

# Stout smearing and Wilson flow in lattice perturbation theory (and beyond)

Stephan Dürr



University of Wuppertal  
Jülich Supercomputing Center

work with my former PhD student Max Ammer

Zürich Gradient Flow Workshop 2025 – 13 Feb 2025

# Outline

- why stout smearing and gradient flow are closely related

[1] M. Ammer and S. Dürr, Phys.Rev.D 109, 014512 (2024) [2302.11261]

*Calculation of  $c_{\text{SW}}$  at one-loop order for Brillouin fermions*

[2] M. Ammer and S. Dürr, Phys.Rev.D 110, 054504 (2024) [2406.03493]

*Stout smearing and Wilson flow in lattice perturbation theory*

[3] M. Ammer and S. Dürr, forthcoming

*One-loop  $c_{\text{SW}}$  in lattice perturbation theory for Wilson and Brillouin fermions with stout smearing or gradient flow*

- application to stag./KW/BC eigenvalues (with Stefano Capitani) [2411.18237]
- application to topological susceptibility (with Gianluca Fuwa) [2501.08217]

## Recap of stout smearing

$U_\mu(x) = V_\mu^{(0)}(x)$  original (“thin link”) gauge field [ $\forall \mu, \forall x$ ]

$V_\mu(x) = V_\mu^{(n)}(x)$  smeared (“fat link”) gauge field [after  $n$  steps of smoothing]

$$V_\mu^{(0)}(x) \longrightarrow V_\mu^{(1)}(x) \longrightarrow V_\mu^{(2)}(x) \longrightarrow \dots \longrightarrow V_\mu^{(n)}(x)$$

Problem: APE smearing gives  $V_\mu(x) \notin SU(N_c)$ , thus “needs backprojection”

Solution: stout smearing gives  $V_\mu(x) \in SU(N_c)$ , obey  $0 \leq \rho \leq 0.125$  [hep-lat/0311018]

$$V_\mu^{(n)}(x) = e^{i\rho Q_\mu^{(n-1)}(x)} V_\mu^{(n-1)}(x)$$

$$Q_\mu^{(n-1)}(x) = P_{\text{TH}} \left[ \frac{1}{i} S_\mu^{(n-1)}(x) V^{(n-1)\dagger}(x) \right] \quad [\text{in Lie algebra}]$$

$$S_\mu^{(k)}(x) = \sum_{\nu \neq \mu} \left\{ V_\nu^{(k)}(x) V_\mu^{(k)}(x + \hat{\nu}) V_\nu^{(k)\dagger}(x + \hat{\mu}) \right. \\ \left. + V_\nu^{(k)\dagger}(x - \hat{\nu}) V_\mu^{(k)}(x - \hat{\nu}) V_\nu^{(k)}(x + \hat{\mu} - \hat{\nu}) \right\}$$

## Recap of gradient flow

- 1st order integrator [Morningstar Peardon, hep-lat/0311018]

$$X_0 = V_t, \quad \text{build } Q_0 \text{ from } X_0$$
$$V_{t+\rho a^2} = \exp(i\rho Q_0)X_0$$

- 2nd order integrator [midpoint rule]

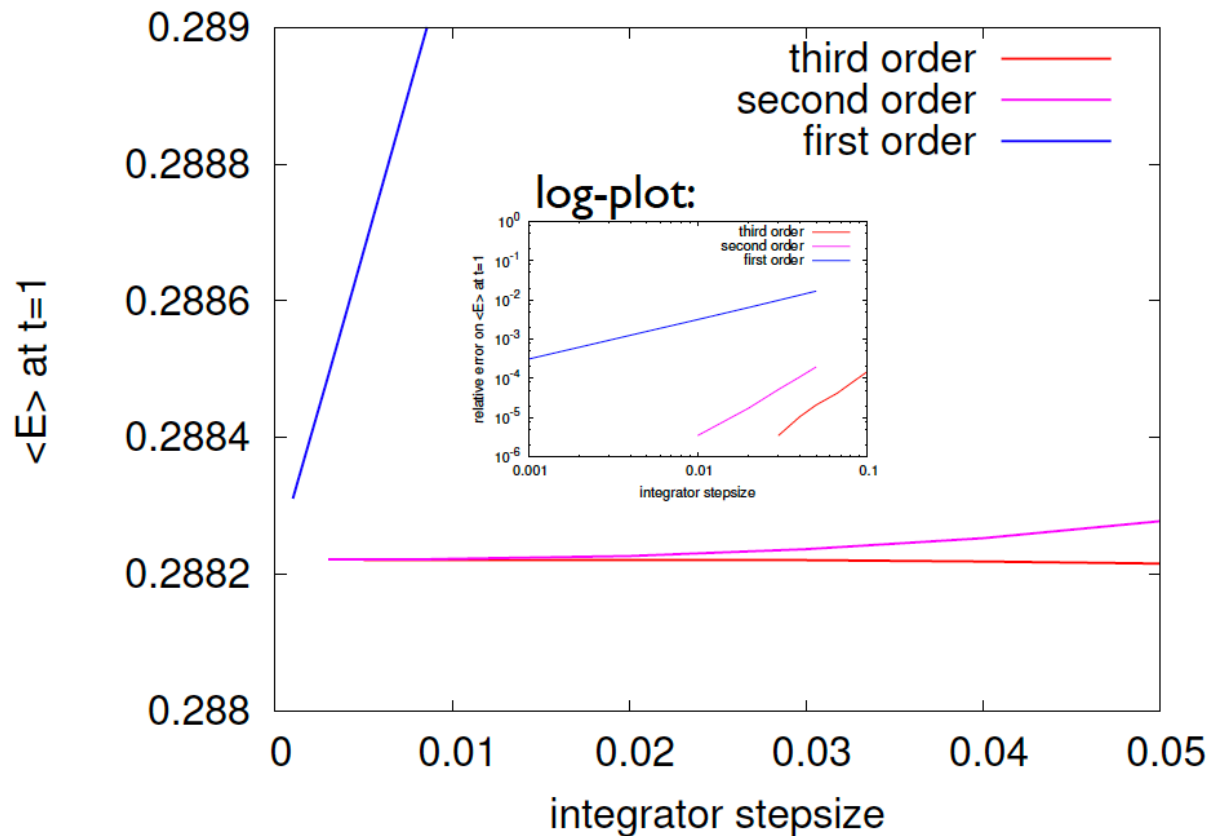
$$X_0 = V_t, \quad \text{build } Q_0 \text{ from } X_0$$
$$X_1 = \exp(i\frac{\rho}{2}Q_0)X_0, \quad \text{build } Q_1 \text{ from } X_1$$
$$V_{t+\rho a^2} = \exp(i\rho Q_1 - i\frac{\rho}{2}Q_0)X_1 \quad \text{or} \quad \exp(i\rho Q_1)X_0$$

- 3rd order integrator [Lüscher, arXiv:1006.4518]

$$X_0 = V_t, \quad \text{build } Q_0 \text{ from } X_0$$
$$X_1 = \exp(i\frac{\rho}{4}Q_0)X_0, \quad \text{build } Q_1 \text{ from } X_1$$
$$X_2 = \exp(i\frac{8\rho}{9}Q_1 - i\frac{17\rho}{36}Q_0)X_1, \quad \text{build } Q_2 \text{ from } X_2$$
$$V_{t+\rho a^2} = \exp(i\frac{3}{4}\rho Q_2 - i\frac{8}{9}\rho Q_1 + i\frac{17}{36}\rho Q_0)X_2$$

● Szabolcs Borsanyi: Methods of ... (Bad Honnef School 2023)

Numerical flow integration



$$\begin{aligned}
 X_0 &= V_t, \\
 X_1 &= \exp\left(\frac{1}{4}Z_0\right) X_0, \\
 X_2 &= \exp\left(\frac{8}{9}Z_1 - \frac{17}{36}Z_0\right) X_1, \\
 V_{t+\epsilon} &= \exp\left(\frac{3}{4}Z_2 - \frac{8}{9}Z_1 + \frac{17}{36}Z_0\right) X_2
 \end{aligned}$$

Lüscher: JHEP 1008 (2010) 071

$$\begin{aligned}
 X_0 &= V_t, \\
 X_1 &= \exp\left(\frac{1}{2}Z_0\right) X_0, \\
 V_{t+\epsilon} &= \exp(Z_1) X_0
 \end{aligned}$$

midpoint method

$$\begin{aligned}
 X_0 &= V_t, \\
 V_{t+\epsilon} &= \exp(Z_0) X_0
 \end{aligned}$$

Morningstar&Peardon  
Phys.Rev. D69 (2004) 054501

# Continuum strategies with gradient flow

Flow time in lattice units defined through limit  $n \rightarrow \infty, \rho \rightarrow 0$  with  $n\rho = \text{fixed}$

$$t/a^2 \simeq n_{\text{stout}} \rho_{\text{stout}} \simeq \text{cumulative sum of used } \rho$$

whereupon  $t$  has dimension  $[\text{area}] = [\text{length}^2] = [\text{mass}^{-2}]$ , while  $\rho_{\text{stout}} \in \mathbb{R}$ .

Two options for “keeping flow time fixed” in continuum limit:

(1) keep  $t/a^2$  [“flow-time in lattice units”] fixed as  $a \rightarrow 0$

(2) keep  $t$  [“flow-time in physical units”] fixed as  $a \rightarrow 0$

(1) CPU demand (number of calls to stout routine) stays *constant* under  $a \rightarrow 0$

(2) CPU demand *proliferates* [scilicet  $\propto a^{-2}$ ] at fixed  $\rho \simeq 0.01$  under  $a \rightarrow 0$

(1) diffusion radius  $\sqrt{8t} \propto a$  goes to zero as  $a \rightarrow 0$ ; “ultralocal modification”

