## Classically perfect gradient flows from machine-learned fixed-point actions

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<u>arXiv:2311.17816 [hep-lat]</u>, <u>arXiv:2401.06481 [hep-lat]</u>, <u>arXiv:2502.03315 [hep-lat]</u>

Gradient Flow Workshop, 13 February 2025 - University of Zurich, Switzerland







**Swiss National** Science Foundation

#### Introduction



lattice spacing *a* from dimensionless  $g: \Rightarrow$  dimensional transmutation

#### Introduction



critical slowing down ? (topological freezing)

Introduce (coordinate space) renormalization group transformation (RGT):

$$\exp\left\{-\beta' A'[V]\right\} = \int \mathcal{D}U \exp\left\{-\beta' U \exp\left(-\beta' U \exp\left\{-\beta' U \exp\left\{-\beta' U \exp\left\{-\beta' U \exp\left(-\beta' U \exp\left($$

The effective action  $\beta' A'[V]$  is described by infinitely many couplings  $\{c'_{\alpha}\}$ :



 $-\beta(A[U] + T[U, V])\Big\}$ 

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where T[U, V] is a blocking kernel regauge links  $V \equiv U'$ :

$$T[U, V] = -\frac{\kappa}{N_c} \sum_{x_B, \mu} \left\{ \operatorname{ReTr} \left( V_{\mu}(x_B) \cdot Q_{\mu}^{\dagger}(x_B) \right) - \mathcal{N}_{\mu}^{\beta} \right\}$$

 $(\mathcal{N}^{\beta}_{\mu})$  is a normalization factor guaranteeing  $Z(\beta') = Z(\beta)$ , i.e., unchanged long distance physics)

#### $\cdot\beta(A[U] + T[U, V])\Big\}$

where T[U, V] is a blocking kernel relating the fine gauge links U to the coarse







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critical surface  $\xi/a = \infty$ 











The effective action  $\beta A[V]$  is described by infinitely many couplings  $\{c_{\alpha}\}$ :

 $\exp\left\{-\beta'A'[V]\right\} = \left[ \mathscr{D}U\exp\left\{-\beta(A[U] + T[U, V])\right\}\right]$ 

Two practical problems:

- how to parametrize RT, i.e., which set  $\{c_{\alpha}\}$ ?
- how to determine  $\{c_{\alpha}^{\mathsf{RT}}\}$  or  $\{c_{\alpha}^{\mathsf{FP}}\}$ ?







P. Hasenfratz, F. Niedermayer [Nucl. Phys. B414 (1994) 785, hep-lat/9308004] for  $\beta \to \infty$  (on critical surface) the RGT becomes a classical saddle point problem:

 $\{U\}$ 

The effective action  $\beta A[V]$  is described by infinitely many couplings  $\{c_{\alpha}\}$ :

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 $A^{\mathsf{FP}}[V] = \min \left\{ A^{\mathsf{FP}}[U] + T[U, V] \right\}$ 





The classical FP action  $A^{\text{FP}}$  defines an action for all  $\beta$ :



P. Hasenfratz, F. Niedermayer [NPB 414 (1994) 785, hep-lat/9308004]



#### The FP action values for rough configurations defined through an inception procedure:



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The classical FP equation can be iterated:

 There are no lattice artefacts on classical configurations:

$$\frac{\delta A^{\mathsf{FP}}[V]}{\delta V} = 0 \quad \Rightarrow \quad \frac{\delta A^{\mathsf{FP}}[U]}{\delta U} = 0$$

## $A^{\mathsf{FP}}[V] = \min_{\{U\}} \{A^{\mathsf{FP}}[U] + T[U, V]\} = \min_{\{U', U\}} \{A^{\mathsf{FP}}[U'] + T[U', U] + T[U, V]\} = \dots$

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 $\Rightarrow A^{\text{FP}}$  has scale invariant instanton solutions



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• There are no lattice artefacts on classical • For large  $\beta$ ,  $A^{FP}[V]$  is very close to  $A^{RT}[V]$ :

 $\Rightarrow$  lattice artefacts expected to be substantially reduced:

$$\mathcal{O}(a^{2n}), \mathcal{O}(g^2 a^{2n}) \quad n = 1, 2, \dots$$

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 $\Rightarrow$  initiated a large activity, culminating in the discovery of **GW fermions**!

