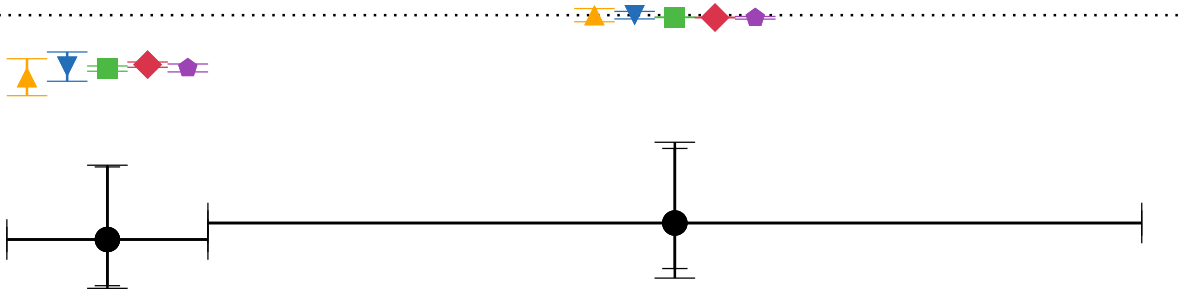


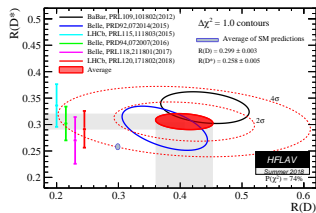
# Implications of $B$ Anomalies

David M. Straub Universe Cluster/TUM, Munich

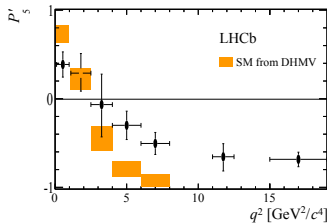


# $B$ "anomalies"

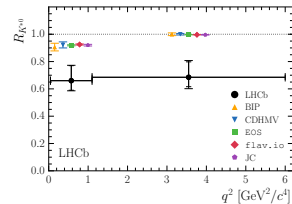
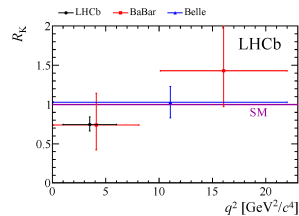
## $R_D$ & $R_D^*$ anomalies



## $B \rightarrow K^* \mu \mu$ anomalies



## $R_K$ & $R_K^*$ anomalies



# Outline

## 1 Introduction

- What's an anomaly?
- The need for theory hypotheses

## 2 $b \rightarrow c\tau\nu$ anomalies

- $B \rightarrow D^{(*)}\tau\nu$  in the SM
- EFT analysis of  $R_{D^{(*)}}$
- Simplified models to explain  $R_{D^{(*)}}$

## 1 $b \rightarrow s\mu\mu$ anomalies

- $B \rightarrow K^*\mu^+\mu^-$  angular observables
- SM predictions: challenges
- EFT analysis of  $b \rightarrow s\mu\mu$  anomalies
- Simplified models for  $b \rightarrow s\mu\mu$  anomalies

## 2 $R_K$ and $R_{K^*}$ anomalies

- EFT analysis of  $R_{K^{(*)}}$  anomalies

## 3 Combined explanations

- Simplified models
- Model-building directions
- Outlook

# Lecture I

## Introduction & charged-current anomalies



## 1 Introduction

- What's an anomaly?
- The need for theory hypotheses

## 2 $b \rightarrow c\tau\nu$ anomalies

# Anomaly, evidence, discovery?

Simplest case: measurement with purely statistical, normally distributed uncertainty, no theory uncertainties

$$\mu_{\text{exp}} = 0.5 \pm 0.1 \quad \mu_{\text{th}} = 1.0$$

Particle physics convention:

- ▶ Evidence:  $3\sigma, p = 1.3 \times 10^{-3}$
- ▶ Discovery:  $5\sigma, p = 2.9 \times 10^{-7}$

# Issues of the $5\sigma$ criterion

## ► Systematic uncertainties:

$$\mu_{\text{exp}} = 0.5 \pm 0.01_{\text{stat}} \pm 0.1_{\text{sys}} \quad \mu_{\text{th}} = 1.0$$

- Systematic uncertainty underestimated by factor of 2  $\Rightarrow$  significance reduces by factor 2  $\Rightarrow$   $p$ -value increases by factor  $2 \times 10^4$

## ► Theory uncertainties:

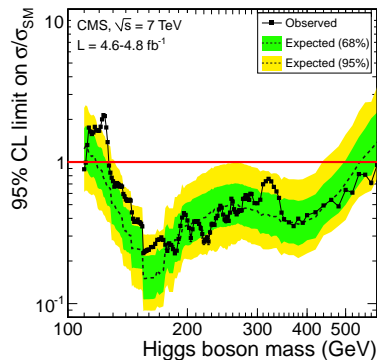
$$\mu_{\text{exp}} = 0.5 \pm 0.01 \quad \mu_{\text{th}} = 1.0 \pm 0.1$$

- Usually systematic in nature, often based on (educated) guesses, unclear probability distribution

# On the “look elsewhere effect” (LEE)

Much discussed especially since the Higgs discovery, the LEE refers to measurements of a local excess in a distribution where the location of the excess is not clear a priori

- ▶ Probability of observing such a fluctuation at  $m = 125$  GeV? “Local” significance  $3.1\sigma$
- ▶ Probability of observing such a fluctuation *anywhere* in the mass range? “Global” significance  $1.5\sigma$



In flavour physics, the LEE usually plays little role since deviations do not show up as local excess but as correlated shifts in multiple observables based on the same partonic process

## 1 Introduction

- What's an anomaly?
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## 2 $b \rightarrow c\tau\nu$ anomalies

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- Simplified models to explain  $R_{D^{(*)}}$

# Dealing with multiple observables: $R_D$ and $R_{D^*}$ example

- ▶ both sensitive to the same partonic process,  $b \rightarrow c\tau\nu$
- ▶ both deviate from the SM:

$$(R_D/R_D^{\text{SM}}) = 1.36 \pm 0.11 \quad (R_{D^*}/R_{D^*}^{\text{SM}}) = 1.19 \pm 0.05$$

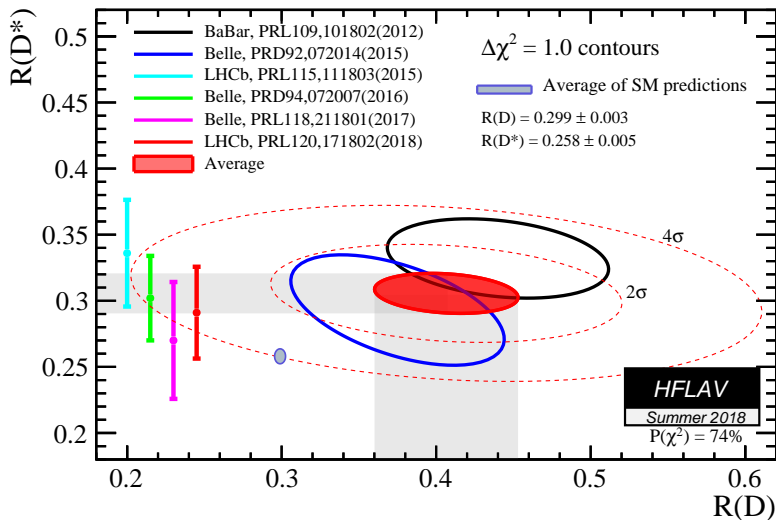
- ▶  $2.3\sigma$  and  $3.0\sigma$ , respectively. How to combine this?
  - ▶ Option 1: simultaneous enhancement  $\rightarrow$  1 degree of freedom, weighted average

$$(R_{D^{(*)}}/R_{D^{(*)}}^{\text{SM}}) = 1.22 \pm 0.05 \quad (3.7\sigma)$$

- ▶ Option 2: independent enhancement, 2 degrees of freedom:  $3.8\sigma$
  - ▶ What if theory predicts anticorrelation of  $R_D$  and  $R_{D^*}$ ?

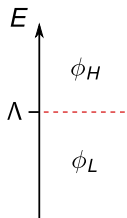
General fact: combining significances in more than 1 observable requires a *theory hypothesis*!

# Theory vs. experiment



# Effective field theory

We want to study physics at energies much lower than some scale  $\Lambda$  in a theory where particles lighter and heavier than  $\Lambda$  are present.



To this end, we can replace the complicated Lagrangian of the “full” theory by an **effective Lagrangian** containing only the light fields and a series of local operators built out of the light fields

$$\mathcal{L}(\varphi_L, \varphi_H) \rightarrow \mathcal{L}(\varphi_L) + \mathcal{L}_{\text{eff}} = \mathcal{L}(\varphi_L) + \sum_i C_i Q_i(\varphi_L)$$

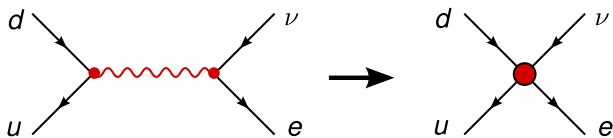
This expansion is called the **operator product expansion**



## Example: modern view of Fermi theory

In Fermi's model of  $\beta$  decay, the full weak Lagrangian (that he didn't know of course) is effectively replaced by the low-energy (QED) Lagrangian plus a single operator

$$\mathcal{L}_{\text{ew}} \rightarrow \mathcal{L}_{\text{QED}} + \frac{G_F}{\sqrt{2}}(\bar{u}d)(e\bar{\nu})$$



Local operator  $\equiv$  effective vertex!

# Interpretation of anomalies: theory hypotheses

## ▶ Effective field theory

- ▶ In observables based on the same partonic process (e.g.  $b \rightarrow c\tau\nu$ ) only a handful of operators in the operator product expansion are relevant
- ▶ Significance can be defined in the space of Wilson coefficients

## ▶ Simplified models

- ▶ Models with a single (or a few) multiplet of new particles allow to study “typical” pattern of Wilson coefficients and correlations with other processes, e.g. direct searches

## ▶ UV complete models

- ▶ Allow to investigate whether successful simplified models can be embedded in a consistent theory



# Summary: anomaly caveats

- ▶ When observing sizable discrepancies, we need to pay attention to the dominant source of uncertainty (statistical, systematic, theory)
- ▶ When we can measure multiple observables sensitive to the same short-distance physics, we need a theory hypothesis to quote a combined significance
- ▶ The combined significance depends on the number of degrees of freedom (that is somewhat arbitrary in a pure EFT analysis)

# How to deal with anomalies (as a theorist)

1. Scrutinize SM predictions & uncertainties (but don't inflate your errors without physics reason)
2. Try to find an EFT solution and investigate correlation with other observables
3. Try to realize your EFT solution in a simplified model and investigate signals and investigate correlation with other observables, direct searches
4. Try to embed your simplified model in a reasonable theory



# How to deal with anomalies (as a theorist)

1. Scrutinize SM predictions & uncertainties (but don't inflate your errors without physics reason)
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Let's discuss 1.-3. for  $R_{D^{(*)}}$



## 1 Introduction

## 2 $b \rightarrow c\tau\nu$ anomalies

- $B \rightarrow D^{(*)}\tau\nu$  in the SM
- EFT analysis of  $R_{D^{(*)}}$
- Simplified models to explain  $R_{D^{(*)}}$

# Lepton flavour universality (LFU) in the SM

$$\begin{aligned} \mathcal{L}_{\text{SM}} = & - \sum_F \frac{1}{4} F_{\mu\nu}^a F^{a\mu\nu} + \sum_{\psi} \bar{\psi} i \not{D} \psi \\ & + |D_{\mu} H|^2 + \mu^2 |H|^2 - \lambda |H|^4 \\ & - \bar{l}_L Y_l H e_R - \bar{q}_L Y_d H d_R - \bar{q}_L Y_u \tilde{H} i_R \end{aligned}$$

- ▶ All interactions in  $\mathcal{L}_{\text{SM}}$  are flavour-blind (large  $U(3)^5$  flavour symmetry) except for the Yukawa terms
- ▶ In the SM (without RH neutrinos), only the 3 diagonal elements of  $Y_l$  are physical  
 $\Rightarrow$  Gauge interactions are *lepton flavour universal*, only lepton masses and lepton-Higgs couplings (tiny!) distinguish between flavours

