Effective field theories and low-energy probes of new physics

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Lecture 1 (Thu, 08:30 - 10:00): EFT basics

- motivation
- EFT methods
- examples: Fermi theory / LEFT, SMEFT, χ PT, HEFT

Lecture 2 (Fri, 16:30 – 18:00): applications

- low-energy searches and hadronic effects
- CP violation and the neutron EDM
- lepton-flavor violation

Goals of the lecture

- understand basic concepts of EFTs
- get familiar with a few important examples
- understand use of EFTs in the search for new physics
- learn about interplay of different EFTs in phenomenological examples
- learn about theory challenges in low-energy searches

Lecture 2: applications

1 EFT Examples

- 2 Low-energy probes of new physics
- 3 Examples and challenges
- 4 Summary and conclusions

Overview

Lecture 2: applications

1 EFT Examples SMEFT (contd) χ PT HEFT

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Integrating out weak-scale SM particles

consider Higgs-exchange diagram:



 \mathcal{Y}^2 has terms of order $(m/v)^2$, mv/Λ^2 , v^4/Λ^4 \Rightarrow diagram \mathcal{Y}^2/m_h^2 is of same order as dimension-7 or 8 contributions in LEFT or dimension-8 in SMEFT

Integrating out weak-scale SM particles

• for SMEFT \Rightarrow LEFT matching: rewrite terms



 tree-level matching simple: fix Higgs field to vev and compute W/Z-exchange diagrams

One-loop matching

- \rightarrow Dekens, Stoffer, JHEP **10** (2019) 197
 - simplify matching calculation:

$$\mathsf{tree}_{\mathsf{LEFT}} + \mathsf{loop}_{\mathsf{LEFT}} \Big|_{\mu_W} = \mathsf{tree}_{\mathsf{SMEFT}} + \mathsf{loop}_{\mathsf{SMEFT}} \Big|_{\mu_W}$$

• expand loops in all low scales

$$\mathsf{tree}_{\mathsf{LEFT}} + \mathsf{loop}_{\mathsf{LEFT}}^{\mathsf{exp}} \Big|_{\mu_W} = \mathsf{tree}_{\mathsf{SMEFT}} + \mathsf{loop}_{\mathsf{SMEFT}}^{\mathsf{exp}} \Big|_{\mu_W}$$

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Strong interaction at low energies

running QCD coupling:

$$\alpha_s(\bar{\mu}) = \frac{\alpha_s(\bar{\mu}_0)}{1 + \frac{\alpha_s(\bar{\mu}_0)}{4\pi}\beta_0 \log\left(\frac{\bar{\mu}^2}{\bar{\mu}_0^2}\right)}$$

at high energies, $\bar{\mu} \rightarrow \infty$: asymptotic freedom at low energies: α_s diverges for $\bar{\mu} \rightarrow \Lambda_{\rm QCD}$, non-perturbative regime

• below $\sim 2 \dots 3$ GeV: perturbative QCD not applicable

Running of α_s



 \rightarrow P.A. Zyla et al. (PDG), Prog. Theor. Exp. Phys. (2020) 083C01

χPT

QCD at low energies

- observed degrees of freedom are color-neutral hadrons, not quarks and gluons
- low-energy spectrum contains an octet of pseudoscalars: $\pi^{\pm}, \pi^{0}, K^{\pm}, K^{0}, \bar{K}^{0}, n$



Chiral symmetry

- in the chiral limit m_{u,d,s} → 0, the three-flavor QCD Lagrangian has a U(3)_L × U(3)_R symmetry
- $U(1)_A$ broken by quantum anomaly $\Rightarrow SU(3)_L \times SU(3)_R \times U(1)_V$ chiral symmetry
- not observed in spectrum ⇒ spontaneous symmetry breaking to SU(3)_V × U(1)_V