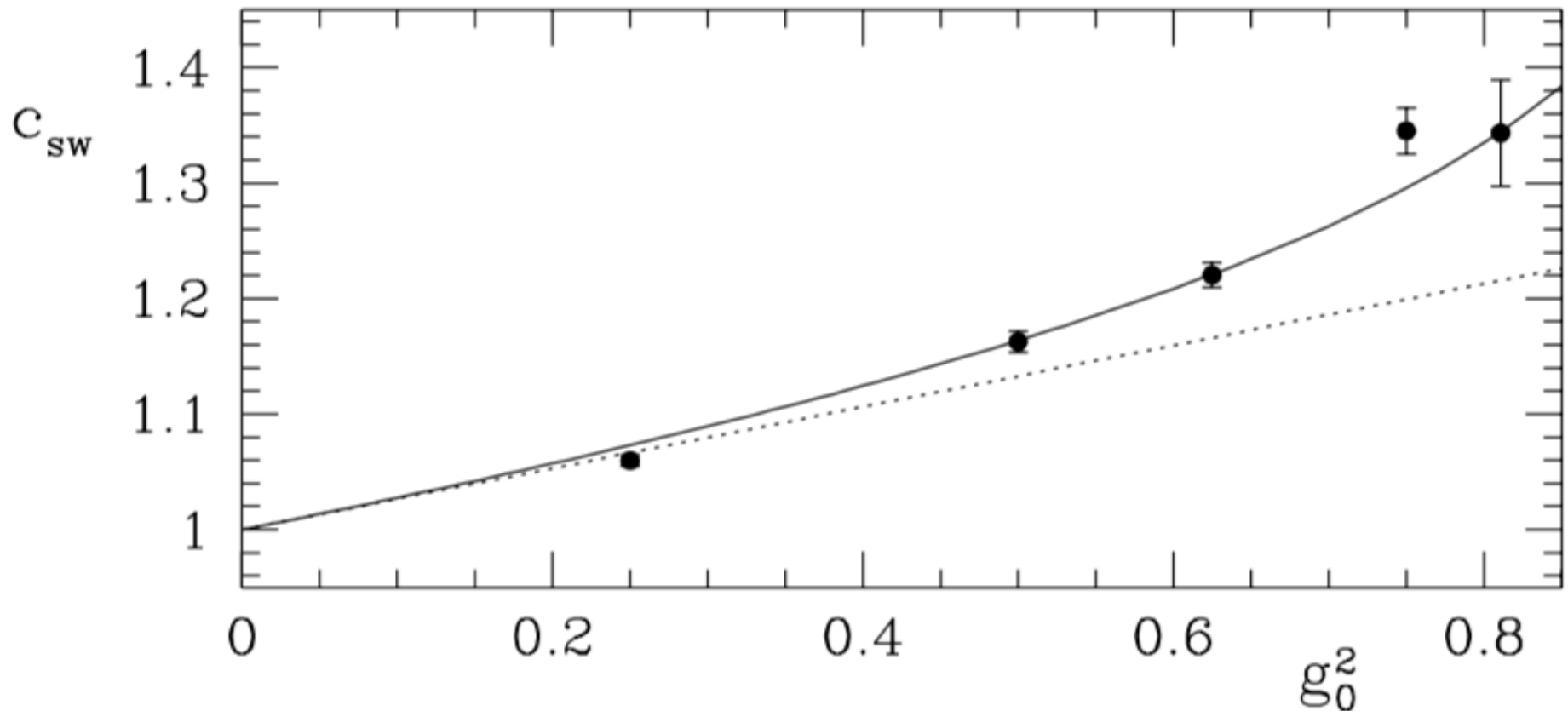
(2) diffusion radius  $\sqrt{8t} \propto r_0$  defines physical distance as  $a \rightarrow 0$ ; “new regulator”

Strategy (2) opens option for defining new regularization and renormalization schemes

(“flow schemes”) for quantities like  $\alpha_{\text{st}}^{\text{GF}}(1/\sqrt{8t})$  or  $m_q^{\text{GF}}(1/\sqrt{8t})$  or ... [Lüscher]

# Lattice perturbation theory

- calculate quantities in Symanzik improvement program, e.g.  $m_{\text{crit}}$  and  $c_{\text{SW}}$
- matching to continuum schemes, e.g.  $m_q^{\text{stag, Sym, stout, ...}}(a^{-1}) \rightarrow m_q^{\text{RGI}}$  or  $m_q^{\overline{\text{MS}}}(\mu)$



Lüscher et al. “Nonperturbative  $O(a)$  improvement of lattice QCD” [hep-lat/9609035]

# Lattice gluon propagator

- Relate link variables  $U_\mu(x) \in SU(N_c)$  to gluon fields  $A_\mu(x) \in su(N_c)$  (hermitean)

$$U_\mu(x) = \exp(ig_0 A_\mu(x)) \quad \text{with} \quad A_\mu(x) = \sum_{a=1}^{N_c^2-1} A_\mu^a(x) T^a$$

- Apply to desired actions and expand to requested order in  $g_0$  to get Feynman rules. Two gluon actions (plaquette, Symanzik) and two fermion actions (Wilson, Brillouin).
- Gauge-fixing and Fadeev-Popov similar to continuum (work in covariant gauge).
- Haar measure  $DU = e^{-S_{\text{meas}}} DA$  from  $DA$  on  $su(N_c)$  with known  $S_{\text{meas}}$ .
- All together perturbation theory for Yang-Mills theory from  $S_{\text{glue}} + S_{\text{meas}} + S_{\text{gf}} + S_{\text{gh}}$

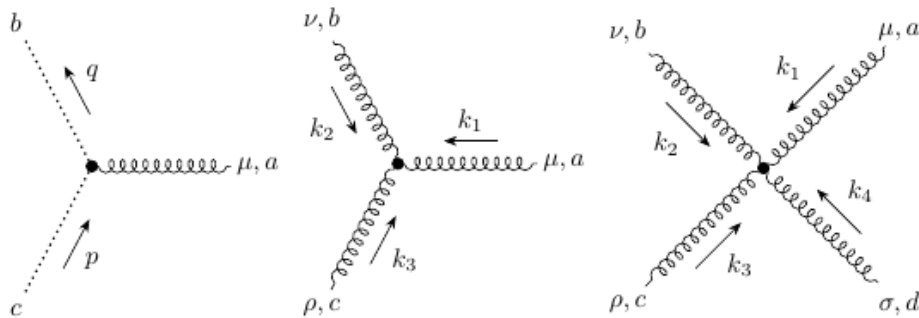


Figure 4.1: Gauge vertices for one-loop lattice perturbation theory.

$$\hat{k}_\mu = \frac{2}{a} \sin\left(\frac{a}{2} k_\mu\right), \quad \hat{k}^2 = \sum_\mu \hat{k}_\mu^2$$

$$G_{\mu\nu}^{ab}(k) = \delta^{ab} \frac{1}{\hat{k}^2} \left( \delta_{\mu\nu} - (1 - \xi) \frac{\hat{k}_\mu \hat{k}_\nu}{\hat{k}^2} \right)$$

$$G_{\text{gh}}^{ab}(k) = \delta^{ab} \frac{1}{\hat{k}^2}$$



# Lattice fermions: Naive/Wilson/Brillouin

- Naive fermions

$$D_{\text{nai}}(x, y) = \sum_{\mu} \gamma_{\mu} \nabla_{\mu}(x, y) + m \delta_{x, y}$$

$$D_{\text{nai}}(p) = i \sum_{\mu} \gamma_{\mu} \frac{1}{a} \sin(ap_{\mu}) + m$$

$$= i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + m \quad \text{with} \quad \bar{p}_{\mu} \equiv \frac{1}{a} \sin(ap_{\mu})$$

- Wilson fermions

$$D_{\text{W}}(x, y) = \sum_{\mu} \gamma_{\mu} \nabla_{\mu}(x, y) - \frac{ra}{2} \sum_{\mu} \Delta_{\mu}(x, y) + m \delta_{x, y}$$

$$D_{\text{W}}(p) = i \sum_{\mu} \gamma_{\mu} \frac{1}{a} \sin(ap_{\mu}) + \frac{r}{a} \sum_{\mu} \{1 - \cos(ap_{\mu})\} + m$$

$$= i \sum_{\mu} \gamma_{\mu} \bar{p}_{\mu} + \frac{ra}{2} \sum_{\mu} \hat{p}_{\mu}^2 + m \quad \text{with} \quad \hat{p}_{\mu} \equiv \frac{2}{a} \sin\left(\frac{ap_{\mu}}{2}\right)$$

- Brillouin fermions

$$\begin{aligned}
D_B(x, y) &= -\frac{\lambda_0}{2}r\delta(x, y) + \sum_{\mu=\pm 1}^{\pm 4} \left( \rho_1\gamma_\mu - \frac{\lambda_1}{2}r \right) W_\mu(x)\delta(x + \hat{\mu}, y) \\
&+ \sum_{\mu, \nu=\pm 1}^{\pm 4} \left( \rho_2\gamma_\mu - \frac{\lambda_2}{4}r \right) W_{\mu\nu}(x)\delta(x + \hat{\mu} + \hat{\nu}, y) \\
&+ \sum_{\mu, \nu, \rho=\pm 1}^{\pm 4} \left( \frac{\rho_3}{2}\gamma_\mu - \frac{\lambda_3}{12}r \right) W_{\mu\nu\rho}(x)\delta(x + \hat{\mu} + \hat{\nu} + \hat{\rho}, y) \\
&+ \sum_{\mu, \nu, \rho, \sigma=\pm 1}^{\pm 4} \left( \frac{\rho_4}{6}\gamma_\mu - \frac{\lambda_4}{48}r \right) W_{\mu\nu\rho\sigma}(x)\delta(x + \hat{\mu} + \hat{\nu} + \hat{\rho} + \hat{\sigma}, y)
\end{aligned}$$

with  $|\mu| \neq |\nu|$  and  $|\mu|, |\nu|, |\rho|$  pairwise unequal and  $|\mu|, |\nu|, |\rho|, |\sigma|$  pairwise unequal.

$W_\mu(x)$  is smoothed link in  $\mu$ -dir,  $W_{\mu\nu}(x)$  in  $\mu\nu$ -dir, ... ,  $W_{\mu\nu\rho\sigma}(x)$  in  $\mu\nu\rho\sigma$ -dir.

$(\rho_1, \rho_2, \rho_3, \rho_4) = \frac{1}{432}(64, 16, 4, 1)$  and  $(\lambda_0, \dots, \lambda_4) = \frac{1}{64}(-240, 8, 4, 2, 1)$  gives Brillouin.

$(\rho_1, \rho_2, \rho_3, \rho_4) = \frac{1}{2}(1, 0, 0, 0)$  and  $(\lambda_0, \dots, \lambda_4) = (-8, 1, 0, 0, 0)$  reproduces Wilson.

