

[Boruch/Di Ubaldo/**FH**/Perlmutter/Rozali (to appear)]

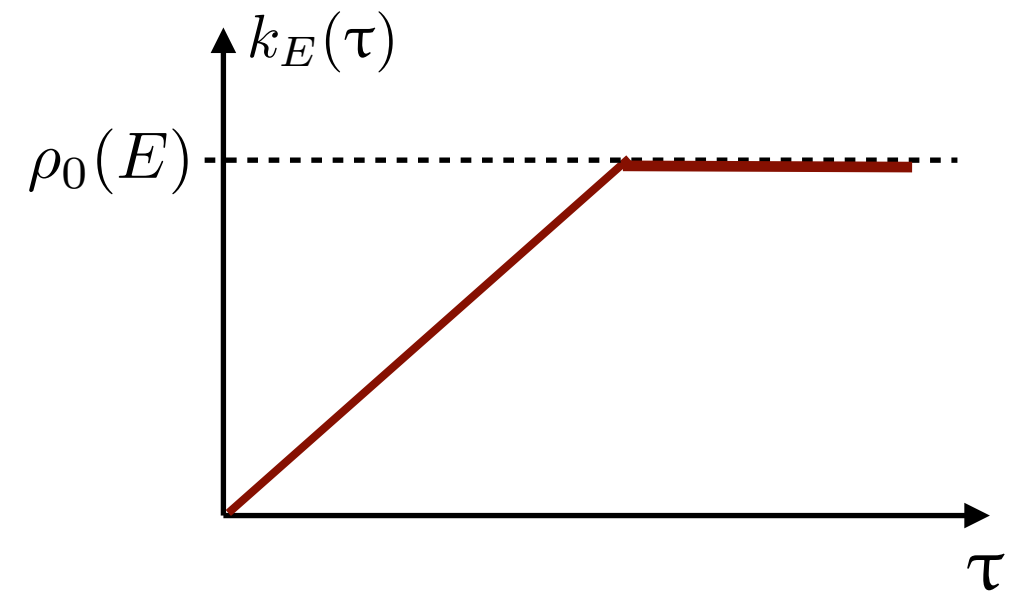
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► RMT universality says more: [Mehta, Gaudin, Dyson, ...]

$$\text{SFF}_\beta(T) = \int_0^\infty \frac{dE}{2\pi} e^{-2\beta E} k_E(T)$$

e.g., GUE with $T \rightarrow \infty$ ($\tau = T e^{-S_0}$ fixed):