# Testing LFU in $B \rightarrow D^{(*)}\tau\nu$

- ▶ The decays  $B \rightarrow D^{(*)}\ell\nu$  with  $\ell = e, \mu$  are used to measure the  $V_{CKM}$  element  $V_{cb}$

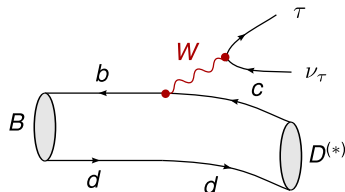
- ▶ Not a rare  $B$  decay!  $\text{BR}(B \rightarrow X_c(e + \mu)\nu) \sim 20\%$
- ▶  $\mu$ - $e$  universality confirmed at the 4% level

- ▶  $R_{D^{(*)}} = \frac{\text{BR}(B \rightarrow D^{(*)}\tau\nu)}{\text{BR}(B \rightarrow D^{(*)}\ell\nu)}$  tests  $\mu$ - $\tau$  ( $e$ - $\tau$ ) universality

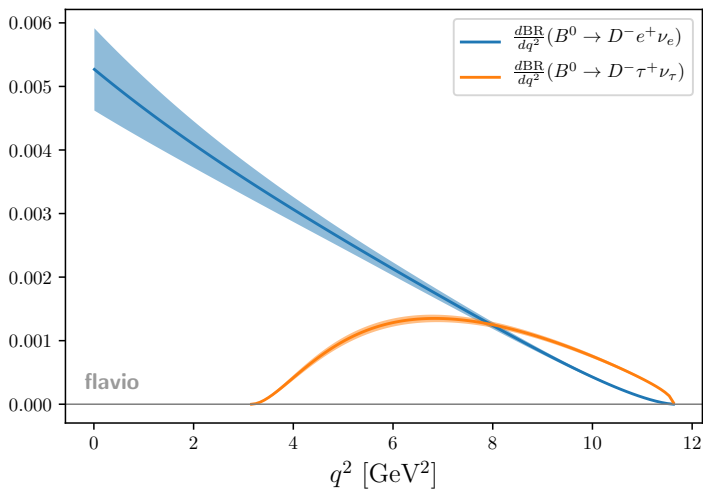
- ▶ Two sources for  $R_{D^{(*)}} \neq 1$ :

- ▶ Phase-space due to  $m_\tau \gg m_{e,\mu}$
- ▶  $m_\ell$ -dependent terms in the amplitude, in particular multiplying the scalar form factors, e.g.

$$\frac{d\Gamma_D}{dq^2} \propto O(1) \times f_+^2(q^2) + O(m_\ell^2/q^2) \times f_0^2(q^2)$$





$B \rightarrow D\ell\nu$  vs.  $B \rightarrow D\tau\nu$ 

## $B \rightarrow D^{(*)}$ form factors and $R_{D^{(*)}}$

- ▶ Due to the  $m_\ell$ -dependent terms,  $R_{D^{(*)}}^{\text{SM}}$  require knowledge of form factors
  - ▶ Vector form factors can be fitted from measurements of  $B \rightarrow D^{(*)}\ell\nu$  with  $\ell = e, \mu$  assuming them to be SM-like
  - ▶ Scalar form factors need to be predicted by theory: in particular lattice QCD (LQCD) or heavy quark effective theory (HQET)
- ▶ Status of  $B \rightarrow D$ 
  - ▶ LQCD calculations of both form factors extrapolated to the full kinematic range  
[Bailey et al. 1503.07237](#), [Na et al. 1505.03925](#)
- ▶ Status of  $B \rightarrow D^*$ 
  - ▶ LQCD calculations restricted to zero recoil ( $q_{\text{max}}^2$ ), vector form factor [Bailey et al. 1403.0635](#), [Harrison et al. 1711.11013](#). Need HQET for scalar, non-zero recoil [Caprini et al. hep-ph/9712417](#)

$R_{D^{(*)}}^{\text{SM}}$  : *status*

- ▶  $R_D$ 
  - ▶ Lattice QCD:  $0.299 \pm 0.11$  Bailey et al. 1503.07237,  $0.300 \pm 0.008$  Na et al. 1505.03925
  - ▶ Lattice + Fit to  $B \rightarrow D(e, \mu)\nu$ :  $0.299 \pm 0.003$  Bernlochner et al. 1703.05330, Bigi et al. 1707.09509
- ▶  $R_{D^*}$ 
  - ▶ Slight controversy over how conservative to estimate HQET uncertainties
  - ▶  $0.257 \pm 0.003$  Bernlochner et al. 1703.05330,  $0.260 \pm 0.008$  Bigi et al. 1707.09509,
  - ▶ this should be settled soon by LQCD
- ▶ In both cases, much smaller than experimental uncertainty!

## 1 Introduction

- What's an anomaly?
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## 2 $b \rightarrow c\tau\nu$ anomalies

- $B \rightarrow D^{(*)}\tau\nu$  in the SM
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- Simplified models to explain  $R_{D^{(*)}}$

# Effective theory for $b \rightarrow c\tau\nu$

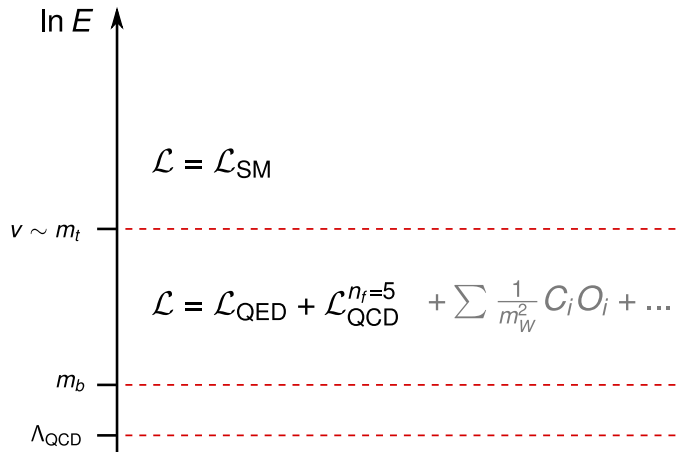
Assuming no light particles except the SM ones:

$$\mathcal{H}_{\text{eff}} = \frac{4G_F}{\sqrt{2}} V_{cb} \left( O_{V_L} + \sum_i C_i O_i + \text{h.c.} \right)$$

$$\begin{aligned} O_{V_L} &= (\bar{c}_L \gamma^\mu b_L) (\bar{\ell}_L \gamma_\mu \nu_{\tau L}) & O_{S_R} &= (\bar{c}_L b_R) (\bar{\ell}_R \nu_{\tau L}) & O_T &= (\bar{c}_R \sigma^{\mu\nu} b_L) (\bar{\ell}_R \sigma_{\mu\nu} \nu_{\tau L}) \\ O_{V_R} &= (\bar{c}_R \gamma^\mu b_R) (\bar{\ell}_L \gamma_\mu \nu_{\tau L}) & O_{S_L} &= (\bar{c}_R b_L) (\bar{\ell}_R \nu_{\tau L}) \end{aligned}$$

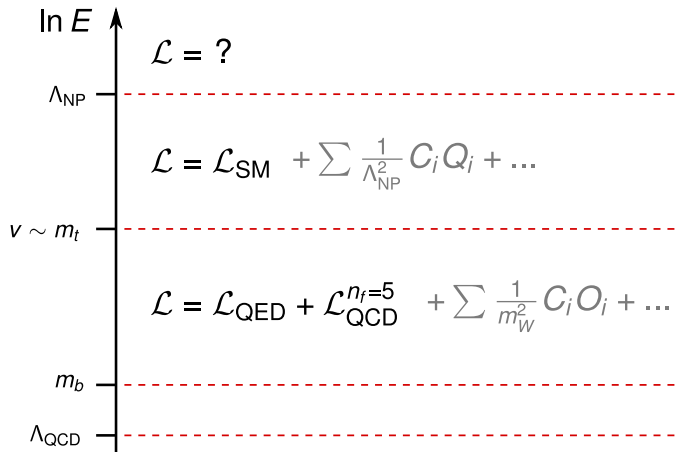
(Strictly speaking, we also have to distinguish between operators with different neutrino flavours. In particular, the ones with  $\nu_{\mu,eL}$  do not interfere with the SM. Neglected here for simplicity.)

# Hierarchy of effective theories



- $m_b \ll v$ : weak effective Hamiltonian

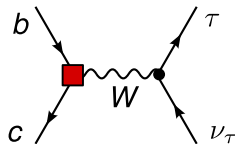
# Hierarchy of effective theories



- ▶  $m_b \ll v$ : weak effective Hamiltonian
- ▶  $v \ll \Lambda_{\text{NP}}$ : “SMEFT”

# Implications of SMEFT

- ▶ The Wilson coefficients of  $O_{V_L}, O_{S_R}, O_{S_L}, O_T$  receive a direct matching contribution from a  $SU(2)_L \times U(1)_Y$  invariant semi-leptonic operator in SMEFT
  - ▶  $O_{V_R} = (\bar{c}_R \gamma^\mu b_R)(\bar{\ell}_L \gamma_\mu \nu_{\tau L})$  does not have a direct counterpart in SMEFT: it violates hypercharge ( $-\frac{2}{3} - \frac{1}{3} + \frac{1}{2} - \frac{1}{2} = -1$ )
  - ▶ Additional dimension-6 contributions to  $C_{V_L}$  and  $C_{V_R}$  from modified  $W$  couplings, but these are *lepton flavour universal*
- $\Rightarrow C_{V_R}$  cannot modify  $R_{D^{(*)}}$ !





# New physics in $C_{V_L}$

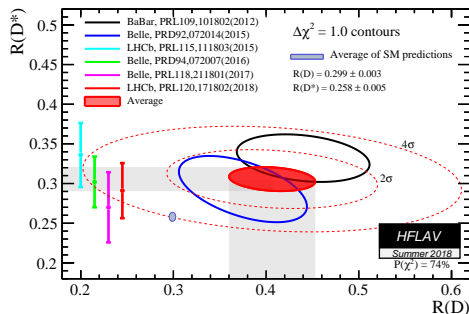
- ▶  $O_{V_L}$  is the SM operator
- ▶ Modifying  $C_{V_L}$  leads to universal rescaling,

$$(R_D/R_D^{\text{SM}}) = (R_{D^*}/R_{D^*}^{\text{SM}})$$

- ▶ Preferred value

$$(R_{D^{(*)}}/R_{D^{(*)}}^{\text{SM}}) = 1.22 \pm 0.05$$

implies  $C_{V_L} = 0.10 \pm 0.02$

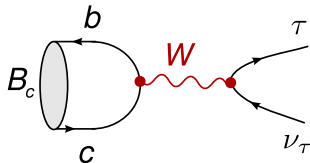


# Scalar operators in $R_{D^{(*)}}$

- ▶  $B \rightarrow D\tau\nu$  only probes  $C_{S_R} + C_{S_L}$
- ▶  $B \rightarrow D^*\tau\nu$  only probes  $C_{S_R} - C_{S_L}$

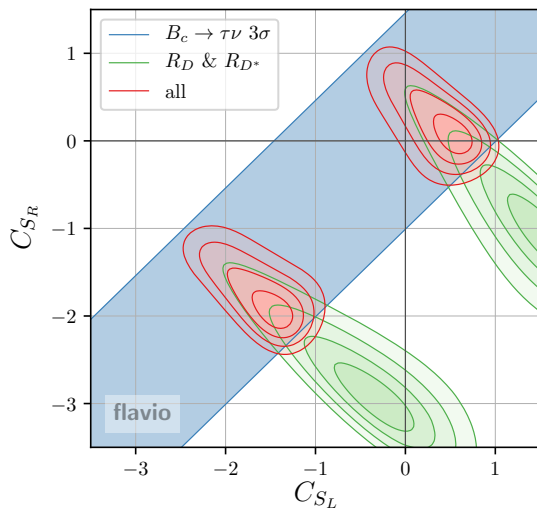
cf. Crivellin et al. 1206.2634

# Constraint from $B_c \rightarrow \tau\nu$

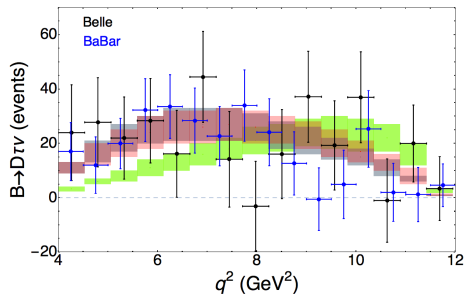
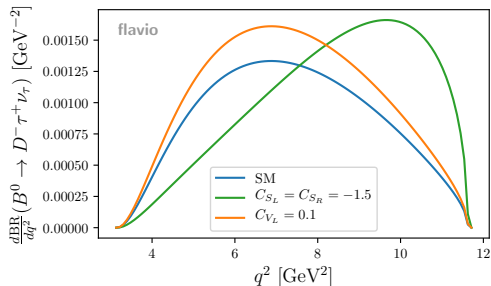