## Propagators: Wilson/Brillouin

Compact notation for Wilson/Brillouin fermion propagator:

$$S_W = \frac{-i \sum_{\mu} \gamma_{\mu} \sin(ak_{\mu}) + 2r \sum_{\nu} \sin^2(\frac{a}{2}k_{\nu})}{\sum_{\mu} \sin^2(ak_{\mu}) + 4r^2 [\sum_{\nu} \sin^2(\frac{a}{2}k_{\nu})]^2} = \frac{-i \sum_{\mu} \gamma_{\mu} \bar{s}(k_{\mu}) + 2rs^2}{\bar{s}^2 + [2rs^2]^2}$$

$$S_B = \frac{-\frac{i}{27} \sum_{\mu} \{ \gamma_{\mu} \bar{s}_{\mu}(k_{\mu}) \prod_{\nu \neq \mu} (\bar{c}(k_{\nu}) + 2) \} + 2r [1 - c^2(k_1)c^2(k_2)c^2(k_3)c^2(k_4)]}{\frac{1}{729} \sum_{\mu} \{ \bar{s}^2(k_{\mu}) \prod_{\nu \neq \mu} (\bar{c}(k_{\nu}) + 2)^2 \} + 4r^2 [1 - c^2(k_1)c^2(k_2)c^2(k_3)c^2(k_4)]^2}$$

Standard abbreviations for trigonometric functions (at  $a = 1$ ):

$$s_{\mu} = \sin(\frac{1}{2}k_{\mu}) , c_{\mu} = \cos(\frac{1}{2}k_{\mu}) , s^2 = \sum_{\mu} s(k_{\mu})^2$$

$$\bar{s}_{\mu} = \sin(k_{\mu}) , \bar{c}_{\mu} = \cos(k_{\mu}) , \bar{s}^2 = \sum_{\mu} \bar{s}(k_{\mu})^2$$

In addition clover improvement term (implicit fundamental color index summed over):

$$-\frac{c_{SW}}{2} \sum_x \sum_{\mu < \nu} \bar{\psi}(x) \sigma_{\mu\nu} F_{\mu\nu}(x) \psi(x)$$

# Vertices: Wilson/Brillouin/Clover

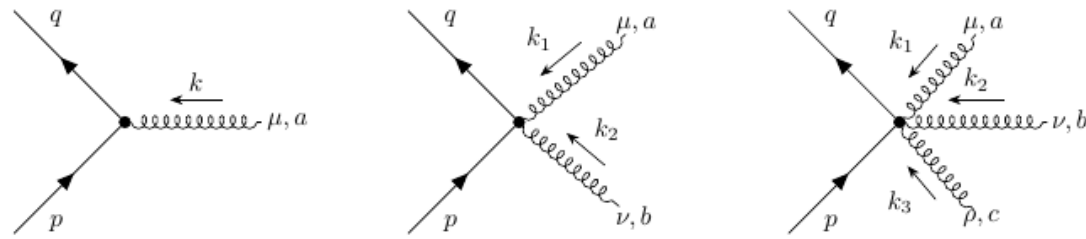


Figure 4.2: Momentum assignments for the vertices with one, two and three gluons.

Vertices for Wilson fermion:

$$\begin{aligned}
 V_{1W}^a(p, q) &= -g_0 T^a [i\gamma_\mu c(p_\mu + q_\mu) + r s(p_\mu + q_\mu)] \\
 V_{2W}^{ab}(p, q) &= \frac{1}{2} g_0^2 T^a T^b \delta_{\mu\nu} [i\gamma_\mu s(p_\mu + q_\mu) - r c(p_\mu + q_\mu)] \\
 V_{3W}^{abc}(p, q) &= \frac{1}{6} g_0^3 T^a T^b T^c \delta_{\mu\nu} \delta_{\mu\rho} [i\gamma_\mu c(p_\mu + q_\mu) + r s(p_\mu + q_\mu)]
 \end{aligned}$$

Vertices for Brillouin fermion are significantly longer [arXiv:2302.11261].

Vertices for Clover term:

$$\begin{aligned}
 V_{1C}^a(p, q) &= i g_0 T^a c_{SW} \sum_\nu \sigma_{\mu\nu} c(p_\mu - q_\mu) \bar{s}(p_\nu - q_\nu) / 2 \\
 V_{2C}^{ab}(p, q) &= g_0^2 T^a T^b c_{SW} \dots \\
 V_{3C}^{abc}(p, q) &= i g_0^3 T^a T^b T^c c_{SW} \dots
 \end{aligned}$$

# Self-energy: Wilson/Brillouin

- Tadpole and sunset diagrams

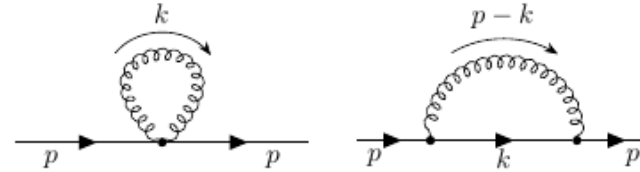


Figure 4.3: Tadpole (left) and sunset (right) diagrams of the quark self energy.

- Expand to order  $1/a$

$$\Sigma = \frac{g_0^2 C_F}{16\pi^2} \left( \frac{\Sigma_0}{a} + \Sigma_1 i \not{p} + O(a) \right) = m_{\text{crit}} + O(a, p)$$

- Evaluate tadpole and sunset integrals (Feynman gauge, i.e.  $\xi = 1$ )

$$g_0^2 C_F \Sigma_0^{(\text{tadpole})} = \int_{-\pi}^{\pi} \frac{d^4 k}{(2\pi)^4} \sum_{\mu, \nu, a} \left[ G_{\mu\nu}(k) V_{2\mu\nu}^{aa}(p, p, k, -k) \right]_{p=0}$$

$$g_0^2 C_F \Sigma_0^{(\text{sunset})} = \int_{-\pi}^{\pi} \frac{d^4 k}{(2\pi)^4} \sum_{\mu, \nu, a} \left[ V_{1\mu}^a(p, k) G_{\mu\nu}(p-k) S(k) V_{1\nu}^a(k, p) \right]_{p=0}$$

- Result for self-energy of Wilson/Brillouin fermion on plaq/Sym glue

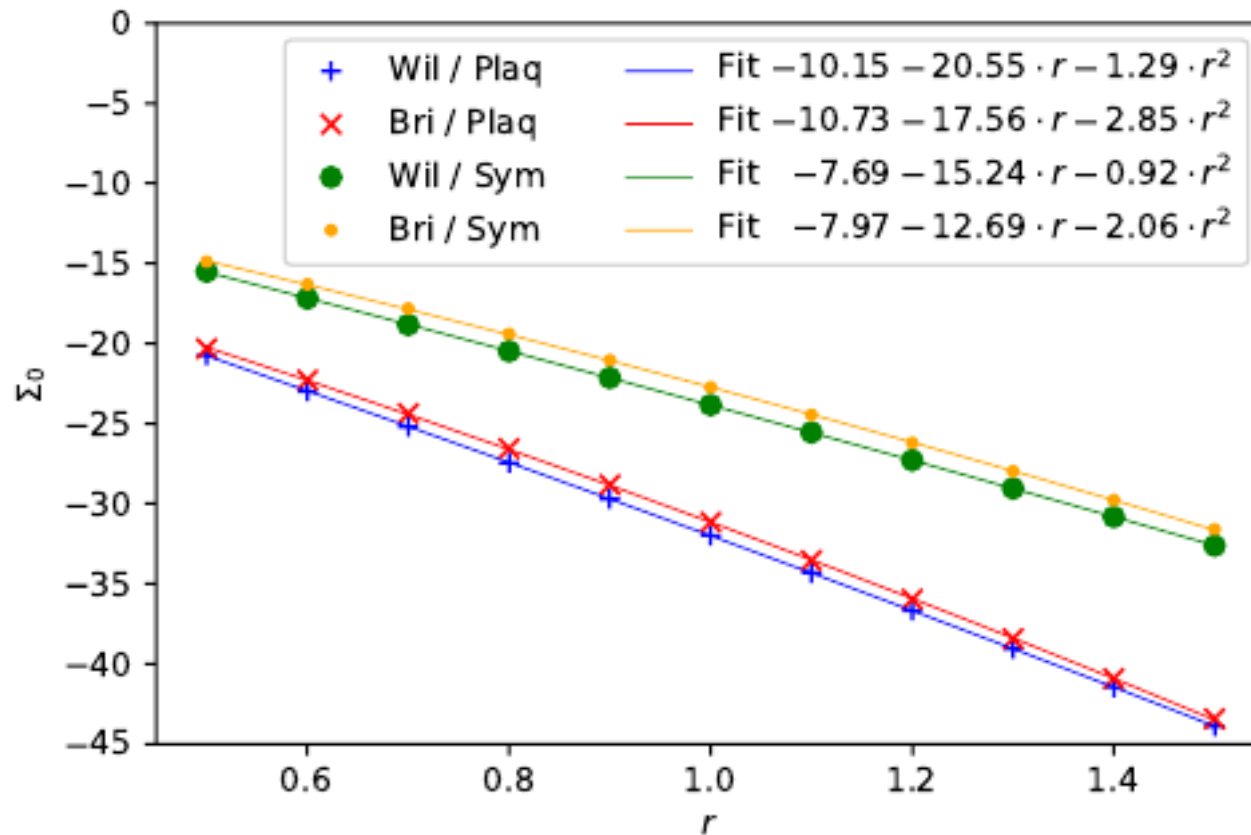


Figure 5.1: Self energy  $\Sigma_0$  of Wilson and Brillouin fermions as a function of  $r$ .

→ additive mass shift significantly reduced by Symanzik glue (instead of plaquette)

→ additive mass shift minimally reduced by Brillouin fermion (instead of Wilson)

## $c_{\text{SW}}$ at tree level: Wilson/Brillouin fermion

- Perturbative expansion is  $c_{\text{SW}} = c_{\text{SW}}^{(0)} + g_0^2 c_{\text{SW}}^{(1)} + O(g_0^4)$
- At tree level only  $qqg$  vertex appears

$$\Lambda^{(0)a}_{\mu} = V_{1\mu}^a(p, p) = -g_0 T^a \left\{ i\gamma_{\mu} \left[ 2\rho_1 + 12\rho_2 + 24\rho_3 + 16\rho_4 \right] \right. \\ \left. + a \left[ \frac{r}{2} (p_{\mu} + q_{\mu}) (\lambda_1 + 6\lambda_2 + 12\lambda_3 + 8\lambda_4) + \frac{i}{2} c_{\text{SW}}^{(0)} \sum_{\nu} \sigma_{\mu\nu} (p_{\nu} - q_{\nu}) \right] + O(a^2) \right\}$$

- On-shell condition is

$$\bar{u}(q) \Lambda^{(0)a}_{\mu} u(p) = -g_0 T^a \bar{u}(q) \left\{ i\gamma_{\mu} \left[ 2\rho_1 + 12\rho_2 + 24\rho_3 + 16\rho_4 \right] \right. \\ \left. + \frac{a}{2} \left[ r (\lambda_1 + 6\lambda_2 + 12\lambda_3 + 8\lambda_4) - c_{\text{SW}}^{(0)} \right] (p_{\nu} + q_{\nu}) + O(a^2) \right\} u(p)$$

- Since both  $2\rho_1 + 12\rho_2 + 24\rho_3 + 16\rho_4 = 1$  and  $\lambda_1 + 6\lambda_2 + 12\lambda_3 + 8\lambda_4 = 1$  necessary for legal action [satisfied by W/B], improvement condition is  $c_{\text{SW}}^{(0)} = r$  for W/B.