 $A^{\mathsf{FP}}[V] = \min_{\{U\}} \{A^{\mathsf{FP}}[U] + T[U, V]\} = \min_{\{U', U\}} \{A^{\mathsf{FP}}[U'] + T[U', U] + T[U, V]\} = \dots$ 

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#### FP action in action

Static quark-antiquark potential, lattice spacings between a = 0.33 fm,  $\dots$ , 0.10 fm :



[Niedermayer, Rüfenacht, UW, Nucl.Phys.B 597 (2001) 413, hep-lat/0007007]





### Machine learning the FP action



#### ML architecture: Lattice gauge equivariant Convolutional Neural Network (L-CNN)

[Favoni, Ipp, Müller, Schuh, PRL 128 (2022) 3, 2012.12901]



## Machine learning the FP action





 $(\mathcal{U},\mathcal{W}) \to (\mathcal{U},\mathcal{W}')$ 

 $W'_{x+k\cdot\mu,j} = U_{x,k\cdot\mu}W_{x+k\cdot\mu,j}U^{\dagger}_{x,k\cdot\mu},$ 

L-Bilin:

![](_page_25_Figure_7.jpeg)

![](_page_25_Figure_8.jpeg)

#### ML architecture: Lattice gauge equivariant Convolutional Neural Network (L-CNN)

![](_page_25_Figure_10.jpeg)

 $(\mathcal{U},\mathcal{W})\times(\mathcal{U},\mathcal{W}')\to(\mathcal{U},\mathcal{W}'')$ 

![](_page_25_Figure_12.jpeg)

#### Machine learning the FP action: FP data

Use the exact FP action values for training, plus the derivatives of the FP action:

 $\frac{\delta A^{\mathsf{FP}}[V]}{\delta V^{a}_{x,\mu}} = \frac{\delta T[U,V]}{\delta V^{a}_{x,\mu}} = -\kappa \operatorname{\mathsf{Re}} \operatorname{\mathsf{Tr}}(it^{a} V_{x,\mu} Q^{\dagger}_{x,\mu})$ 

Gauge invariance of  $A^{FP}$  yields conserved local quantity via Noether's theorem:

$$D_{x,\mu}^{FP} = \sum_{a} t^{a} \frac{\delta A^{FP}[V]}{\delta V_{x,\mu}^{a}}$$

- FP action values
- FP action derivatives

![](_page_26_Figure_9.jpeg)

 $\Rightarrow$  yields 4 x 8 x Volume (link) (color) (position) data per configuration

$$\implies \sum_{\mu} \mathscr{D}^B_{\mu} D^{FP}_{x,\mu} [V] = 0$$

 $\Rightarrow$  consistency check satisfied up to the accuracy in minimization

data set for supervised ML

#### Machine learning the FP action: Results

#### Superiority of L-CNN over old parameterizations of FP action:

![](_page_27_Figure_2.jpeg)

### Scaling properties of FP actions

Use renormalized GF coupling as scaling quantity:

$$\frac{dA_{\mu}(t)}{dt} = \frac{\delta S_{YM}}{\delta A_{\mu}} \qquad \langle t^2 E(t) \rangle = \frac{3(N^2 - 1)g^2}{128\pi^2} \left(1 + O(g^2)\right) \equiv \frac{3g_{GF}^2(t)}{16\pi^2}$$

where g is the renormalised  $\overline{\text{MS}}$  coupling at RG scale  $\mu = 1/\sqrt{8t}$ , with the corresponding  $\beta$ -function:

 $\mu^2 \frac{dg_{GF}^2}{d(\mu^2)} =$ 

$$-t\frac{dg_{GF}^2}{dt}$$

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 $\beta$ -function:

![](_page_29_Picture_4.jpeg)

DANGER: observable itself may introduce lattice artifacts...

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 $\beta$ -function:

![](_page_30_Picture_4.jpeg)

DANGER: observable itself may introduce lattice artifacts...

