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SWISS NATIONAL SCIENCE FOUNDATION



Large Enriched
Germanium Experiment
for Neutrinoless $\beta\beta$ Decay

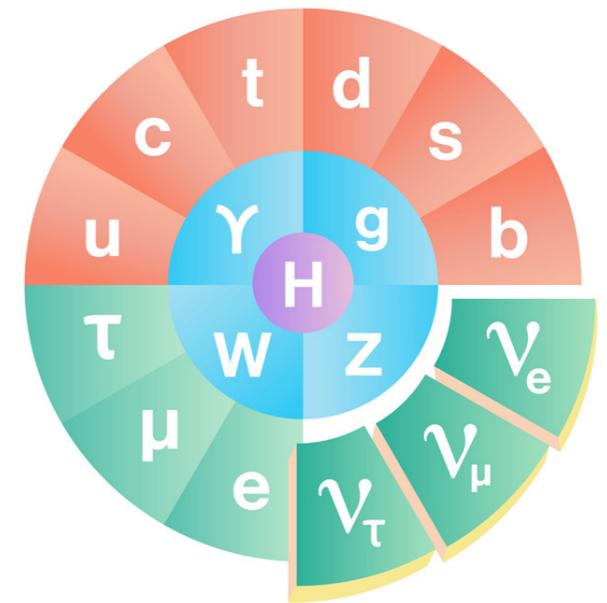
SEARCH FOR THE NEUTRINOLESS DOUBLE BETA DECAY - STATUS AND PROSPECTS

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UNIVERSITÄT ZÜRICH

PHYSICS OF FUNDAMENTAL SYMMETRIES AND INTERACTIONS
PSI, OCTOBER 25, 2019

(SOME) OPEN QUESTIONS IN NEUTRINO PHYSICS

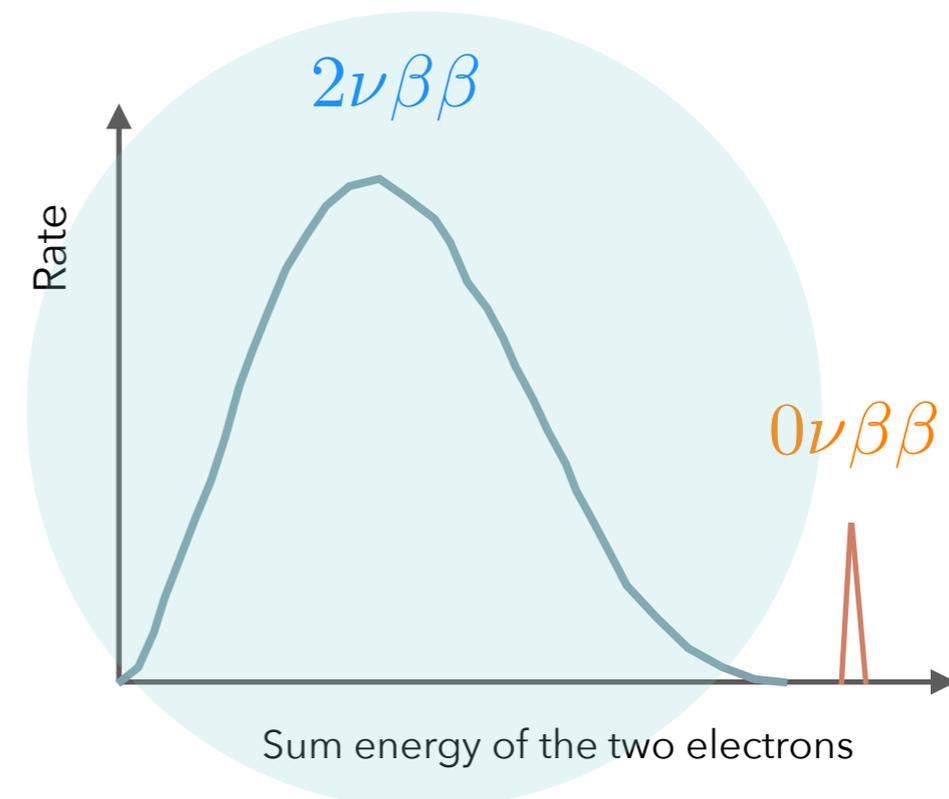
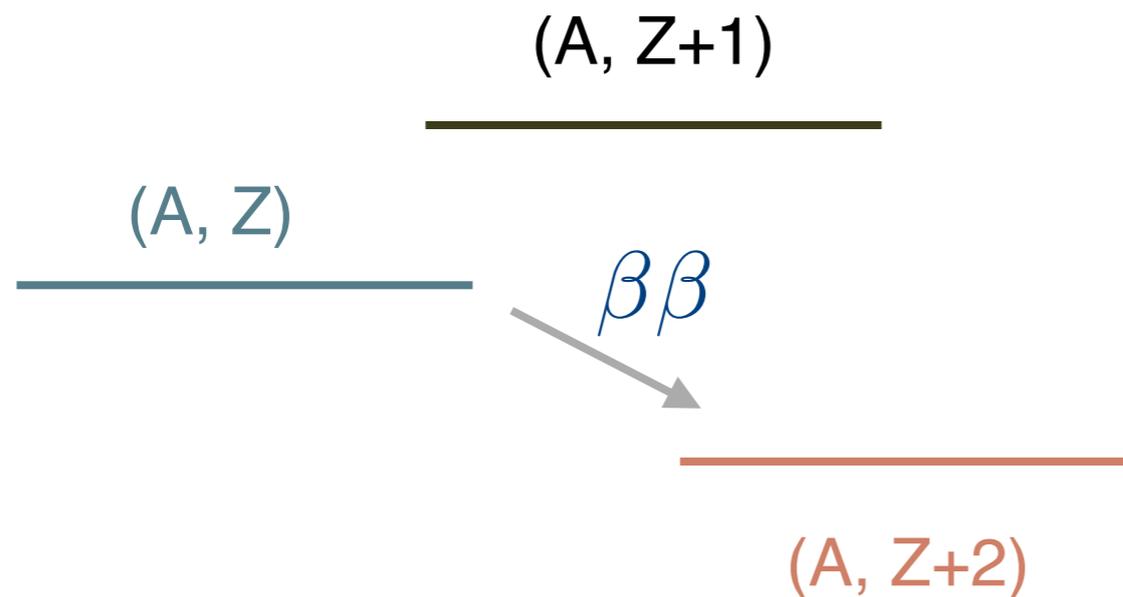
- ▶ Origin of neutrino masses beyond the SM
- ▶ What is the absolute mass of neutrinos?
- ▶ Are neutrinos their own antiparticles?
- ▶ These can be addressed with an extremely rare nuclear decay process: the double beta decay



THE DOUBLE BETA DECAY



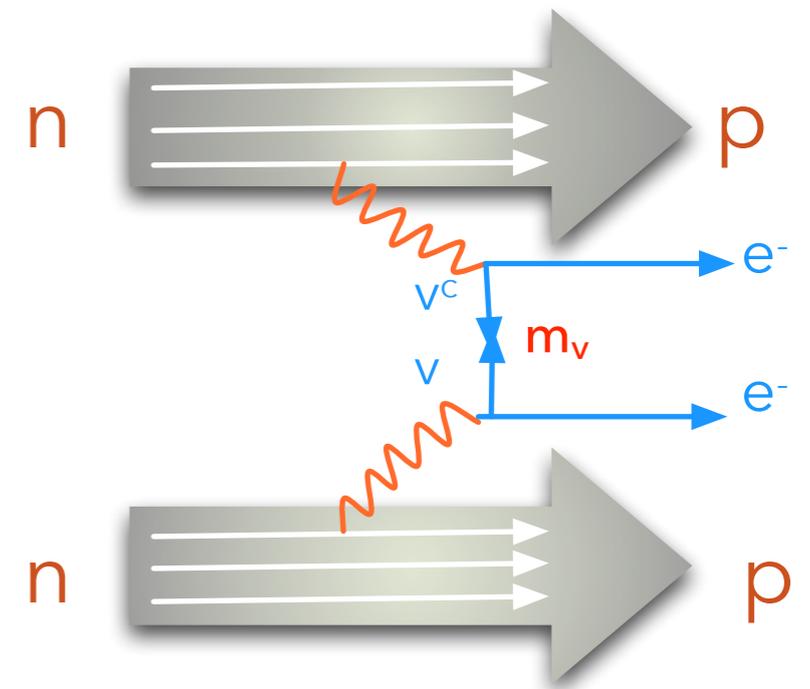
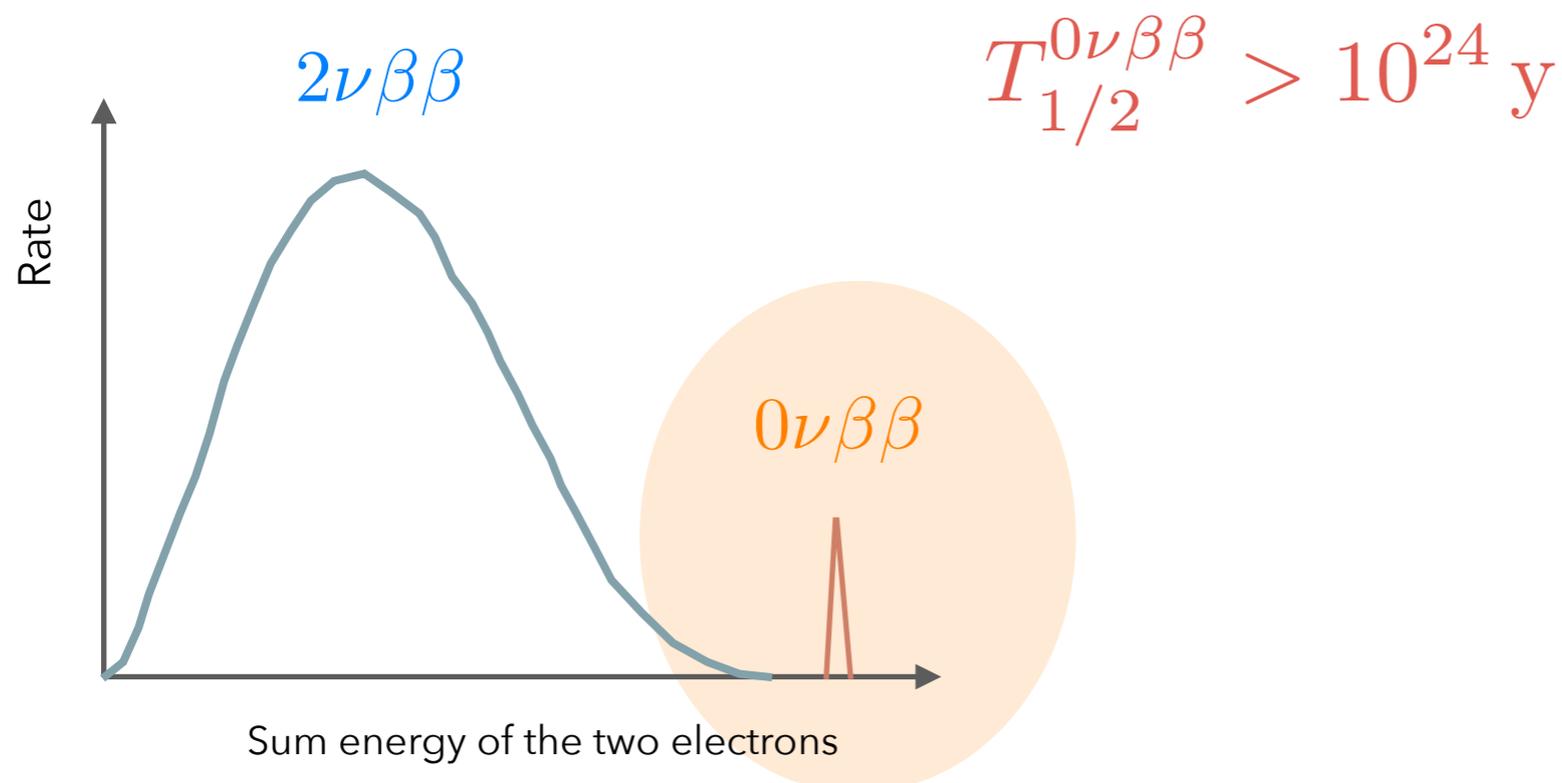
- ▶ Predicted by Maria-Goeppert Mayer in 1935
- ▶ The SM decay, with 2 neutrinos, was observed in 14 nuclei
- ▶ $T_{1/2} > 10^{18}$ y: ^{48}Ca , ^{76}Ge , ^{82}Se , ^{96}Zr , ^{100}Mo , ^{116}Cd , ^{128}Te , ^{130}Te , ^{136}Xe , ^{150}Nd , ^{238}U



THE NEUTRINOLESS DOUBLE BETA DECAY



- ▶ Can only occur if neutrinos have mass and if they are their own anti-particles; $\Delta L = 2$
- ▶ Expected signature: sharp peak at the Q-value of the decay



OBSERVABLE DECAY RATE

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu} \times g_A^4 \times |M^{0\nu}|^2 \times \frac{|\langle m_{\beta\beta} \rangle|^2}{m_e^2}$$

Leptonic phase space $G^{0\nu}$ Axial-vector cc g_A^4 Nuclear physics NME $|M^{0\nu}|^2$ Particle physics $\frac{|\langle m_{\beta\beta} \rangle|^2}{m_e^2}$

Can be calculated: $\sim Q^5$ Difficult: factor 2-3

- ▶ With the effective Majorana neutrino mass:

$$|\langle m_{\beta\beta} \rangle| = |U_{e1}^2 m_1 + U_{e2}^2 m_2 e^{i(\alpha_1 - \alpha_2)} + U_{e3}^2 m_3 e^{i(-\alpha_1 - 2\delta)}|$$

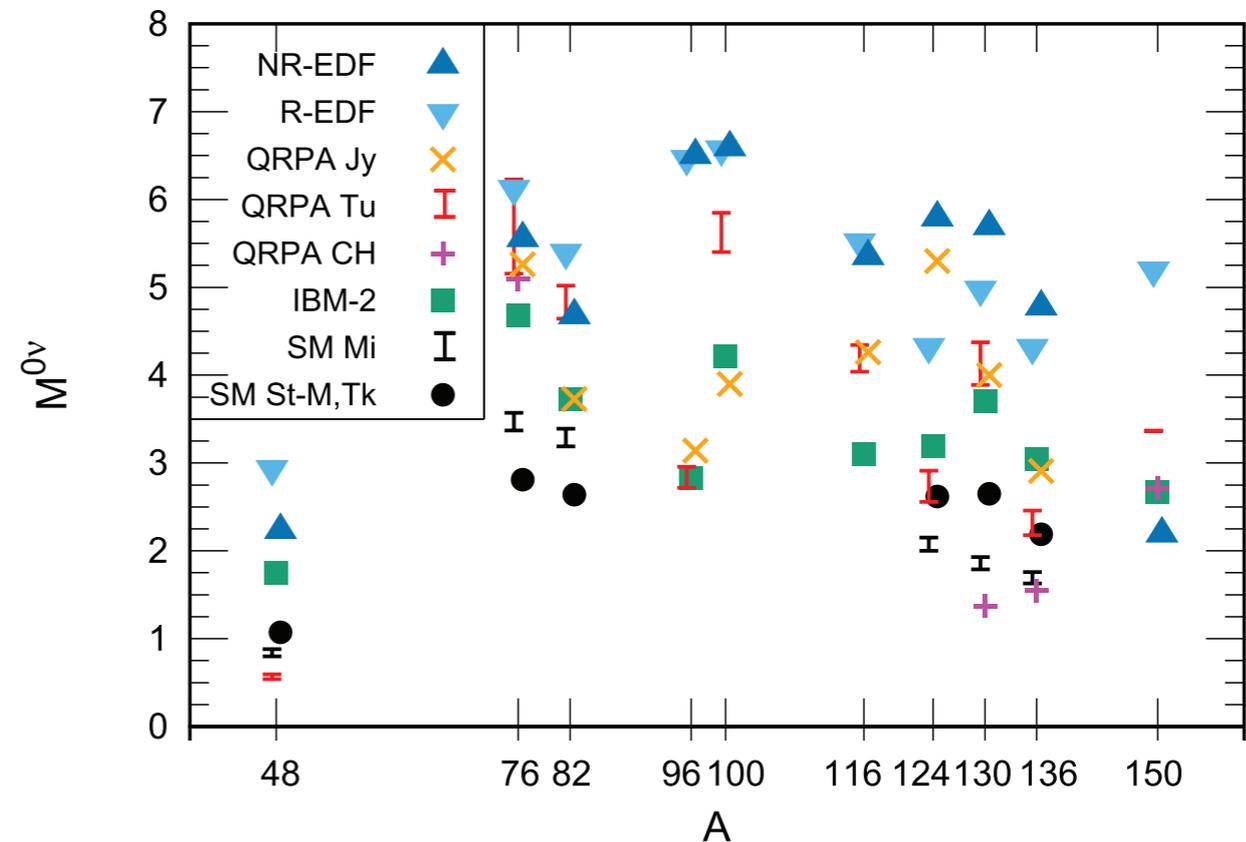
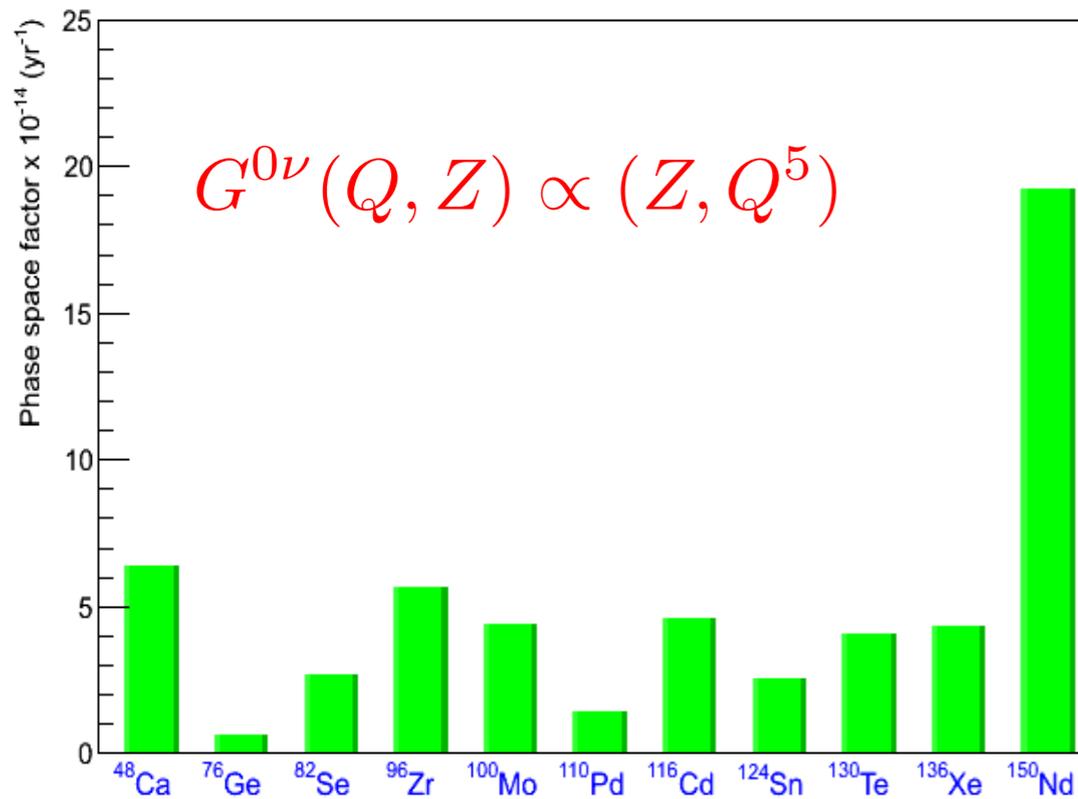
- ▶ a coherent sum over mass ES, with potentially CP violating phases
- ▶ a mixture of m_1, m_2, m_3 , proportional to U^2