# $c_{\text{SW}}$ at one-loop level: Wilson/Brillouin fermion

- One-loop vertex function

$$g_0^3 \Lambda_{\mu}^{(1)a} = -g_0^3 T^a \left[ \gamma_{\mu} F_1 + a \not{q} \gamma_{\mu} F_2 + a \gamma_{\mu} \not{p} F_3 + a(p_{\mu} + q_{\mu}) G_1 + a(p_{\mu} - q_{\mu}) H_1 \right]$$

- On shell [ $F_2, F_3$  not contributing,  $H_1 = 0$  due to symmetry, Aoki Kuramashi 2003]

$$g_0^3 \bar{u}(q) \left[ i \gamma_{\mu} F_1 + \frac{a}{2} (p_{\mu} + q_{\mu}) (c_{\text{SW}}^{(1)} - 2G_1) T^a \right] u(p)$$

- Improvement condition is  $c_{\text{SW}}^{(1)} = 2G_1$  with  $G_1$  from  $\Lambda_{\mu}^{(1)a}$  via 6 diagrams:

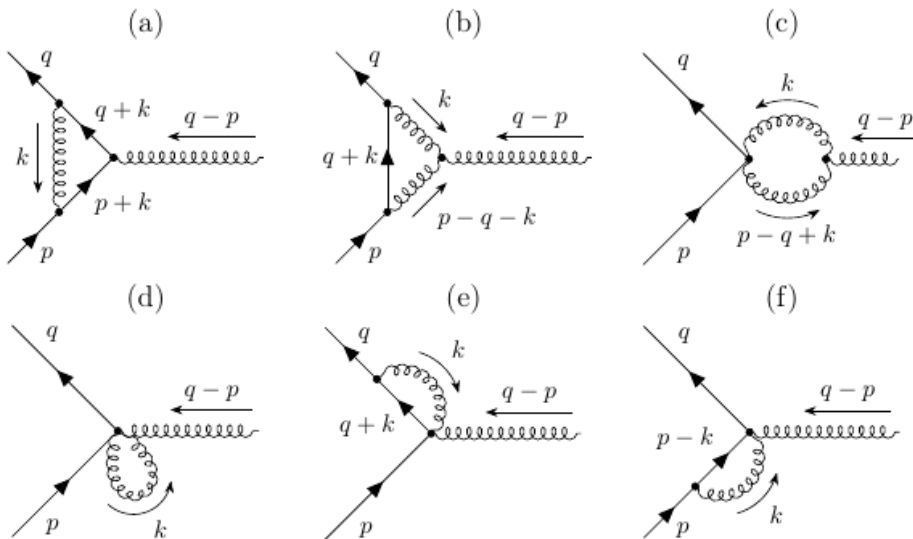


Figure 4.4: The six one-loop diagrams contributing to the vertex function.

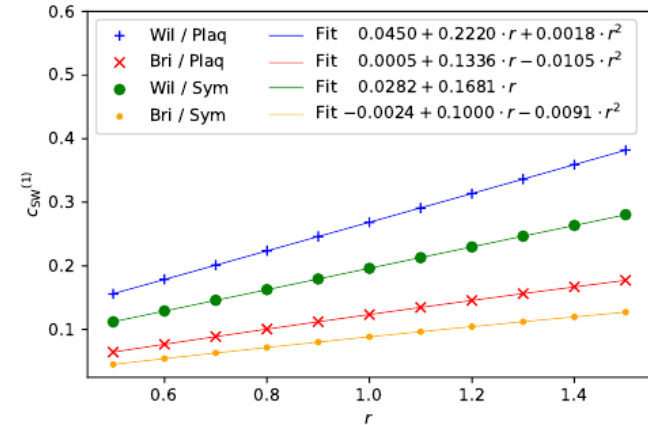


Figure 5.2: The one-loop values of  $c_{\text{SW}}^{(1)}$  for Wilson and Brillouin fermions with  $N_c = 3$  as a function of  $r$ .

- Brillouin fermion brings major reduction
- Symanzik glue brings minor reduction



- **Divergence structure – Wilson results agree with Aoki Kuramashi 2003**

- sum of all diagrams is finite
- individually only (d) is finite, other five are IR-divergent
- regulate (a,b,c,e,f) by subtracting log. div. lattice integral with appropriate prefactor

$$\mathcal{B}_2 = \int_{-\pi}^{\pi} \frac{d^4 k}{(2\pi)^4} \frac{1}{(\hat{k}^2)^2} \quad \text{gives} \quad \mathcal{B}_2(\mu) = \frac{1}{16\pi^2} \left[ -\ln(\mu^2) + F_0 - \gamma_E \right] + O(\mu^2)$$

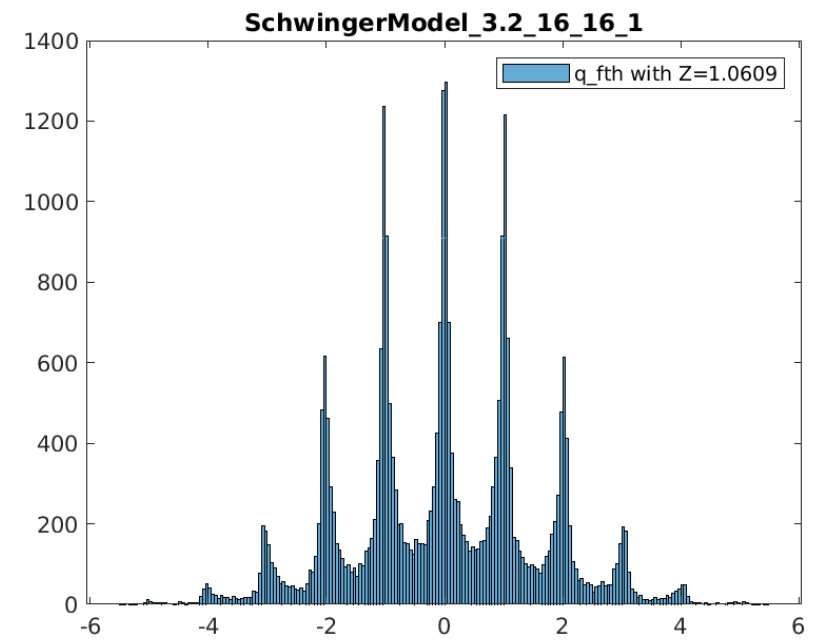
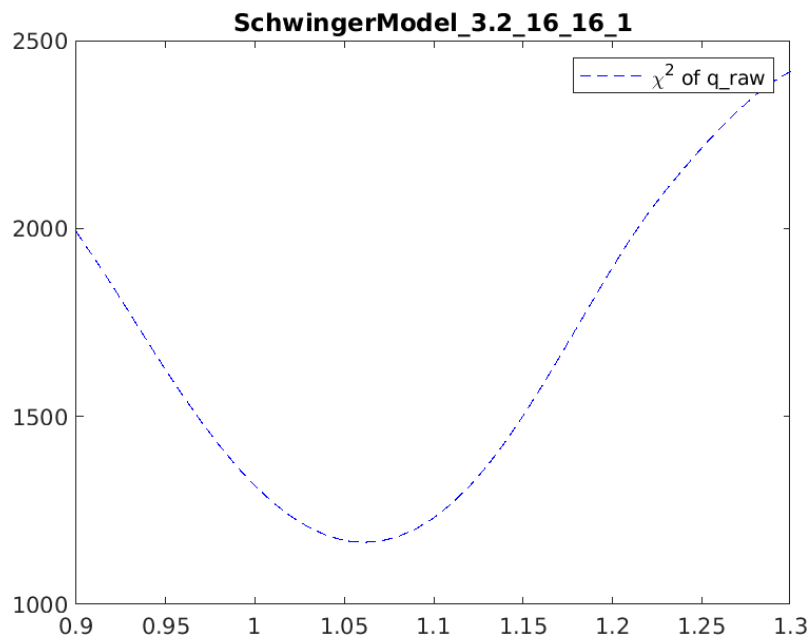
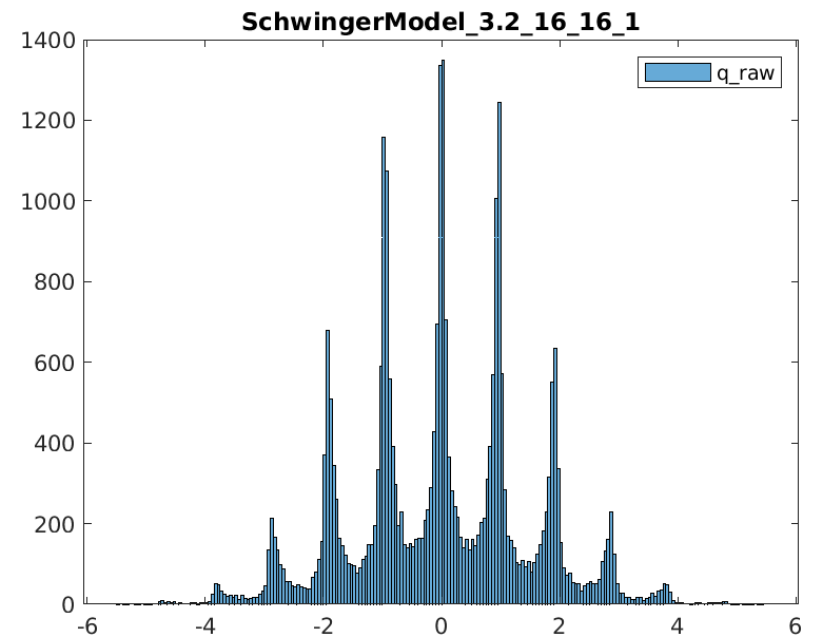
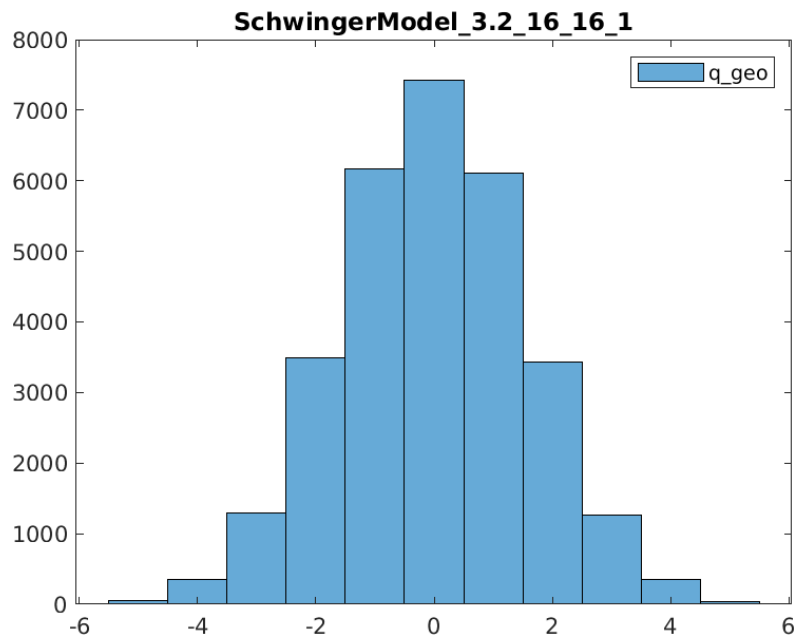
diag.	$\propto \mathcal{B}_2$	Wilson/plaq	Brillouin/plaq	Wilson/Sym	Brillouin/Sym
(a)	$-1/3$	0.009852153(1)	0.0100402212(1)	0.01048401(1)	0.0108335(1)
(b)	$-9/2$	0.125895883(1)	0.098371668(1)	0.1285594(1)	0.102829(1)
(c)	$+9/2$	-0.124125079(1)	-0.100558858(1)	-0.1337781(1)	-0.1098254(1)
(d)	0	0.297394534(1)	0.142461144(1)	0.2354388(1)	0.1120815(1)
(e)	$+1/6$	-0.020214623(1)	-0.013344189(1)	-0.022229808(1)	-0.013659(1)
(f)	$+1/6$	-0.020214623(1)	-0.013344189(1)	-0.022229808(1)	-0.013659(1)
sum	0	0.26858825(1)	0.12362580(1)	0.1962445(1)	0.088601(1)

More details (dependence on  $r, N_c, \dots$ ) in [arXiv:2302.11261]

Stout-smearing and gradient-flow details in [arXiv:2406.03493]

Combination to appear (hopefully) soon [arXiv:25???.?????]