- ▶ Can be strongly enhanced by scalar operators
- ▶ sensitive to  $C_{S_R} - C_{S_L}$
- ▶ Even though the decay has not been measured or searched for, theoretical arguments allow to constrain  $\text{BR}(B_c \rightarrow \tau\nu) \lesssim 0.3$  [Li et al. 1605.09308](#), [Alonso et al. 1611.06676](#)
- ▶ Reinterpreting an old LEP1 search for  $B^+ \rightarrow \tau\nu$  allows to constrain  $\text{BR}(B_c \rightarrow \tau\nu) \lesssim 0.1$  [Akeroyd and Chen 1708.04072](#)

# Combined fit to scalar operators



# Differential $B \rightarrow D\tau\nu$ rate vs. scalar operators

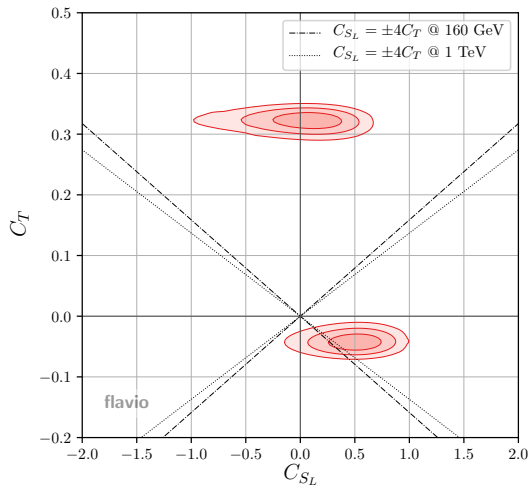


Celis et al. 1612.07757

Solution with large scalar Wilson coefficients is disfavoured Freytsis et al. 1506.08896,

Celis et al. 1612.07757

# Scalar vs. tensor operator



► Fit to  $R_D, R_{D^*}, B_C \rightarrow \tau\nu$

## 1 Introduction

- What's an anomaly?
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- Simplified models to explain  $R_{D^{(*)}}$

# New physics: naive dimensional analysis

- ▶ The SM amplitude is

$$\propto \frac{4G_F}{\sqrt{2}} V_{cb} = \frac{2}{v^2} V_{cb} \approx 870 \text{ GeV}$$

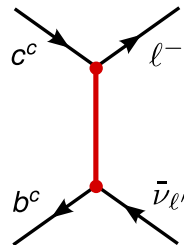
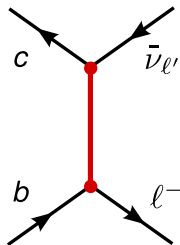
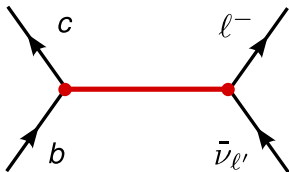
where  $V_{cb} \approx 0.04$  and  $v = 246 \text{ GeV}$  is the Higgs VEV

- ▶ Tree-level mediator would need  $O(1)$  couplings with mass of 2 TeV to get 20% effect
- ▶ Lessons:
  - ▶ we need fairly light mediators potentially accessible at LHC
  - ▶ we cannot afford a loop suppression



# Tree-level models to explain $R_{D^{(*)}}$

- ▶ 3 ways to connect the 4 fermions keeping gauge invariance

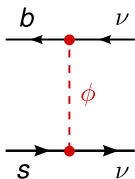


# Tree-level models to explain $R_{D^{(*)}}$

Spin	Rep.	Name	$C_{V_L}$	$C_{S_R}$	$C_{S_L}$	$C_T$	Comments
0	$(1, 2)_{\frac{1}{2}}$	$H^\pm$		$\times$	$\times$		
1	$(1, 3)_0$	$W'$	$\times$				
0	$(\bar{3}, 1)_{\frac{1}{3}}$	$S_1$	$\times$		$\times$	$\times$	$C_{S_L} = -4C_T$
0	$(\bar{3}, 3)_{\frac{1}{3}}$	$S_3$	$\times$				
0	$(\bar{3}, 2)_{\frac{7}{6}}$	$R_2$			$\times$	$\times$	$C_{S_L} = 4C_T$
1	$(\bar{3}, 1)_{\frac{2}{3}}$	$U_1$	$\times$	$\times$			
1	$(\bar{3}, 3)_{\frac{2}{3}}$	$U_3$	$\times$				
1	$(\bar{3}, 2)_{\frac{5}{6}}$	$V_2$		$\times$			

# Correlation with $b \rightarrow s\nu\bar{\nu}$

- ▶  $SU(2)_L$  symmetry relates the processes  $b_L \rightarrow c_L\tau_L\bar{\nu}_{\tau L}$  and  $b_L \rightarrow s_L\nu_{\tau L}\bar{\nu}_{\tau L}$ .
  - ▶ SMEFT:  $O_{lq}^{(1)} = (\bar{l}_i\gamma_\mu l_j)(\bar{q}_k\gamma^\mu q_l)$      $O_{lq}^{(3)} = (\bar{l}_i\gamma_\mu\tau^l l_j)(\bar{q}_k\gamma^\mu\tau^l q_l)$
  - ▶  $\mathcal{H}_{\text{eff}}$ :  $O_{VL} = (\bar{c}_L\gamma^\mu b_L)(\bar{\ell}_L\gamma_\mu\nu_{\tau L})$      $O_L^{\nu\nu} = (\bar{s}_L\gamma^\mu b_L)(\bar{\nu}_{\tau L}\gamma_\mu\nu_{\tau L})$
  - ▶ Matching:  $C_{VL} \propto V_{ci}[C_{lq}^{(3)}]_{33i3}$      $C_L^{\nu\nu} \propto [C_{lq}^{(1)}]_{3323} - [C_{lq}^{(3)}]_{3323}$
- ▶ Need  $i = 2$  ( $V_{cs}$ ) to avoid CKM suppression. Resulting correlation:  $C_L^{\nu\nu} = a C_{VL}$



	$S_1$	$S_3$	$U_1$	$U_3$
$a$	-2	2	0	-4

## Limit on $B \rightarrow K\nu\bar{\nu}$

- ▶  $B \rightarrow K\nu\bar{\nu}$  is a flavour-changing neutral current (FCNC) and thus *rare* in the SM:

$$\text{BR}(B \rightarrow K\nu\bar{\nu})_{\text{SM}} \approx 5 \times 10^{-5}$$

- ▶  $B$  factories BaBar & Belle have looked for the decay and set a limit

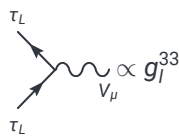
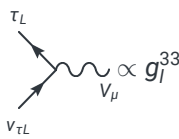
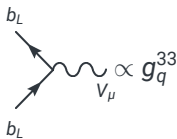
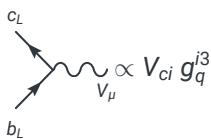
$$\text{BR}(B \rightarrow K\nu\bar{\nu})_{\text{exp}} \lesssim 15 \times 10^{-5}$$

- ▶  $S_1, S_3, U_3$  leptoquarks disfavoured as solution to  $R_{D^{(*)}}$  anomalies!
- ▶ Possible to suppress using cancellation between  $S_1$  and  $S_3$  contribution  
[Crivellin et al. 1703.09226](#) but this is not renormalization group invariant  $\rightarrow$  fine-tuning

## $W'$ solution: direct searches

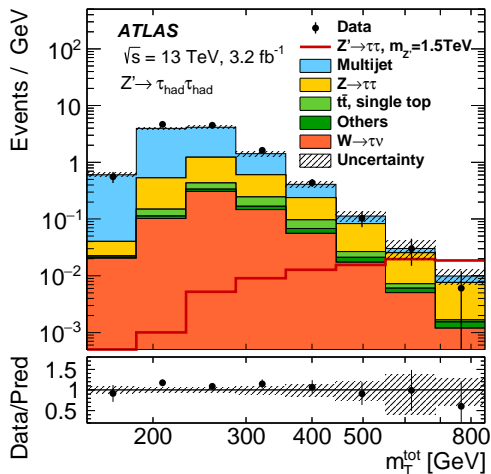
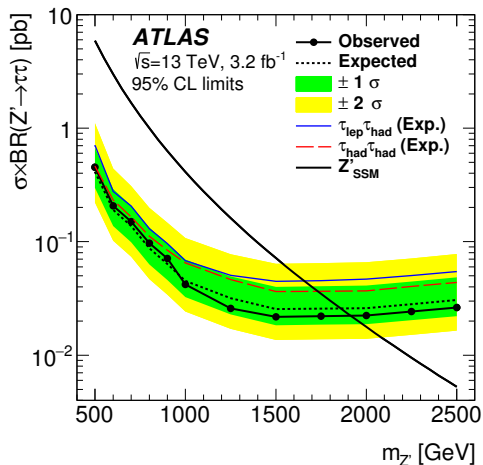
- ▶ The  $W'$  needs to come as  $SU(2)_L$  triplet, i.e. degenerate  $W^{\pm'}$  and  $Z^{0'}$
- ▶ Couplings to up/down-type quarks and charged leptons/neutrinos related by  $SU(2)_L$ :

$$\mathcal{L} \supset g_q^{ij} \bar{q}_L^i \gamma^\mu q_L^j V_\mu + g_l^{ij} \bar{l}_L^i \gamma^\mu l_L^j V_\mu$$



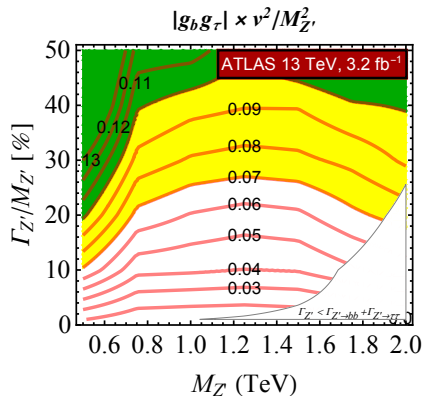
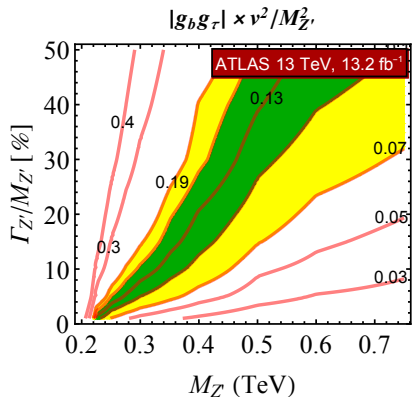
- ▶  $g_q^{13}$  and  $g_q^{23}$  strongly constrained by  $B^0-\bar{B}^0$  and  $B_s-\bar{B}_s$  mixing  $\rightarrow$  large  $g_q^{33}$  required!
- ▶ Sizable cross-section  $b\bar{b} \rightarrow \tau^+\tau^-$  predicted

# The $pp \rightarrow \tau^+\tau^-$ constraint



Aaboud et al. 1608.00890

# Constraint on $W'/Z'$ scenario



- ▶  $W'$  only allowed if light ( $M < 500$  GeV) or broad ( $\Gamma/M > 30\%$ )
- ▶ Similarly tight constraints on  $H^{\pm,0}$  (2HDM) scenario