PHASE SPACE AND MATRIX ELEMENTS

Matrix elements: vary by a factor of 2- 3 for a given A

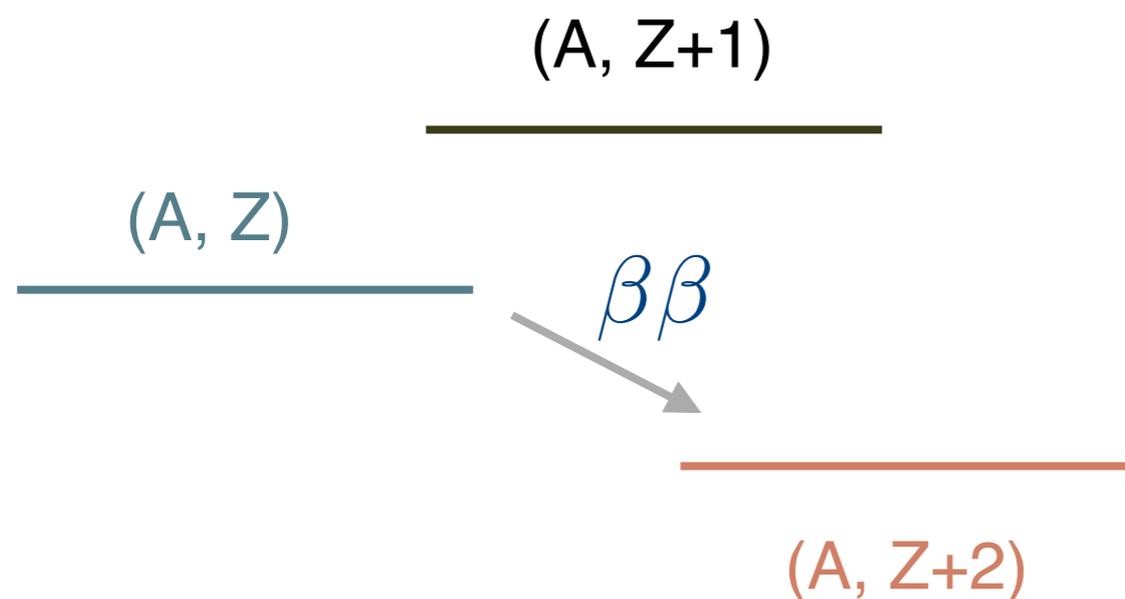
$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(Q, Z) |M^{0\nu}|^2 \frac{|m_{\beta\beta}|^2}{m_e^2}$$

$$\Gamma^{0\nu} = \frac{1}{T_{1/2}^{0\nu}} = G^{0\nu}(Q, Z) |M^{0\nu}|^2 \frac{|m_{\beta\beta}|^2}{m_e^2}$$



EMPLOYED NUCLEI

- Even-even nuclei
- Natural abundance is low (except ^{130}Te)
- **Must use enriched material**



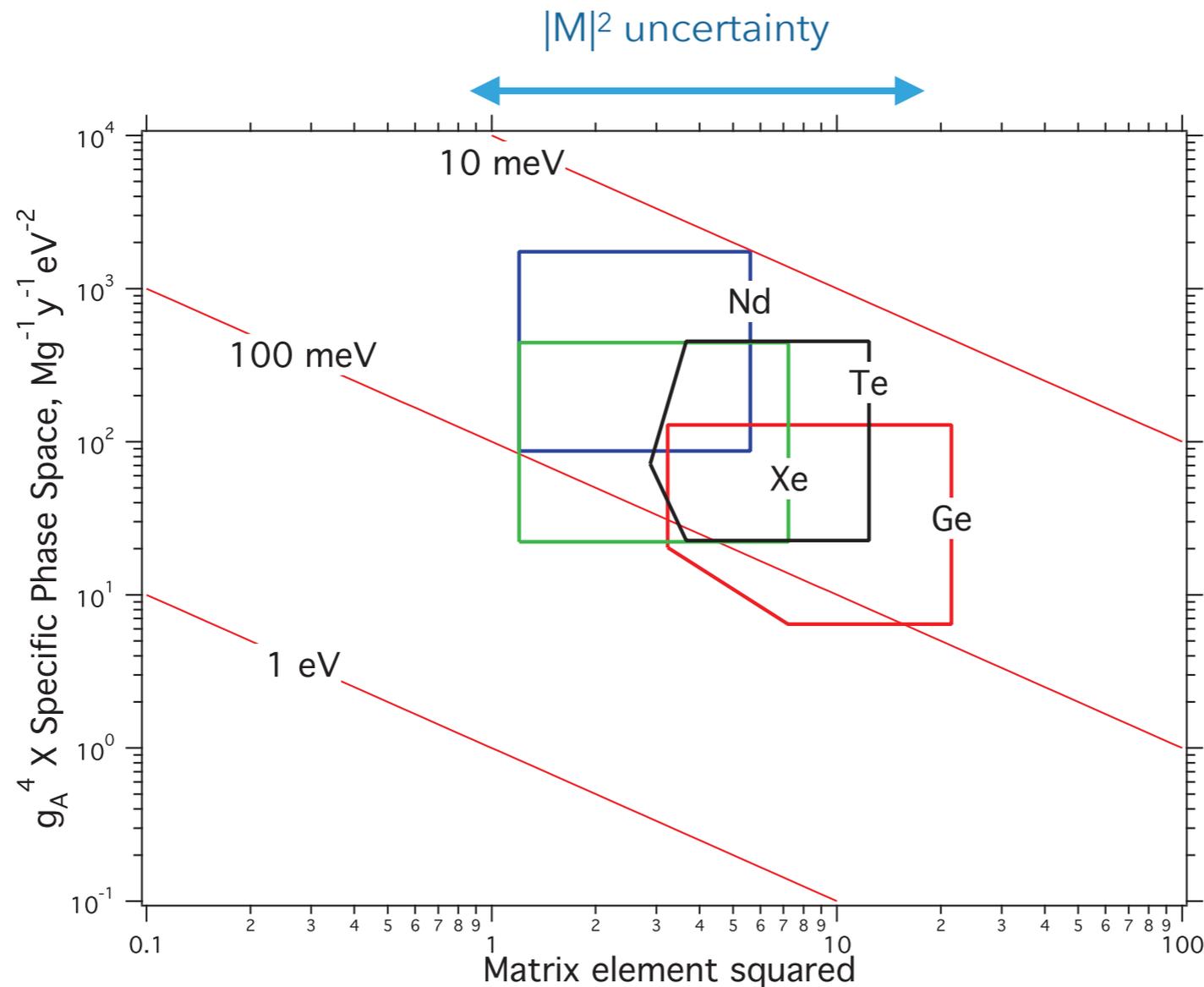
Candidate	Q [MeV]	Abund [%]
$^{48}\text{Ca} \rightarrow ^{48}\text{Ti}$	4.271	0.187
$^{76}\text{Ge} \rightarrow ^{76}\text{Se}$	2.039	7.8
$^{82}\text{Se} \rightarrow ^{82}\text{Kr}$	2.995	9.2
$^{96}\text{Zr} \rightarrow ^{96}\text{Mo}$	3.350	2.8
$^{100}\text{Mo} \rightarrow ^{100}\text{Ru}$	3.034	9.6
$^{110}\text{Pd} \rightarrow ^{110}\text{Cd}$	2.013	11.8
$^{116}\text{Cd} \rightarrow ^{116}\text{Sn}$	2.802	7.5
$^{124}\text{Sn} \rightarrow ^{124}\text{Te}$	2.228	5.64
$^{130}\text{Te} \rightarrow ^{130}\text{Xe}$	2.530	34.5
$^{136}\text{Xe} \rightarrow ^{136}\text{Ba}$	2.479	8.9
$^{150}\text{Nd} \rightarrow ^{150}\text{Sm}$	3.367	5.6

ISOTOPES AND SENSITIVITY TO THE DECAY

$$\frac{1}{T_{1/2}^{0\nu}} = G^{0\nu} g_A^4 |M^{0\nu}|^2 \frac{|\langle m_{\beta\beta} \rangle|^2}{m_e^2}$$

- ▶ Isotopes have comparable sensitivities in terms of rates per unit mass

$$g_A^4 \ln(2) \frac{N_A G^{0\nu}}{A m_e^2}$$

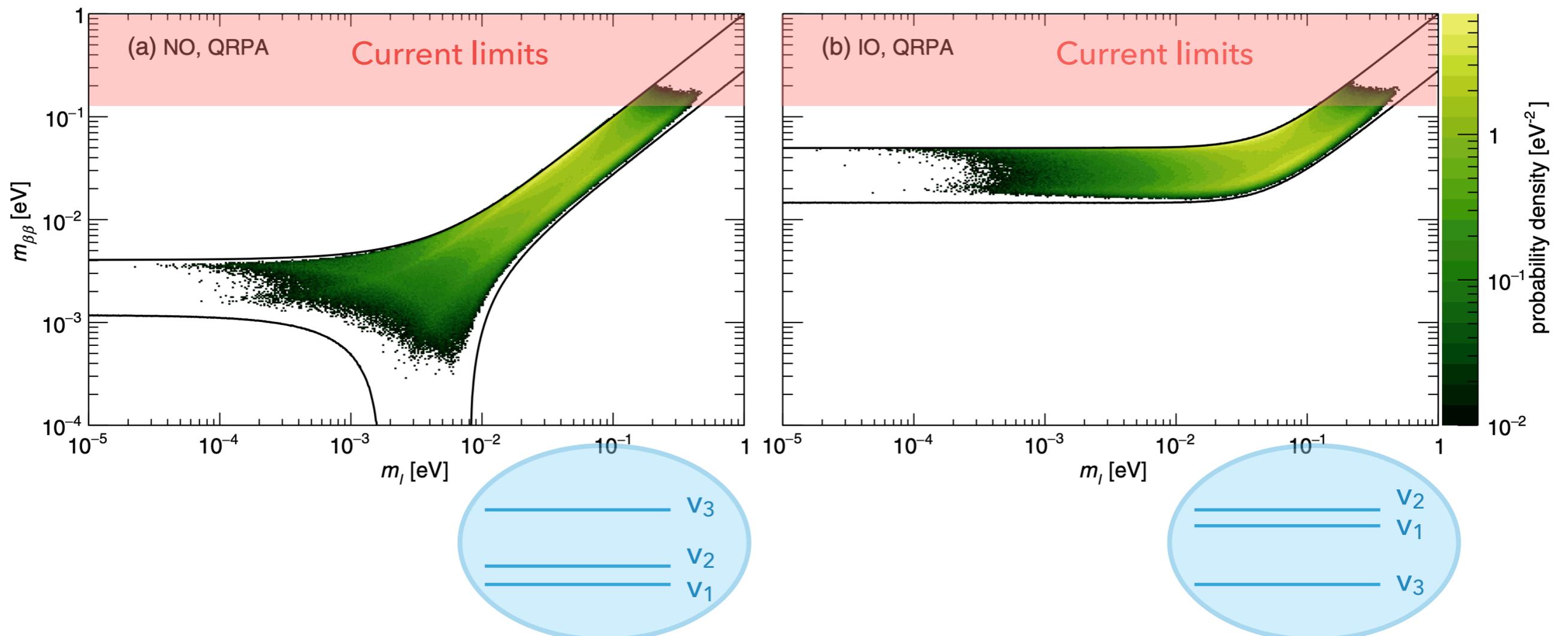


effective value for the axial vector coupling constant g_A : $\sim 0.6 - 1.269$ (free nucleon value)

NEUTRINO MASS EIGENSTATES AND MIXING MATRIX TERMS

- ▶ Probability distribution of $m_{\beta\beta}$ via random sampling from the distributions of mixing angles and Δm^2
- ▶ Flat priors for the Majorana phases

Agostini, Benato, Detwiler, PRD 96, 2017



EXPERIMENTAL REQUIREMENTS

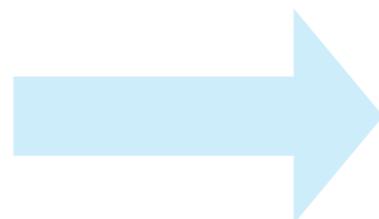
- ▶ Experiments measure the half-life, with a sensitivity (in the case of non-zero background)

$$T_{1/2}^{0\nu} \propto a \cdot \epsilon \cdot \sqrt{\frac{M \cdot t}{B \cdot \Delta E}}$$



Minimal requirements:

large detector masses
high isotopic abundance
ultra-low background noise
good energy resolution



$$\langle m_{\beta\beta} \rangle \propto \frac{1}{\sqrt{T_{1/2}^{0\nu}}}$$

Additional tools to distinguish signal from background:

event topology
pulse shape discrimination
particle identification

Heat

CUORE
CUPID



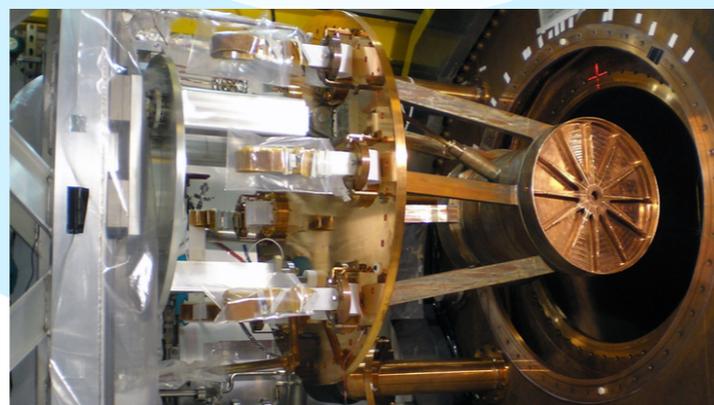
Energy of the
two electrons

Charge

GERDA
MAJORANA
LEGEND
SuperNEMO

Light

KAMLAND-Zen
SNO+



nEXO, NEXT
DARWIN

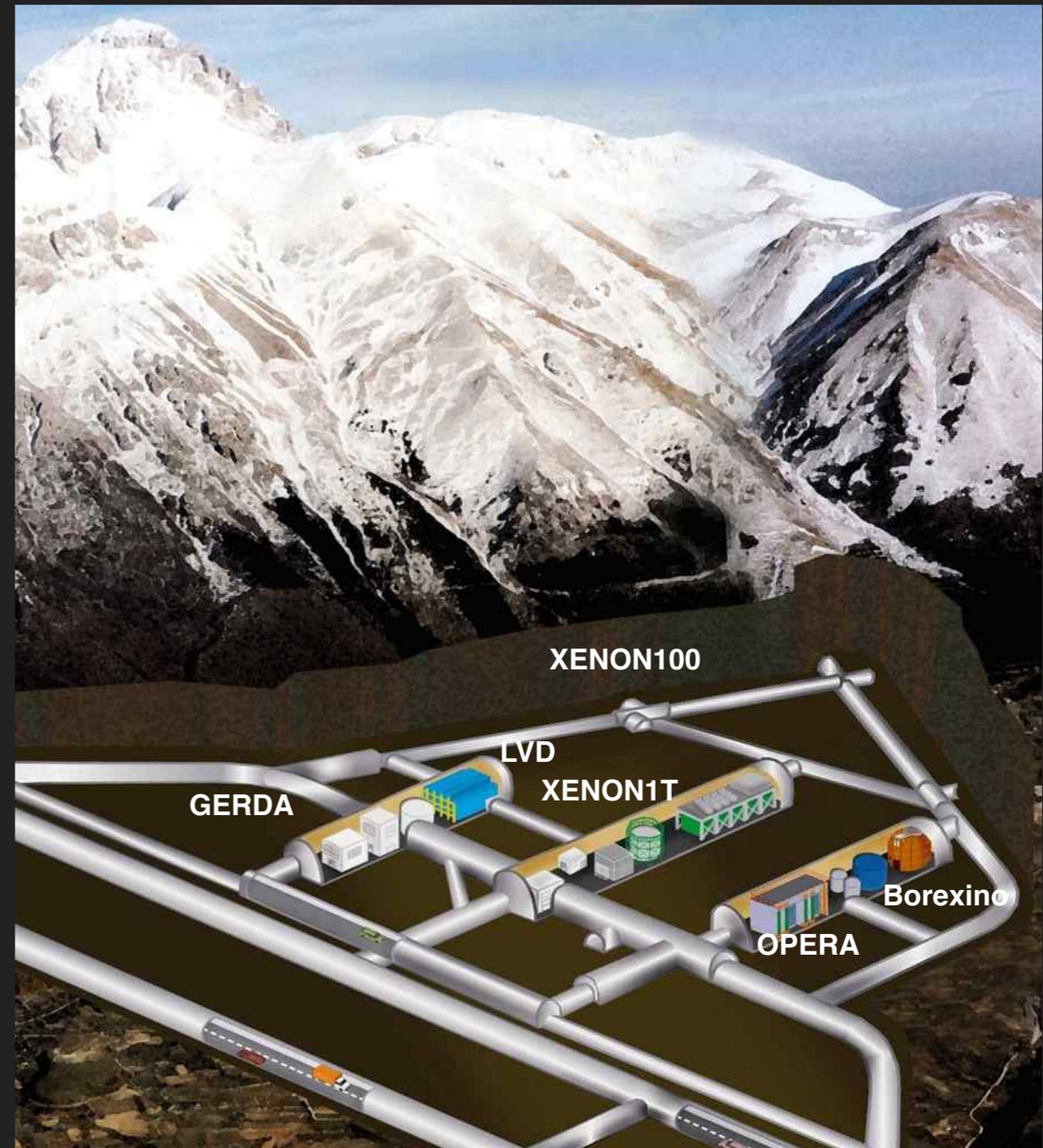
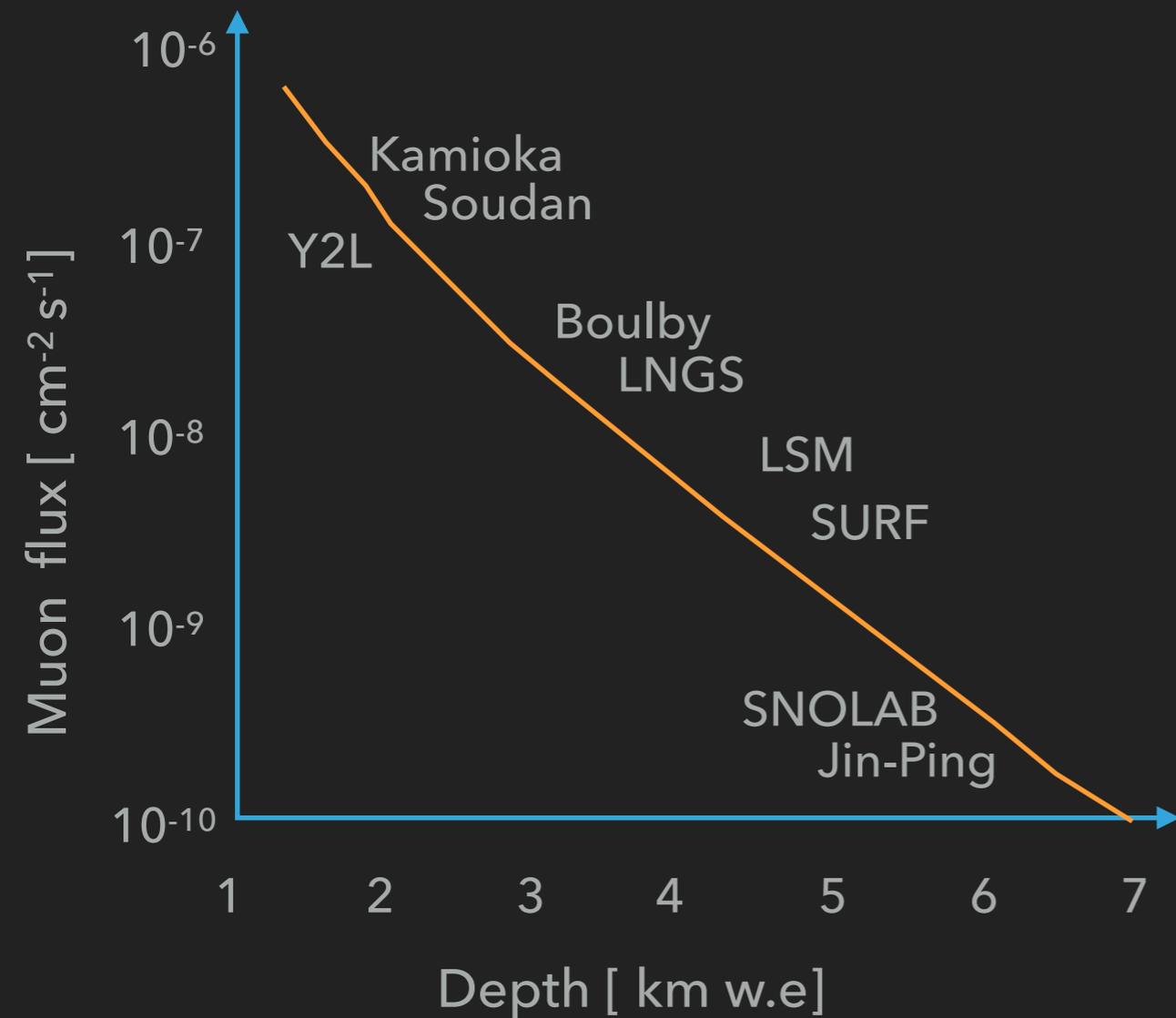
(not a complete list)

MAIN CHALLENGES

- ▶ Energy resolution (ultimate background from $2\nu\beta\beta$ -decay)
- ▶ Backgrounds
 - ▶ cosmic rays & cosmogenic activation
 - ▶ radioactivity of detector materials (^{238}U , ^{232}Th , ^{40}K , ^{60}Co , etc: α , β , γ -radiation)
 - ▶ anthropogenic (e.g., ^{137}Cs , $^{110\text{m}}\text{Ag}$)
 - ▶ neutrinos: $\nu + e^- \rightarrow \nu + e^-$

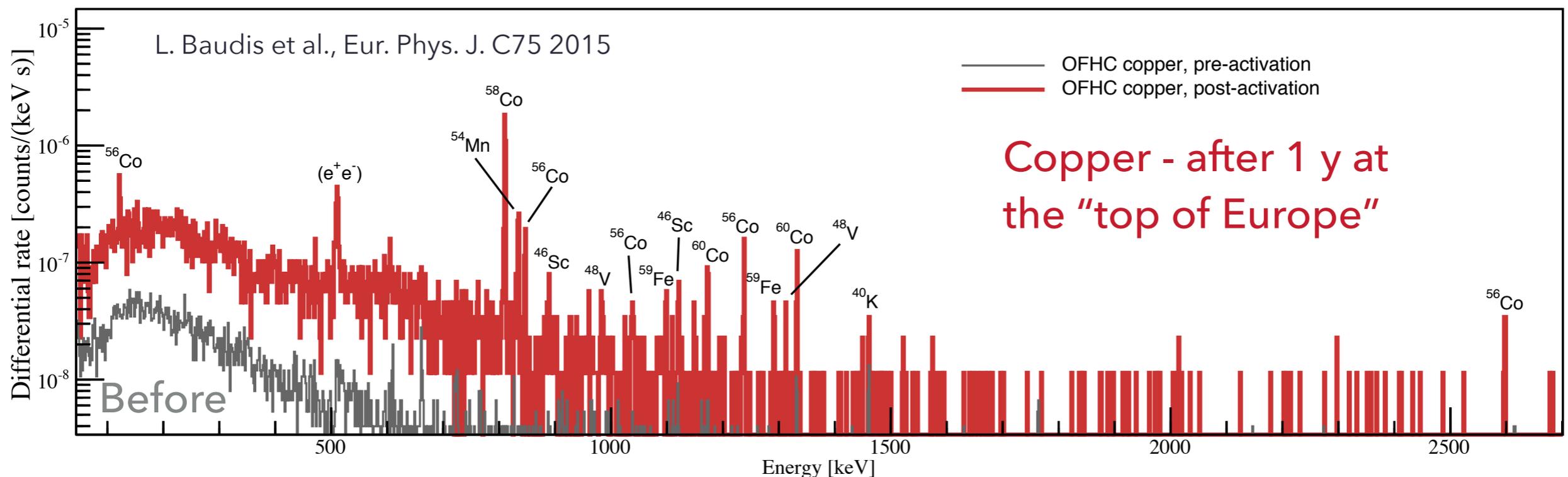
GO UNDERGROUND

- ▶ Network of underground laboratories



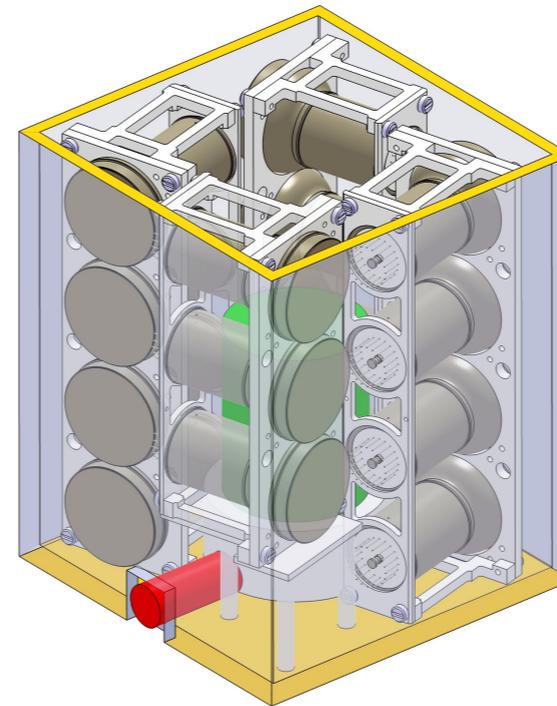
AVOID EXPOSURE TO COSMIC RAYS

- ▶ Spallation reactions can produce long-lived isotopes
- ▶ Activate and compare with predictions (Activia, Cosmo, etc)



MATERIAL SCREENING AND SELECTION

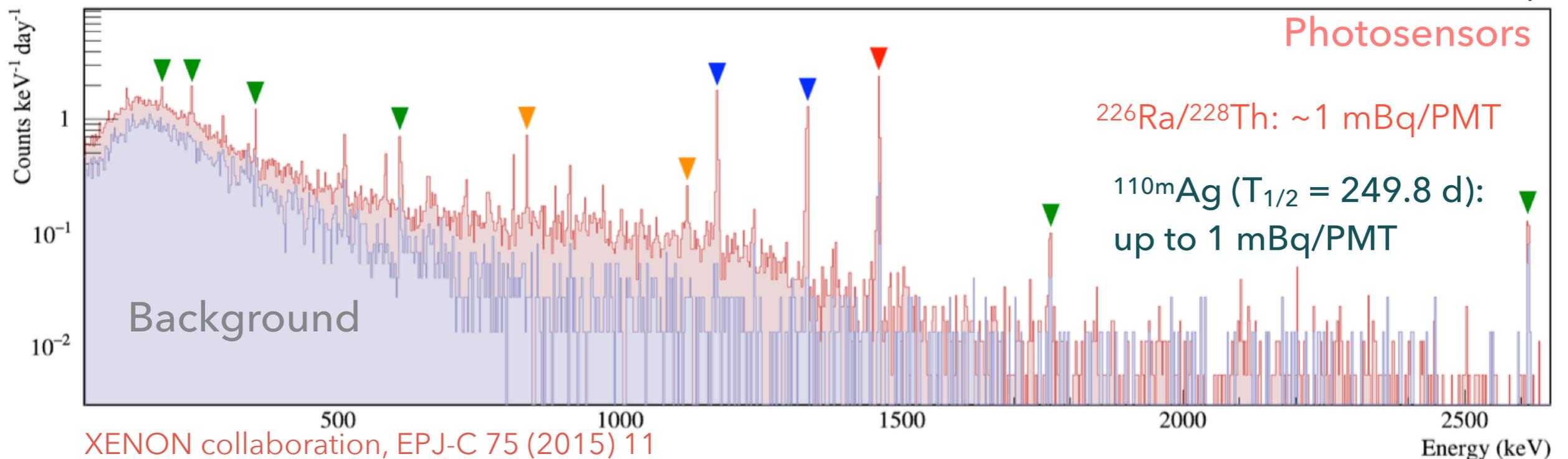
- ▶ Ultra-low background, HPGe detectors
- ▶ Mass spectroscopy
- ▶ Rn emanation facilities



Gator HPGe detector at LNGS



L. Baudis et al., JINST 6, 2011



CURRENT STATUS OF THE FIELD

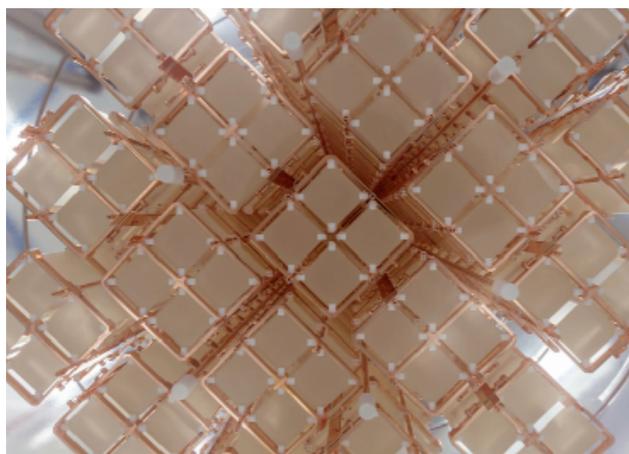
- ▶ No observation of this extremely rare nuclear decay (so far)
- ▶ Best lower limits on $T_{1/2}$: 1.07×10^{26} y (^{136}Xe), 0.9×10^{26} y (^{76}Ge), 1.5×10^{25} y (^{130}Te)

$$|\langle m_{\beta\beta} \rangle| \leq (0.07 - 0.16) \text{ eV}$$

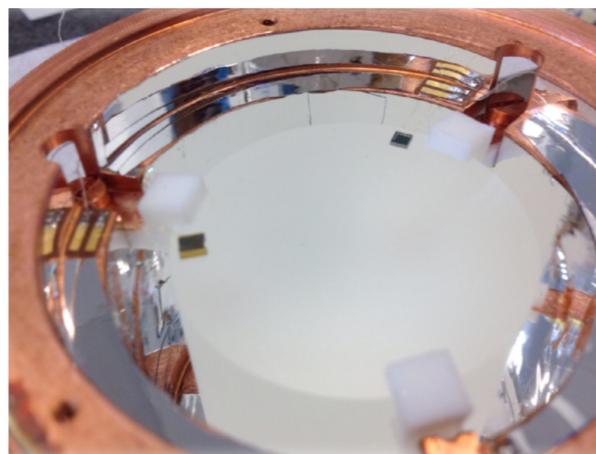
- ▶ Running and upcoming experiments (a selection)
 - ▶ ^{130}Te : CUORE, SNO+
 - ▶ ^{136}Xe : KAMLAND-Zen, KAMLAND2-Zen, EXO-200, nEXO, NEXT, DARWIN
 - ▶ ^{76}Ge : GERDA Phase-II, Majorana, LEGEND (GERDA & Majorana + new groups)
 - ▶ ^{100}Mo AMoRE, ^{82}Se : CUPID = CUORE with light read-out
 - ▶ ^{82}Se (^{150}Nd , ^{48}Ca): SuperNEMO

CUORE AND CUPID

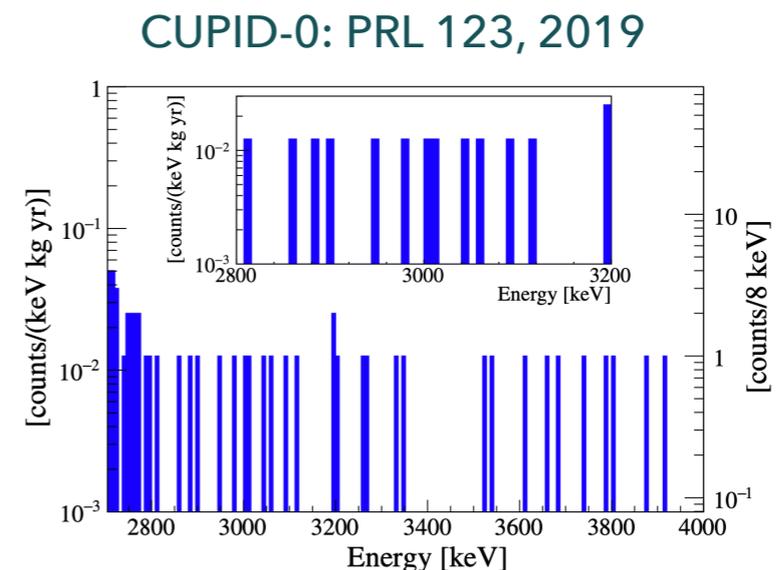
- ▶ CUORE: 988 crystals (206 kg ^{130}Te assembled in towers) at LNGS
- ▶ Background level: 14 events/(keV t y); energy resolution: 0.3% FWHM (7.7 keV in ROI)
- ▶ Results: $T_{1/2} > 1.5 \times 10^{25}$ y for ^{130}Te
- ▶ CUPID: R&D for ton-scale detector using $\text{Li}_2^{100}\text{MoO}_4$ and Zn^{82}Se crystals as scintillating bolometers (to identify major α -particle background)
- ▶ CUPID-0: pilot project at LNGS, 24 Zn^{82}Se crystals, best limit of $T_{1/2}$ of ^{82}Se