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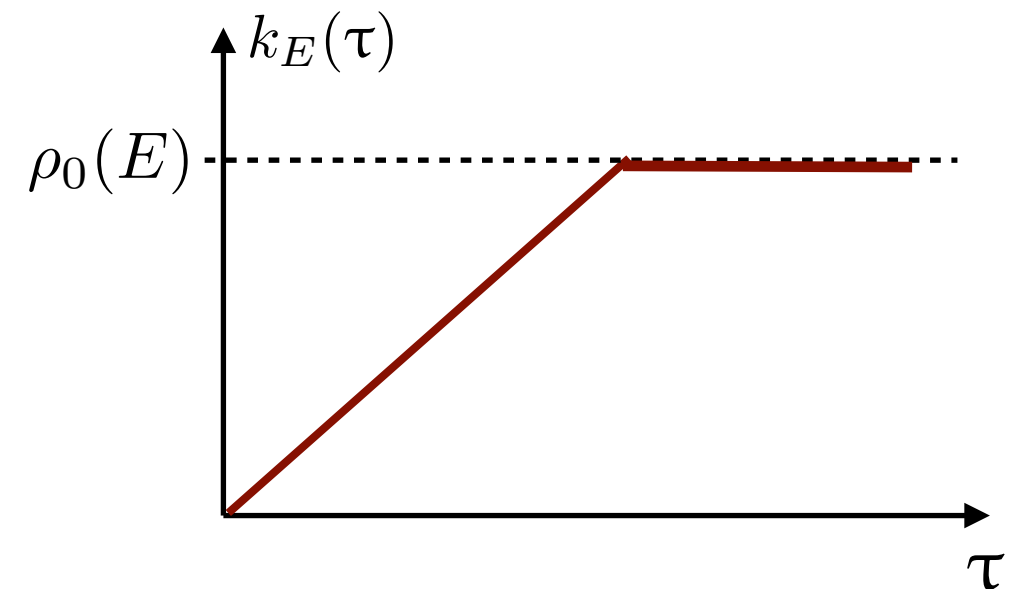
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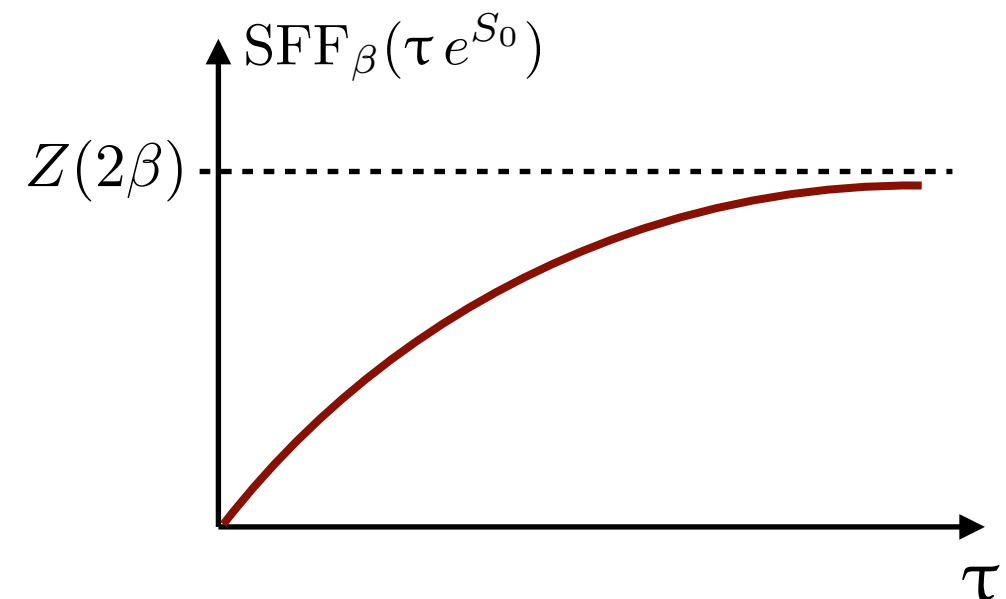
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$$\text{SFF}_\beta(T) = \frac{T}{4\pi\beta} + \sum_{g \geq 1} c_g(\rho_0; \beta) \tau^{2g+1}$$

“genus expansion”

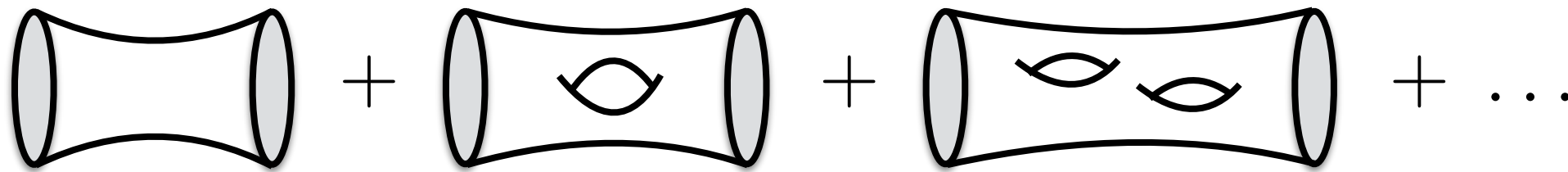
(non-perturbative e^{-S_0}
corrections to leading ramp)



$$\text{SFF}_\beta(T) = \frac{T}{4\pi\beta} + \sum_{g \geq 1} c_g(\rho_0; \beta) \tau^{2g+1}$$

