

Search for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ at NA62

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CERN and INFN - Sezione di Pisa
on behalf of the NA62 Collaboration

Birmingham, Bratislava, Bristol, Bucharest, CERN, Dubna (JINR), Fairfax, Ferrara,
Florence, Frascati, Glasgow, Liverpool, Louvain-la-Neuve, Mainz, Merced, Moscow
(INR), Naples, Perugia, Pisa, Prague, Protvino (IHEP), Rome I, Rome II, San Luis Potosi,
Sofia, TRIUMF, Turin, Vancouver (UBC)
~200 participants

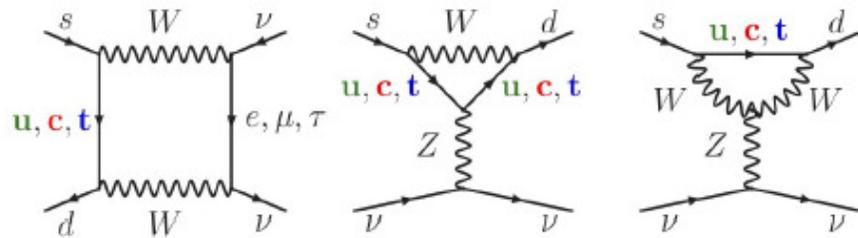
Physics of fundamental Symmetries and Interactions - PSI2016

October 16th-20th, 2016

Outline

- $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ theory (short reminder)
- NA62 detector layout
- Strategy for the measurement
- Other channels to be studied
- Prospects for the future
- Conclusions

$K^+ \rightarrow \pi^+ \nu \bar{\nu}$: clean theoretical environment



FCNC loop processes:
 $s \rightarrow d$ coupling
 Highest CKM suppression

Very clean theoretically
 No hadronic uncertainties
 Hadronic matrix element related to the
 precisely measured BR ($K^+ \rightarrow \pi^0 e^+ \nu$)

SM predictions [Buras et al. JHEP 1511 (2015) 33]

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (8.39 \pm 0.30) \cdot 10^{-11} \cdot \left(\frac{V_{cb}}{0.0407} \right)^{2.8} \cdot \left(\frac{\gamma}{73.2^\circ} \right)^{0.74} = (8.4 \pm 1.0) \cdot 10^{-11}$$

$$BR(K^0 \rightarrow \pi^0 \nu \bar{\nu}) = (3.36 \pm 0.05) \cdot 10^{-11} \cdot \left(\frac{V_{ub}}{0.00388} \right)^2 \cdot \left(\frac{V_{cb}}{0.0407} \right)^2 \cdot \left(\frac{\sin \gamma}{\sin 73.2^\circ} \right)^{0.74} = (3.4 \pm 0.6) \cdot 10^{-11}$$

$K \rightarrow \pi \nu \nu$ are the most sensitive probes to NP models among B and K decays

The combined measurement of K^+ and K_L modes could shed light on the flavour structure of NP ($\Delta S=2$ / $\Delta S=1$ correlation)

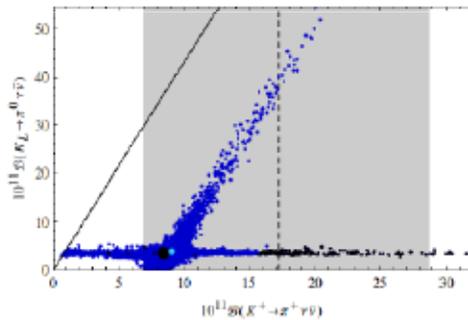
$K \rightarrow \pi \nu \bar{\nu}$ NP sensitivity