Chiral symmetry

- Goldstone's theorem: massless spin-0 particle for each broken generator of a continuous symmetry
- CCWZ construction: Goldstone bosons transform non-linearly under chiral group (L, R) ∈ SU(3)_L × SU(3)_R:
 - \rightarrow Callan, Coleman, Wess, Zumino (1969)

$$\begin{aligned} U(x) &= \exp\left(i\frac{\pi(x)}{F_0}\right) \stackrel{\chi}{\longmapsto} RU(x)L^{\dagger} \\ \pi(x) &= \sum_{a=1}^{8} \pi^a \lambda^a = \begin{pmatrix} \pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}\pi^+ & \sqrt{2}K^+ \\ \sqrt{2}\pi^- & -\pi^0 + \frac{1}{\sqrt{3}}\eta & \sqrt{2}K^0 \\ \sqrt{2}K^- & \sqrt{2}\bar{K}^0 & -\frac{2}{\sqrt{3}}\eta \end{pmatrix} \end{aligned}$$







Chiral perturbation theory (χ PT)

- \rightarrow Weinberg (1968), Gasser, Leutwyler (1984/1985)
 - degrees of freedom: lightest hadrons as Goldstone bosons
 - symmetries: SU(3)_L × SU(3)_R (flavor) chiral symmetry, spontaneously broken to SU(3)_V, Lorentz symmetry, ...
 - power counting: expansion in $p/\Lambda_\chi \ll 1$, $M_{\rm GB}/\Lambda_\chi \ll 1$



Chiral perturbation theory (χ PT)

• leading-order chiral Lagrangian:

$$\mathcal{L}_{p^2} = \frac{F_0^2}{4} \langle (D_\mu U) (D^\mu U)^\dagger \rangle + \frac{F_0^2 B_0}{2} \langle M_q U^\dagger + U M_q^\dagger \rangle$$

- two "low-energy constants" (Wilson coefficients of χ PT):
 - *F*₀: pion decay constant
 - B_0 : scalar singlet condensate, $B_0 = -\frac{1}{3F_0^2} \langle 0|\bar{q}q|0\rangle_0$
- derivative expansion, power counting no longer in mass dimensions, intertwined with loop expansion: $\Lambda_{\chi} = 4\pi F_0$
- describes, e.g., $\pi\pi \to \pi\pi$, $\pi^+ \to \mu^+ \nu_{\mu}$, ...
- originally QCD as UV theory, but inclusion of photons and leptons and higher-dimension LEFT operators possible

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EFT Examples



Scalar sector of the SM

• usual linear representation:

$$\mathcal{L}_{\text{scalar}} = (\partial_{\mu}H)^{\dagger}(\partial^{\mu}H) - \lambda \left(H^{\dagger}H - \frac{1}{2}v^{2}\right)^{2}$$
$$H(x) = \frac{1}{\sqrt{2}} \begin{pmatrix} \phi_{2}(x) + i\phi_{1}(x) \\ h(x) + v - i\phi_{3}(x) \end{pmatrix}$$

- spontaneous symmetry breaking SO(4) → SO(3), or equivalently SU(2)_L × SU(2)_R → SU(2)_V, symmetry-breaking pattern identical to χPT
- make a field redefinition: L_{scalar} can be written in non-linear form:

$$\mathcal{L}_{\rm scalar} = \frac{1}{2} \partial_{\mu} \hat{h} \partial^{\mu} \hat{h} - \frac{1}{2} M_{H}^{2} \hat{h}^{2} + \frac{v^{2}}{4} \mathcal{F}(\hat{h}/v) \langle (\partial_{\mu}U)(\partial^{\mu}U)^{\dagger} \rangle - V(\hat{h}/v)$$



Scalar sector of the SM: extend to an EFT

- include higher-order operators: essentially χ PT with additional scalar singlet \hat{h} (Higgs boson)
- all sectors of the SM can be included in the theory
- EFT for physics beyond the SM, but more general than SMEFT: Higgs not restricted to be part of EW doublet



HEFT / EW χ L

- degrees of freedom: all SM particles, but Higgs generic scalar singlet
- symmetries: $SU(3)_c \times SU(2)_L \times U(1)_Y$ gauge invariance, spontaneously broken to $SU(3)_c \times U(1)_{em}$; Lorentz invariance;
- power counting: nonlinear realization leads to chiral loop expansion

HEFT

A tower of EFTs:



Two questions

discuss with your neighbor for 2 minutes:

Q1

In the LEFT, we encountered the dipole operator

 $\mathcal{O}_{e\gamma} = \bar{e}_L \sigma^{\mu\nu} e_R F_{\mu\nu}$. Why are there no dimension-5 dipole operators in the SMEFT?