CUORE: PRL 120, 2018



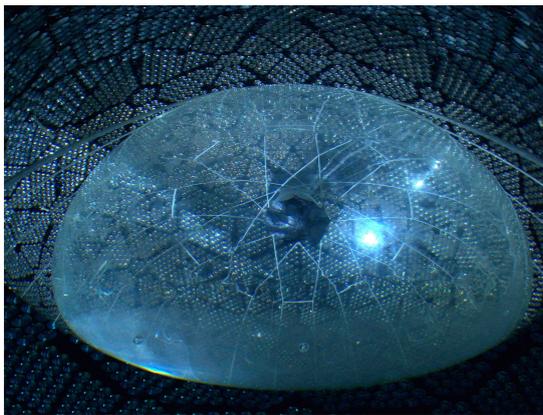
Q value of ^{82}Se (2997.9 ± 0.3 keV)



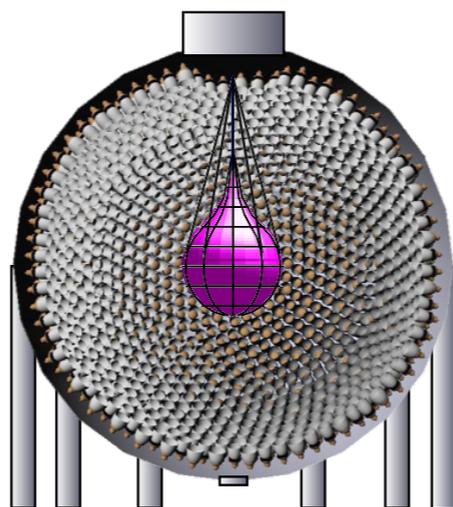
$T_{1/2} > 3.5 \times 10^{24}$ y

SNO+ AND KAMLAND-ZEN

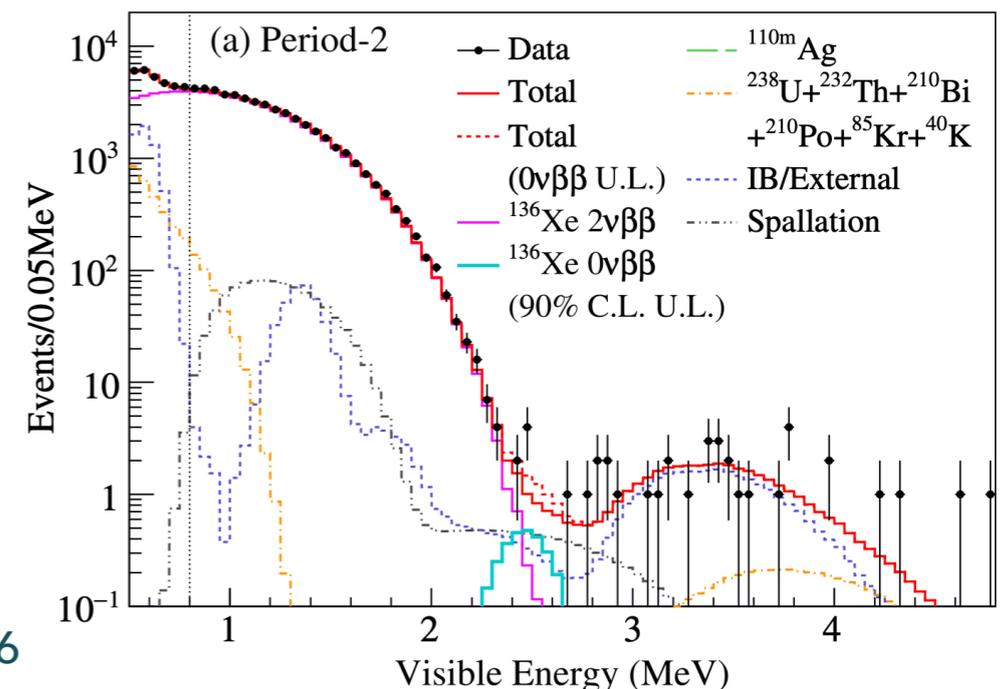
- ▶ SNO+: goal is 0.5% ^{nat}Te (~ 1330 kg ^{130}Te) in LS at SNOLAB
 - ▶ Scintillator fill in Nov 2018; Te loading planned for late 2019
- ▶ KamLAND-Zen: 745 kg ^{136}Xe in LS at Kamioka, ongoing; KamLAND2-Zen: 1t
 - ▶ Background: Energy resolution: 270 keV
 - ▶ Results: $T_{1/2} > 1.07 \times 10^{26}$ y



SNO+ J.Phys.Conf.Ser. 1137 (2019): aim is $T_{1/2} > 1.9 \times 10^{26}$ y after 5 y of data

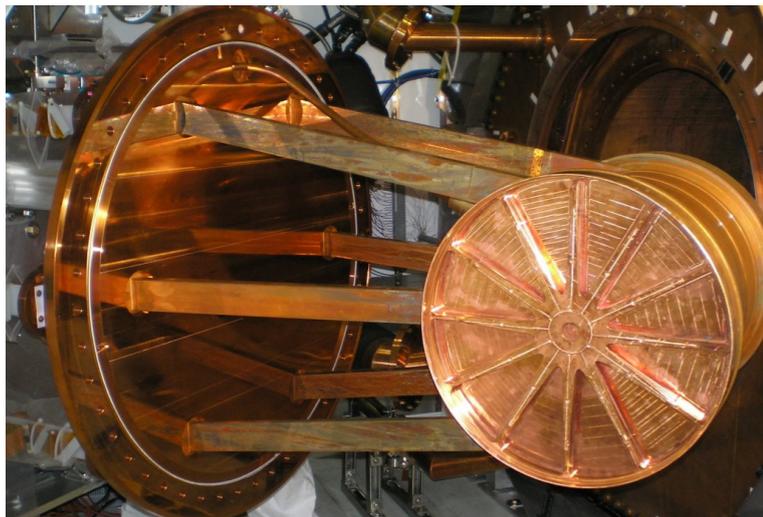


KamLAND-Zen: PRL 117, 2016



EXO-200, NEXO AND NEXT

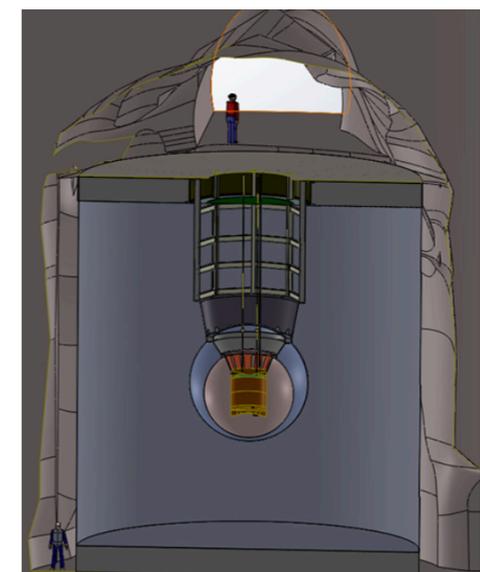
- ▶ EXO-200: 75 kg ^{136}Xe in fiducial region of TPC, $\sigma/E = 1.1\%$; $T_{1/2} > 3.5 \times 10^{25} \text{ y}$
- ▶ nEXO: 5 t of liquid xenon enriched in ^{136}Xe , goal $T_{1/2} \sim 5.7 \times 10^{27} \text{ y}$
- ▶ NEXT: high-pressure ^{136}Xe gas \rightarrow tracking; 5 kg demonstrator at Canfranc (2015-2019)
 - ▶ NEXT demo achieved $\sigma/E = 0.43\%$; NEXT-100: data in 2020, $T_{1/2} \sim 6 \times 10^{25} \text{ y}$ after 3 y
- ▶ R&D on Ba ion tagging ongoing



EXO-200: PRL 123, 2019



NEXT arXiv:1910.07314



nEXO arXiv:1805.11142 preCDR

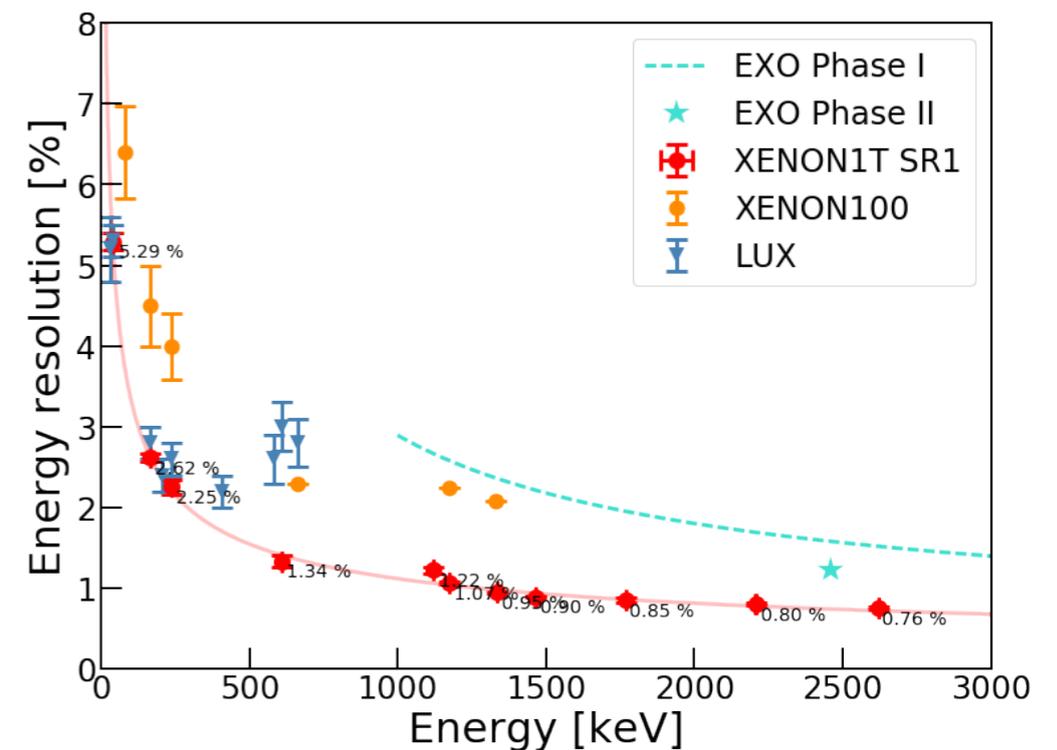
DARWIN



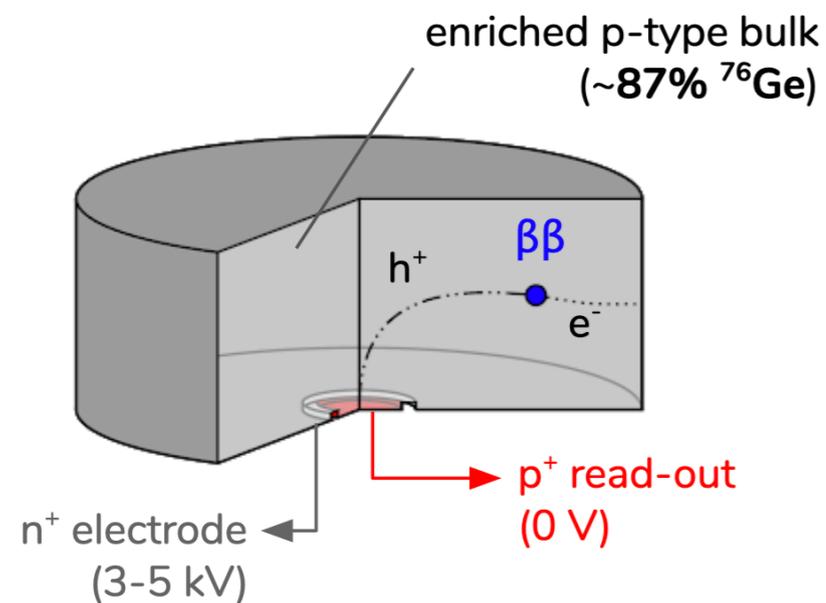
- ▶ TPC with 40 t $^{\text{nat}}\text{Xe}$ (50 t in total) for DM searches; 8.9% ^{136}Xe
- ▶ Goal: $T_{1/2} \sim \text{few} \times 10^{27} \text{ y}$
- ▶ Energy resolution: $E/\sigma = 0.8\%$ (achieved in XENON1T)



XENON1T: $\sigma/E=0.8\%$ at 2.5 MeV

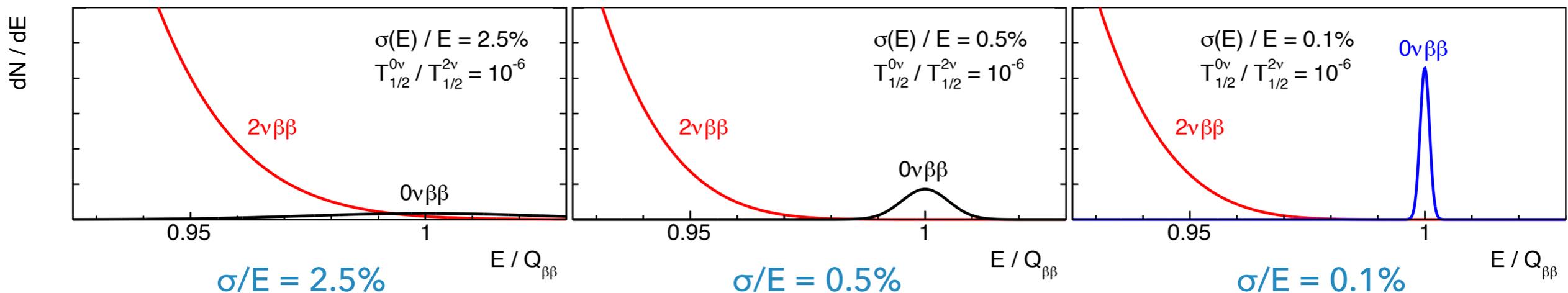


GERMANIUM IONISATION DETECTORS

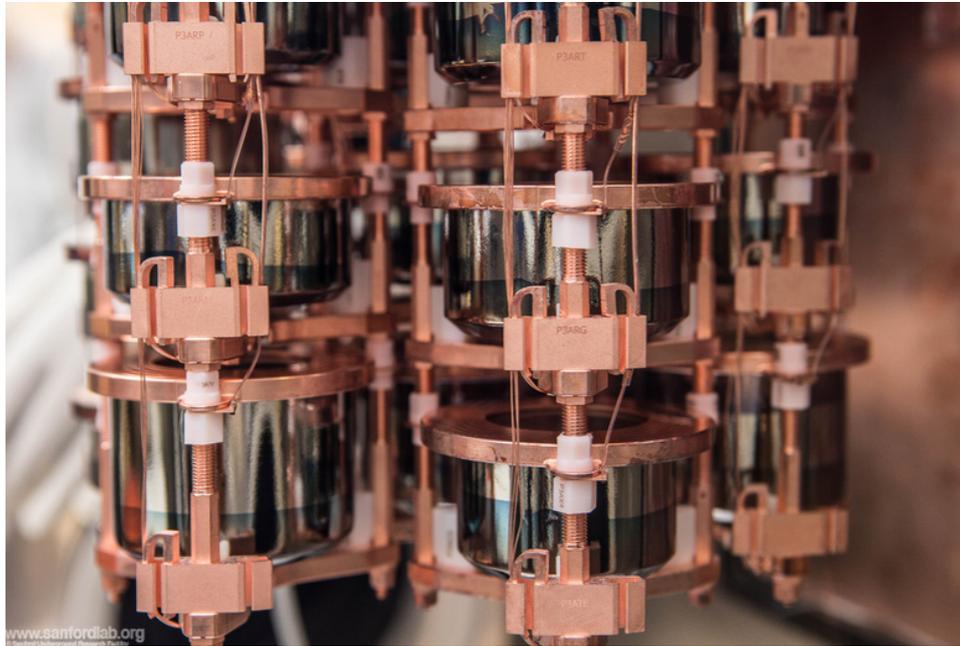


▶ HPGe detectors enriched in ⁷⁶Ge

- ▶ Source = detector: high detection efficiency
- ▶ High-purity material: no intrinsic backgrounds
- ▶ Semiconductor: energy resolution $\sigma/E < 0.1\%$ at $Q_{\beta\beta} = 2039.061 \pm 0.007$ keV
- ▶ High stopping power: β absorbed within O(1) mm