Simplified Z, Z' models

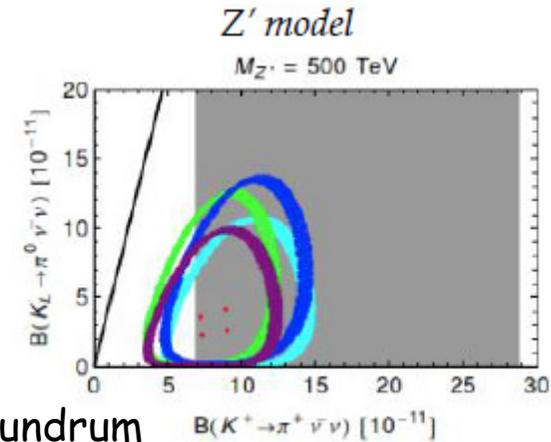
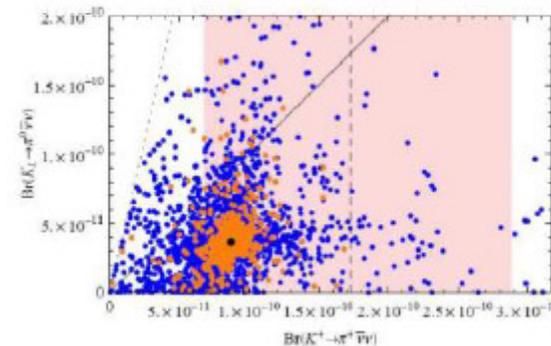
A. J. Buras, D. Buttazzo, R. Knegiens, JHEP 1511 (2015) 166

More specific NP models

Littlest Higgs with T-parity,
M. Blanke, A.J. Buras, S. Recksiegel,
EPJ C76 (2016) 182



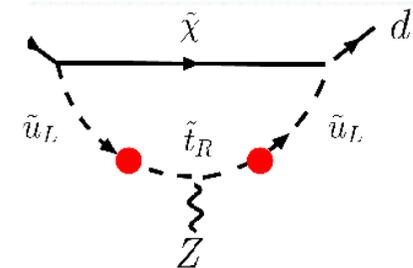
Custodial Randall-Sundrum
JHEP 0903 (2009) 108



- Started to be probed at LHC, small effects in B physics.

Best probe of MSSM non-MFV [JHEP 0608 (2006) 064]

- E.g. non-MFV in up-squarks trilinear terms
- Still not excluded by the recent LHCb data.



Today status of $K \rightarrow \pi \nu \bar{\nu}$

E787/E949 @Brookhaven: 7 candidates $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
 2 experiments, stopped kaon technique

Separated K^+ beam (710 MeV/c, 1.6 MHz)
 PID: range (entire $\pi^+ \rightarrow \mu^+ \rightarrow e^+$ decay chain)
 Hermetic photon veto system

Probability of all events to be background $\sim 10^{-3}$
 Expected background: 2.6 events

$$BR(K^+ \rightarrow \pi^+ \nu \bar{\nu}) = (1.73_{-1.05}^{+1.15}) \times 10^{-10}$$

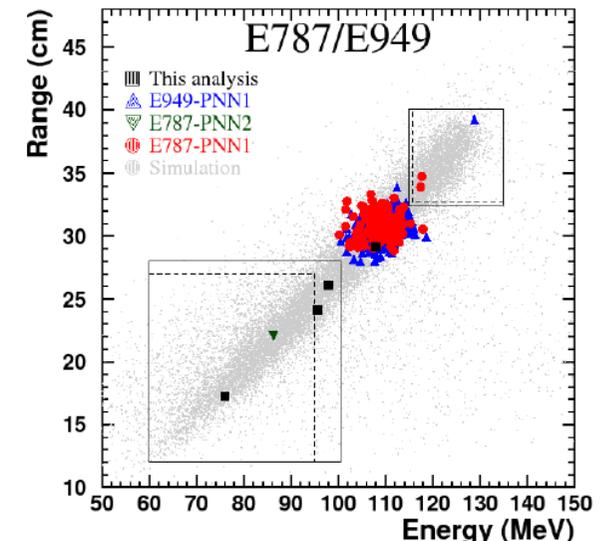
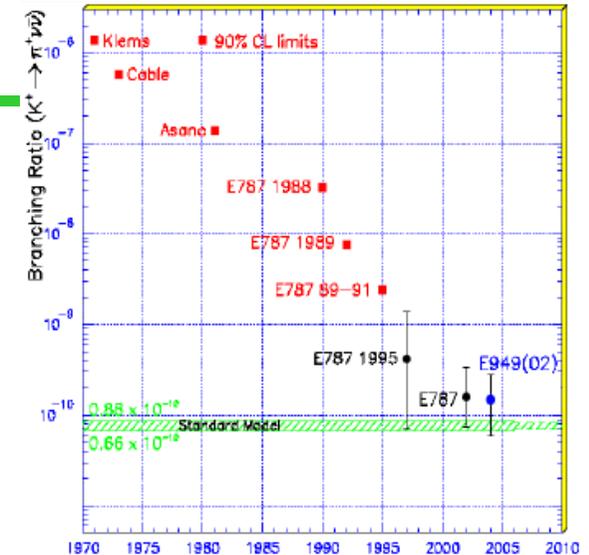
Phys. Rev. D77,052003 (2008), Phys. Rev. D79,092004 (2009)