Q2

What is the main difference between the (bosonic sector of) HEFT / EW χ L and χ PT?



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Direct and indirect searches Connecting different energy regimes

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Direct searches for new physics

- mainly LHC searches: try to directly produce new particles, search for bump in spectrum
- so far no evidence of new particles: SM works surprisingly well!
- absence of a discovery in contrast to widely shared expectations/hopes—crisis for particle physics?
- measurements are pushing the scale of new physics to higher energies (barring very weak coupling)
 - \Rightarrow EFT methods can be applied

Direct searches for new physics



Indirect searches for new physics

- what if LHC searches will not find new particles?
- indirect searches: do not produce new particles directly
- try to find their indirect quantum effects at lower energies
- LHC searches are becoming indirect "low-energy" searches, too!
- single indirect signal: presence of new physics
- identification of its nature will require a multitude of signals

Indirect searches for new physics



Indirect searches for new physics



What are good observables for indirect searches?

- if there is a (significant) SM contribution: need to control SM uncertainties, ideally to similar level as experimental precision
- easier: SM contribution strongly suppressed compared to potential new physics (loop suppression, CKM suppression, GIM, etc.)
- even better: process forbidden (or completely negligible) in the SM



Lecture 2: applications

1 EFT Examples

2 Low-energy probes of new physics Direct and indirect searches Connecting different energy regimes

3 Examples and challenges


Where should we best search for new physics?

- deficiencies of SM provide some guidance
- a priori: try to search **wherever possible**, considering criteria for promising observables
- EFTs provide a systematic and model-independent framework for connecting widely different energy regimes
- (motivated) UV models can give a guidance where interesting effects might occur
 ⇒ much stronger correlations between observables than in EFTs, but model dependent
- first take existing bounds systematically into account: match UV models to EFTs

Low-energy precision searches



Low-energy precision searches



Low-energy precision searches



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Summary and conclusions

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Hadronic matrix elements for neutron EDM searches Non-perturbative enhancement and lepton-flavor violation



Magnetic moments

• relation of spin and magnetic moment of a lepton:

$$\vec{\mu}_{\ell} = g_{\ell} \frac{e}{2m_{\ell}} \vec{s}$$

 g_ℓ : Landé factor, gyromagnetic ratio

- Dirac's prediction: $g_e = 2$
- anomalous magnetic moment: $a_{\ell} = (g_{\ell} 2)/2$
- helped to establish QED and QFT as the framework for elementary particle physics

Dipole moments in QFT

consider vertex function

$$\ell \stackrel{\gamma}{\checkmark} \ell = (-ie\mathbf{q}_e) \,\bar{u}(p') \Gamma^{\mu}(p,p') u(p) \,, \quad k = p' - p$$

Lorentz invariance gives form-factor decomposition

$$\Gamma^{\mu}(p,p') = \gamma^{\mu} F_{E}(k^{2}) + i \frac{\sigma^{\mu\nu} k_{\nu}}{2m_{\ell}} F_{M}(k^{2}) + \frac{\sigma^{\mu\nu} k_{\nu}}{2m_{\ell}} \gamma_{5} F_{D}(k^{2}) + \frac{k^{2} \gamma^{\mu} - k^{\mu} k}{m_{\ell}^{2}} \gamma_{5} F_{A}(k^{2})$$

Dipole moments in QFT

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anomalous magnetic moment: $a_{\ell} = \frac{1}{2}(g_{\ell} - 2) = F_M(0)$

Dipole moments in QFT

consider vertex function

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electric dipole moment:
$$d_{\ell} = -\frac{eq_e}{2m_{\ell}}F_D(0)$$

Electron vs. muon magnetic moments

• influence of heavier virtual particles of mass *M* scales in many cases as ("naive scaling")

$$\frac{\Delta a_\ell}{a_\ell} \propto \frac{m_\ell^2}{M^2}$$

- $(m_{\mu}/m_e)^2 \approx 4 \times 10^4 \Rightarrow$ muon is much more sensitive to new physics, but also to EW and hadronic contributions
- a_{τ} experimentally not yet known precisely enough