► Understood in (1+1)-diml. gravity dual to RMT

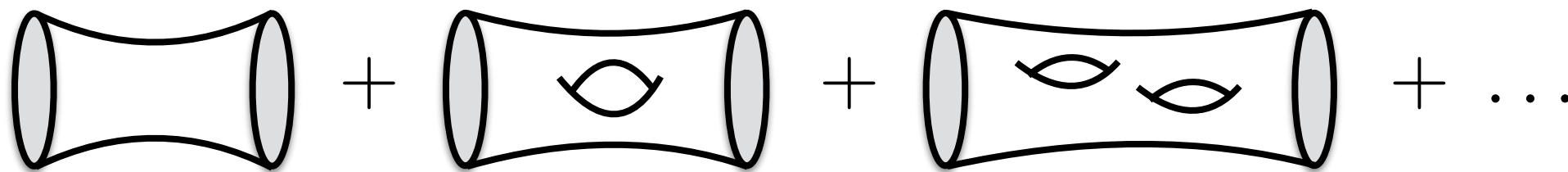
[Saad/Shenker/Stanford '19] ... [Saad/Stanford/Yang/Yao '22] [Blommaert/Kruthoff/Yao '22] ...



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- In modular invariant CFT, expect:

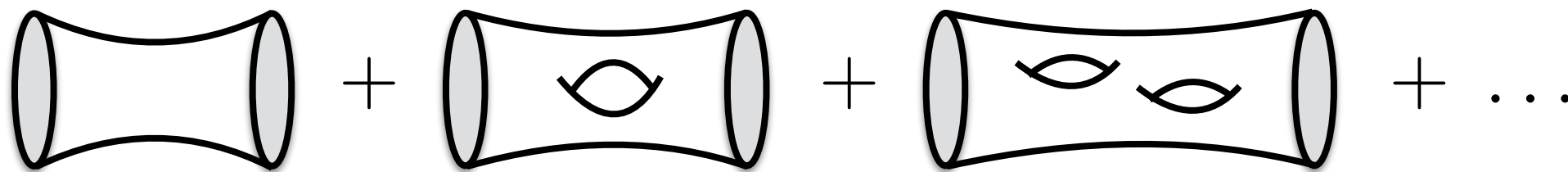
$$\text{SFF}_\beta(T) = \underbrace{\left(\frac{T}{4\pi\beta} + [\text{modular completion}] \right)}_{\text{diagonal spectral correlations}} + \sum_{g \geq 1} \underbrace{(c_g(\rho_0; \beta) + [\text{modular completion}])}_{\text{off-diagonal?}} \tau^{2g+1}$$

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- Two steps:
 1. Define topological expansion in 2d (for general $\rho_0(E)$)
 2. Apply to $\rho_0(E)$ describing irrational CFT

Toy model: GUE Airy RMT₂

► Consider $\rho(E) = \sqrt{E} e^{S_0}$

► In this case, the genus expansion is well-known:

$$\langle \tilde{Z}_P^0(y_1) \tilde{Z}_P^0(y_2) \rangle = \frac{1}{2\pi} \frac{y_1 y_2}{y_1 + y_2} + \sum_{g=1}^{\infty} \frac{(-1)^g}{2\pi g! (2g+1) e^{2gS_0}} (y_1 + y_2)^{g-1} (y_1 y_2)^{g+1}$$