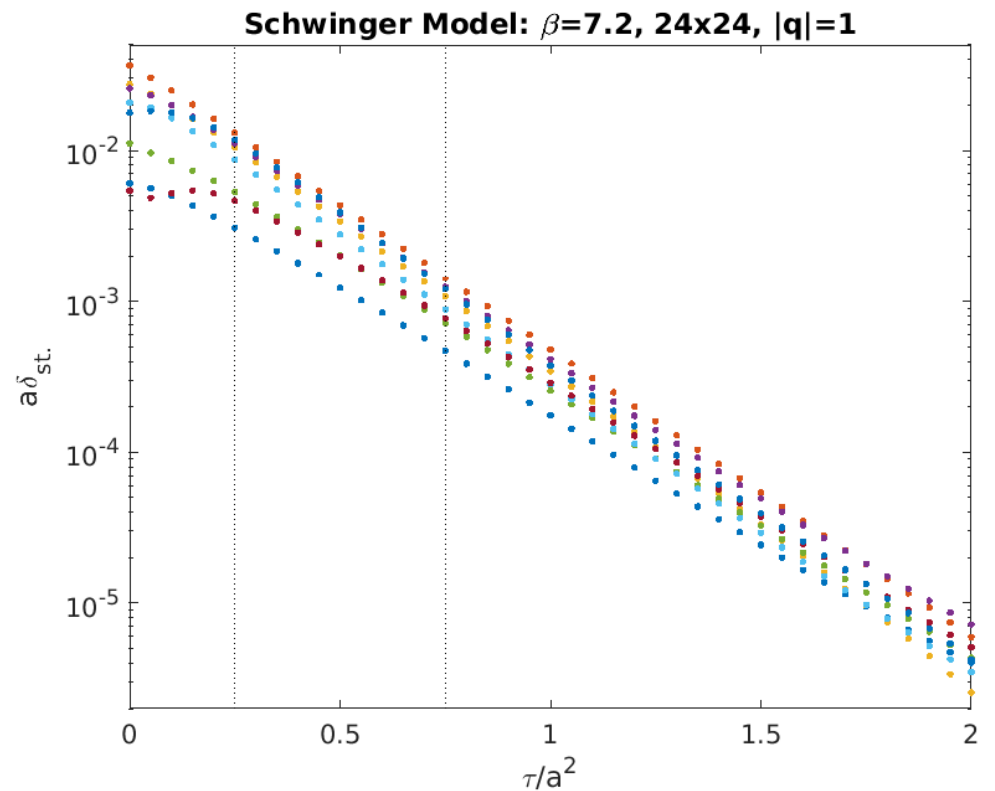
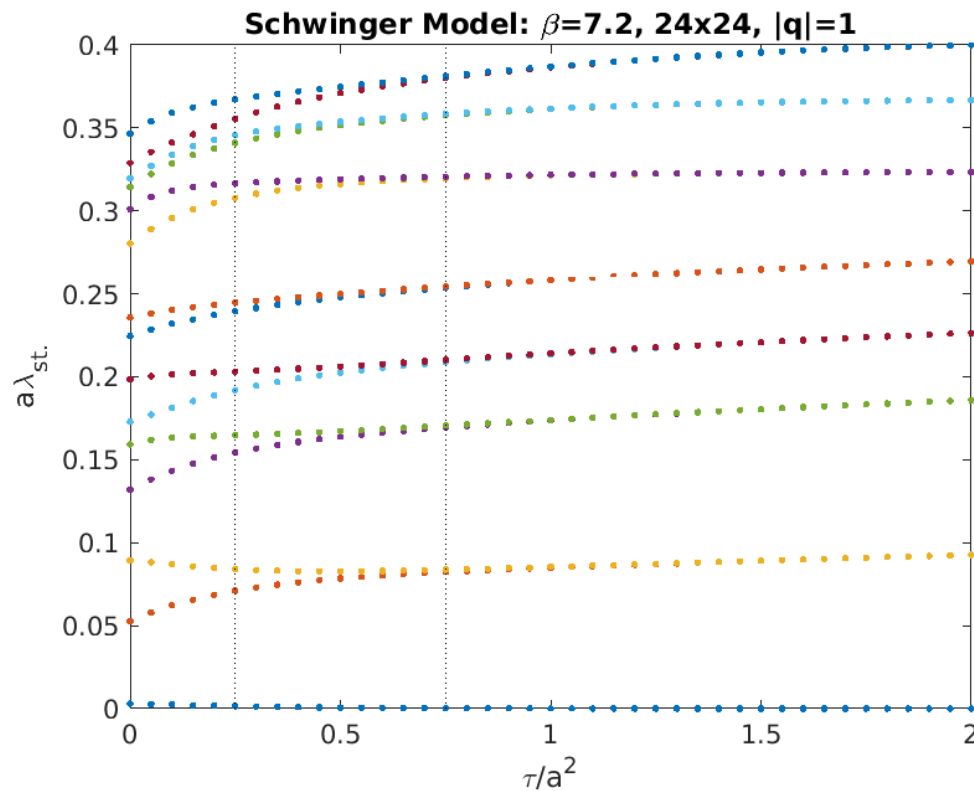
# Schwinger Model: topological charge distribution



# Taste splittings: $a\delta_{\text{stag}}$ under gradient flow

Eigenvalues  $\pm i\lambda_1, \dots, \pm i\lambda_{15}$  of  $D_{\text{stag}}$  on a  $|q|=1$  configuration at  $(\beta, L/a) = (7.2, 24)$  versus gradient flow time  $\tau$  (everything in lattice units).

Note that  $\lambda_1$  pairs with  $-\lambda_1$  for  $|q|=1$ , while  $\lambda_2 \simeq \lambda_3$  and  $\lambda_4 \simeq \lambda_5$  pair, and so on. Splittings defined with proper pairing:  $\delta_1 = 2\lambda_1$ ,  $\delta_2 = \lambda_3 - \lambda_2$  and so on for  $|q|=1$ .



→ for  $D_{\text{stag}}$  splittings decrease exponentially with the gradient flow time

# Topological susceptibility in SU(3) theory in 4D

Gluonic definition of the field-strength tensor  $F_{\mu\nu}(x) = F_{\mu\nu}(x)^a T^a$  with  $T^a = \lambda^a/2$  via clover-plaquette. Based on this *local* topological charge density is

$$q_{\text{nai}}(x) = \frac{1}{4\pi^2} \text{Tr}[F_{12}(x)F_{34}(x) - F_{13}(x)F_{24}(x) + F_{14}(x)F_{23}(x)]$$

In this case the topological susceptibility [with  $V = Na^4$  the physical box volume]

$$\chi_{\text{top}} = Z_q^2(\beta)\chi_{\text{nai}} + M(\beta) \quad \text{with} \quad \chi_{\text{nai}} = \frac{a^4}{N} \sum_{x,y \in \Lambda} q_{\text{nai}}(x)q_{\text{nai}}(y)$$

renormalizes multiplicatively and additively [Campostrini, DiGiacomo, Alles,...]. Still

$$q_{\text{ren}} = \text{round}(Z_q(\beta)q_{\text{nai}}) \quad \text{with} \quad q_{\text{nai}} = a^4 \sum_{x \in \Lambda} q_{\text{nai}}(x)$$

is a gluonic definition of the *global* topological charge distribution  $P_q$  which renormalizes only multiplicatively [CP symmetry]. Based on it one may define

$$\chi_{\text{top}} = \langle q_{\text{ren}}^2 \rangle / V$$

and higher moments without further renormalization [ $P_q$  is fully renormalized quantity].

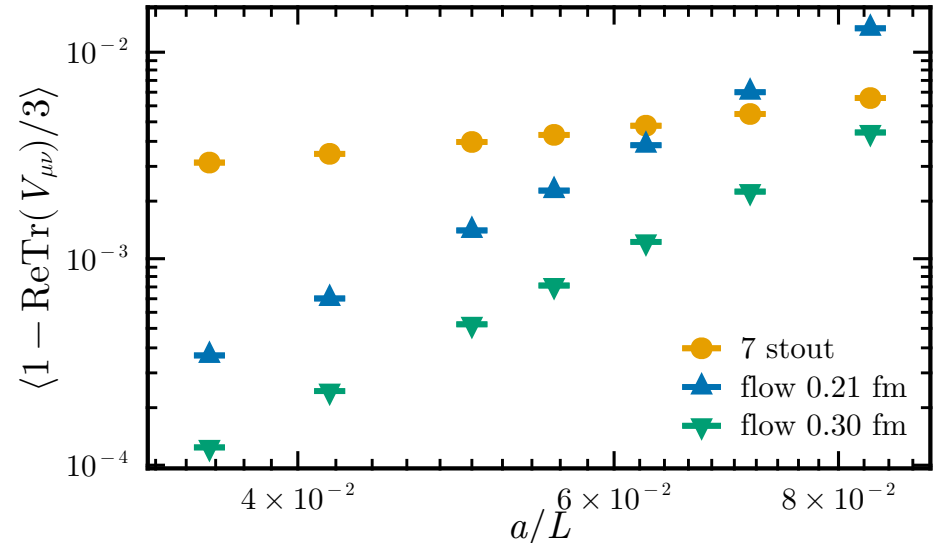
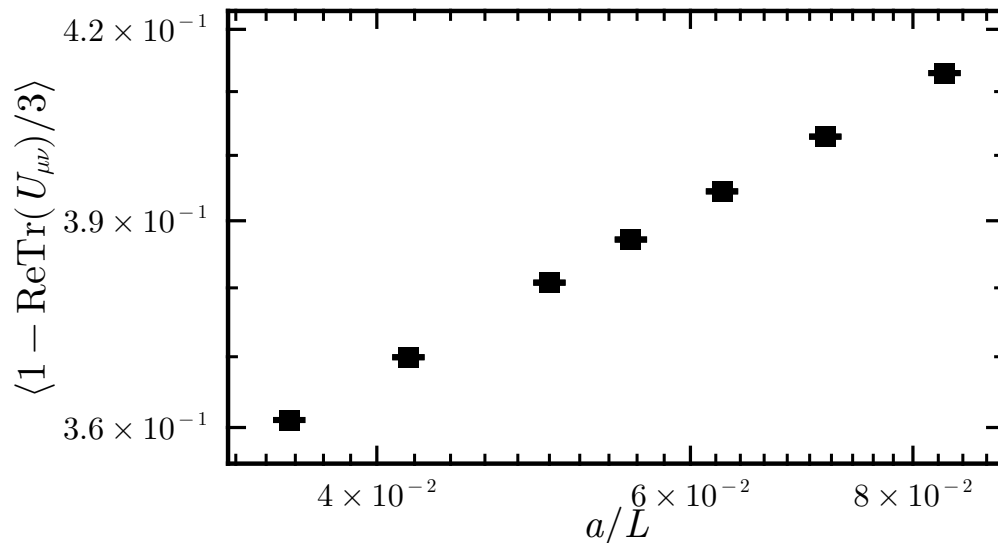
## Fixed $t/a^2$ versus fixed $t$ in fixed $V = (2.4783 r_0)^4$