SM contributions to g-2

• QED contribution starts at 1 loop (Schwinger term)



SM electroweak contribution via the LEFT up to dim.6:



Hadronic contributions in the SM



- strong interaction at low energies: non-perturbative effects
- either simulate QCD on the lattice or use dispersion relations

Hadronic vacuum polarization (HVP) photon HVP function:

$$\cdots = i(q^2 g_{\mu\nu} - q_\mu q_\nu) \Pi(q^2)$$

unitarity of the S-matrix implies the optical theorem:

Im
$$\Pi(s) = \frac{s}{e(s)^2} \sigma(e^+e^- \to \text{hadrons})$$

Dispersion relation

causality implies analyticity:



3

HVP contribution to $(g-2)_{\mu}$

$$a_{\mu}^{\rm HVP} = \frac{m_{\mu}^2}{12\pi^3} \int_{s_{\rm thr}}^{\infty} ds \, \frac{\hat{K}(s)}{s} \, \sigma(e^+e^- \to {\rm hadrons})$$

- basic principles: unitarity and analyticity
- direct relation to data: total hadronic cross section $\sigma(e^+e^- \rightarrow \text{hadrons}) \Rightarrow R \text{ ratio}$
- dedicated e⁺e⁻ program (BaBar, Belle, BESIII, CMD3, KLOE, SND)

q-2 arise?

Discuss with your neighbor for 2 minutes:

Q1

How does the naive scaling of heavy-particle contributions to

$$\frac{\Delta a_\ell}{a_\ell} \propto \frac{m_\ell^2}{M^2}$$

In which cases do we get a different scaling?

Q2

Which SM diagrams correspond to which LEFT diagrams? Why does the Higgs-exchange diagram not contribute at

dimension 6 in the LEFT?

SM:
$$V$$
 V V H LEFT: V V H

SM (according to white paper) vs. experiment

→ T. Aoyama *et al.*, Phys. Rept. 887 (2020) 1-166

	$10^{11} \times a_{\mu}$	$10^{11} \times \Delta a_{\mu}$
QED total	116584718.931	0.104
EW	153.6	1.0
HVP	6845	40
HLbL	92	18
SM total	116591810	43
experiment (E821+E989)	116592061	41
difference exp-theory	251	59



• final white-paper number: data-driven evaluation

$$a_{\mu}^{\rm LO \; HVP, \; pheno} = 6\,931(40)\times 10^{-11}$$

• previous average of published lattice-QCD results

$$a_{\mu}^{\rm LO~HVP,~lattice~average}=7\,116(184)\times10^{-11}$$

• most precise lattice-QCD result → S. Borsanyi et al., Nature (2021)

$$a_{\mu}^{\text{LO HVP, lattice}} = 7\,075(55) \times 10^{-11}$$

Hadronic light-by-light scattering



dispersion relations + hadronic models (LO, without charm)

$$a_{\mu}^{\text{HLbL, pheno}} = 89(19) \times 10^{-11}$$

lattice-QCD results

$$\begin{split} a_{\mu}^{\text{HLbL, lattice}} &= 79(35) \times 10^{-11} \rightarrow \text{T. Blum et al., PRL 124} \text{ (2020) } 132002 \\ a_{\mu}^{\text{HLbL, lattice}} &= 106.8(15.9) \times 10^{-11} \rightarrow \text{E.-H. Chao et al., EPJC 81} \text{ (2021) } 651 \end{split}$$

Muon anomalous magnetic moment $(g-2)_{\mu}$ recent and future experimental progress:

- FNAL will improve precision further: factor of 4 wrt E821
- theory: clarify tensions and reduce uncertainty in hadronic contributions!