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► Claim: this can be uplifted to a modular invariant expression:

$$\langle \tilde{Z}_P(\tau_1) \tilde{Z}_P(\tau_2) \rangle = \frac{1}{(4\pi i)^2} \iint_{\frac{1}{2} + i\mathbb{R}} ds_1 ds_2 \langle z_{s_1} z_{s_2} \rangle_{\tau\text{-GUE Airy}} E_{s_1}(\tau_1) E_{s_2}(\tau_2) + [\text{cusp forms}]$$

$$\langle z_{\frac{1}{2} + i\alpha_1} z_{\frac{1}{2} + i\alpha_2} \rangle_{\tau\text{-GUE Airy}} = \frac{e^{-2i\alpha_+}}{\alpha_+ (2\alpha_+ - i)} \times \Gamma(\dots) \Gamma(\dots)$$

$$\alpha_+ \equiv \frac{1}{3}(\alpha_1 + \alpha_2), \quad \alpha_- \equiv \frac{1}{3}(\alpha_1 - \alpha_2)$$

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► Check (and derivation): $E_s(\tau) \mapsto y^s$ reproduces (\star) ...

... and everything else is subleading

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- Fully resummed genus expansion encoded in analytic structure
- To develop genus expansion, evaluate α_{\pm} integrals by contour deformation

poles: $\alpha_+ = 0 \quad \longrightarrow \quad \text{ramp (g=0)}$

$\alpha_+ = -ig \quad \longrightarrow \quad \text{genus g contribution} \sim e^{-2gS_0}$

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Outlook:

- We have a systematic way to produce $\langle z_{s_1} z_{s_2} \rangle$ given a spectral curve $\rho(E)$
- Defines the modular invariant uplift of universal RMT
- Minimal modular invariant uplift of $g=0$ RMT \Rightarrow AdS₃ wormhole.
What do we learn about gravity from minimal uplift of $g>0$ terms?