EXISTING GERMANIUM EXPERIMENTS



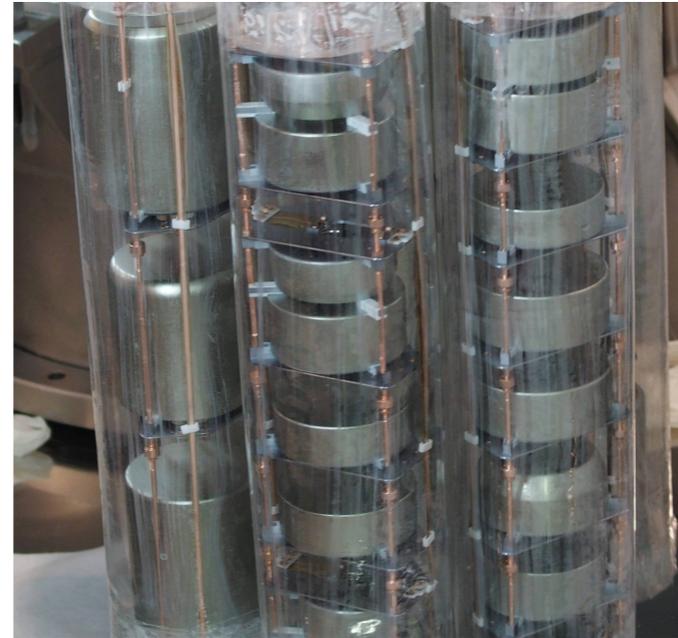
MAJORANA at SURF

29.7 kg of 88% enriched ^{76}Ge crystals

2.5 keV FWHM at 2039 keV

26 kg y exposure; [PRL 120 \(2018\)](#)

$T_{1/2} > 2.7 \times 10^{25}$ y (90% CL)



GERDA at LNGS

35.6 kg of 86% enriched ^{76}Ge crystals

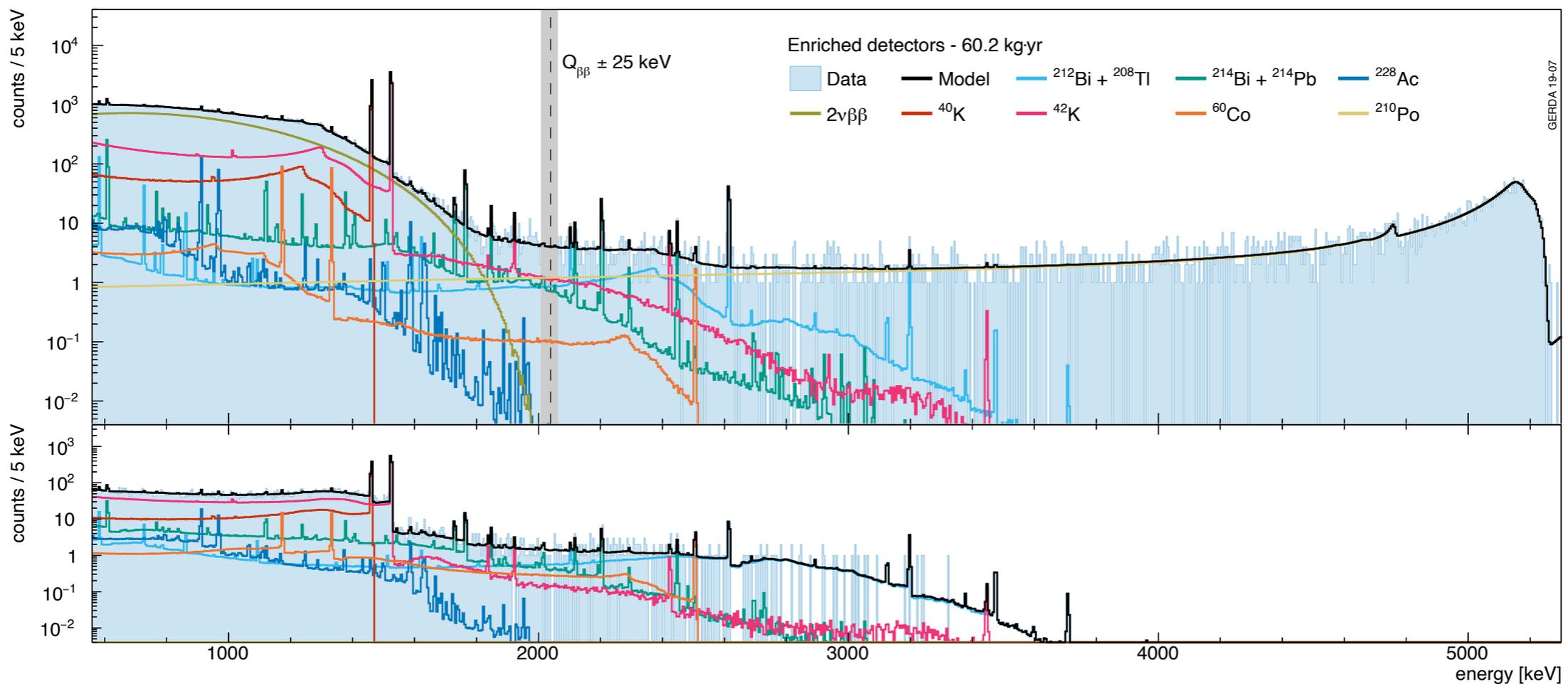
3.0 keV FWHM at 2039 keV

58.9 kg y exposure; [Science 2019](#)

$T_{1/2} > 0.9 \times 10^{26}$ y (90% CL)

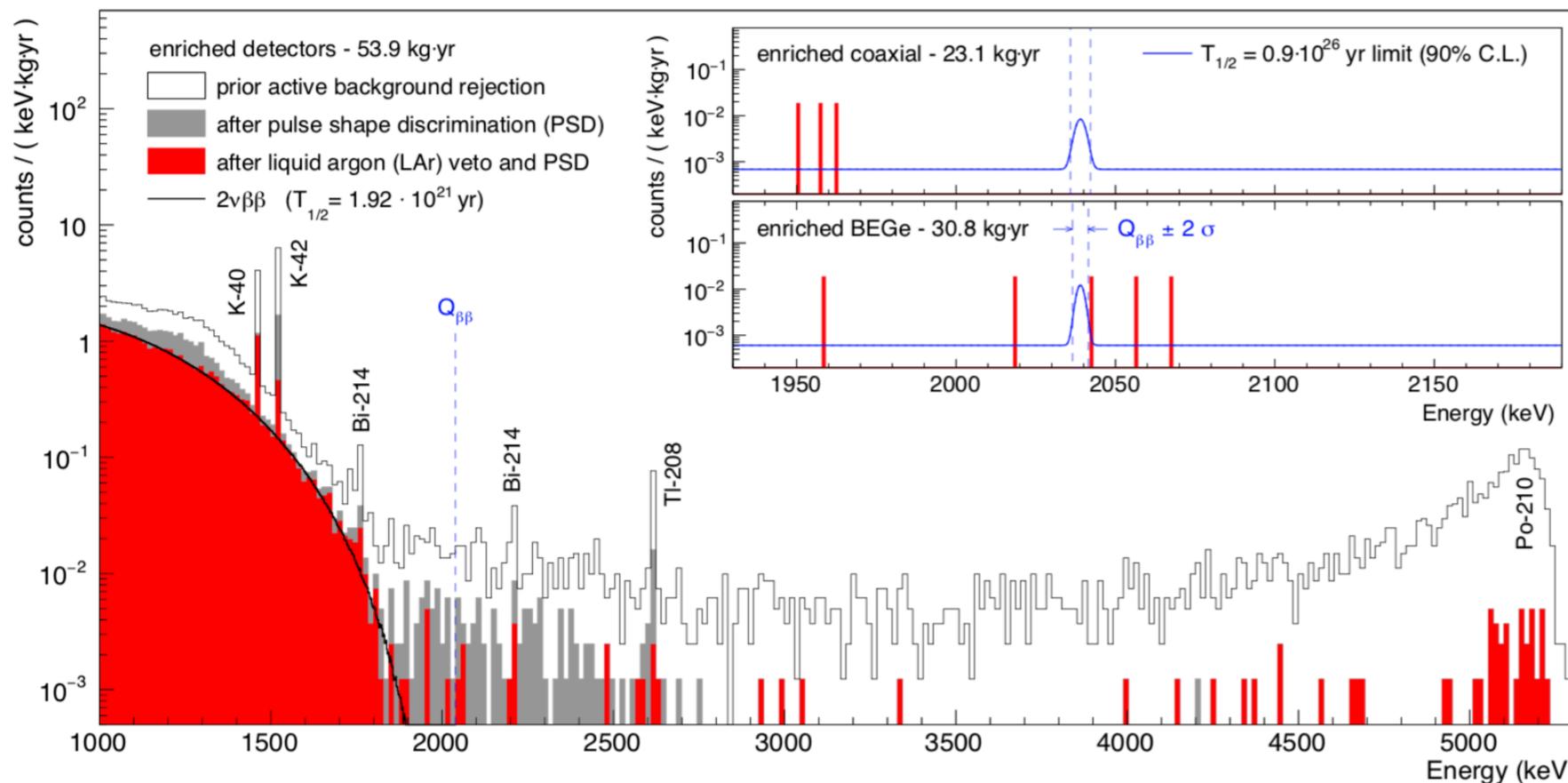
BACKGROUND MODEL IN GERDA

- ▶ Intrinsic $2\nu\beta\beta$ -events, ^{39}Ar , ^{42}Ar ($T_{1/2} = 33$ y) and ^{85}Kr in liquid argon
- ▶ ^{60}Co , ^{40}K , ^{232}Th , ^{238}U in materials, α -decays (^{210}Po) on the thin p^+ contact



DOUBLE BETA DECAY RESULTS

- ▶ Measured $T_{1/2}$ of the $2\nu\beta\beta$ -decay: 1.92×10^{21} y
- ▶ LAr veto: factor 5 background suppression at 1525 keV (^{42}K line)
- ▶ Background level: 5.6×10^{-4} events/(keV kg y) in 230 keV window around Q-value



New constraints on the $0\nu\beta\beta$ -decay of ^{76}Ge

$$T_{1/2}^{0\nu} > 0.9 \times 10^{26} \text{ y (90\%C.L.)}$$

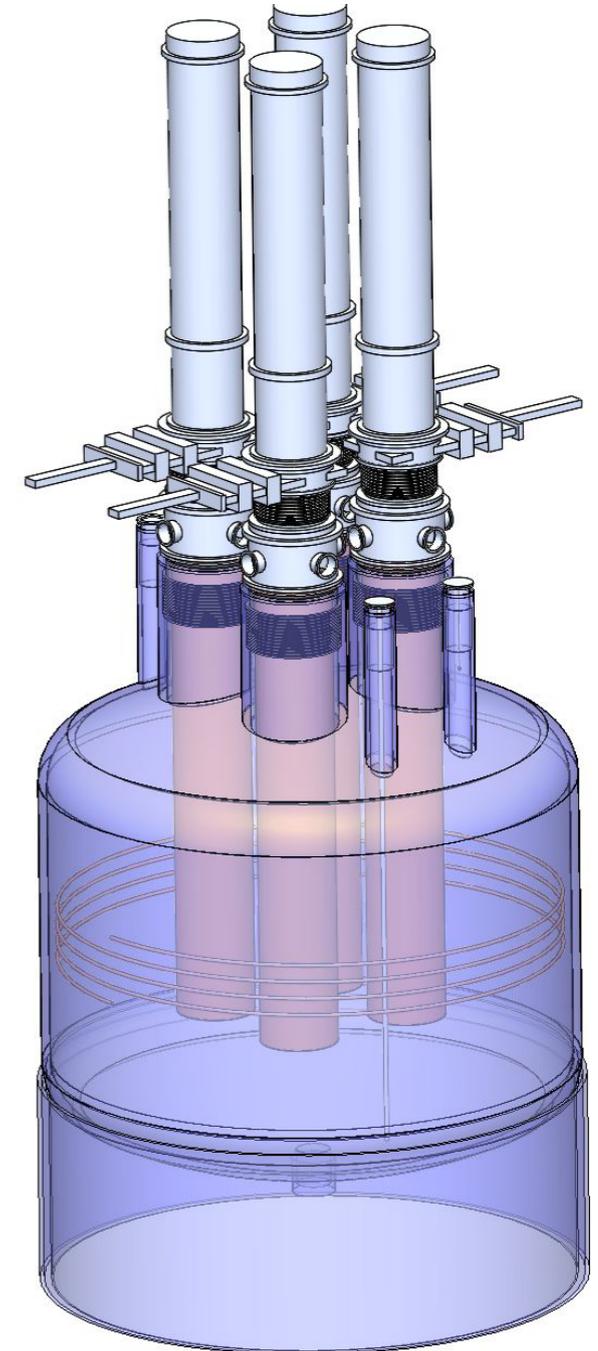
$$m_{\beta\beta} < 0.11 - 0.26 \text{ eV (90\%C.L.)}$$

Median sensitivity

$$T_{1/2}^{0\nu} > 1.1 \times 10^{26} \text{ y (90\%C.L.)}$$

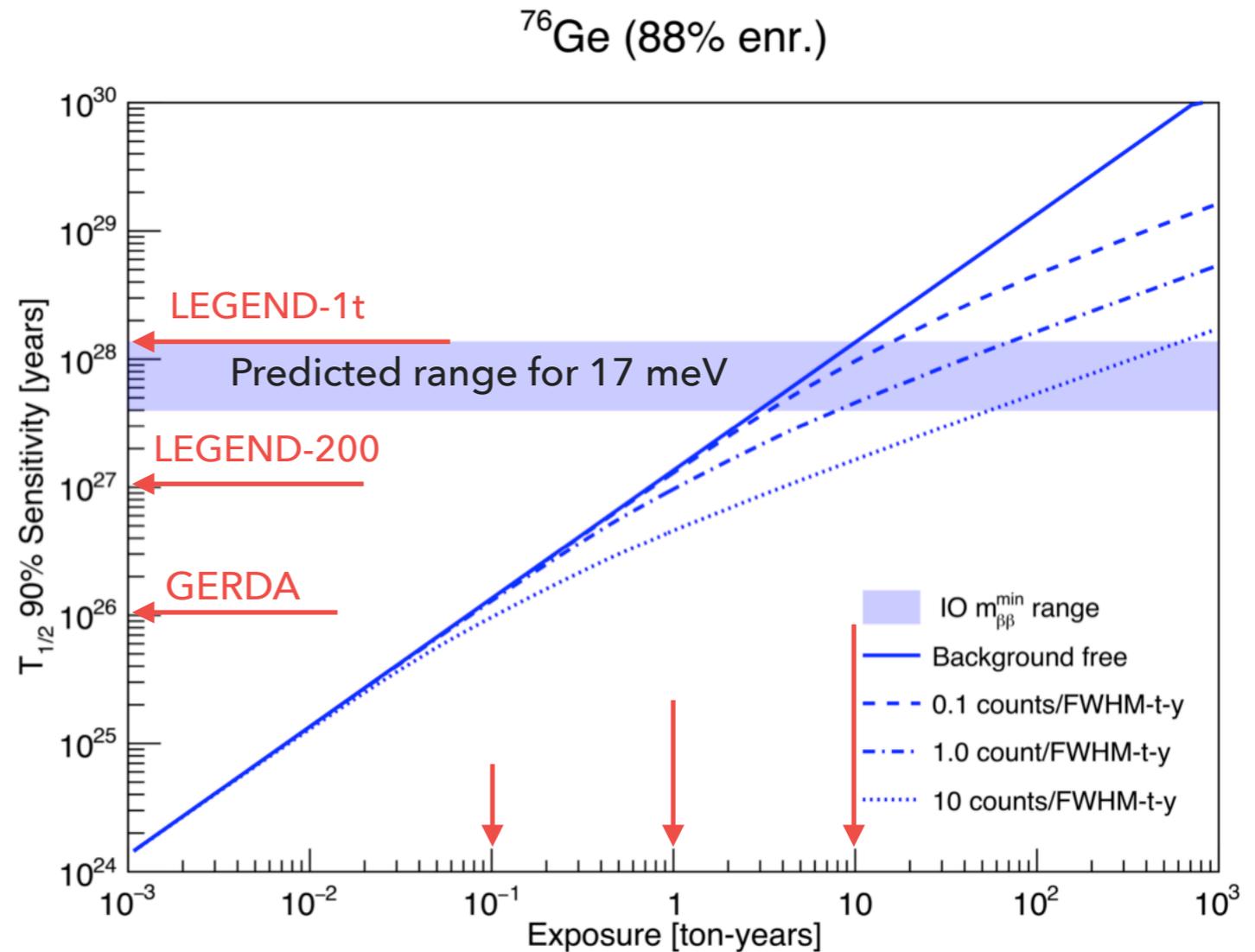
THE FUTURE: LEGEND

- ▶ Large enriched germanium experiment for neutrinoless double beta decay
- ▶ Collaboration formed in October 2016
- ▶ 2019 members, 48 institutions, 16 countries
 - ▶ **LEGEND-200**: 200 kg in existing (upgraded) infrastructure at LNGS, start in 2021
 - ▶ Background goal: 0.6 events/(FWHM t y)
 - ▶ **LEGEND-1t**: 1000 kg, staged
 - ▶ Background goal: 0.1 events/(FWHM t y)



EXPECTED SENSITIVITY

- ▶ LEGEND-200: 10^{27} y
- ▶ LEGEND-1t: 10^{28} y
- ▶ $m_{\beta\beta} = 17$ meV (for worst case ME = 3.5)