Faroughy et al. 1609.07138

# Summary of single-particle solutions

Spin	Rep.	Name	$C_{V_L}$	$C_{S_R}$	$C_{S_L}$	$C_T$	Comments
0	$(1, 2)_{\frac{1}{2}}$	$H^\pm$		$\times$	$\times$		
1	$(1, 3)_0$	$W'$	$\times$				
0	$(\bar{3}, 1)_{\frac{1}{3}}$	$S_1$	$\times$		$\times$	$\times$	$C_{S_L} = -4C_T$
0	$(\bar{3}, 3)_{\frac{1}{3}}$	$S_3$	$\times$				
0	$(\bar{3}, 2)_{\frac{7}{6}}$	$R_2$			$\times$	$\times$	$C_{S_L} = 4C_T$ *
1	$(\bar{3}, 1)_{\frac{2}{3}}$	$U_1$	$\times$	$\times$			
1	$(\bar{3}, 3)_{\frac{2}{3}}$	$U_3$	$\times$				
1	$(\bar{3}, 2)_{\frac{5}{6}}$	$V_2$		$\times$			

\* requires imaginary couplings [Becirevic 1806.07298](#)



# New light particles: right-handed neutrino

- ▶ Allowing new particles that are *not* heavy, an interesting possibility is to add a RH neutrino, that is a SM singlet,  $N_R \sim (1, 1)_0$
- ▶ This leads to new dimension-6 operators, e.g.

$$(\bar{c}_R \gamma^\mu b_R)(\bar{\tau}_R \gamma^\mu N_R)$$

- ▶ Possible UV completion:  $W' \sim (1, 1)_1$

Asadi et al. 1804.04135, Greljo et al. 1804.04642

# Outlook

## ▶ Theory

- ▶  $B \rightarrow D^*$  LQCD form factors for non-zero recoil will further reduce SM uncertainties
- ▶ Direct searches for LQ scenarios will further cut into parameter space

## ▶ Experiment

- ▶ LHCb measurement of  $R_D$ ?
- ▶ Further modes:  $\Lambda_b \rightarrow \Lambda_c \tau \nu$ ,  $B_c \rightarrow J/\psi \tau \nu$ , ...
- ▶ More observables (e.g.  $\tau$  polarization in  $B \rightarrow D^* \tau \nu$ )
- ▶ Belle II!

# Advertisement: flavio

- ▶ A Python package for flavour phenomenology in the SM & beyond
  - ▶ repository: <http://github.com/flav-io/flavio>
  - ▶ documentation: <http://flav-io.github.io>
- ▶ Features
  - ▶ SM predictions with uncertainties
  - ▶ NP predictions for arbitrary Wilson coefficients (weak EFT or SMEFT)
  - ▶ Fitting SM parameters and Wilson coefficients to data (Bayesian or frequentist)
  - ▶ Plotting library to visualize fit results

## Lecture II

# Neutral-current anomalies and combined explanations

## 1 $b \rightarrow s\mu\mu$ anomalies

- $B \rightarrow K^* \mu^+ \mu^-$  angular observables
- SM predictions: challenges
- EFT analysis of  $b \rightarrow s\mu\mu$  anomalies
- Simplified models for  $b \rightarrow s\mu\mu$  anomalies

## 2 $R_K$ and $R_{K^*}$ anomalies

## 3 Combined explanations

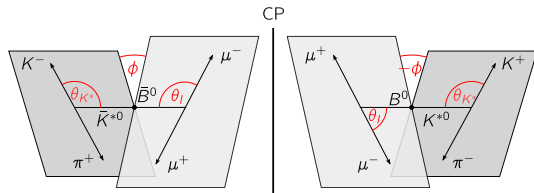
# $b \rightarrow s$ transitions

- ▶ Loop- & CKM-suppressed  $\Rightarrow$  *rare* decays with branching ratios around  $10^{-6}$
- ▶ Many decay modes

non-leptonic	$B \rightarrow \varphi K, B \rightarrow \eta' K, B_s \rightarrow \varphi\varphi, B \rightarrow K\pi, B_s \rightarrow KK, \dots$
radiative	$B \rightarrow X_s\gamma, B \rightarrow K^*\gamma, B_s \rightarrow \varphi\gamma, \dots$
semi-leptonic	$B \rightarrow X_s\ell\ell, B \rightarrow K\ell\ell, B \rightarrow K^*\ell\ell, B_s \rightarrow \varphi\ell\ell, \dots$
leptonic	$B_s \rightarrow \mu\mu$
neutrino	$B \rightarrow Kv\bar{\nu}, B \rightarrow K^*v\bar{\nu}$

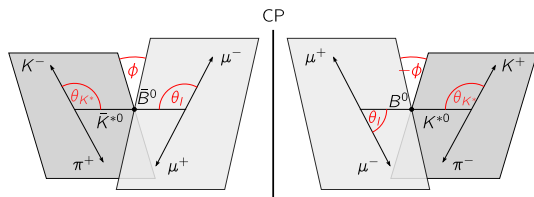
- ▶ Not only branching ratios but also angular distributions, CP asymmetries, ...

# $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$ angular distribution



$$\frac{d^4\Gamma}{dq^2 d \cos \theta_l d \cos \theta_{K^*} d\varphi} = \frac{9}{32\pi} \times \left\{ \begin{aligned} &I_1^s \sin^2 \theta_{K^*} + I_1^c \cos^2 \theta_{K^*} + (I_2^s \sin^2 \theta_{K^*} + I_2^c \cos^2 \theta_{K^*}) \cos 2\theta_l \\ &+ I_3 \sin^2 \theta_{K^*} \sin^2 \theta_l \cos 2\varphi + I_4 \sin 2\theta_{K^*} \sin 2\theta_l \cos \varphi \\ &+ I_5 \sin 2\theta_{K^*} \sin \theta_l \cos \varphi + (I_6^s \sin^2 \theta_{K^*} + I_6^c \cos^2 \theta_{K^*}) \cos \theta_l \\ &+ I_7 \sin 2\theta_{K^*} \sin \theta_l \sin \varphi + I_8 \sin 2\theta_{K^*} \sin 2\theta_l \sin \varphi + I_9 \sin^2 \theta_{K^*} \sin^2 \theta_l \sin 2\varphi \end{aligned} \right\}$$

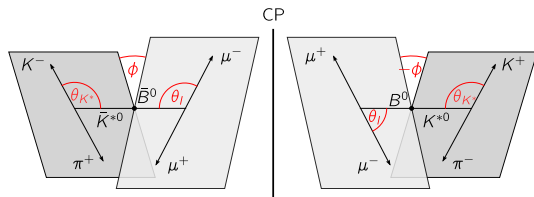
# $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$ angular distribution



$$\frac{d^4\Gamma}{dq^2 d\cos\theta_1 d\cos\theta_{K^*} d\varphi} = \frac{9}{32\pi} \times \left\{ \begin{aligned} &+ I_2^s \sin^2\theta_{K^*} (3 + \cos 2\theta_1) - I_2^c 2 \cos^2\theta_{K^*} \sin^2\theta_1 \\ &+ I_3 \sin^2\theta_{K^*} \sin^2\theta_1 \cos 2\varphi + I_4 \sin 2\theta_{K^*} \sin 2\theta_1 \cos \varphi \\ &+ I_5 \sin 2\theta_{K^*} \sin \theta_1 \cos \varphi + I_6 \sin^2\theta_{K^*} \cos \theta_1 \\ &+ I_7 \sin 2\theta_{K^*} \sin \theta_1 \sin \varphi + I_8 \sin 2\theta_{K^*} \sin 2\theta_1 \sin \varphi + I_9 \sin^2\theta_{K^*} \sin^2\theta_1 \sin 2\varphi \end{aligned} \right\}$$



# $B \rightarrow K^*(\rightarrow K\pi)\mu^+\mu^-$ angular distribution



$$\frac{d^4\bar{\Gamma}}{dq^2 d\cos\theta_1 d\cos\theta_{K^*} d\varphi} = \frac{9}{32\pi} \times \left\{ \begin{aligned} & + \bar{T}_2^s \sin^2\theta_{K^*} (3 + \cos 2\theta_1) - \bar{T}_2^c 2 \cos^2\theta_{K^*} \sin^2\theta_1 \\ & + \bar{T}_3 \sin^2\theta_{K^*} \sin^2\theta_1 \cos 2\varphi + \bar{T}_4 \sin 2\theta_{K^*} \sin 2\theta_1 \cos \varphi \\ & - \bar{T}_5 \sin 2\theta_{K^*} \sin \theta_1 \cos \varphi - \bar{T}_6 \sin^2\theta_{K^*} \cos \theta_1 \\ & + \bar{T}_7 \sin 2\theta_{K^*} \sin \theta_1 \sin \varphi - \bar{T}_8 \sin 2\theta_{K^*} \sin 2\theta_1 \sin \varphi - \bar{T}_9 \sin^2\theta_{K^*} \sin^2\theta_1 \sin 2\varphi \end{aligned} \right\}$$

# Basis of observables

- ▶ CP-averaged angular coefficients [Altmannshofer et al. 0811.1214](#)

$$S_i^{(a)}(q^2) = \left( I_i^{(a)}(q^2) + \bar{I}_i^{(a)}(q^2) \right) / \frac{d(\Gamma + \bar{\Gamma})}{dq^2}$$

- ▶ CP asymmetries [Kruger et al. hep-ph/9907386](#)

$$A_i^{(a)}(q^2) = \left( I_i^{(a)}(q^2) - \bar{I}_i^{(a)}(q^2) \right) / \frac{d(\Gamma + \bar{\Gamma})}{dq^2}$$

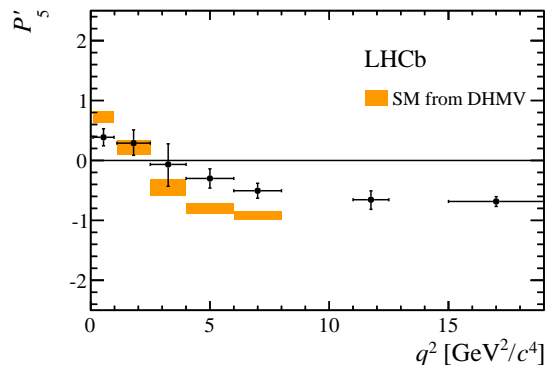
- ▶ Alternative basis [Sebastien Descotes-Genon et al. 1303.5794](#)

$$P'_4 = \frac{S_4}{\sqrt{F_L(1-F_L)}} \qquad P'_5 = \frac{S_5}{\sqrt{F_L(1-F_L)}} \qquad \dots$$

Form factors drop out in heavy quark limit ( $m_b/\Lambda_{\text{QCD}} \rightarrow \infty$ )

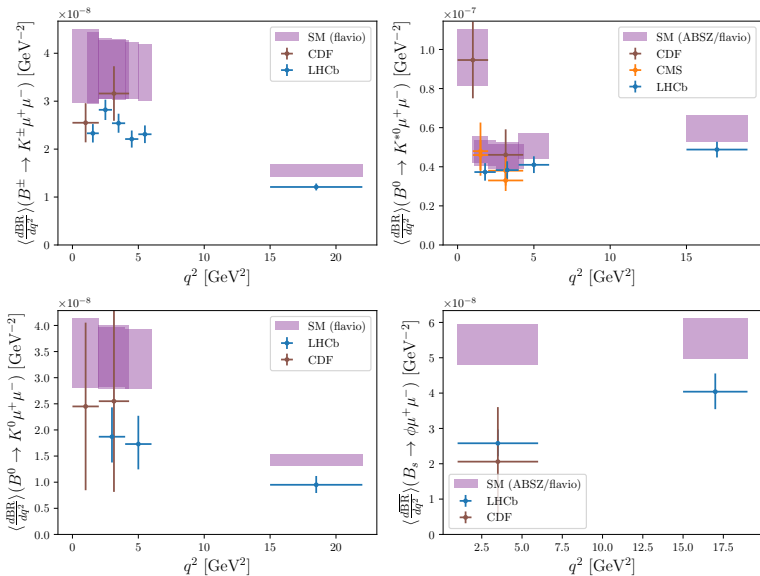
- ▶ Beware of various different conventions! See [Gratrex et al. 1506.03970](#)

# LHCb 3 fb<sup>-1</sup> measurement of $P'_5$



- ▶ How reliable is the SM prediction?
- ▶ How to combine different bins, other angular observables? (→ theory hypothesis!)