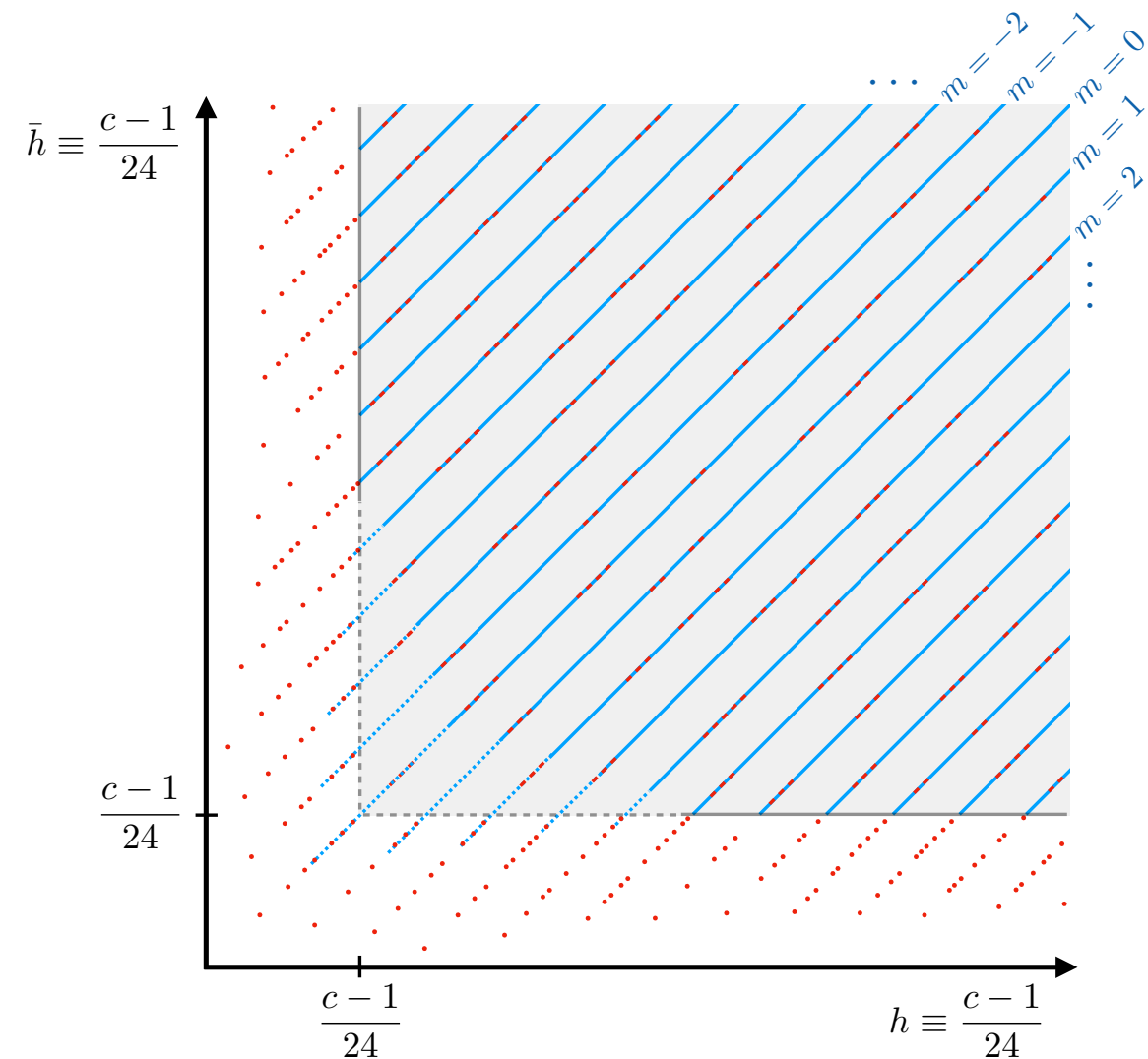
Summary

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- ▶ Explore assumption of **RMT universality in 2d CFT**
- ▶ Tool: mod. inv. $SL(2, \mathbb{Z})$ spectral decomposition
- ▶ **Kuznetsov trace formula** determines subleading corrections, required by modular invariance
 - ▶ Linear ramp: diagonal correlations on $L^2(\mathcal{F}) \times L^2(\mathcal{F})$
 - ▶ **Minimal modular completion**: $\mathbb{T}^2 \times I$ wormhole
- ▶ Spinning ramps encode statistical information about **arithmetic chaos** of Maass cusp forms. For AdS_3 wormhole this information is **maximal**.
- ▶ Beyond the diagonal: plateau, GOE ramp, genus expansion, ...
 - > general definition of modular invariant “**RMT₂**”

More details

Definition of $\tilde{Z}_P(\tau)$



1. Primary partition function:

$$Z_P(\tau \equiv x + iy) = y^{1/2} |\eta(\tau)|^2 Z(\tau)$$

2. Consider states above “black hole threshold”: $\min(h, \bar{h}) > \frac{c-1}{24}$

► Remove states below threshold and their ‘modular completion’

[Benjamin/Collier/Fitzpatrick/Maloney/Perlmutter ’21]

$$\tilde{Z}_P = Z_P - \hat{Z}_{\text{light}}$$

$$\text{e.g.: } \hat{Z}_{\text{light}} = \sum_{\gamma \in SL(2, \mathbb{Z}) / \Gamma_\infty} Z_{\text{light}}(\gamma\tau), \quad Z_{\text{light}} = \sum_{\substack{h, \bar{h}: \\ \min(h, \bar{h}) \leq \frac{c-1}{24}}} q^{h - \frac{c-1}{24}} \bar{q}^{\bar{h} - \frac{c-1}{24}}$$

3. Fix spin $m = h - \bar{h}$: $\tilde{Z}_P^m(y) \equiv \int_{-\frac{1}{2}}^{\frac{1}{2}} dx \tilde{Z}_P(x + iy) e^{2\pi i m x}$

Hecke algebra

$$T_m f(\tau) = \frac{1}{\sqrt{m}} \sum_{\substack{a,b,d: \\ ad=m \\ 0 \leq b \leq d-1}} f\left(\frac{a\tau + b}{d}\right) \quad [T_m, \Delta_{\mathcal{F}}] = 0$$

$$\Rightarrow \quad T_m \nu_n(\tau) = a_m^{(n)} \nu(\tau) \quad T_m E_{s=\frac{1}{2}+i\alpha}(\tau) = \frac{1}{2} a_m^{(\alpha)} \nu(\tau)$$