Background

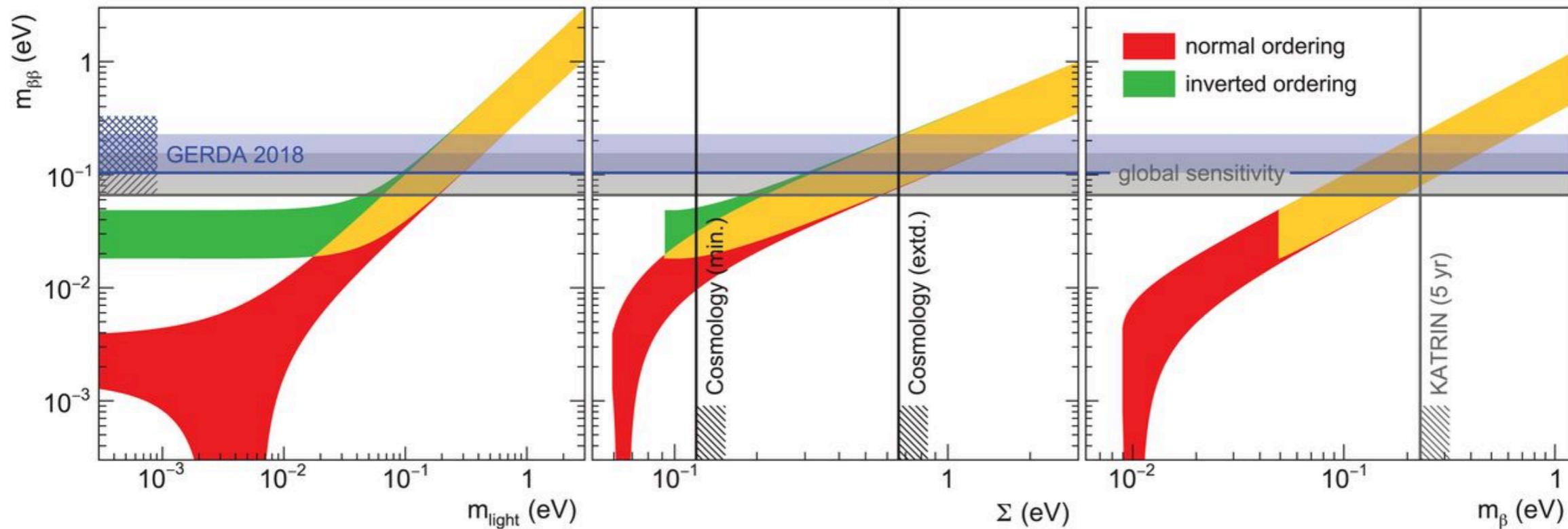
- GERDA: 3 events/(ROI t y)
- LEGEND-200: 0.6 events/(ROI t y)
- LEGEND-1t: 0.1/(ROI t y)

LEADING RESULTS: OVERVIEW

Experiment	Isotope	FWHM [keV]	$T_{1/2}$ [10^{26} y]	$m_{\beta\beta}$ [meV]
CUORE	^{130}Te	7.4	0.15	162-757
CUPID-0	^{82}Se	23	0.024	394-810
EXO-200	^{136}Xe	71	0.18	93-287
KamLAND-Zen	^{136}Xe	270	1.1	76-234
GERDA	^{76}Ge	3.3	0.9	104-228
Majorana	^{76}Ge	2.5	0.27	157-346

MASS OBSERVABLES

- ▶ Constraints in the $m_{\beta\beta}$ parameters space in the 3 light ν scenario
- ▶ GERDA + leading experiments in the field



$$m_{\beta\beta} = \left| \sum_{i=1}^3 U_{ei}^2 m_i \right|$$

$$\Sigma = \sum_i m_i$$

$$m_{\beta} = \sqrt{\sum_i |U_{ei}^2| m_i^2}$$

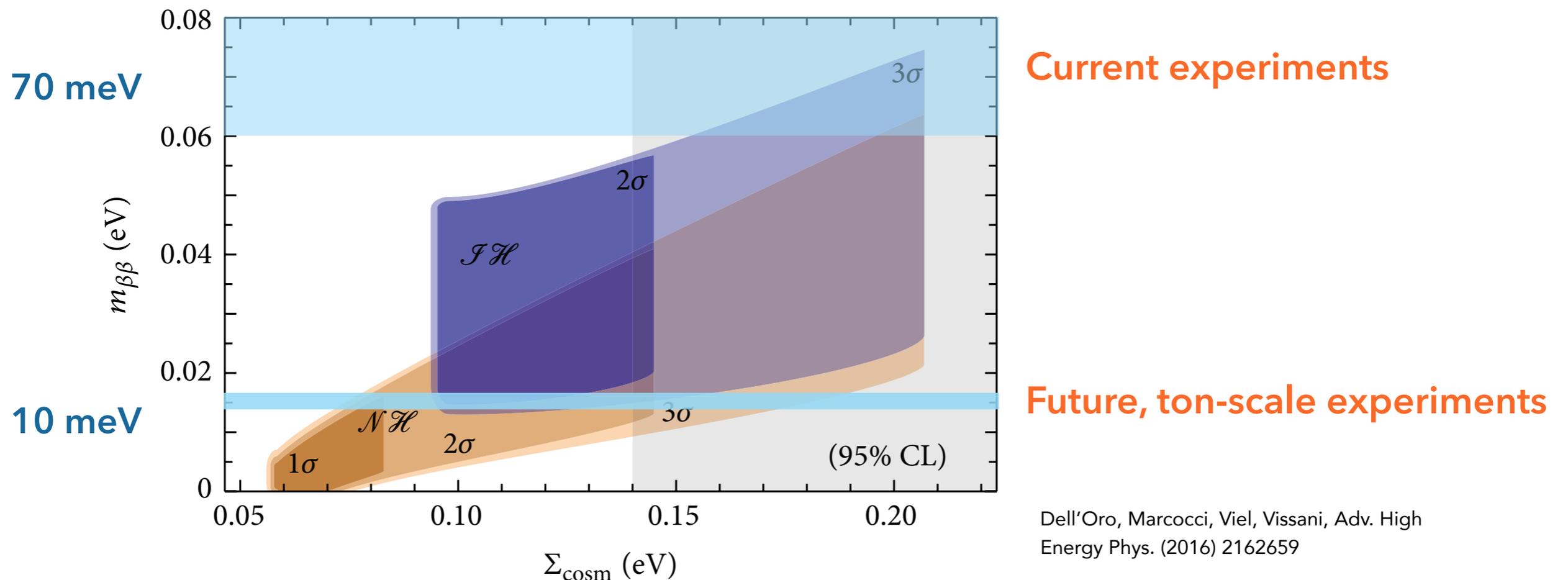
PROJECTIONS

FUTURE PROJECTS: A SELECTION

Experiment	Isotope	Iso mass [kg]	FWHM [keV]	$T_{1/2}$ [10^{27} y]	$m_{\beta\beta}$ [meV]
CUPID	^{130}Te	543	5	2.1	13-31
CUPID	^{82}Se	336	5	2.6	8-38
nEXO	^{136}Xe	4500	59	6	7-21
KamLAND2-Zen	^{136}Xe	1000	141	1.4	14-44
DARWIN	^{136}Xe	1068	20	1.4	14-44
PandaX-III	^{136}Xe	901	24	1.3	14-46
LEGEND-200	^{76}Ge	175	3	1	34-74
LEGEND-1t	^{76}Ge	873	3	6	14-30
SuperNEMO	^{82}Se	100	120	0.1	58-144

SUMMARY

- ▶ Ton-scale experiments are required to probe the IH scenario
- ▶ Several technologies move into this direction
- ▶ Much larger experiments required to probe the NH scenario



APPEC Community Meeting on Neutrinoless Double Beta Decay

Hallam Conference Centre, 44 Hallam Street,
W1W 6JJ London
31 Oct 2019

<https://indico.cern.ch/event/832454/>



Double Beta Decay APPEC Commitee

Silvia Pascoli (Chair, Durham U.)
Andrea Giuliani (CNRS/IN2P3)
J.J. Gomez Cadenas (DIPC)
Ezio Previtali (Milano-Bicocca),
RubenSaakyan (UCL)
Karoline Schaner (GSSI)
Stefan Schonert (TUM)

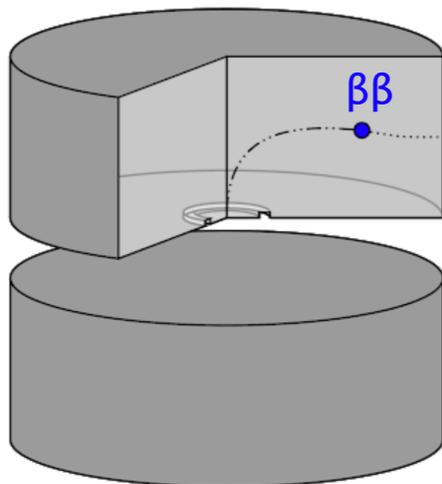


ADDITIONAL MATERIAL

BACKGROUND SUPPRESSION

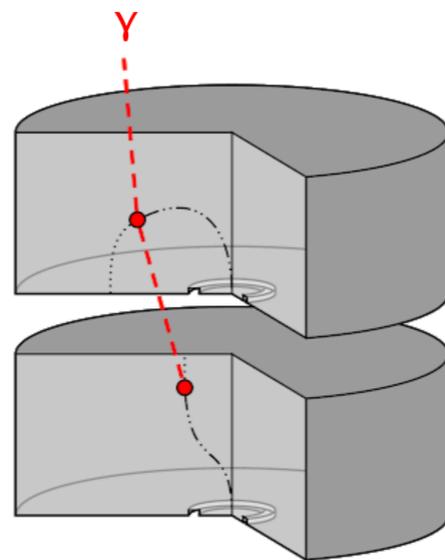
- ▶ Event topology + anti-coincidence between HPGe detectors + pulse shape discrimination + liquid argon veto

event topology



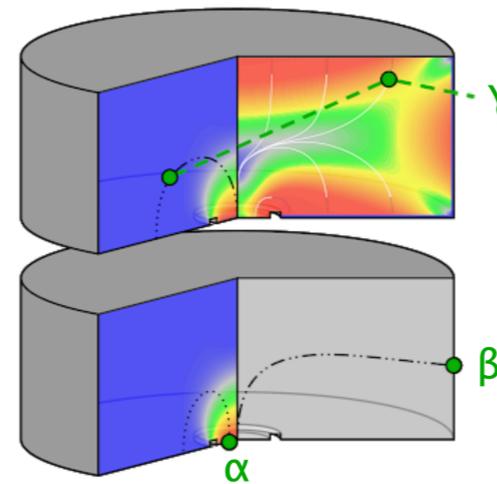
differentiate **point-like**
(single-detector, single-site)
 $\beta\beta$ topology from:

detector
anti-coincidence



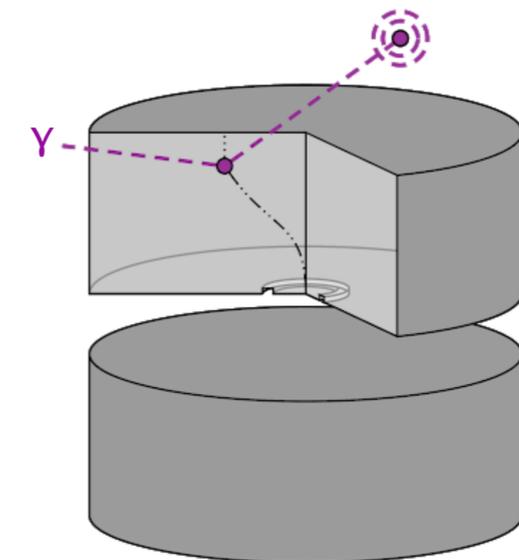
multi-detector
interactions

pulse shape
discrimination (PSD)



multi-site/surface
interactions

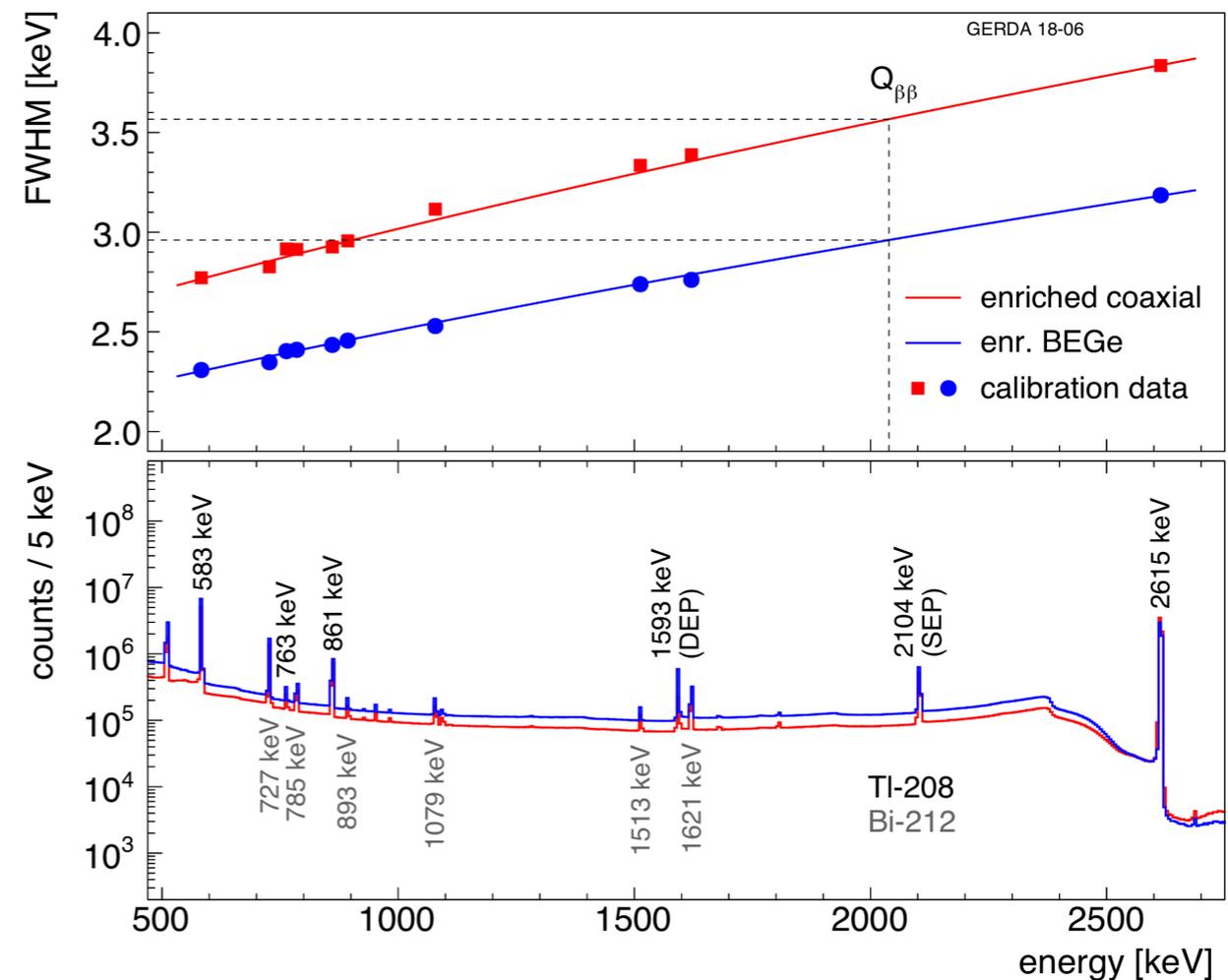
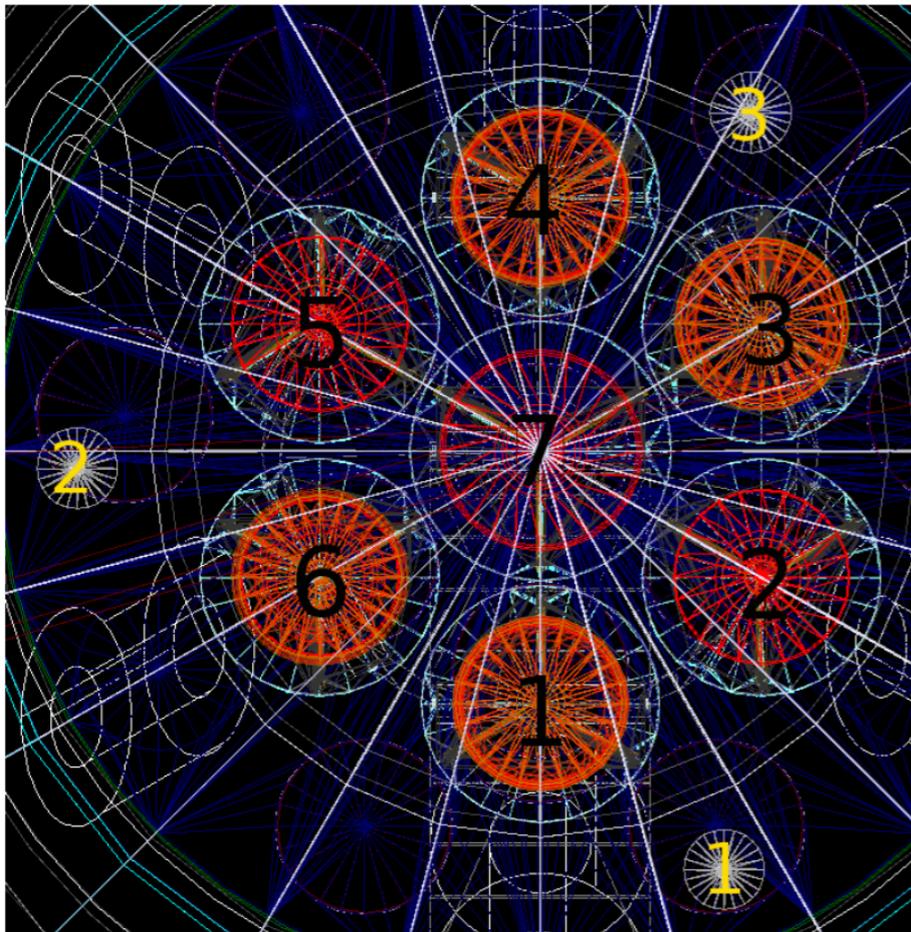
detector-LAr
anti-coincidence (LAr veto)