# Branching ratio deviations



## 1 $b \rightarrow s\mu\mu$ anomalies

- $B \rightarrow K^* \mu^+ \mu^-$  angular observables
- SM predictions: challenges
- EFT analysis of  $b \rightarrow s\mu\mu$  anomalies
- Simplified models for  $b \rightarrow s\mu\mu$  anomalies

## 2 $R_K$ and $R_{K^*}$ anomalies

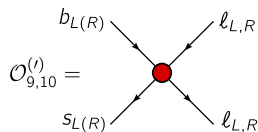
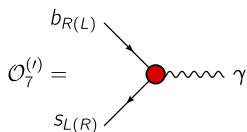
- EFT analysis of  $R_{K^{(*)}}$  anomalies

## 3 Combined explanations

- Simplified models
- Model-building directions
- Outlook

# Effective theory for $b \rightarrow s\mu\mu$ in the SM

$$\mathcal{H}_{\text{eff}} = -\frac{4G_F}{\sqrt{2}} \frac{e^2}{16\pi^2} V_{tb}V_{ts}^* \sum_i C_i O_i + \text{h.c.}$$



$$O_7 = \frac{m_b}{e} (\bar{s}\sigma_{\mu\nu}P_R b) F^{\mu\nu}$$

$$O_9 = (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \ell)$$

$$O_{10} = (\bar{s}\gamma_\mu P_L b)(\bar{\ell}\gamma^\mu \gamma_5 \ell)$$

## $B \rightarrow K^* \ell\ell$ amplitude

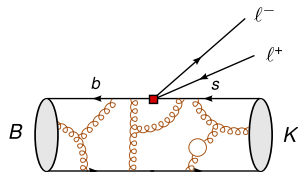
- ▶ “Transversity amplitudes”

$$A_\lambda^{L,R} \propto (C_9 \mp C_{10}) \mathcal{F}_\lambda(q^2) + \frac{2m_b M_B}{q^2} C_7 \mathcal{F}_\lambda^T(q^2) - 16\pi^2 \frac{2M_B^2}{q^2} \mathcal{H}_\lambda(q^2)$$

- ▶  $\mathcal{F}_\lambda^{(T)}(q^2)$ :  $B \rightarrow K^*$  form factors
- ▶  $\mathcal{H}_\lambda(q^2)$ : “non-factorizable” hadronic contributions

# $B \rightarrow K^*$ form factors

- ▶ Lattice calculation available, valid at high  $q^2$  (low recoil) in the limit of stable  $K^*$  [Horgan et al. 1501.00367](#)
- ▶ Light-cone sum rules currently necessary for predictions at low  $q^2$  [Ball and Zwicky hep-ph/0412079](#), [Khodjamirian et al. 1006.4945](#), [Bharucha et al. 1503.05534](#)
- ▶ Uncertainties around 10%  $\rightarrow$  20% on branching ratios
- ▶ For  $P'_i$  observables, only corrections to form factors in the heavy quark limit required (“soft form factors”), sometimes called “factorizable power corrections” see e.g. [Sébastien Descotes-Genon et al. 1407.8526](#)

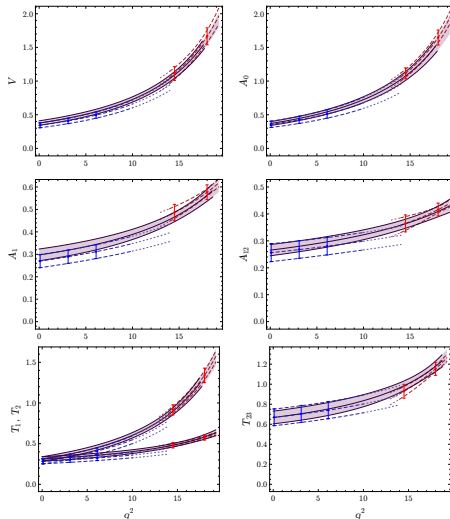




# LCSR vs. LCQCD form factors Bharucha et al. 1503.05534

- ▶ Results are complementary
- ▶ Results are compatible
- ▶ Combined fit valid in the whole kinematic region

Nevertheless, an improved LQCD calculation without assuming the  $K^*$  to be stable would be very desirable



# Hadronic contributions

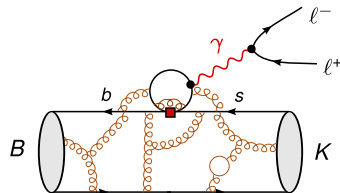
$$A_{\lambda}^{L,R} \propto (C_9 \mp C_{10})\mathcal{F}_{\lambda}(q^2) + \frac{2m_b M_B}{q^2} C_7 \mathcal{F}_{\lambda}^T(q^2) - 16\pi^2 \frac{2M_B^2}{q^2} \mathcal{H}_{\lambda}(q^2)$$

- ▶ *Hadronic* operators contribute via virtual photon exchange
- ▶ Particularly important: “charm loop” induced by the current-current operators

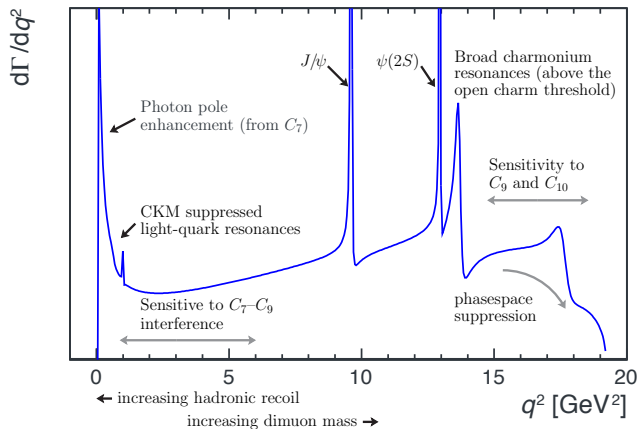
$$Q_1 = (\bar{s}_L \gamma_{\mu} T^a c_L)(\bar{c}_L \gamma^{\mu} T^a b_L) \quad Q_2 = (\bar{s}_L \gamma_{\mu} c_L)(\bar{c}_L \gamma^{\mu} b_L)$$

that arise from tree-level  $W$  exchange (and QCD corrections to it) in the SM!

- ▶ Partly calculable (e.g. QCD factorization  
Beneke et al. [hep-ph/0106067](https://arxiv.org/abs/hep-ph/0106067))
- ▶ Incalculable contributions enter error estimate

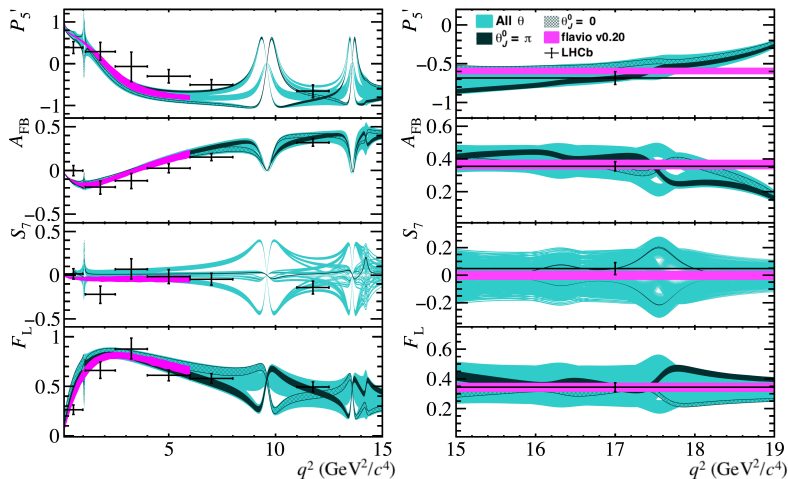


# Cartoon: $q^2$ dependence of $B \rightarrow K^* \ell^+ \ell^-$



Blake et al. 1606.00916

# Importance of determining hadronic contribution



Blake et al. 1709.03921

- Measurements of  $B \rightarrow J/\psi K^*$  and  $B \rightarrow \psi(2S)K^*$  allow to constrain the magnitude of the hadronic contribution
- Feed-down to low  $q^2$  relies on extrapolation

Bobeth et al. 1707.07305,

Blake et al. 1709.03921

# Numerics

Exemplary error bars (using flavio):

$$\langle P'_5 \rangle (B^0 \rightarrow K^{*0} \mu^+ \mu^-)_{[4,6]} = -0.756 \pm 0.025_{\text{FF}} \pm 0.070_{\text{had}}$$

$$\text{LHCb: } -0.3^{+0.158}_{-0.159}_{\text{stat}} \pm 0.023_{\text{sys}}$$

$$\left\langle \frac{d\text{BR}}{dq^2} \right\rangle (B^0 \rightarrow K^{*0} \mu^+ \mu^-)_{[1,6]} = (4.80 \pm 0.65_{\text{FF}} \pm 0.17_{\text{CKM}} \pm 0.14_{\text{had}}) \times 10^{-8}$$

$$\text{LHCb: } (3.42 \pm 0.17_{\text{stat}} \pm 0.09_{\text{sys}} \pm 0.23_{\text{norm}}) \times 10^{-8}$$

("norm": uncertainty on the normalization channel  $B \rightarrow J/\psi(\rightarrow \mu\mu)K^*$ )

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# Parenthesis: operators omitted

The following operators have been omitted since they are irrelevant for the BSM discussion:

- ▶ Four-quark operators. Their matrix elements are small; if affected by NP, RG-induced contributions to the semi-leptonic Wilson coefficients dominate
- ▶ Chromomagnetic operator. Only enters via RG mixing into  $C_7^{(\prime)}$
- ▶ Tensor operators

$$O_T^{(\prime)\ell} = (\bar{s}\sigma_{\mu\nu}P_{L(R)}b)(\bar{\ell}\sigma^{\mu\nu}P_{L(R)}\ell)$$

violate hypercharge and thus do not arise at dimension 6 in SMEFT



# Sensitivity to Wilson coefficients

Decay	$C_7^{(l)}$	$C_9^{(l)}$	$C_{10}^{(l)}$	$C_{S,P}^{(l)}$
$B \rightarrow X_s \gamma$	X			
$B \rightarrow K^* \gamma$	X			
$B \rightarrow X_s l^+ l^-$	X	X	X	
$B \rightarrow K^{(*)} l^+ l^-$	X	X	X	
$B_s \rightarrow \mu^+ \mu^-$			X	X

- ▶ Different observables are complementary in constraining NP
- ▶ For semi-leptonic  $b \rightarrow s\mu\mu$  transitions, we can restrict ourselves to NP in  $C_{7,9,10}^{(l)}$

# Global fit of $b \rightarrow s\mu\mu$ observables

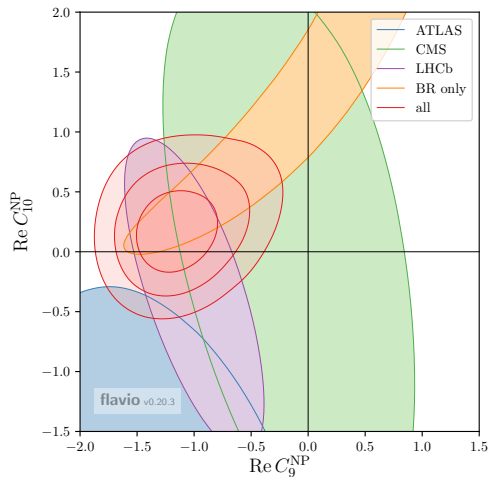
- ▶ Fit  $C_{9,10}^{(\prime)\mu}$ , 1 or 2 at a time
- ▶ Observables included:
  - ▶ Angular observables in  $B^0 \rightarrow K^{*0}\mu^+\mu^-$  (CDF, LHCb, ATLAS, CMS)
  - ▶  $B^{0,\pm} \rightarrow K^{*0,\pm}\mu^+\mu^-$  branching ratios (CDF, LHCb, CMS)
  - ▶  $B^{0,\pm} \rightarrow K^{0,\pm}\mu^+\mu^-$  branching ratios (CDF, LHCb)
  - ▶  $B_s \rightarrow \varphi\mu^+\mu^-$  branching ratio (CDF, LHCb)
  - ▶  $B_s \rightarrow \varphi\mu^+\mu^-$  angular observables (LHCb)
  - ▶  $B \rightarrow X_s\mu^+\mu^-$  branching ratio (BaBar)
- ▶ Performed in [Altmannshofer et al. 1703.09189](#) using flavio
- ▶ NB,  $R_K$  &  $R_{K^*}$  not used as constraints (yet)!