$L/a$	$\beta$	$r_0/a$	$a$ [fm]	7 stout	flow 0.21 fm	flow 0.30 fm
12	5.9421	4.8420	0.101	$7 \times 0.12$	$9 \times 0.06 = 0.54$	$9 \times 0.12 = 1.08$
14	6.0314	5.6490	0.087	$7 \times 0.12$	$12 \times 0.06125 = 0.735$	$12 \times 0.1225 = 1.47$
16	6.1142	6.4560	0.076	$7 \times 0.12$	$16 \times 0.06 = 0.96$	$16 \times 0.12 = 1.92$
18	6.1912	7.2630	0.067	$7 \times 0.12$	$20 \times 0.06075 = 1.215$	$20 \times 0.1215 = 2.43$
20	6.2629	8.0700	0.061	$7 \times 0.12$	$25 \times 0.06 = 1.5$	$25 \times 0.12 = 3.00$
24	6.3929	9.6841	0.051	$7 \times 0.12$	$36 \times 0.06 = 2.16$	$36 \times 0.12 = 4.32$
28	6.5079	11.298	0.043	$7 \times 0.12$	$49 \times 0.06 = 2.94$	$49 \times 0.12 = 5.88$

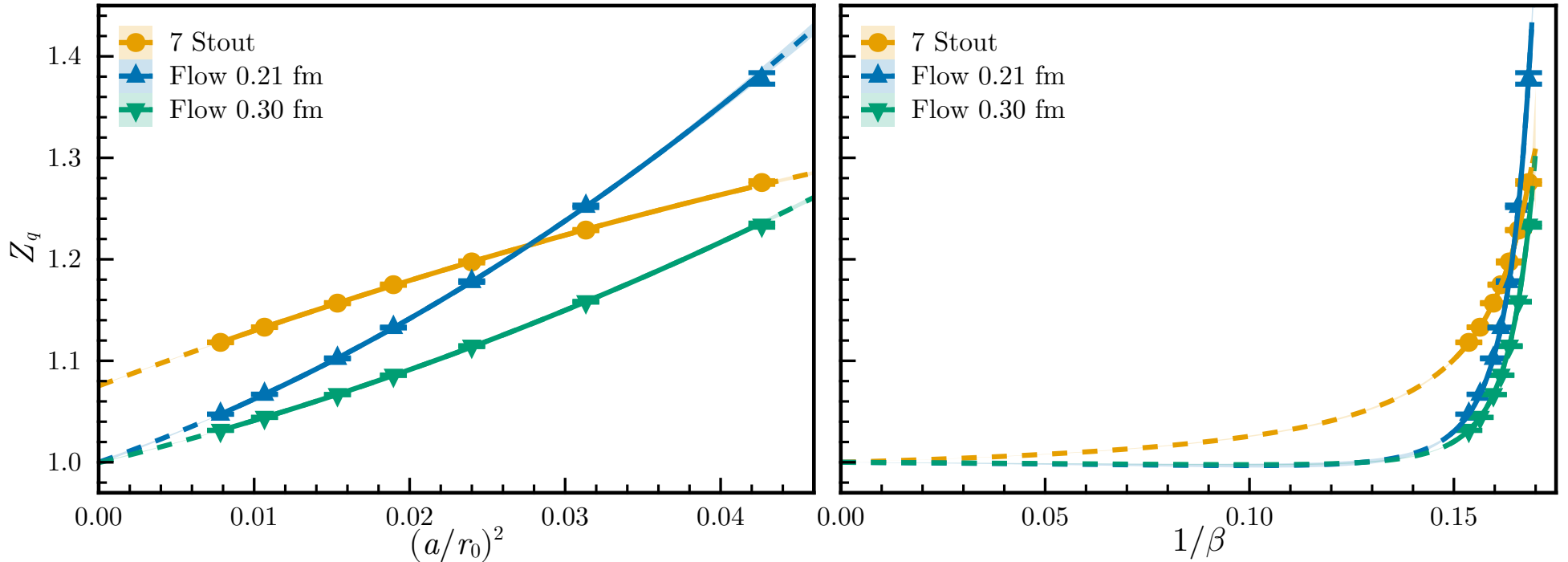
“7 stout” keeps flow time in lattice units at  $t/a^2 = 0.84$  to give  $\sqrt{8t} = \sqrt{6.72} a \rightarrow 0$ .

“flow 0.21 fm” sets flow time to  $t/a^2 = (N/4)^2 0.06$  to give  $\sqrt{8t} = 0.429 r_0 \simeq 0.21$  fm.

“flow 0.30 fm” sets flow time to  $t/a^2 = (N/4)^2 0.12$  to give  $\sqrt{8t} = 0.607 r_0 \simeq 0.30$  fm.



# Impact of smoothing strategy on $Z_q$

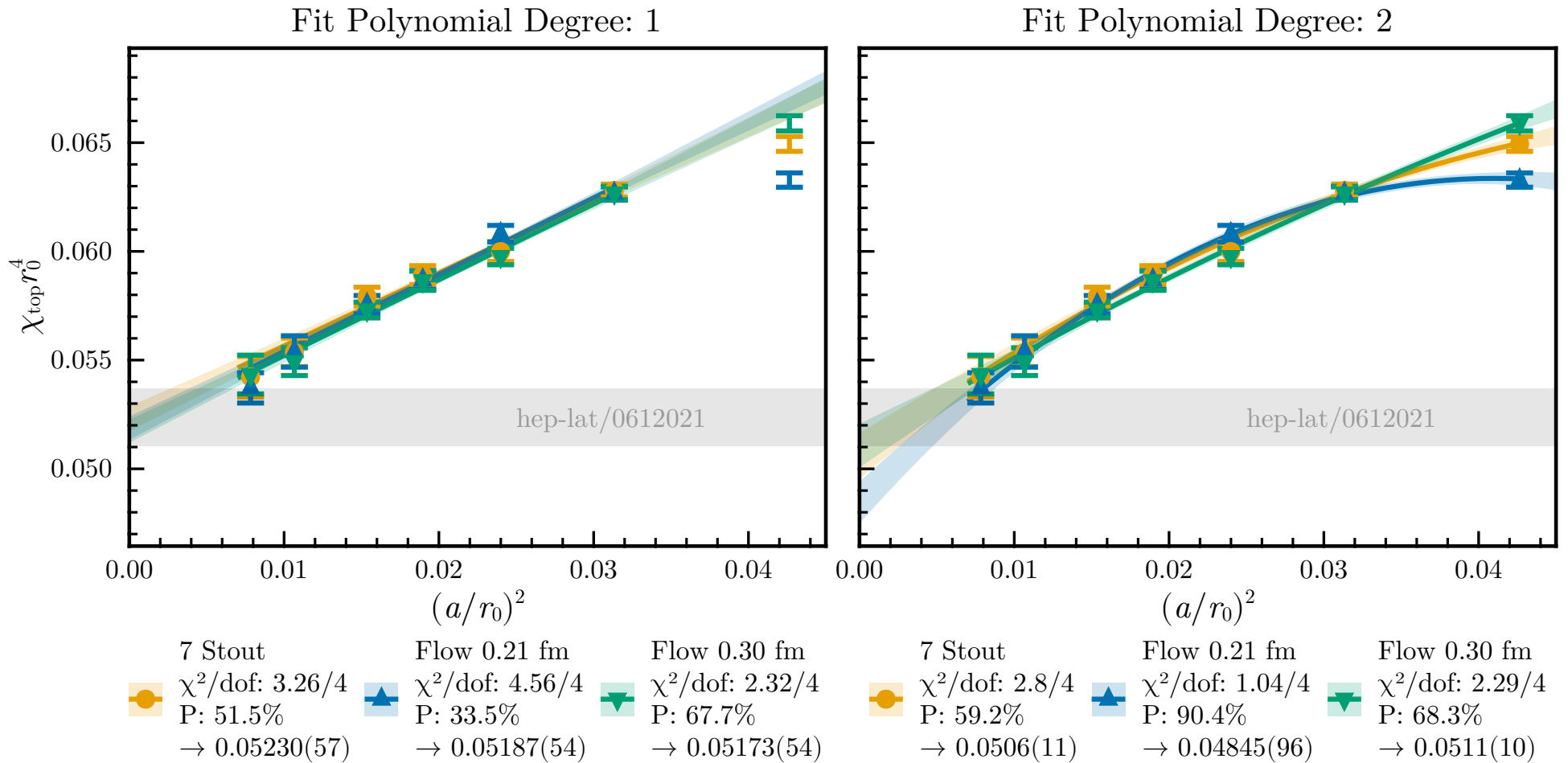


$Z_q$  factors involved, with quadratic fits in  $(a/r_0)^2$  (left) and rational fits in  $g_0^2$  (right). All smoothing strategies yield consistent values; final result (extrapolations next slide)

$$\chi_{\text{top}}^{1/4} = \frac{0.4769(18)}{0.4757(64) \text{ fm}} = 197.8(0.7)(2.7) \text{ MeV}$$