interactions with **coincident**
energy deposition in
surroundings

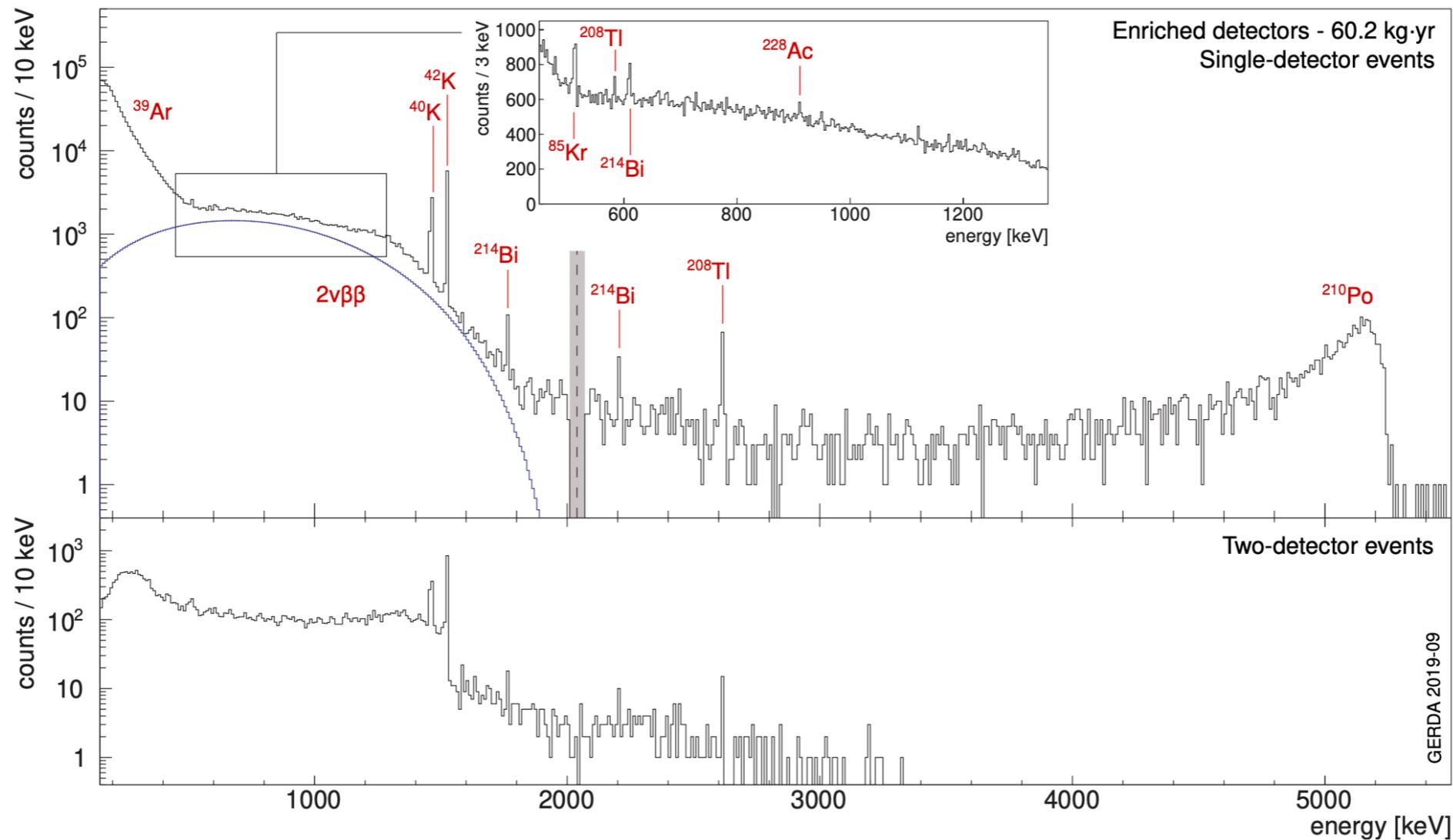
ENERGY CALIBRATION

- ▶ Three low neutron-emission ^{228}Th sources in SIS, deployed once every week
- ▶ FWHM at $Q_{\beta\beta}$: (3.0 ± 0.1) keV for BEGe, (3.6 ± 0.1) keV for coaxial detectors



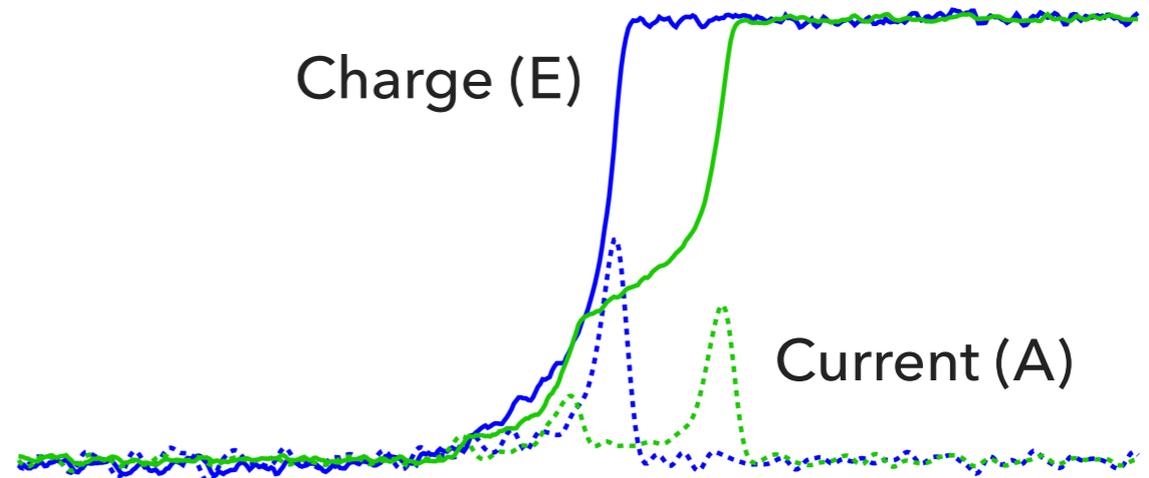
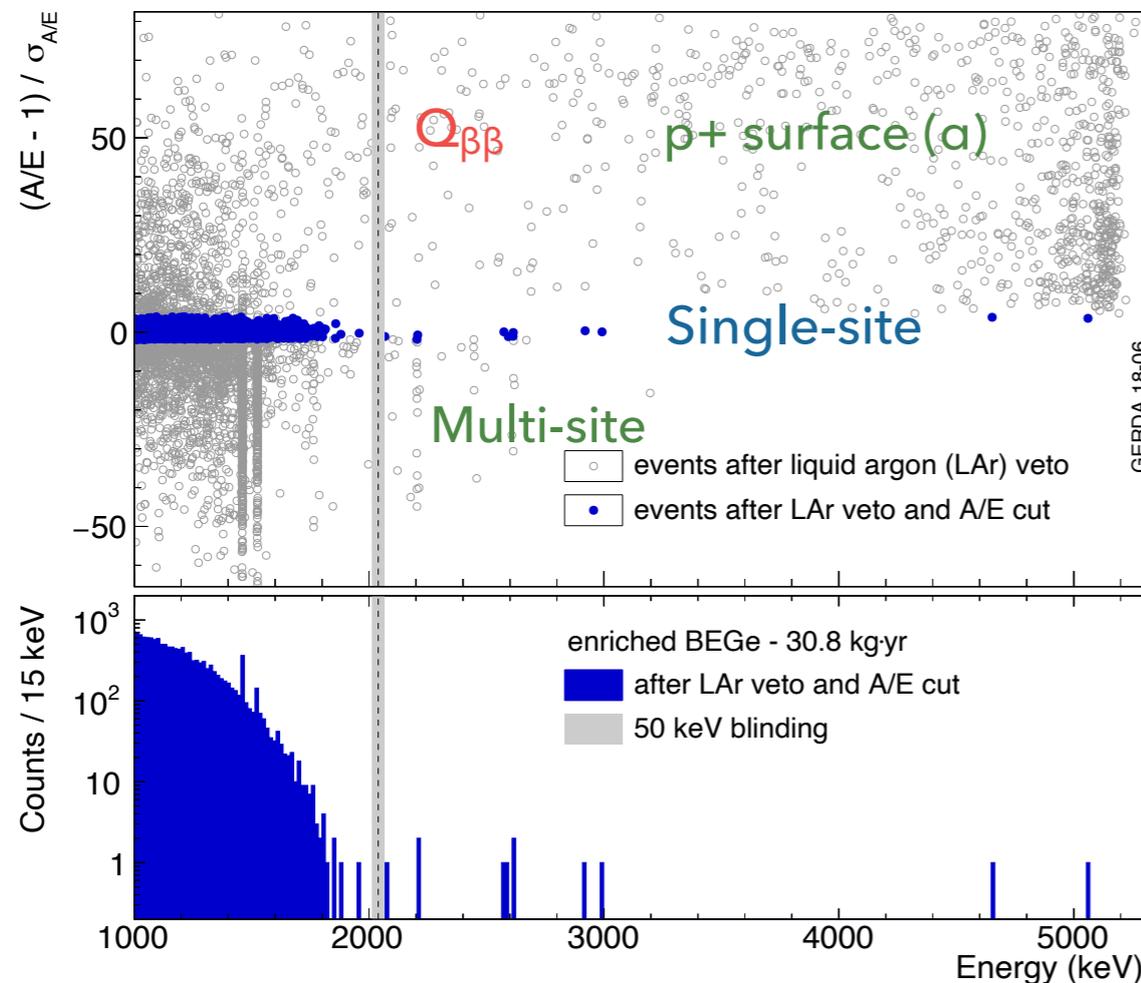
ENERGY SPECTRA

- ▶ Intrinsic $2\nu\beta\beta$ -events, ^{39}Ar , ^{42}Ar ($T_{1/2} = 33$ y) and ^{85}Kr in liquid argon
- ▶ ^{60}Co , ^{40}K , ^{232}Th , ^{238}U in materials, α -decays (^{210}Po) on the thin p^+ contact



PULSE SHAPE DISCRIMINATION

- ▶ Cut based on 1 parameter: max of **current pulse (A)** normalised to **total energy (E)** (BEGe)
- ▶ Tuned on calibration data (90% ^{208}Tl DEP acceptance)
- ▶ **Acceptance at $0\nu\beta\beta$: $(87.6\pm 2.5)\%$**



PSD parameter: $(A/E - 1) / \sigma_{A/E}$

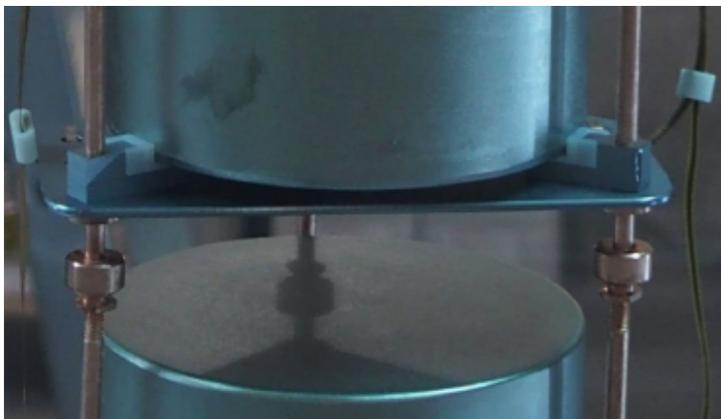
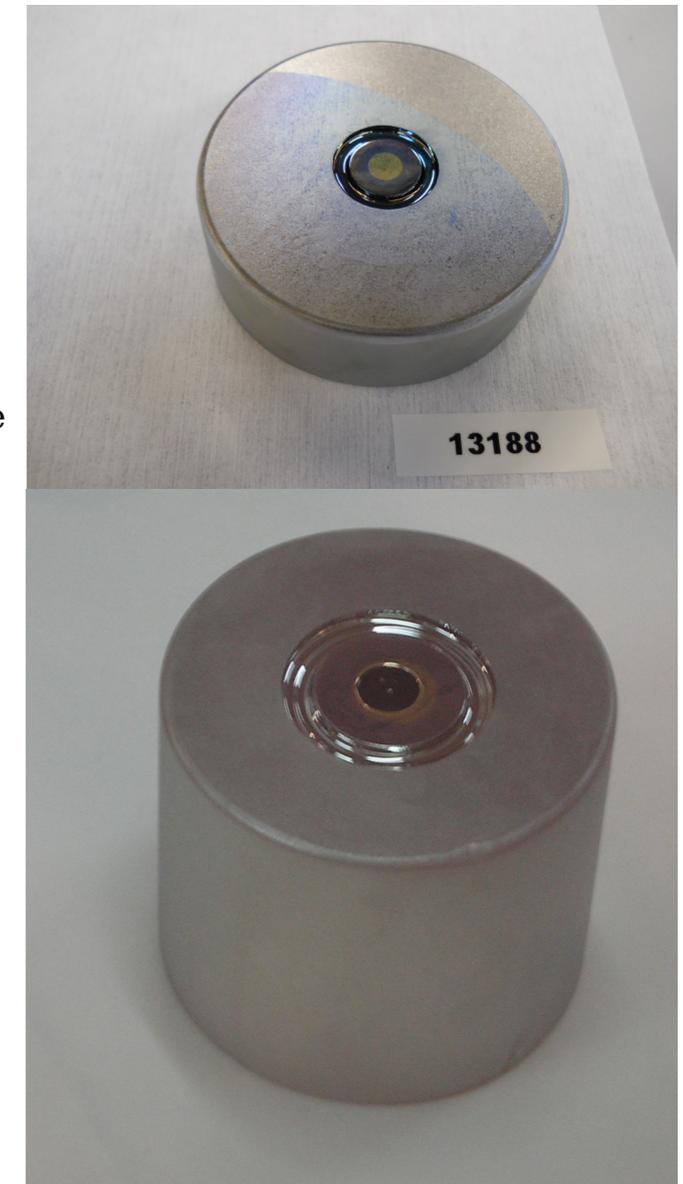
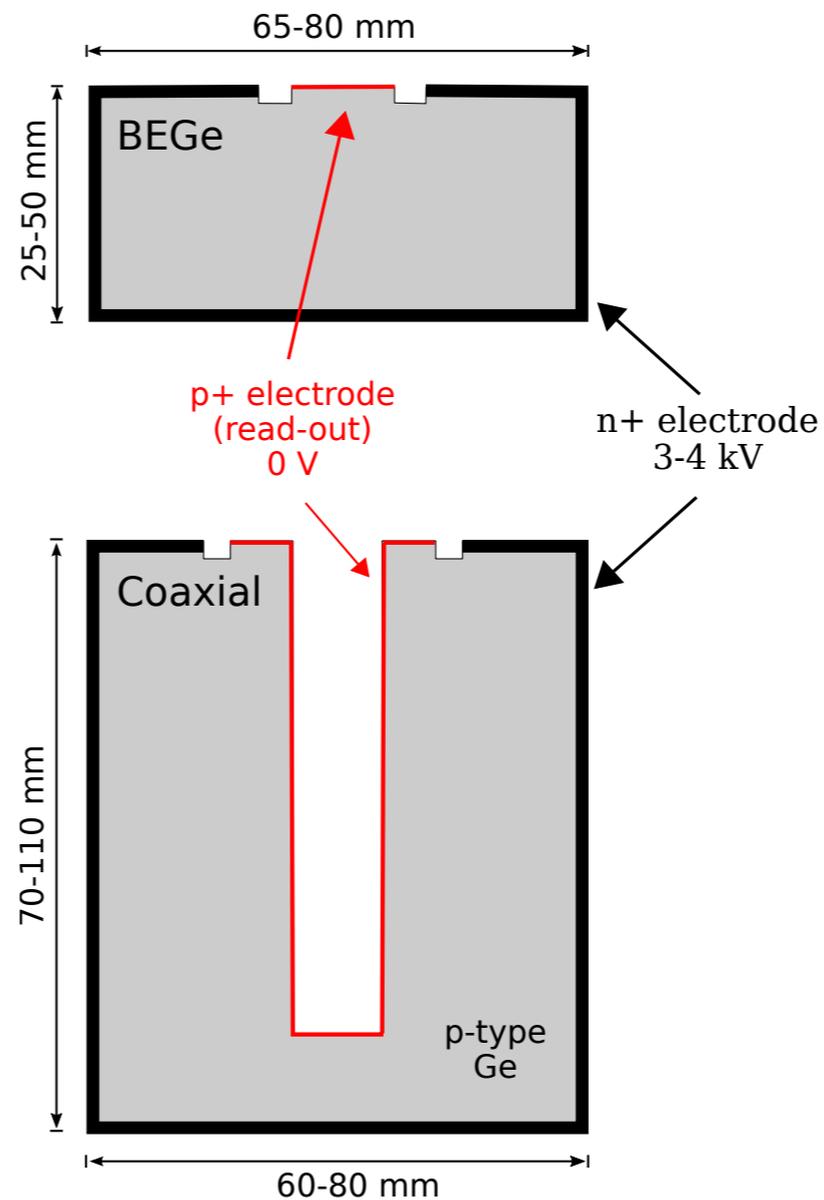
Mean and resolution corrected for E-dependance