# 1D results

Coeff.	best fit	$1\sigma$	$2\sigma$	pull
$C_9^{\text{NP}}$	-1.21	[-1.41, -1.00]	[-1.61, -0.77]	$5.2\sigma$
$C'_9$	+0.19	[-0.01, +0.40]	[-0.22, +0.60]	$0.9\sigma$
$C_{10}^{\text{NP}}$	+0.79	[+0.55, +1.05]	[+0.32, +1.31]	$3.4\sigma$
$C'_{10}$	-0.10	[-0.26, +0.07]	[-0.42, +0.24]	$0.6\sigma$
$C_9^{\text{NP}} = C_{10}^{\text{NP}}$	-0.30	[-0.50, -0.08]	[-0.69, +0.18]	$1.3\sigma$
$C_9^{\text{NP}} = -C_{10}^{\text{NP}}$	-0.67	[-0.83, -0.52]	[-0.99, -0.38]	$4.8\sigma$
$C'_9 = C'_{10}$	+0.06	[-0.18, +0.30]	[-0.42, +0.55]	$0.3\sigma$
$C'_9 = -C'_{10}$	+0.08	[-0.02, +0.18]	[-0.12, +0.28]	$0.8\sigma$

$$\text{pull} \equiv \sqrt{x_{\text{SM}}^2 - x_{\text{best fit}}^2} \quad (\text{for 1D})$$

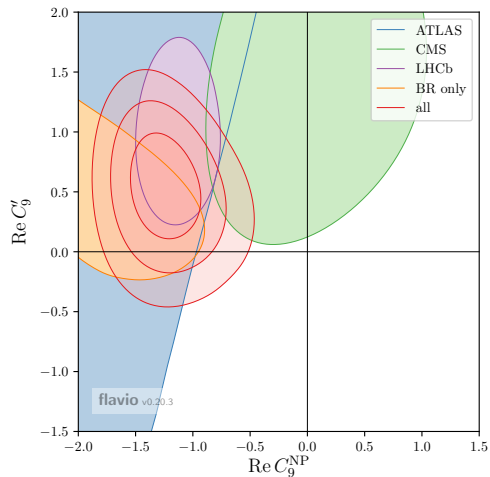
## 2D results



► best fit  $(C_9^{\text{NP}}, C_{10}^{\text{NP}}) = (-1.15, +0.26)$

► pull  $5.0\sigma$

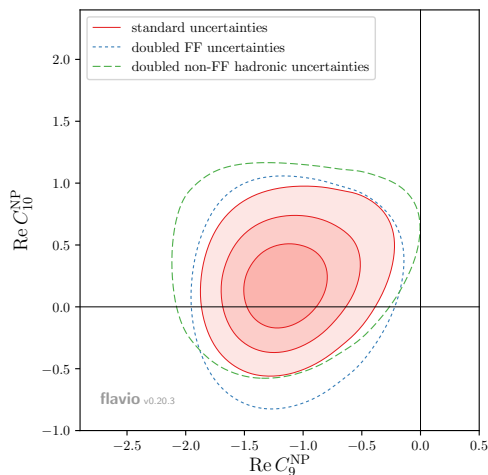
## 2D results



► best fit  $(C_9^{NP}, C'_9) = (-1.25, +0.59)$

► pull  $5.3\sigma$

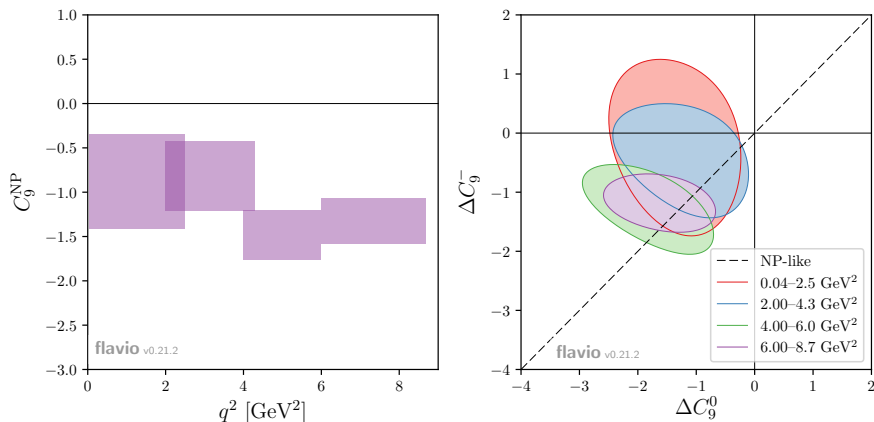
# Impact of enlarging uncertainties



Doubling form-factor or “non-factorizable” hadronic uncertainties:

- ▶ Significance decreases but stays well above  $3\sigma$
- ▶ best-fit point hardly affected

# $q^2$ dependence of $C_9$ best-fit



- ▶ NP in  $C_9$  would give helicity and  $q^2$  independent effect
- ▶ hadronic effect could be helicity and  $q^2$  dependent
- ▶ current data not conclusive

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# SMEFT operators matching on $C_{9,10}$

$$O_{lq}^{(1)} = (\bar{l}_i \gamma_\mu l_j)(\bar{q}_k \gamma^\mu q_l)$$

$$O_{lq}^{(3)} = (\bar{l}_i \gamma_\mu \tau^l l_j)(\bar{q}_k \gamma^\mu \tau^l q_l)$$

$$O_{qe} = (\bar{q}_i \gamma_\mu q_j)(\bar{e}_k \gamma^\mu e_l)$$

$$C_{ql}^{(1)} \rightarrow C_9^\mu = -C_{10}^\mu$$

$$C_{ql}^{(3)} \rightarrow C_9^\mu = -C_{10}^\mu$$

$$C_{qe} \rightarrow C_9^\mu = C_{10}^\mu$$

$$C_{Hq}^{(1,3)} \rightarrow C_9^\mu \ll C_{10}^\mu$$

$\Rightarrow$  Successful models need  $C_{ql}^{(1,3)}$  (and possibly in addition  $C_{qe}$ )

# New physics: naive dimensional analysis

- ▶ The size of the required effect is

$$\frac{4G_F}{\sqrt{2}} |V_{tb} V_{ts}^*| \frac{\alpha_e}{4\pi} \times 1.0 \approx (34 \text{ TeV})^{-2}$$

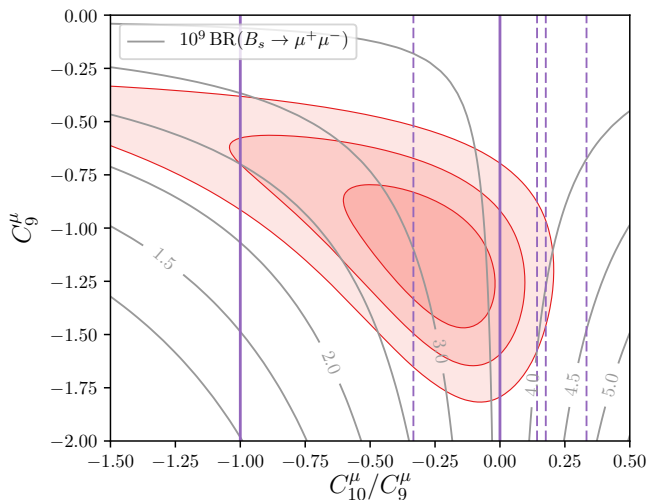
- ▶ In contrast to the  $b \rightarrow c\tau\nu$  anomalies, could well be a loop effect or weakly coupled model
- ▶ Nevertheless, let's look at possible tree-level mediators for simplicity

# Tree-level models for $b \rightarrow s\mu\mu$

Spin	Rep.	Name	$C_{lq}^{(1)}$	$C_{lq}^{(3)}$	$C_{qe}$
1	$(1, 1)_0$	$Z'$	×		×
1	$(1, 3)_0$	$V'$		×	
0	$(\bar{3}, 1)_{\frac{1}{3}}$	$S_1$	×	×	
0	$(\bar{3}, 3)_{\frac{1}{3}}$	$S_3$	×	×	
0	$(\bar{3}, 2)_{\frac{7}{6}}$	$R_2$			×
1	$(\bar{3}, 1)_{\frac{2}{3}}$	$U_1$	×	×	
1	$(\bar{3}, 3)_{\frac{2}{3}}$	$U_3$	×	×	
1	$(\bar{3}, 2)_{\frac{5}{6}}$	$V_2$			×

- ▶ Except singlet  $Z'$ , all models appeared also for  $R_{D^{(*)}}$
- ▶  $R_2$  and  $V_2$  by themselves disfavoured since  $C_9^\mu = +C_{10}^\mu$
- ▶  $S_1$  has  $C_{lq}^{(1)} = -C_{lq}^{(3)} \Rightarrow C_{9,10} = 0$  at tree-level. Can be produced at 1-loop [Bauer and Neubert 1511.01900](#)
- ▶ Except  $Z'$ , all 1-particle simplified models predict  $C_9^\mu = -C_{10}^\mu$

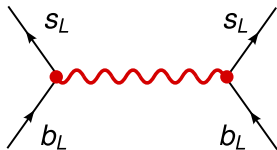
# Discriminating models



$\frac{C_{10}^\mu}{C_9^\mu} =$ : special cases

- ▶ 1: LQ or  $V'$  models
- ▶ 0:  $Z'$  models involving  $L_\mu$
- ▶  $\frac{3}{17}$  or  $\frac{1}{3}$   $Z'$  [Celis et al. 1505.03079](#)
- ▶  $-\frac{1}{3}$  or  $\frac{1}{7}$   $Z'$  [Ellis et al. 1705.03447](#)
- ▶ ...

## $Z'$ constraints: $B_s$ mixing



- ▶ Dangerous contribution to mass difference  $\Delta M_s$  in the  $B_s$ - $\bar{B}_s$  system
- ▶ Forces  $Z'$  (or vector triplet) models into regime with strong coupling to muons - Results in *upper bound* on  $Z'$  mass:

$$m_{Z'} \lesssim g_{\mu}^{Z'} \times 800 \text{ GeV}$$

Altmannshofer and DMS 1308.1501

- ▶ Lepton flavour *universal* coupling on the verge of being excluded by LEP-2 4-lepton contact interaction searches ( $e^+e^- \rightarrow l^+l^-$ )
- ▶ Lepton flavour *non-universal* models more successful e.g. Altmannshofer et al. 1403.1269

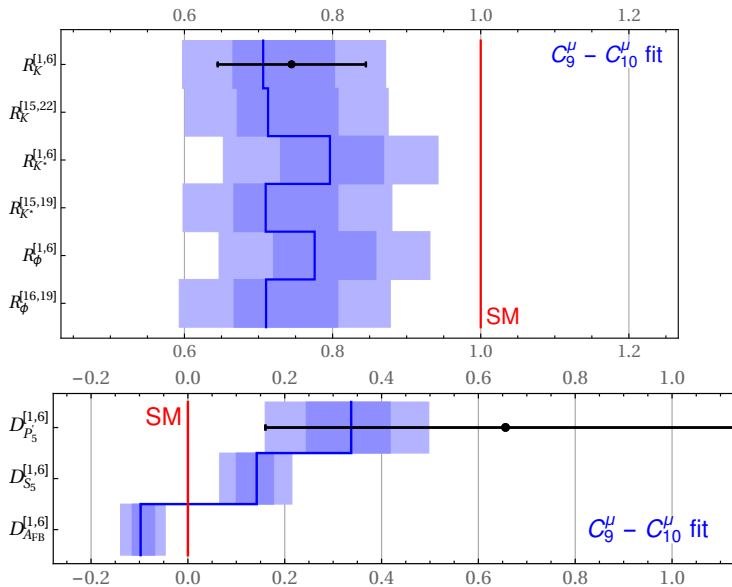
# Predictions for LFU tests

Using the model-independent fit to  $b \rightarrow s\mu^+\mu^-$  observables and *assuming* the corresponding  $b \rightarrow se^+e^-$  observables to be free from NP, can *predict* LFU ratios/differences

$$R_X = \frac{\text{BR}(B \rightarrow X\mu\mu)}{\text{BR}(B \rightarrow Xee)}$$

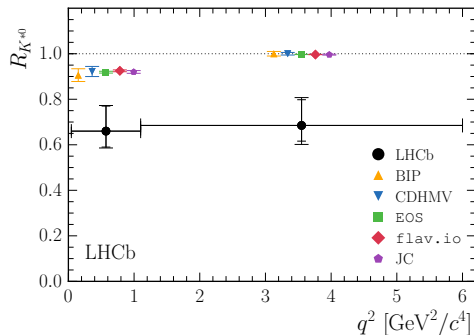
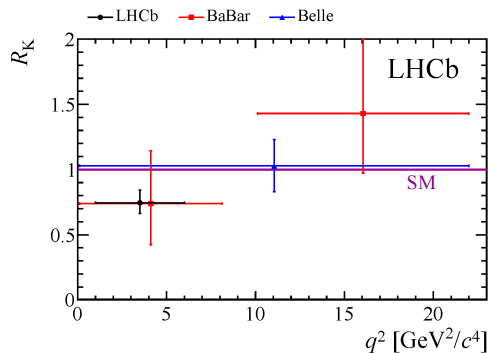
$$D_{\mathcal{O}} = \mathcal{O}(B \rightarrow K^*\mu\mu) - \mathcal{O}(B \rightarrow K^*ee)$$