Photo: Glukicov (License: CC-BY-SA-4.0)

new physics interpretation?



muon g-2 discrepancy

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Photo: Glukicov (License: CC-BY-SA-4.0)

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new physics interpretation?



muon g-2 discrepancy

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CP violation: a case for new physics

- **baryon asymmetry** in the universe requires more *CP* violation than Standard Model (SM) can provide
- electric dipole moments (EDMs) are sensitive probes of *CP* violation
- SM (CKM) contribution many orders of magnitude below current limits
- non-observation leads to strong constraints on *CP*-violating sources
- observation would be a clear signal of physics beyond the SM or QCD θ-term

Experimental progress in near future

neutron EDM:

- SM prediction tiny
- current limit: $|d_n| < 1.8 \times 10^{-13} e \, {\rm fm}$

 \rightarrow nEDM Collaboration, PRL **124** (2020) 081803

 n2EDM experiment at PSI will improve sensitivity by two orders of magnitude



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Theory challenges

- non-observation: how to turn experimental bounds into best generic constraints on new physics?
- observation: how to disentangle different possible sources of *CP* violation?
- ⇒ work with generic, **model-independent** EFT framework
- ⇒ accuracy of theoretical description needs to match experimental precision
- ⇒ control uncertainties, in particular non-perturbative aspects

- calculate matrix element in LEFT at a renormalization scale of $\mu \sim 2 \dots 3 \, {\rm GeV}$
- contribution schematically given as

$$d_N \sim \underbrace{\prod_{i=1}^{n} \sum_{j=1}^{n} \sum_{i=1}^{n} L_i \left\langle N | \mathcal{O}_i | N \gamma \right\rangle}_{i \in \mathcal{O}_i} = \sum_{i=1}^{n} L_i \left\langle N | \mathcal{O}_i | N \gamma \right\rangle$$

- calculate matrix element in LEFT at a renormalization scale of $\mu \sim 2 \dots 3 \, {\rm GeV}$
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$$d_N \sim \underbrace{\sum_{i=1}^{n} L_i \left\langle N | \mathcal{O}_i | N \gamma \right\rangle}_{\text{hadronic matrix element}}$$

- calculate matrix element in LEFT at a renormalization scale of $\mu \sim 2 \dots 3 \, {\rm GeV}$
- contribution schematically given as

$$d_N \sim \underbrace{ \sum_{i=1}^{n} \sum_{j=1}^{n} \sum_{i=1}^{n} L_i \left< N | \mathcal{O}_i | N \gamma \right> }_i$$
 hadronic matrix element

 at present, large uncertainties on matrix elements dilute experimental sensitivity

- hadronic EDMs (nEDM) complicated: QCD is non-perturbative
- any *P*-odd, *CP*-odd flavor-conserving operator contributes non-perturbatively to nEDM:
 - QCD θ-term
 - dimension-five quark (C)EDM operators
 - dimension-six three-gluon operator
 - dimension-six P/CP-odd four-fermion operators

$$\begin{split} d_N &= - (1.5 \pm 0.7) \times 10^{-3} \,\bar{\theta} \; e \; \mathrm{fm} \\ &- (0.20 \pm 0.01) d_u + (0.78 \pm 0.03) d_d + (0.0027 \pm 0.016) d_s \\ &- (0.55 \pm 0.28) e \,\tilde{d}_u - (1.1 \pm 0.55) e \,\tilde{d}_d + (??) e \,\tilde{d}_s \\ &+ (50 \pm 40) \mathrm{MeV} \; e \; \tilde{d}_G + (??) \; \mathsf{four-quark} \end{split}$$

- \rightarrow Alarcon et al., arXiv:2203.08103
 - ideally use lattice QCD to compute matrix elements
 - problem with lattice and LEFT: $d_N \sim \sum_i L_i(\mu) \langle N | \mathcal{O}_i^{\overline{\text{MS}}} | N \gamma \rangle$ $\overline{\text{MS}}$ cannot be implemented on the lattice!
 - requires a matching calculation

energy



Matching to lattice QCD

- $\overline{\mathrm{MS}}$: subtraction of $1/\varepsilon$ poles in dimensional regularization
- define renormalized operators in a scheme amenable to lattice computations
- compute their matrix elements in lattice QCD
- calculate relation between $\overline{\rm MS}$ and lattice scheme in perturbation theory (at $\mu \sim 2 \dots 3 \, {\rm GeV}$)
- use this matching to derive matrix elements of MS operators
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- forbidden in the SM
- impressive constraint from MEG (PSI) → MEG Collaboration, EPJ C76 (2016) 8, 434

$$BR(\mu \to e\gamma) < 4.2 \times 10^{-13}$$

- puts limits on beyond SM physics equivalent to many hundreds of TeV
- induced in the LEFT at tree level by dimension-five dipole operators

$$\mathcal{O}_{\substack{e\gamma\\e\mu}} = \bar{e}_L \sigma^{\mu\nu} \mu_R F_{\mu\nu} , \quad \mathcal{O}_{\substack{e\gamma\\\mu e}} = \bar{\mu}_L \sigma^{\mu\nu} e_R F_{\mu\nu} , \quad \text{h.c.}$$