A/E normalised to 1

Accept events around $(A/E - 1) / \sigma_{A/E} = 0$

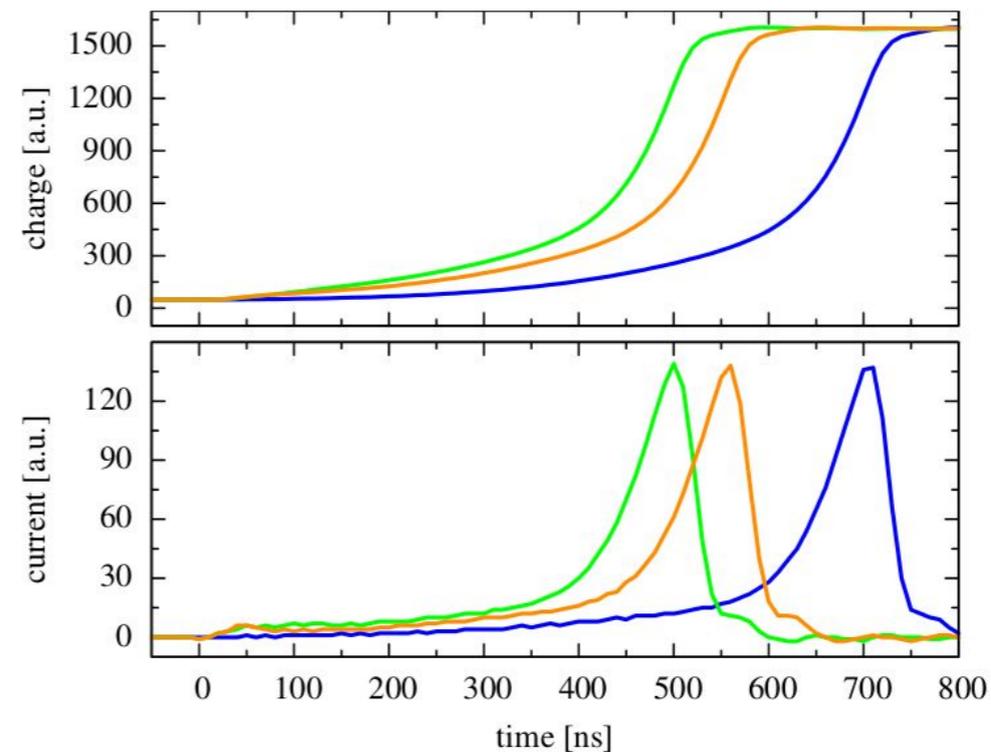
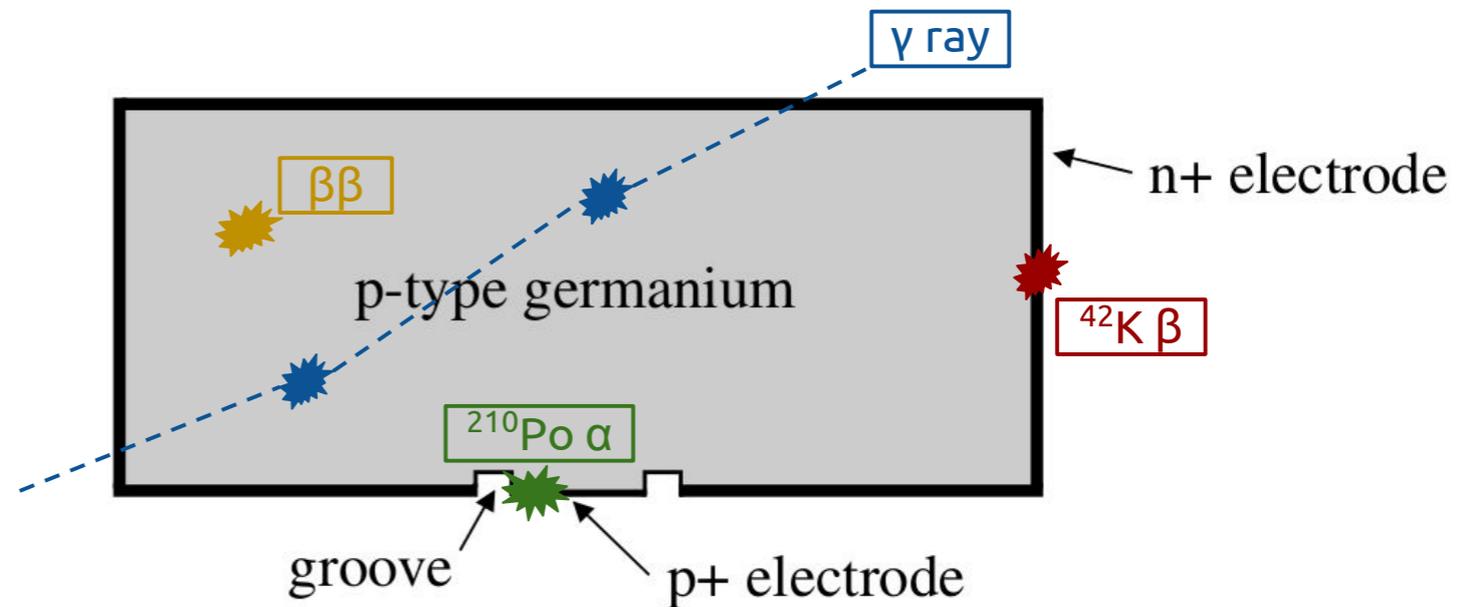
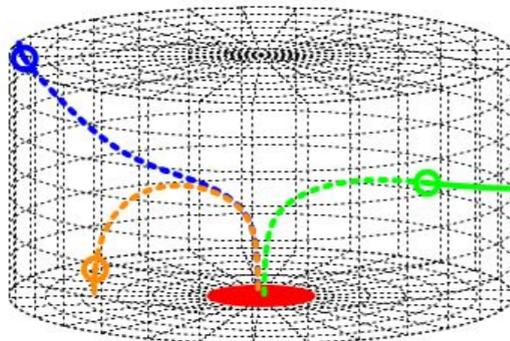
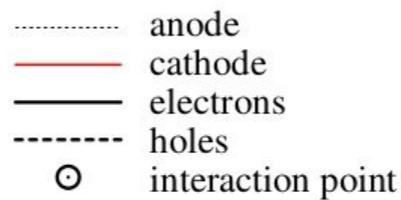
GERDA PHASE-II DETECTORS

- BEGe and coaxial
- p+ electrodes:
 - 0.3 μm boron implantation
- n+ electrodes:
 - 1-2 mm lithium layer (biased up to +4.5 kV)
- Low-mass detector holders (Si, Cu, PTFE)



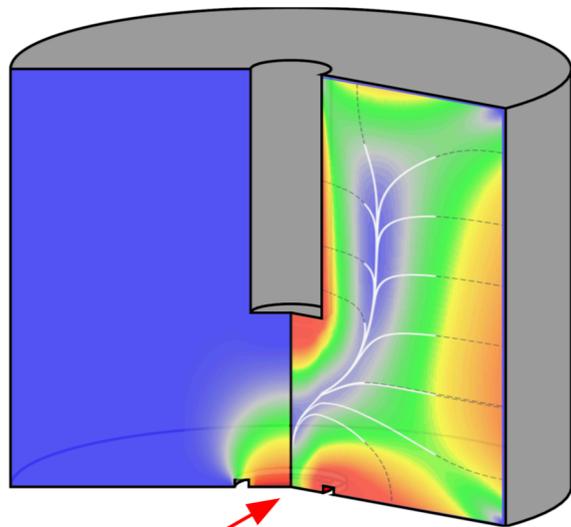
GERDA PULSE SHAPE DISCRIMINATION

- Signal-like: Single Site Events (SSE)
- Background-like: Multiple Site Events (MSE)
- BEGe detectors: E-field and weighting potential has special shape: pulse-height nearly independent of position



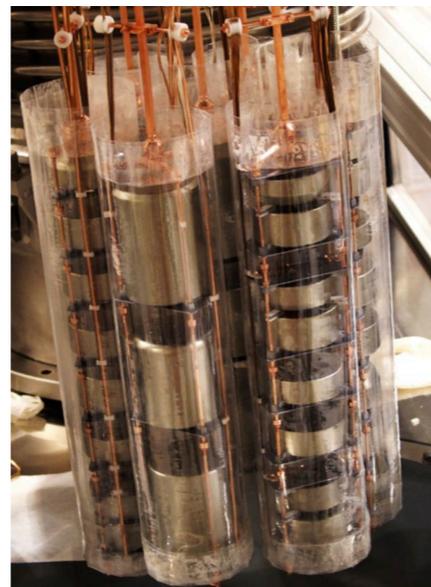
UPGRADE: INVERTED COAXIAL DETECTORS

- ▶ Large point-contact detectors with ~ 3 kg mass, excellent PSD performance
- ▶ First 5 enriched IC detectors installed in spring 2018; baseline for LEGEND

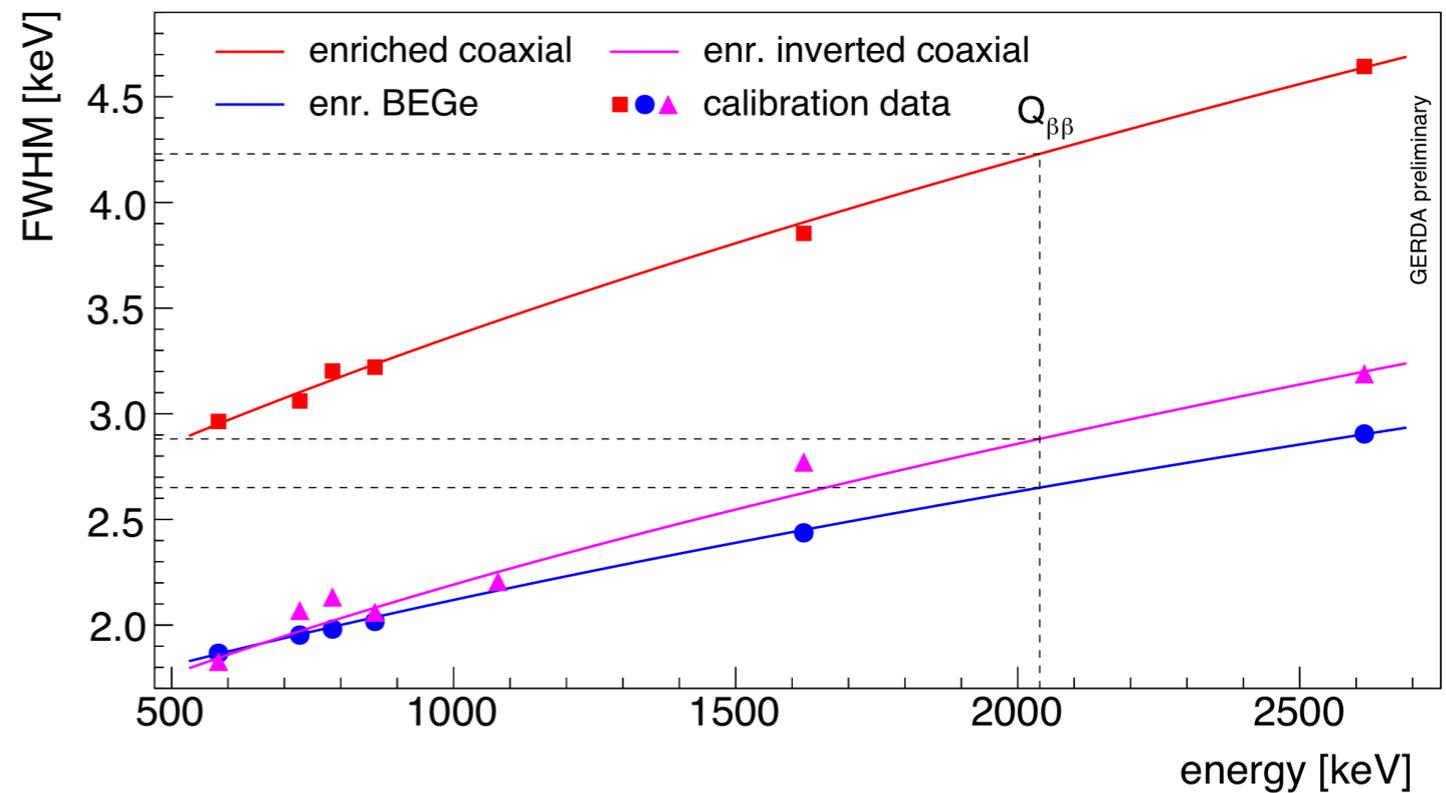


point contact

R.J Cooper et al.,
NIM A 665 (2011) 25



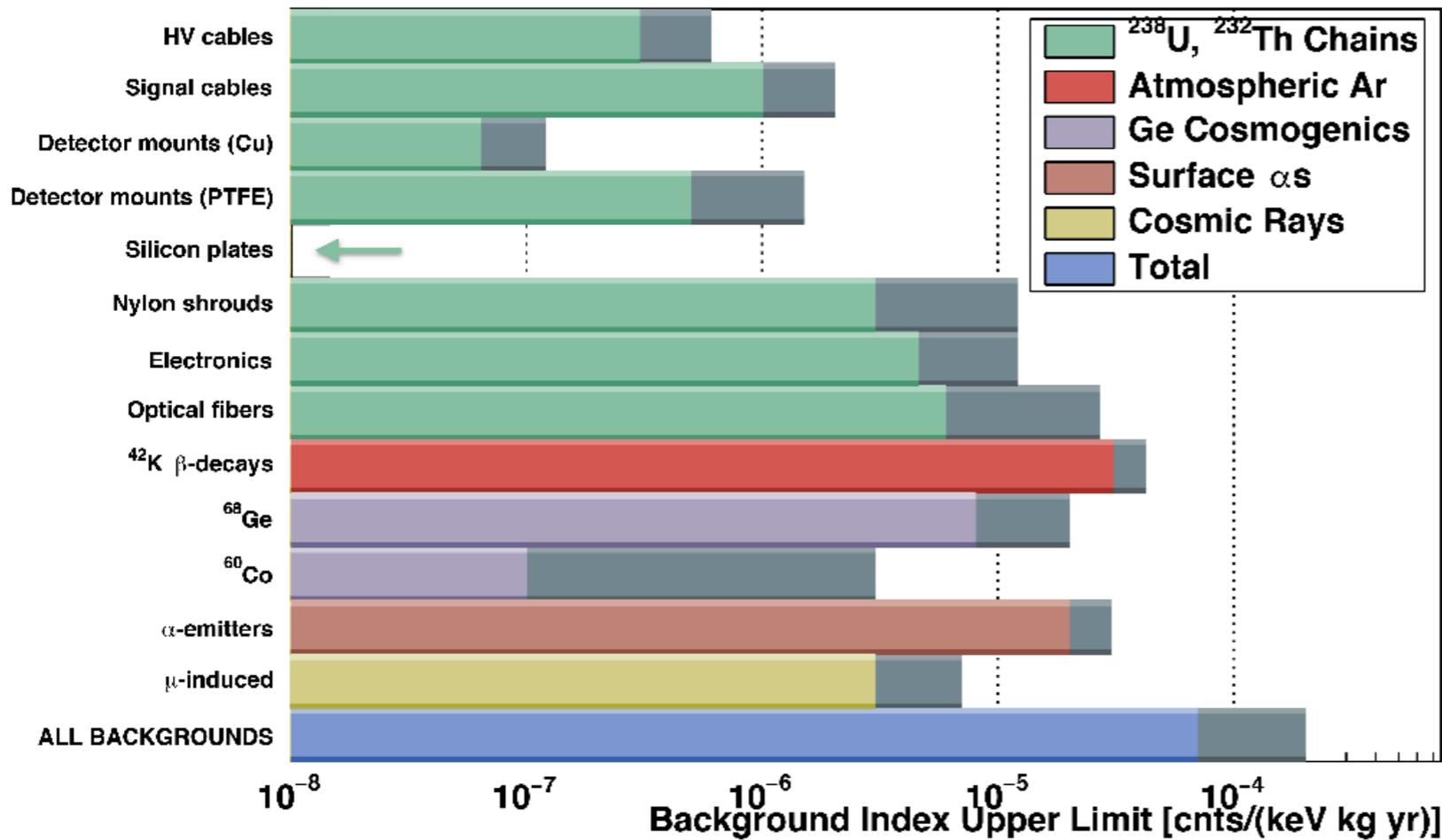
Detector mass
increase: 35.6 kg ->
44.2 kg



FWHM at $Q_{\beta\beta}$ [keV]: 4.2 ± 0.1 coax; 2.7 ± 0.1 BEGe; 2.9 ± 0.1 IC

GERDA preliminary

BACKGROUND EXPECTATION



Monte Carlo simulations based on experimental data and material assays. Background rate after anti-coin., PSD, LAr veto cuts.

Assay limits correspond to the 90% CL upper limit. Grey bands indicate uncertainties in overall background rejection efficiency

$$Q_{\beta\beta} \text{ BI} \leq (0.7-2.) \times 10^{-4} \text{ events}/(\text{keV kg yr}) = 0.2-0.5 \text{ events}/(\text{FWHM t yr})$$

ENERGY RESOLUTION

- ▶ Anti-correlation between light (S1) and charge (S2)
- ▶ Energy scale uses linear combination of S1 and S2
- ▶ Photon gain: g_1 (pe/photon), electron gain: g_2 (pe/electron)

$$E = (n_{ph} + n_e) \cdot W = \left(\frac{S_1}{g_1} + \frac{S_2}{g_2} \right) \cdot W$$

W-value = 13.7 eV

Example for XENON1T:

0.8% relative energy resolution (σ/E) around 2.5 MeV

$$\frac{S_2}{E} = \frac{g_2}{W} - \frac{g_2}{g_1} \frac{S_1}{E}$$