 $\Rightarrow$  turns out that GF with FP actions is classically perfect!

where g is the renormalised  $\overline{MS}$  coupling at RG scale  $\mu = 1/\sqrt{8t}$ , with the corresponding

![](_page_30_Figure_9.jpeg)

![](_page_30_Picture_10.jpeg)

contributing to lattice artifacts:

$$t^{2}\langle E(t)\rangle = \frac{3(N^{2} - 1)g_{0}^{2}}{128\pi^{2}} \left[C(a^{2}/t) + \mathcal{O}(g_{0}^{2})\right]$$
$$C(a^{2}/t) = \frac{64\pi^{2}t^{2}}{3} \int_{-\pi/a}^{\pi/a} \frac{d^{4}p}{(2\pi)^{4}} \operatorname{Tr}\left[e^{-t(S^{f} + \mathcal{G})}(S^{g} + \mathcal{G})^{-1}e^{-t(S^{f} + \mathcal{G})}S^{e}\right]$$

where  $C(a^2/t) = 1 + O(a^2/t)$  contains the tree-level lattice artifacts.

On the lattice, the flow of the gauge links is with a lattice flow action  $S^{f}$ :  $\frac{dU_{\mu}}{dt} = -i \frac{\delta S^{f}}{\delta U_{\mu}} U_{\mu}$ In addition, separate choice of lattice action  $S^e$  for E and the simulated gauge action  $S^g$ 

![](_page_31_Picture_8.jpeg)

In addition, separate choice of lattice action  $S^e$  for E and the simulated gauge action  $S^g$ contribute to lattice artifacts:

$$t^{2}\langle E(t)\rangle = \frac{3(N^{2} - 1)g_{0}^{2}}{128\pi^{2}} \left[C(t)\right]$$
$$C(a^{2}/t) = \frac{64\pi^{2}t^{2}}{3} \int_{-\pi/a}^{\pi/a} \frac{d^{4}}{(2\pi)^{2}}$$

where  $C(a^2/t) = 1 + O(a^2/t)$  contains the tree-level lattice artifacts.

Calculation in momentum space to quadratic order in  $A_{\mu}$ :

$$A_{\mu}(p)S_{\mu\nu}(p)A_{\nu}(p)$$

On the lattice, the flow of the gauge links is with a lattice flow action  $S^{f}$ :  $\frac{dU_{\mu}}{dt} = -i \frac{\delta S^{f}}{\delta U_{\mu}} U_{\mu}$ 

 $C(a^{2}/t) + \mathcal{O}(g_{0}^{2})]$   $\frac{d^{4}p}{\pi)^{4}} \operatorname{Tr} \left[ e^{-t(S^{f} + \mathscr{G})}(S^{g} + \mathscr{G})^{-1} e^{-t(S^{f} + \mathscr{G})}S^{e} \right]$ 

(with gauge fixing term  $\mathscr{G}$ ) (-p)

![](_page_32_Picture_12.jpeg)

Choosing the same action for all three  $S^f = S^e = S^g = S$ :

$$C(a^2/t) = \frac{64\pi^2 t^2}{3} \int_{-\pi/a}^{\pi/a} \frac{d^4 p}{(2\pi)^4}$$

- $\frac{1}{\sqrt{4}} \operatorname{Tr} \left[ e^{-t(S+\mathscr{G})} (S+\mathscr{G})^{-1} e^{-t(S+\mathscr{G})} S \right]$

Choosing the same action for all three  $S^{f}$ 

$$C(a^2/t) = \frac{64\pi^2 t^2}{3} \int_{-\pi/a}^{\pi/a} \frac{d^4 p}{(2\pi)^4} \operatorname{Tr}\left[e^{-2t(S+\mathscr{G})}\right]$$

$$c = S^e = S^g = S$$
:

Choosing the same action for all three  $S^{f}$ 

$$C(a^2/t) = \frac{64\pi^2 t^2}{3} \int_{-\pi/a}^{\pi/a} \frac{d^4 p}{(2\pi)^4} \operatorname{Tr}\left[e^{-2t(S+\mathscr{G})}\right]$$

![](_page_35_Figure_3.jpeg)

$$c = S^e = S^g = S$$
:

Choosing the same action for all three  $S^{\prime}$ 

$$C(a^2/t) = \frac{64\pi^2 t^2}{3} \int_{-\pi/a}^{\pi/a} \frac{d^4 p}{(2\pi)^4}$$

![](_page_36_Figure_3.jpeg)

$$c = S^e = S^g = S$$
:

 $\frac{1}{\sqrt{4}}$ Tr  $\left[e^{-2t(S+\mathscr{G})}\right]$ 

![](_page_36_Figure_6.jpeg)

![](_page_36_Picture_7.jpeg)