► Many constraints between “random” Fourier coefficients

$$a_m^{(n)} a_{m'}^{(n)} = \sum_{\substack{\ell | (m, m') \\ \ell > 0}} a_{\frac{mm'}{\ell^2}}^{(n)}$$

► $a_p^{(n)}$ for prime spins p determine all other $a_m^{(n)}$

$$\text{e.g.:} \quad a_p^{(n, \pm)} a_{p'}^{(n, \pm)} = a_{pp'}^{(n, \pm)} \quad (p \neq p' \text{ prime})$$

Approximate sum over cusp forms

- ▶ Spinning ramp is encoded in “random sum of cusp forms”! How??

$$\frac{1}{\pi} \frac{y_1 y_2}{y_1 + y_2} e^{-2\pi m(y_1 + y_2)} + \dots = \sum_{n \geq 1} \frac{1}{2 \cosh(\pi R_n)} \frac{\nu_n^m(y_1) \nu_n^m(y_2)}{\|\nu_n\|^2} \quad \text{‘arithmetic chaos’}$$

‘ramp’
(quantum chaos)

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$$= \sum_{n \geq 1} \frac{4}{L_{\nu \times \nu}^{(n)}(1)} \nu_n^m(y_1) \nu_n^m(y_2)$$

$$L_{\nu \times \nu}^{(n)}(s) = \frac{\zeta(2s)}{\zeta(s)} \sum_{m \geq 1} \frac{(a_m^{(n)})^2}{m^s}$$

$$= \prod_{p \text{ prime}} \frac{1}{1 - (a_p^{(n)})^2 (p^{-s} - p^{-2s}) + (p^{-s} - p^{-2s} - p^{-3s})}$$

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- ▶ Large $y_{1,2}$: larger R_n dominate

$$\sum_{n \geq 1} f(R_n) \approx \int_{R_{\min}}^{\infty} dR \bar{\mu}(R) f(R)$$


- ▶ R_n become very dense

$$\sum_{n \geq 1} f\left(R_n, (a_m^{(n)})^2\right) \approx \int_{R_{\min}}^{\infty} dR \bar{\mu}(R) f\left(R, \overline{(a_m^{(n)})^2}\right)$$

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- ▶ Coarse-grain over sum of cusp forms! In particular:

$$\sum_{n=n_{\min}}^{n_{\max}} \left\{ \prod_{p \text{ prime}} \left[1 - (a_p^{(n)})^2 (p^{-1} - p^{-2}) + (p^{-1} - p^{-2} - p^{-3}) \right] \times (a_m^{(n)})^2 \right\} \approx \frac{6}{\pi^2}$$



- ▶ Asymptotically exact! Contains full information about statistical distribution of Fourier coefficients $a_m^{(n)}$ for all spins [\[2309.00611\]](#)

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- ▶ Asymptotically exact! Contains **full information about statistical distribution** of Fourier coefficients $a_m^{(n)}$ for all spins [2309.00611]
- ▶ L-function is spin-independent but contains info about all spins “democratically” $\rightarrow \langle z_{n_1} z_{n_2} \rangle$ gives all independent spinning ramps

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- ▶ Asymptotically exact! Contains **full information about statistical distribution** of Fourier coefficients $a_m^{(n)}$ for all spins [2309.00611]
- ▶ For large n : $\frac{6}{\pi^2}$ is required in order to get the universal ramp
- ▶ Corrections to $\frac{6}{\pi^2}$: theory-dependent subleading corrections