# Predictions for LFU tests



- 1  $b \rightarrow s\mu\mu$  anomalies
- 2  $R_K$  and  $R_{K^*}$  anomalies
  - EFT analysis of  $R_{K^{(*)}}$  anomalies
- 3 Combined explanations



$R_K$  &  $R_{K^*}$ 

$$R_K = \frac{\text{BR}(B \rightarrow K\mu^+\mu^-)_{[1,6]}}{\text{BR}(B \rightarrow Ke^+e^-)_{[1,6]}}$$

$$R_{K^*} = \frac{\text{BR}(B \rightarrow K^*\mu^+\mu^-)}{\text{BR}(B \rightarrow K^*e^+e^-)}$$

# SM predictions

$$R_K = 1.000 \pm 0.001 \quad R_{K^*}^{\text{low}} = 0.926 \pm 0.004 \quad R_{K^*}^{\text{high}} = 0.996 \pm 0.001$$

- QED corrections can be sizable, but are reduced by appropriate cuts and are well simulated by experiments' Monte Carlos [Bordone et al. 1605.07633](#)

These are extremely clean null tests of the SM!

# Fit to $R_K$ and $R_{K^*}$ Altmannshofer et al. 1704.05435

Fit Wilson coefficients of lepton flavour dependent operators:

$$O_9^{(\prime)\ell} = (\bar{s}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\ell)$$

$$O_{10}^{(\prime)\ell} = (\bar{s}\gamma_\mu P_{L(R)}b)(\bar{\ell}\gamma^\mu\gamma_5\ell)$$

Observables:

- ▶  $R_K$  (LHCb)
- ▶  $R_{K^*}$  (LHCb)
- ▶  $D_{P'_{4,5}} = P'_{4,5}(B \rightarrow K^*\mu\mu) - P'_{4,5}(B \rightarrow K^*ee)$  (Belle)

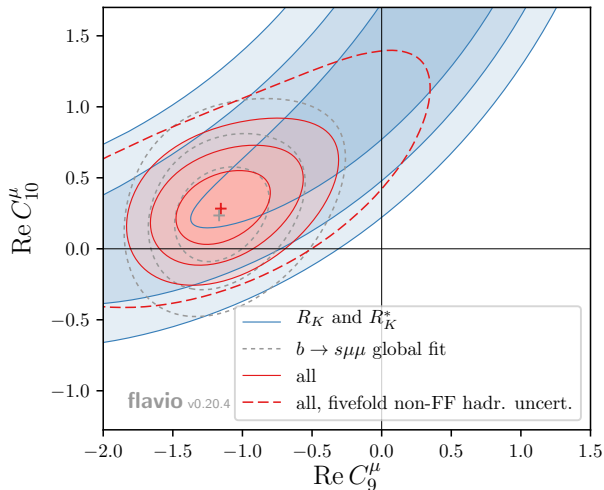
These observables/measurements are *disjoint* from the ones used in the  $b \rightarrow s\mu\mu$  fit!

# 1D results

Coeff.	best fit	$1\sigma$	$2\sigma$	pull
$C_9^\mu$	-1.59	[-2.15, -1.13]	[-2.90, -0.73]	$4.2\sigma$
$C_{10}^\mu$	+1.23	[+0.90, +1.60]	[+0.60, +2.04]	$4.3\sigma$
$C_9^e$	+1.58	[+1.17, +2.03]	[+0.79, +2.53]	$4.4\sigma$
$C_{10}^e$	-1.30	[-1.68, -0.95]	[-2.12, -0.64]	$4.4\sigma$
$C_9^\mu = -C_{10}^\mu$	-0.64	[-0.81, -0.48]	[-1.00, -0.32]	$4.2\sigma$
$C_9^e = -C_{10}^e$	+0.78	[+0.56, +1.02]	[+0.37, +1.31]	$4.3\sigma$

$$\text{pull} \equiv \sqrt{x_{\text{SM}}^2 - x_{\text{best fit}}^2} \quad (\text{for 1D})$$

## 2D results: $R_{K^{(*)}}$ vs. $b \rightarrow s\mu\mu$



- ▶ Perfect agreement between  $R_{K^{(*)}}$  and  $b \rightarrow s\mu\mu$  when assuming NP to affect muonic modes only
- ▶  $R_{K^{(*)}}$  explanations involving enhancement of electronic modes only seem contrived

- 1  $b \rightarrow s\mu\mu$  anomalies
- 2  $R_K$  and  $R_{K^*}$  anomalies
- 3 Combined explanations**
  - Simplified models
  - Model-building directions
  - Outlook

# Recap: SMEFT operators to solve $B$ anomalies

$b \rightarrow s\mu^+\mu^-$

- ▶  $[C_{lq}^{(1)}]^{2223} \rightarrow C_9 = -C_{10}$
- ▶  $[C_{lq}^{(3)}]^{2223} \rightarrow C_9 = -C_{10}$
- ▶  $[C_{qe}]^{2322} \rightarrow C_9 = C_{10}$

$b \rightarrow c\tau\nu$

- ▶  $[C_{lq}^{(3)}]^{33i3} \rightarrow C_{V_L}$
- ▶  $[C_{ledq}]^{333i^*} \rightarrow C_{S_R}$
- ▶  $[C_{lequ}^{(1)}]^{333i} \rightarrow C_{S_L}$
- ▶  $[C_{lequ}^{(3)}]^{333i} \rightarrow C_T$

# Single-multiplet solutions to $B$ anomalies

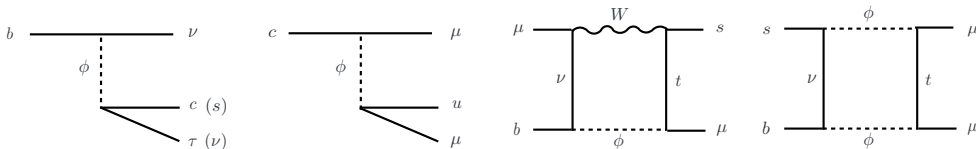
Spin	Rep.	Name	$C_{qe}$	$C_{lq}^{(1)}$	$C_{lq}^{(3)}$	$C_{ledq}$	$C_{lequ}^{(1)}$	$C_{lequ}^{(3)}$	$b \rightarrow c?$	$b \rightarrow s?$
0	$(1, 2)_{\frac{1}{2}}$	$H'$				×	×		$pp \rightarrow \tau\tau$	
1	$(1, 1)_0$	$Z'$	×	×						
1	$(1, 3)_0$	$V'$			×				$pp \rightarrow \tau\tau$	
0	$(\bar{3}, 1)_{\frac{1}{3}}$	$S_1$		×	×		×	×		
0	$(\bar{3}, 3)_{\frac{1}{3}}$	$S_3$		×	×				$B \rightarrow K\nu\bar{\nu}$	
0	$(\bar{3}, 2)_{\frac{7}{6}}$	$R_2$	×				×	×		$C_9 = C_{10}$
1	$(\bar{3}, 1)_{\frac{2}{3}}$	$U_1$		×	×	×				
1	$(\bar{3}, 3)_{\frac{2}{3}}$	$U_3$		×	×				$B \rightarrow K\nu\bar{\nu}$	
1	$(\bar{3}, 2)_{\frac{5}{6}}$	$V_2$	×			×				$C_9 = C_{10}$

NB: there is of course no strong reason to restrict to single-multiplet solutions, except for parsimony



# A closer look at $S_1$

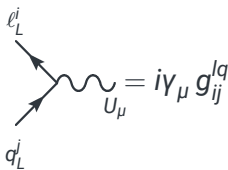
- ▶ Originally suggested in [Bauer and Neubert 1511.01900](#)
- ▶ Elegant idea:  $b \rightarrow c\tau\nu$  at tree level,  $b \rightarrow s\mu\mu$  at 1 loop ( $C_{lq}^{(3)} = -C_{lq}^{(3)}$ )



- ▶ Strong constraints from  $B \rightarrow K\nu\nu$ , violation of  $e$ - $\mu$  universality in  $b \rightarrow c\ell\nu$ ,  $D$  decays  
[Bauer and Neubert 1511.01900](#), [Bećirević and Sumensari 1704.05835](#), [Cai et al. 1704.05849](#)
- ▶ Numerical scan shows:  $R_{D^{(*)}}$  and  $R_{K^{(*)}}$  can be explained individually, but not simultaneously [Cai et al. 1704.05849](#)

# A closer look at $U_1$

- ▶ Originally suggested in [Barbieri et al. 1512.01560](#)
- ▶ Elegant idea: weakly broken  $U(2)^5$  flavour symmetry acting on light generations explains hierarchy of effects in  $b \rightarrow c\tau\nu$  vs.  $b \rightarrow s\mu\mu$
- ▶ Relevant coupling:



- ▶ Wilson coefficients:

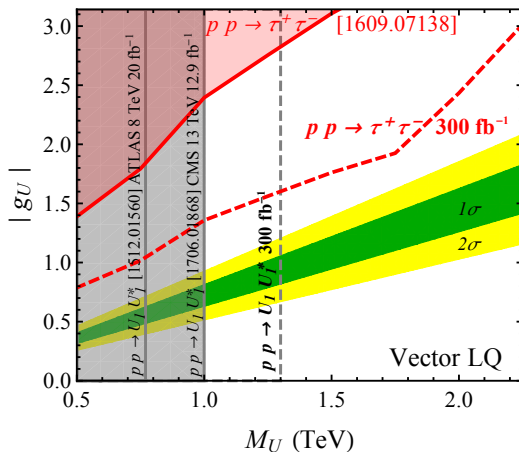
$$C_{V_L}^{b \rightarrow c\tau\nu} \propto - \sum_{i=d,s,b} \frac{V_{ci} g_{Ti}^{lq*} g_{Tb}^{lq}}{2M_U} \quad C_9^{b \rightarrow s\mu\mu} = -C_{10}^{b \rightarrow s\mu\mu} \propto - \frac{g_{\mu s}^{lq*} g_{\mu b}^{lq}}{2M_U}$$

- ▶ Need  $g_{TS}^{lq*}$ , otherwise large  $g_{Tb}^{lq*}$  coupling leads to excessive  $pp \rightarrow \tau^+ \tau^-$

[Faroughy et al. 1609.07138](#), [Buttazzo et al. 1706.07808](#)

# $U_1$ : evading direct searches

- ▶ Depending on the coupling structure,  $U_1$  could show up at LHC, but there is no no-loose theorem [Buttazzo et al. 1706.07808](#)



## 1 $b \rightarrow s\mu\mu$ anomalies

- $B \rightarrow K^* \mu^+ \mu^-$  angular observables
- SM predictions: challenges
- EFT analysis of  $b \rightarrow s\mu\mu$  anomalies
- Simplified models for  $b \rightarrow s\mu\mu$  anomalies