#### Classically perfect gradient flow:

Lattice momenta restricted as usual:  $-\pi/a \leq p_{\mu} \leq \pi/a$ 

but iterated RG transformations generate additional poles in the propagator:

$$(p+2\pi l)^2 \qquad \qquad \mathbf{f}$$

relation:

$$C^{FP}(a^2/t) = \frac{64\pi^2 t^2}{3} \cdot 3\left(\int_{-\infty}^{+\infty} \frac{dp}{2\pi} e^{-2tp^2}\right)^4 = \frac{64\pi^2 t^2}{(\sqrt{8\pi t})^4} = 1$$

- for l = 0.1.2...
- extending momentum range to  $-\infty \leq p_{\mu} \leq \infty$  and yielding the continuum dispersion

 $\Rightarrow$  gradient flow with FP actions is classically perfect!

Physical reference scales defined through

h 
$$t^2 \langle E \rangle |_{t=t_x} = x,$$
  $t \frac{d}{dt} (t^2 \langle E \rangle) \Big|_{t=w_x^2} = x$ 

Physical reference scales defined throug

![](_page_39_Figure_3.jpeg)

h 
$$t^2 \langle E \rangle |_{t=t_x} = x,$$
  $t \frac{d}{dt} (t^2 \langle E \rangle) \Big|_{t=w_x^2} = x$ 

Physical reference scales defined throug

![](_page_40_Figure_3.jpeg)

h 
$$t^2 \langle E \rangle |_{t=t_x} = x,$$
  $t \frac{d}{dt} (t^2 \langle E \rangle) \Big|_{t=w_x^2} = x$ 

![](_page_40_Figure_6.jpeg)

Physical reference scales defined throug

![](_page_41_Figure_3.jpeg)

h 
$$t^2 \langle E \rangle |_{t=t_x} = x,$$
  $t \frac{d}{dt} (t^2 \langle E \rangle) \Big|_{t=w_x^2} = x$ 

![](_page_41_Figure_6.jpeg)

Physical reference scales defined throug

 $\beta$ -function at  $g_{GF}^2 = 15.79$ :

Continuum limits of  $\beta(g^2 = 15.79)$ 

![](_page_42_Figure_4.jpeg)

h 
$$t^2 \langle E \rangle |_{t=t_x} = x,$$

$$\left. t \frac{d}{dt} \left( t^2 \langle E \rangle \right) \right|_{t=w_x^2} = x$$

Physical reference scales defined throug

 $\beta$ -function at  $g_{GF}^2 = 15.79$ :

Continuum limits of  $\beta(g^2 = 15.79)$ 

![](_page_43_Figure_4.jpeg)

h 
$$t^2 \langle E \rangle |_{t=t_x} = x$$
,

$$\left. t \frac{d}{dt} \left( t^2 \langle E \rangle \right) \right|_{t=w_x^2} = x$$

![](_page_43_Figure_7.jpeg)

Physical reference scales defined throug

FP errors often systematics dominated:

![](_page_44_Figure_3.jpeg)

h 
$$t^2 \langle E \rangle |_{t=t_x} = x$$
,

$$\left. t \frac{d}{dt} \left( t^2 \langle E \rangle \right) \right|_{t=w_x^2} = x$$

![](_page_44_Figure_6.jpeg)

#### FP action with L-CNN: Conclusions

Three questions were addressed:

- can the FP action be parametrised sufficiently well?
- is the FP action sufficiently local for truncations to work?
- how good are the scaling properties of the L-CNN FP action?
- This provides a solution to critical slowing down and topological freezing...
- Availability of derivatives from the L-CNN is the stepping stone for:
  - HMC, Langevin, gradient flow
  - application of exact RGT step(s)

The gradient flow with FP actions is classically perfect!