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Average over cusp form data

$$\sum_{n \geq 1} \left\{ \prod_{p \text{ prime}} \left[1 - (a_p^{(n)})^2 (p^{-1} - p^{-2}) + (p^{-1} - p^{-2} - p^{-3}) \right] \times (a_m^{(n)})^2 \right\} = \frac{6}{\pi^2}$$

Proof:

- Prime decomposition:

$$m = p_1^{k_1} \cdots p_r^{k_r} \quad \Rightarrow \quad (a_m^{(n)})^2 = \left(a_{p_1^{k_1}}^{(n)} \right)^2 \cdots \left(a_{p_r^{k_r}}^{(n)} \right)^2$$

- Hecke algebra:

$$a_m^{(n)} a_{m'}^{(n)} = \sum_{\substack{\ell | (m, m') \\ \ell > 0}} a_{\frac{mm'}{\ell^2}}^{(n)} \quad \text{e.g.:} \quad a_{p_1^{k_1} \cdots p_r^{k_r}}^{(n)} = a_{p_1^{k_1}}^{(n)} \cdots a_{p_r^{k_r}}^{(n)}$$

$$a_{p^k}^{(n)} = a_{p^{k-1}}^{(n)} a_p^{(n)} - (1 - \delta_{k,1}) a_{p^{k-2}}^{(n)}$$

- Distributions of prime spin coefficients:

$$\mu_p(a) = \begin{cases} \frac{(p+1)\sqrt{4-a^2}}{2\pi \left((p^{1/2} + p^{-1/2})^2 - a^2 \right)} & \text{if } |a| < 2 \\ 0 & \text{otherwise} \end{cases}$$

E.g. if m prime: only need $\overline{(a_p^{(n)})^2} = \frac{p+1}{p}$, $\overline{(a_p^{(n)})^4} = \frac{2p^2 + 3p + 1}{p^3}$

For generic m : need all moments of $\mu_p(a)$

A generalization

$$\begin{aligned} \delta_{m_1 m_2} \frac{\sqrt{y_1 y_2}}{\pi^2} \int_{\mathbb{R}} d\alpha \alpha \tanh(\pi\alpha) g(\alpha) K_{i\alpha}(2\pi m_1 y_1) K_{i\alpha}(2\pi m_2 y_2) + \mathcal{G}_{m_1 m_2}[g] \\ = \int_{\mathbb{R}} \frac{d\alpha}{4\pi} \frac{g(\alpha)}{2 \cosh(\pi\alpha)} E_{\frac{1}{2}+i\alpha}^{m_1}(y_1) E_{\frac{1}{2}+i\alpha}^{m_2}(y_2) + \sum_{n \geq 1} \frac{g(R_n)}{2 \cosh(\pi R_n)} \frac{\nu_n^{m_1}(y_1)}{\|\nu_n\|} \frac{\nu_n^{m_2}(y_2)}{\|\nu_n\|} \end{aligned}$$

- Pure gravity wormhole: $g(\alpha) = 1 \Rightarrow \text{LHS} = [\text{ramp}] + \mathcal{G}_{m_1 m_2}$
- **Narain theories:** D free lattice bosons with $U(1)^D \times U(1)^D$ symmetry

[Maloney/Witten '20][Afkhani-Jeddi/Cohn/Hartman/Tajdini '20]

$$Z_{\text{P(D)}} = y^{D/2} |\eta(x + iy)|^{2D} Z_{\text{Narain}}$$

$$(y_1 y_2)^{-D/2} \langle Z_{\text{P(D)}}^{m_1}(y_1) Z_{\text{P(D)}}^{m_2}(y_2) \rangle \Big|_{y_{1,2} \rightarrow \beta \pm iT} \propto \beta^{-D/2} e^{-4\pi|m_1|\beta} \delta_{m_1 m_2}$$

plateau! (no ramp)

spectral decomposition of SFF follows from $g(\alpha) \propto \frac{|\Gamma(\frac{D-1}{2} + i\alpha)|^2}{|\Gamma(\frac{1}{2} + i\alpha)|^2}$