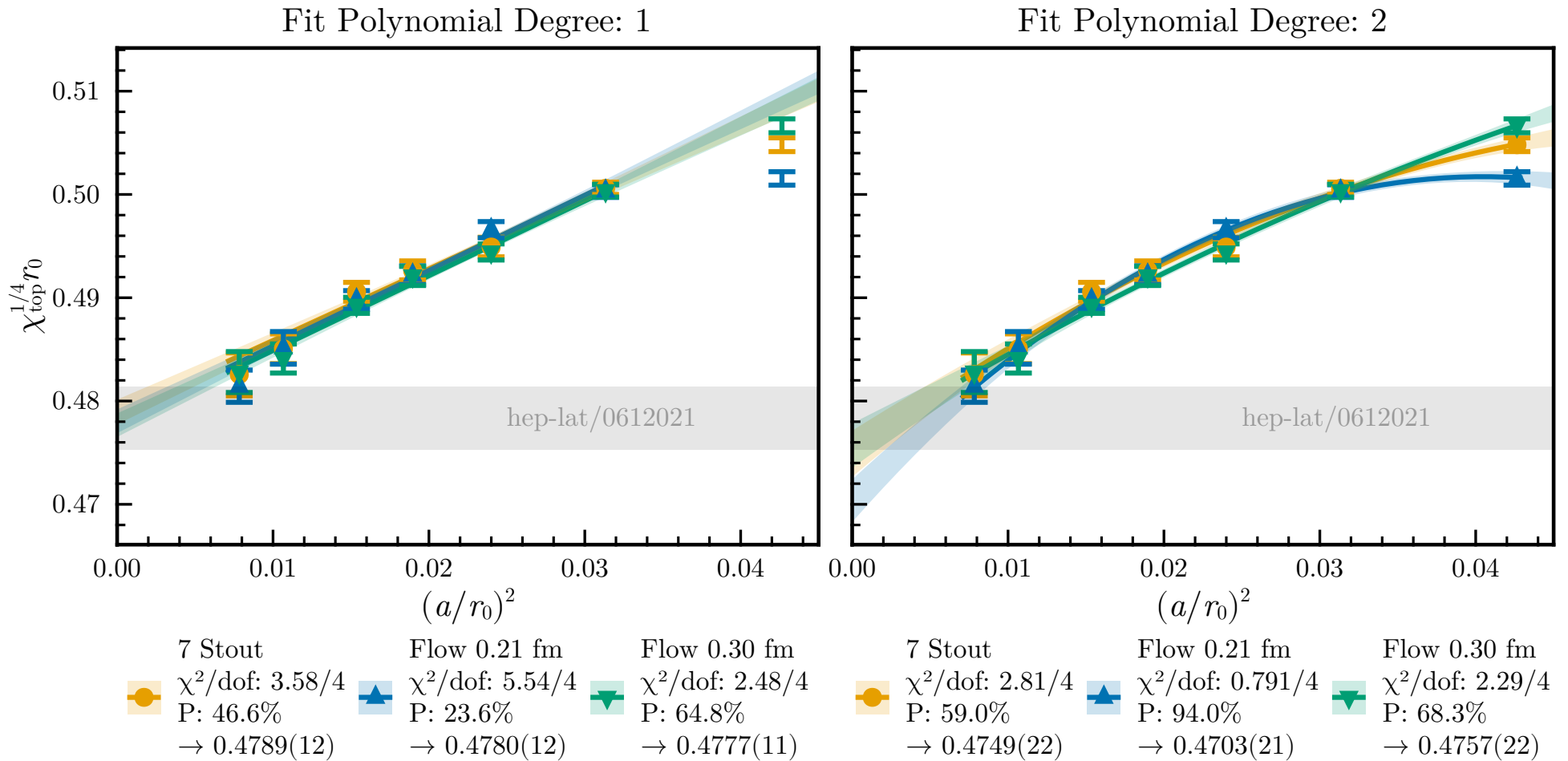
with  $18^2 = \text{stat}^2 + \text{syst}^2$  and  $r_0$  from [Asmussen:2024hfw]. For details: 2501.08217

# Continuum extrapolation of $\chi_{\text{top}} r_0^4$



	$\chi_{\text{top}} r_0^4$	$\chi_{\text{top}}^{1/4} r_0$	combined
7 stout	$0.05194(68)(149) = [0.4774(16)(34)]^4$	$0.4780(14)(37)$	$0.4776(15)(35)(01)$
flow 0.21 fm	$0.05105(64)(342) = [0.4753(15)(80)]^4$	$0.4761(14)(77)$	$0.4759(15)(79)(01)$
flow 0.30 fm	$0.05159(64)(030) = [0.4766(15)(07)]^4$	$0.4773(13)(13)$	$0.4769(14)(10)(03)$

# Continuum extrapolation of $\chi_{\text{top}}^{1/4} r_0$



	$\chi_{\text{top}} r_0^4$	$\chi_{\text{top}}^{1/4} r_0$	combined
7 stout	0.05194(68)(149)=[0.4774(16)(34)] <sup>4</sup>	0.4780(14)(37)	0.4776(15)(35)(01)
flow 0.21 fm	0.05105(64)(342)=[0.4753(15)(80)] <sup>4</sup>	0.4761(14)(77)	0.4759(15)(79)(01)
flow 0.30 fm	0.05159(64)(030)=[0.4766(15)(07)] <sup>4</sup>	0.4773(13)(13)	0.4769(14)(10)(03)



# Summary

- stout smearing is ingenious tool to smoothen gauge field, keeping links unitary
- gradient flow is defined by using stout as 1st-order integrator to flow time  $t/a^2 = n\rho$
- two legitimate strategies for keeping flow time fixed in continuum limit:
  - (1) keep  $t/a^2$  [“flow-time in lattice units”] fixed as  $a \rightarrow 0$
  - (2) keep  $t$  [“flow-time in physical units”] fixed as  $a \rightarrow 0$
- two different physical situations:
  - (1) diffusion radius  $\sqrt{8t} \propto a$  goes to zero as  $a \rightarrow 0$ ; “ultralocal modification”
  - (2) diffusion radius  $\sqrt{8t} \propto r_0$  defines physical distance as  $a \rightarrow 0$ ; “new regulator”
- lattice perturbation theory expands  $U_\mu(x) = \exp(ig_0 A_\mu(x))$  in powers of  $g_0$
- examples were  $\Sigma_0$  and  $c_{\text{SW}}$  for Wilson/Brillouin fermions (soon with stout/flow)
- gradient flow exhibits staggered eigenvalue pairs/quartets (invisible at  $t/a^2 = 0$ )
- “7 stout” and “flow 0.21 fm” and “flow 0.30 fm” give consistent results for  $\chi_{\text{top}} r_0^4$

# Schwinger Model: QED in 2D with any $N_f$

SM at  $N_f=0$  simulated with Metropolis/overrelax/instanton-hit/parity-hit.  
Topological charge autocorrelation time is  $O(1)$  at any  $\beta$  [arXiv:1203.2560].

Wilson gauge action per site:

$$s_{\text{wil}}(x) = 1 - \text{Re}(U(x)) = 1 - \cos(\theta(x))$$

Plaquette at position  $x = (x_1, x_2)$ :

$$U(x) = U_1(x)U_2(x+e_1)U_1^\dagger(x+e_2)U_2^\dagger(x)$$

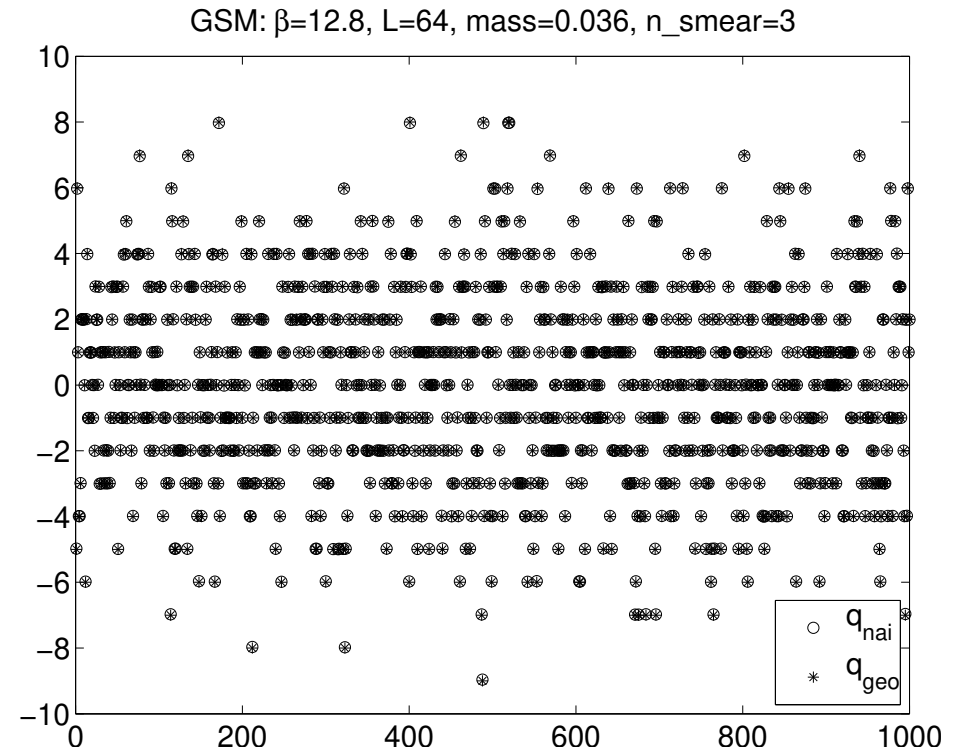
$$U(x) = \exp(i\theta(x))$$

Two gluonic topological charges:

$$q_{\text{raw}}^{(n)} = \sum \sin(\theta^{(n)}(x))/(2\pi) \in \mathbf{R} \quad (\text{"fth}/Z")$$

$$q_{\text{geo}}^{(n)} = \sum \theta^{(n)}(x)/(2\pi) \in \mathbf{Z} \quad (\text{"geometric"})$$