![](_page_45_Picture_10.jpeg)

![](_page_45_Figure_14.jpeg)

Backup slides

### Machine learning the FP action

![](_page_47_Figure_2.jpeg)

-Conv:

 $W'_{x+k\cdot\mu,j} = U_{x,k\cdot\mu}W_{x+k\cdot\mu,j}U^{\dagger}_{x,k\cdot\mu},$ 

#### ML architecture: Lattice gauge equivariant Convolutional Neural Network (L-CNN)

[Favoni, Ipp, Müller, Schuh, PRL 128 (2022) 3, 2012.12901]

\_-Bilin:

 $W_{x,i} \rightarrow \sum \alpha_{i,j,j',k} W_{x,j} W'_{x+k\cdot\mu,j'}.$ j,j',k

![](_page_47_Picture_9.jpeg)

#### Machine learning the FP action: Results

Superiority of L-CNN over old parameterization of FP action:

![](_page_48_Figure_2.jpeg)

![](_page_48_Figure_4.jpeg)

### Machine learning the FP action

Training example: L-CNN model with

- 3 layers with 12, 24, 24 channels each
- parallel transport in  $\pm 1$  in first 2 layers
- local in 3rd layer

Older parametrizations of FP action as baselines:

![](_page_49_Figure_6.jpeg)

invariant loss

	L-CNN	APE431	APE444	Wilson
L1 (A/V)	0.02148	0.19690	0.62189	0.90898
rel. err.	0.226%	2.1965%	6.1356%	9.7577 <sup>9</sup>
inv. loss (DA)	0.13480	0.23799	0.49264	0.7353

![](_page_49_Picture_9.jpeg)

![](_page_49_Picture_10.jpeg)

![](_page_49_Picture_11.jpeg)

![](_page_49_Picture_12.jpeg)

#### Classically perfect gradient flow:

Starting from Wilson propagator:  $D_{\mu\nu}^{(0)}$ 

the propagator maintains its form after an arbitrary number of RG iterations:  $D_{\mu\nu}(p) = G_{\mu\nu}(p) + \alpha f(p) \hat{p}_{\mu} \hat{p}_{\nu}^*$ 

with  $G'_{\mu\nu}(p_B) = \frac{1}{16} \sum_{l=0}^{1} \left[ \omega(\frac{p_B}{2} + \frac{p_B}{2}) \right]$ 

and

 $f'(p_B) = -$ 

⇒ gradient flow with FP actions is classically perfect!

$$(p) = \frac{\delta_{\mu\nu}}{|\hat{p}|^2} + \alpha \frac{\hat{p}_{\mu}\hat{p}_{\nu}^*}{|\hat{p}|^4}, \qquad \hat{p}_{\mu} = \frac{2}{a}\sin\left(\frac{ap_{\mu}}{2}\right)$$

$$\pi l)G(\frac{p_B}{2} + \pi l)\omega^{\dagger}(\frac{p_B}{2} + \pi l)\bigg]_{\mu\nu} + \frac{1}{\kappa}\delta_{\mu\nu}$$

$$\frac{1}{16} \sum_{l=0}^{1} f(\frac{p_B}{2} + \pi l)$$

# Classically perfect gradient flow: After *n* RGT steps the part $\propto \delta_{\mu\nu}$ reads: $\left[\Omega^{(n)}\left(\frac{p+2\pi l}{2^n}\right)\Omega^{(n)\dagger}\left(\frac{p+2\pi l}{2^n}\right)\right]_{\mu\nu}\frac{1}{(p+2\pi l)^2}$

with the dispersion relation from the poles:

![](_page_51_Figure_3.jpeg)

![](_page_51_Picture_4.jpeg)

#### Classically perfect grades

After *n* RGT steps the part  $\propto \delta_{\mu\nu}$  reads:

![](_page_52_Figure_3.jpeg)

dient flow:  

$$\left[\Omega^{(n)}\left(\frac{p+2\pi l}{2^n}\right)\Omega^{(n)\dagger}\left(\frac{p+2\pi l}{2^n}\right)\right]_{\mu\nu}\frac{1}{(p+2\pi l)}$$

with the dispersion relation and the momentum range extended to  $-\infty \leq p_{\mu} \leq \infty$ :

- $(p+2\pi l)^2$  for l = 0, 1, 2, ...
- through the iterated RGTs.

![](_page_52_Picture_10.jpeg)

#### Classically perfect gra

After *n* RGT steps the part  $\propto \delta_{\mu\nu}$  reads:

![](_page_53_Figure_3.jpeg)

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$$\left[\Omega^{(n)}\left(\frac{p+2\pi l}{2^n}\right)\Omega^{(n)\dagger}\left(\frac{p+2\pi l}{2^n}\right)\right]_{\mu\nu}\frac{1}{(p+2\pi l)}$$

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 $\Rightarrow$  gradient flow with FP actions is classically perfect!

![](_page_53_Picture_9.jpeg)