## 2 $R_K$ and $R_{K^*}$ anomalies

- EFT analysis of  $R_{K^{(*)}}$  anomalies

## 3 Combined explanations

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- Outlook

# UV completions of the $U_1$ leptoquark

- ▶  $U_1$  is a massive *vector*: either gauge boson of a spontaneously broken gauge symmetry or composite resonance
- ▶ Interesting observation: the breaking of the Pati-Salam GUT group  $SU(4) \times SU(2)_L \times SU(2)_R \rightarrow G_{\text{SM}}$  (“lepton number as the fourth colour”) leads to  $U_1 \sim (\bar{3}, 1)_{\frac{2}{3}}$  as one of the heavy coset gauge bosons (along with a heavy gluon  $(8, 1)_0$  and a  $Z' (1, 1)_0$ ) [Barbieri et al. 1611.04930](#)
- ▶ Practical problem: the Pati-Salam group needs to act flavour non-universally, otherwise excessive rates for processes like  $K_L \rightarrow \mu e$  ( $s \rightarrow d\mu e$ )
- ▶ Realizations of this idea:
  - ▶ Composite PS resonance [Barbieri et al. 1611.04930](#), [Barbieri and Tesi 1712.06844](#)
  - ▶  $SU(4) \times SU(3) \times SU(2) \times U(1)$  [Di Luzio et al. 1708.08450](#), cf. v2 of [Assad et al. 1708.06350](#)
  - ▶ PS with additional vector-like fermions [Calibbi et al. 1709.00692](#)
  - ▶ Three-site PS [Bordone et al. 1712.01368](#)
  - ▶ PS in warped extra dimensions [Blanke and Crivellin 1801.07256](#)

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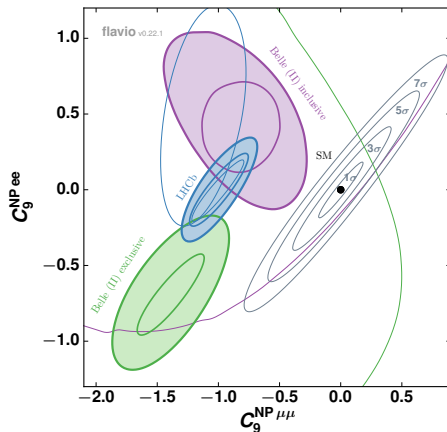
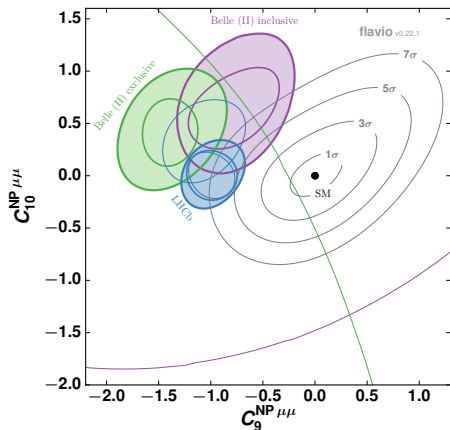
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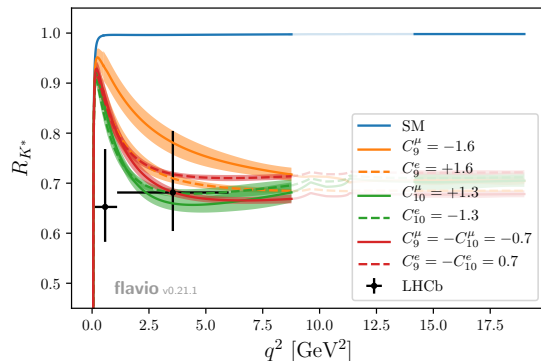
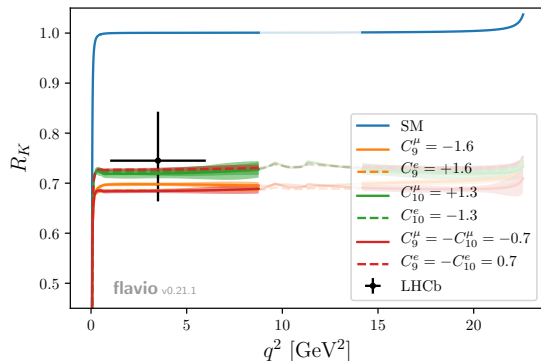
- Simplified models
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# Future projections



Comparing LHCb (Run 4) and Belle-II reaches in the planes of  $C_{9,10}^{\mu,e}$  [Albrecht et al. 1709.10308](https://arxiv.org/abs/1709.10308)

# $R_{K^{(*)}}$ in different scenarios

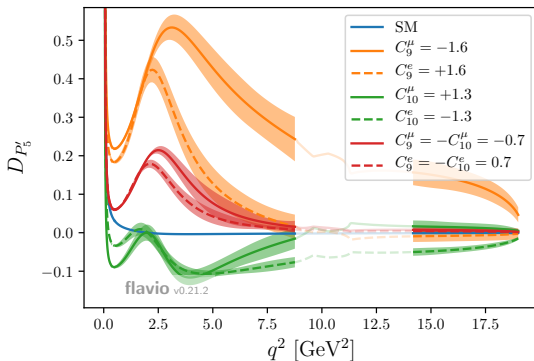
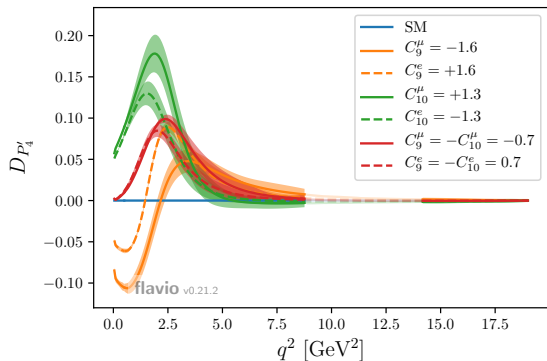


► Impossible to distinguish different best-fit scenarios on the basis of  $R_{K^{(*)}}$  alone



# Predictions for angular observables

$$D_{P'_{4,5}} = P'_{4,5}(B \rightarrow K^* \mu\mu) - P'_{4,5}(B \rightarrow K^* ee)$$



► Future measurement could unambiguously establish new physics and identify the

# Conclusions

- ▶ Significant deviations from the SM in  $b \rightarrow s\mu\mu$  transitions
  - ▶  $B \rightarrow K^*\mu\mu$  angular observables (unc. dominated by hadronic contribution)
  - ▶  $b \rightarrow s\mu\mu$  branching ratios (unc. dominated by form factors)
  - ▶  $R_K$  &  $R_{K^*}$  (unc. purely experimental/stat.)
- ▶ Simultaneous EFT explanation very easy
- ▶ Combined explanation with  $b \rightarrow c\tau\nu$  anomalies possible even with a single multiplet

# Conclusions

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Near-future experiments have the power to unambiguously resolve the question whether this is new physics or not!

# Backup

# Could tensions be due to new *light* particle?

Sala and DMS 1704.06188

Need a new particle with mass below  $m_b$  leading to a suppression (destructive interference) of  $B \rightarrow K^{(*)}\mu\mu$

- ▶ Can't be a scalar (would lead to negligible interference)
- ▶ Must be broad, i.e. have sizable  $\Gamma/m$ , since no narrow resonances seen

# Minimal model

$$\mathcal{L} = [(g_{bs} \bar{s}_L \gamma_V b_L + \text{h.c.}) + g_{\mu V} \bar{\mu} \gamma_V \mu + g_{\mu A} \bar{\mu} \gamma_V \gamma_5 \mu + g_x \bar{x} \gamma_V x] V^\nu + \frac{m_V^2}{2} V^\nu V_\nu$$

- ▶ Coupling to  $\bar{s}b$  (could be loop-induced) and  $\bar{\mu}\mu$
- ▶ Strong coupling to new “dark” fermion  $x$  to account for sizable width

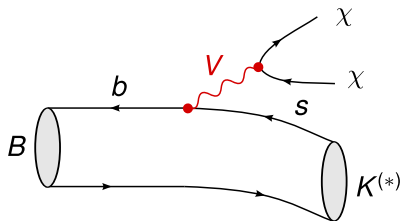
On-shell exchange of broad  $V$  leads to  $q^2$ -dependent shift in  $C_{9,10}^\mu$

$$C_{9,10}^V = \frac{g_{bs} g_{\mu V, A} / N}{q^2 - m_V^2 + im_V \Gamma_V}$$



# Main constraints & predictions

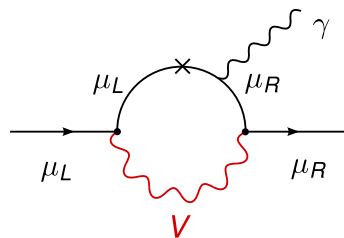
$$B \rightarrow K^{(*)}V(\rightarrow \chi\chi)$$



- ▶ has same signature as  $B \rightarrow K^{(*)}v\bar{v}$  strongly constrained by BaBar & Belle
- ▶ leads to upper bound on  $g_{bs}/m_V$



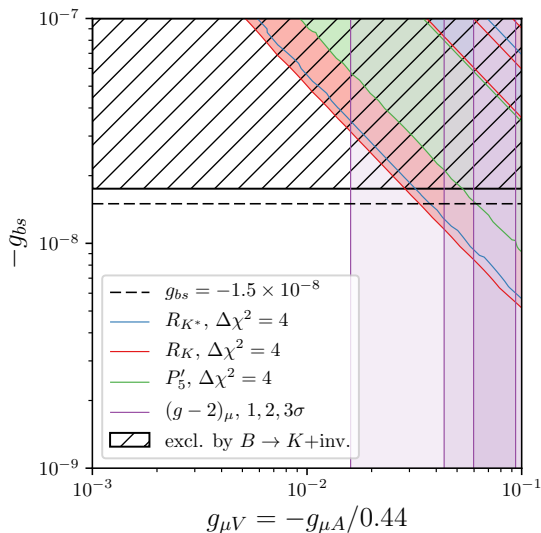
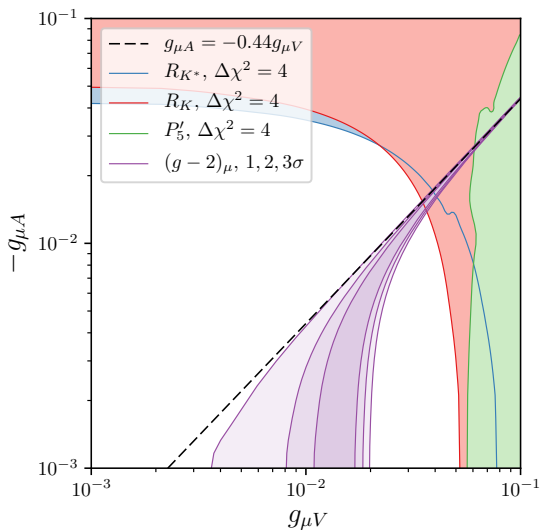
# Muon anomalous magnetic moment



$$\delta a_\mu = \frac{g_{\mu V}^2 - 5g_{\mu A}^2}{12\pi^2} \frac{m_\mu^2}{m_V^2} + O\left(\frac{m_\mu^2}{m_V^2}\right)$$

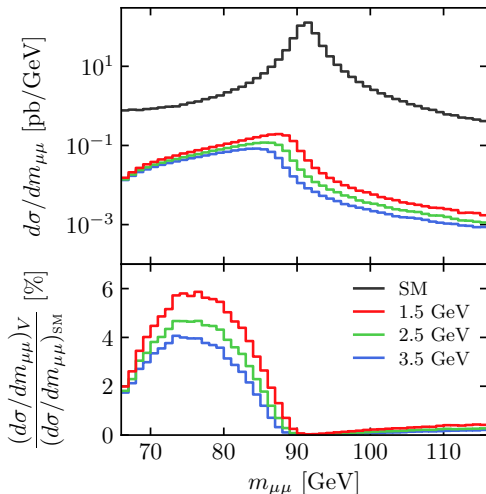
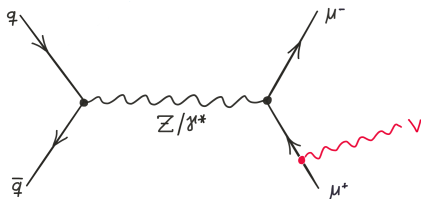
- ▶ Goes into the right direction to explain the long-standing anomaly for  $g_{\mu V}^2 > 5g_{\mu A}^2$
- ▶ Fine-tuning  $g_{\mu A}$  vs.  $g_{\mu V}$  can be invoked to avoid excessive contributions

# Summary of constraints



# Additional constraint: $Z \rightarrow \mu\mu V$ Bishara et al. 1705.03465

- Modifies  $Z$  line shape in Drell-Yan at LHC



# Impact of Drell-Yan constraint