- \rightarrow Dekens, Jenkins, Manohar, Stoffer, JHEP **01** (2019) 088
 - hadronic effects can show up in purely leptonic process
 - LF violation due to many operators, e.g.

$$\mathcal{O}_{eq}^{S,RR} = (\bar{e}_{Lp} e_{Rr})(\bar{q}_{Ls} q_{Rt})$$
$$\mathcal{O}_{eq}^{V,LL} = (\bar{e}_{Lp} \gamma^{\mu} e_{Lr})(\bar{q}_{Ls} \gamma_{\mu} q_{Lt})$$
$$\mathcal{O}_{eq}^{T,RR} = (\bar{e}_{Lp} \sigma^{\mu\nu} e_{Rr})(\bar{q}_{Ls} \sigma_{\mu\nu} q_{Rt})$$

energy



energy





perturbative mixing of



suppression by light-quark mass



- at lowest order in α_{QED} : $\langle \gamma(p,\epsilon) | S | 0 \rangle$ and $\langle \gamma(p,\epsilon) | V^{\mu} | 0 \rangle$ vanish due to Lorentz and gauge invariance
- semileptonic tensor operators contribute to $\mu \rightarrow e\gamma$:



 non-perturbative effects not suppressed by light quark masses

Matching to $\chi \mathrm{PT}$

 matching of semileptonic operators to χPT is standard: external scalar, vector, and tensor sources

→ Gasser, Leutwyler (1984), Catà, Mateu (2007)

• at
$$\mathcal{O}(p^4)$$
:
 $\bar{q}_L \sigma^{\mu\nu} t_{\mu\nu} q_R \to \Lambda_1 \langle t_{\mu\nu} (UF_L^{\mu\nu} + F_R^{\mu\nu} U) \rangle + i\Lambda_2 \langle t^{\mu\nu} D_\mu U U^{\dagger} D_\nu U \rangle$

• no external Goldstone bosons:

 $(\bar{\mu}_L \sigma^{\mu\nu} e_R)(\bar{q}_L \sigma_{\mu\nu} q_R) \to -2Q_q e \Lambda_1 (\bar{\mu}_L \sigma^{\mu\nu} e_R) F_{\mu\nu}, \quad q=u, d, s$

• $\Lambda_{1,2}$: low-energy constants for χ PT with tensor sources. NDA: $\Lambda_1 = c_T \frac{F_{\pi}}{4\pi}$ with $c_T = O(1)$

- constraints on SMEFT operators at the weak scale through matching SMEFT ⇒ LEFT
- two competing effects:
 - perturbative RGE mixing of tensor operators into dipoles when running from $\mu = M_W$ to $\mu = 2 \text{ GeV}$
 - non-perturbative matching effect proportional to c_T
- $\mu \rightarrow e\gamma$ gives **best limit** for strange-quark operator at the electroweak scale:

$$(c_T - 3.1)L_{ed}^{T,RR} < 2.8 \times 10^{-5} \,\text{TeV}^{-2}$$

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Important EFT concepts

- EFT core principles
- Lagrangian construction
- renormalization and matching
- RG improvement

Discussed examples of EFTs

- LEFT, SMEFT, χ PT, HEFT
- EFTs ideal framework to connect different energy regimes and combine different constraints

Low-energy searches

- low-energy precision searches: need to control SM prediction
- process ideally strongly suppressed or forbidden in SM
- non-perturbative effects at low energies:
 - often dominate SM uncertainty (e.g., g 2)
 - hadronic matrix elements of EFT operators required to extract information about EFT coefficients (e.g., nEDM)
 - showing up also as virtual effects in beyond SM contributions (e.g., $\mu \rightarrow e\gamma$)
- indirect searches may reach scales much above directly accessible energies