$\theta^{(n)}$  plaquette angle after  $n$  smearings



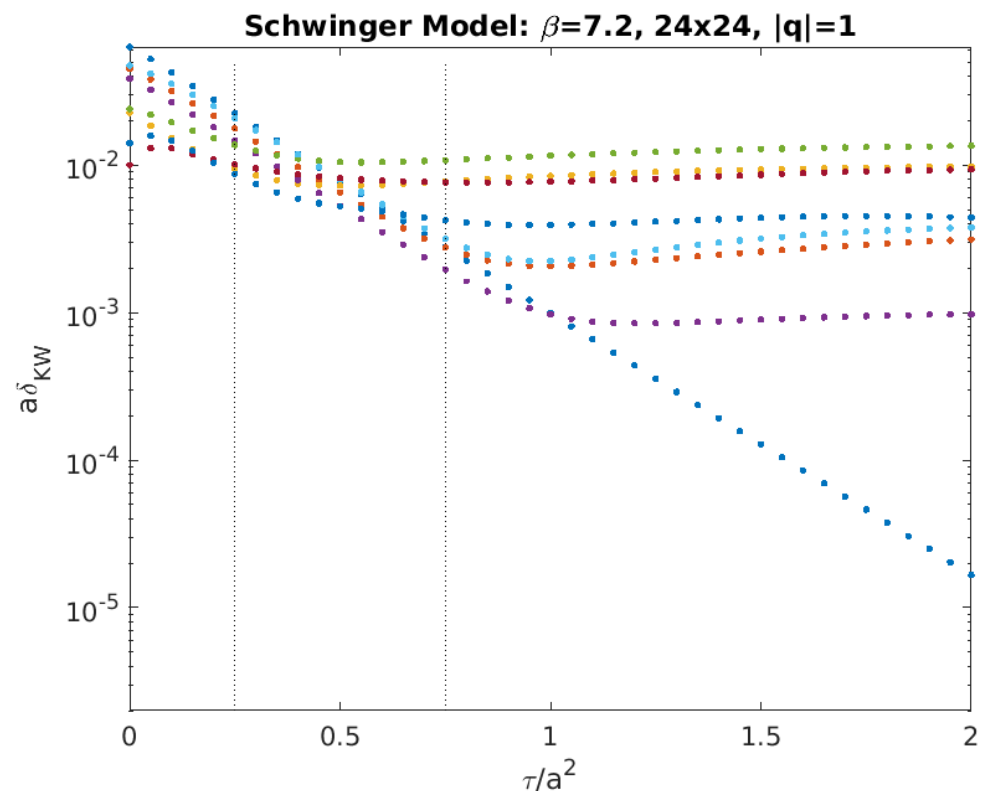
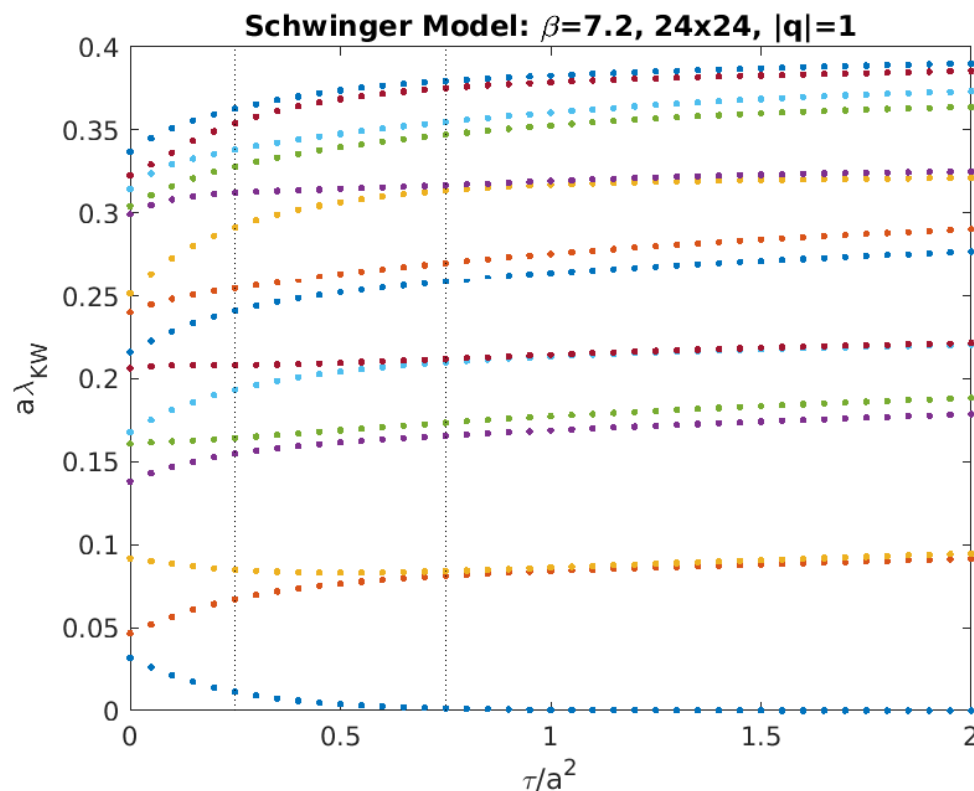
Operators use  $n=0, 1, 3$  steps of  $\rho=0.25$  stout-smearing [Morningstar Peardon 2003].

Flowtime  $\tau/a^2 = 0.75$  reached by  $(n, \rho) = (3, 0.25)$  or  $(5, 0.15)$  or  $(15, 0.05)$  or ...

# Taste splittings: $a\delta_{KW}$ under gradient flow

Eigenvalues  $\pm i\lambda_1, \dots, \pm i\lambda_{15}$  of  $D_{KW}$  on a  $|q|=1$  configuration at  $(\beta, L/a) = (7.2, 24)$  versus gradient flow time  $\tau$  (everything in lattice units).

Note that  $\lambda_1$  pairs with  $-\lambda_1$  for  $|q|=1$ , while  $\lambda_2 \simeq \lambda_3$  and  $\lambda_4 \simeq \lambda_5$  pair, and so on. Splittings defined with proper pairing:  $\delta_1 = 2\lambda_1$ ,  $\delta_2 = \lambda_3 - \lambda_2$  and so on for  $|q|=1$ .

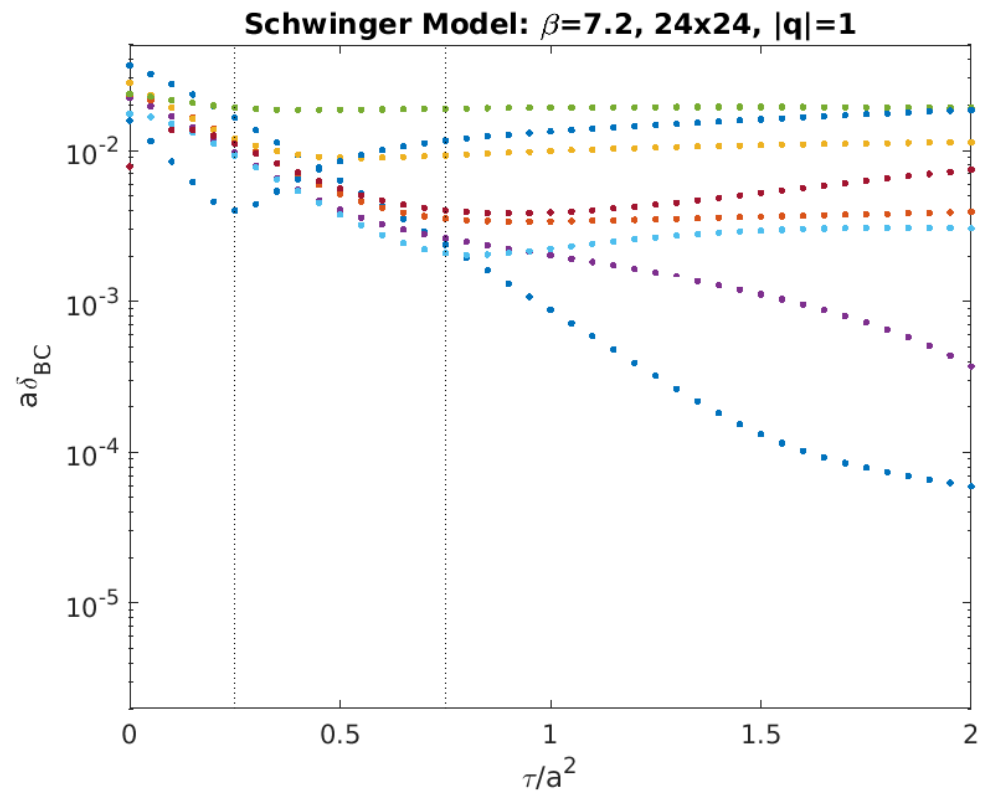
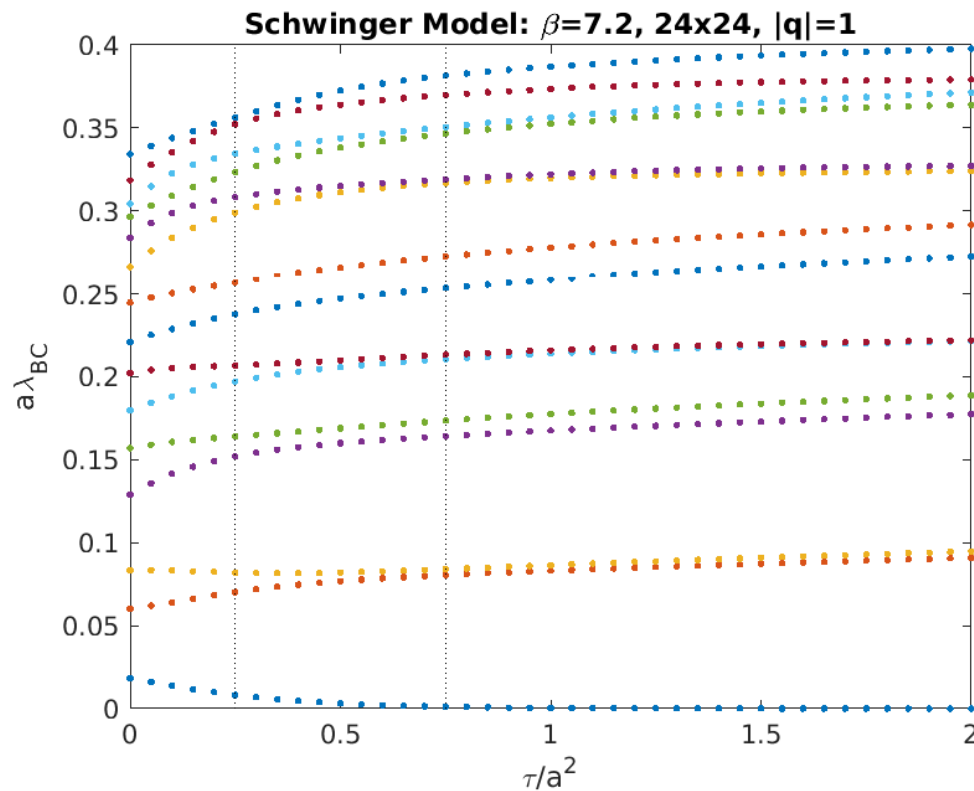


→ for  $D_{KW}$  some splittings stop decreasing after some gradient flow time

# Taste splittings: $a\delta_{BC}$ under gradient flow

Eigenvalues  $\pm i\lambda_1, \dots, \pm i\lambda_{15}$  of  $D_{BC}$  on a  $|q|=1$  configuration at  $(\beta, L/a) = (7.2, 24)$  versus gradient flow time  $\tau$  (everything in lattice units).

Note that  $\lambda_1$  pairs with  $-\lambda_1$  for  $|q|=1$ , while  $\lambda_2 \simeq \lambda_3$  and  $\lambda_4 \simeq \lambda_5$  pair, and so on. Splittings defined with proper pairing:  $\delta_1 = 2\lambda_1$ ,  $\delta_2 = \lambda_3 - \lambda_2$  and so on for  $|q|=1$ .



→ for  $D_{BC}$  decrease of splittings with gradient flow time seems more chaotic