E391a @ KEK: Phys. Rev. D81,072004 (2010)

$$BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 2.6 \times 10^{-8} \text{ (90\% CL)}$$

Preliminary from 2015 run of KOTO@JPARC:
[arXiv 1609.03637](https://arxiv.org/abs/1609.03637)

$$BR(K_L \rightarrow \pi^0 \nu \bar{\nu}) < 5.1 \times 10^{-8} \text{ (90\% CL)}$$



NA62 goals and challenges

- Collection of $O(100)$ $K^+ \rightarrow \pi^+ \nu \bar{\nu}$ events in two years of data taking
 - 10 % measurement of the branching ratio
 - This requires at least 10^{13} Kaon decays
 - In-flight decay technique
 - 75 GeV beam helps in background rejection
 - Event selection with $P_{\pi} < 35$ GeV/c
 - i.e. $K_{\pi 2}$ decays have around more than ~ 40 GeV of electromagnetic energy
 - $O(10^{12})$ rejection factor of common K decays
 - Main contribution from $K_{\mu 2}$ (63%) and $K_{\pi 2}$ (21%)
 - Kinematics resolution
 - Efficient veto detectors
 - Particle ID
 - Precise timing
 - Expected acceptance $O(10\%)$
-

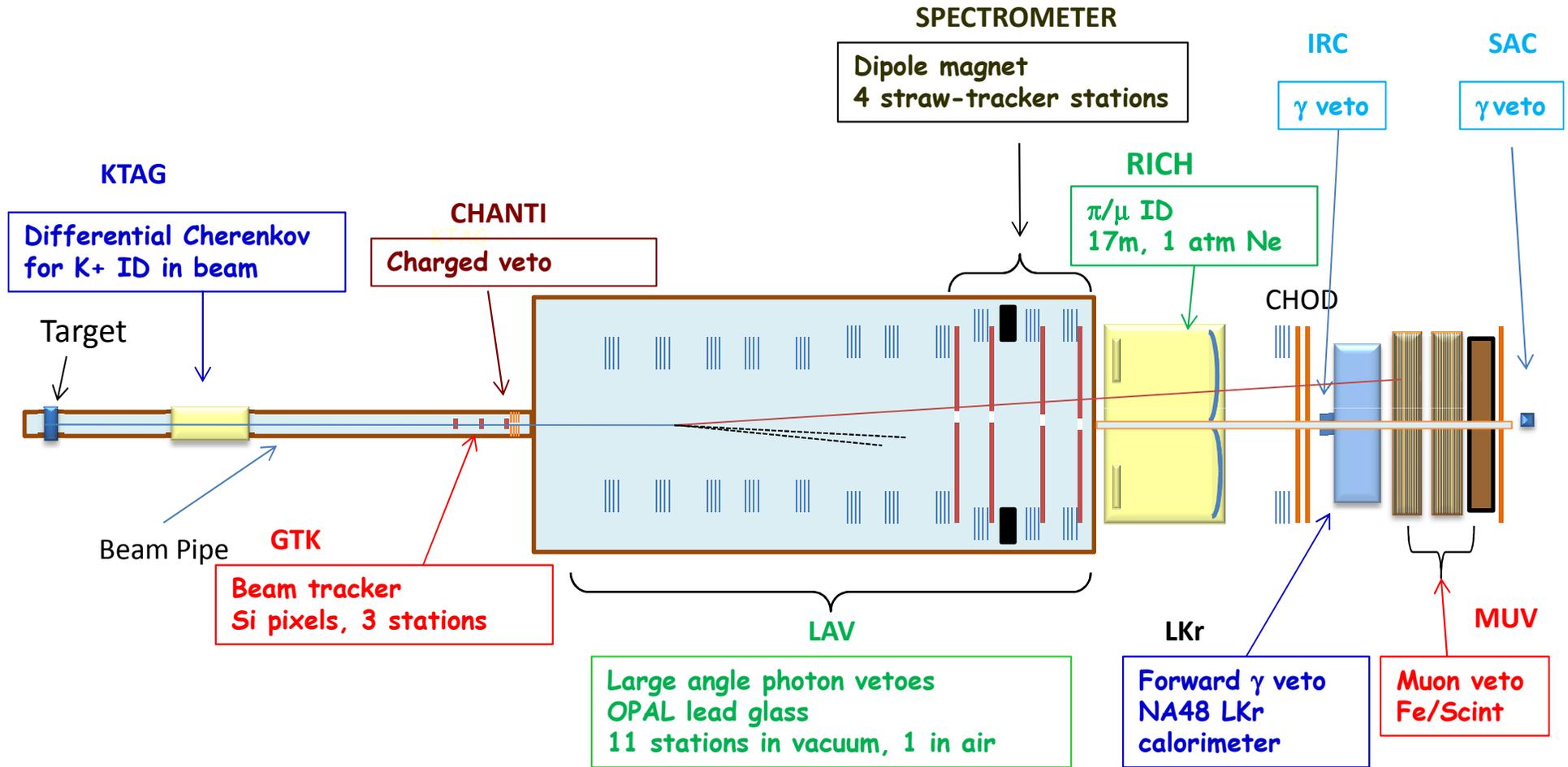
NA62 high-intensity kaon beam



- SPS primary proton beam @ 400 GeV/c
- Protons on target: 3×10^{12} / pulse
- Duty cycle ~ 0.3
- Simultaneous delivery to LHC
- Secondary charged beam 75 GeV/c
 - To optimize kaon production
- Momentum bite 1%
- X,Y divergence $< 100 \mu\text{rad}$

- Size @ beam tracker: $6.0 \times 2.7 \text{ cm}^2$
- Rate @ beam tracker: 750 MHz
- 6% K^+ (others: 70% π^+ , 24% proton)
- Rate downstream 10 MHz (mainly K^+ decay)
- K decay rates: 4.5×10^{12} /year
 - In a 60 m decay volume
 - 10^{-6} mbar vacuum

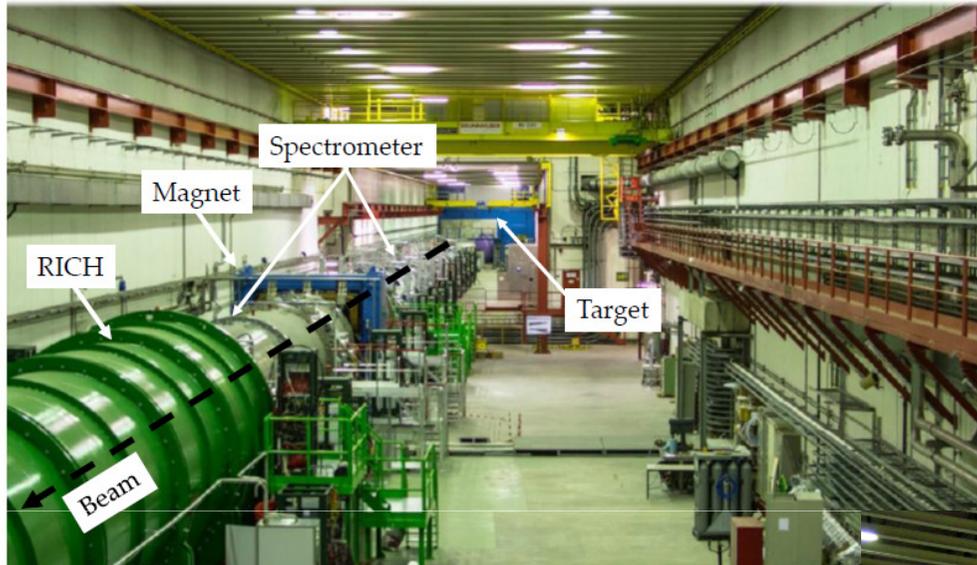
The NA62 detector



← Beam detectors →

Decay products detectors →

The NA62 detector



Where we are now

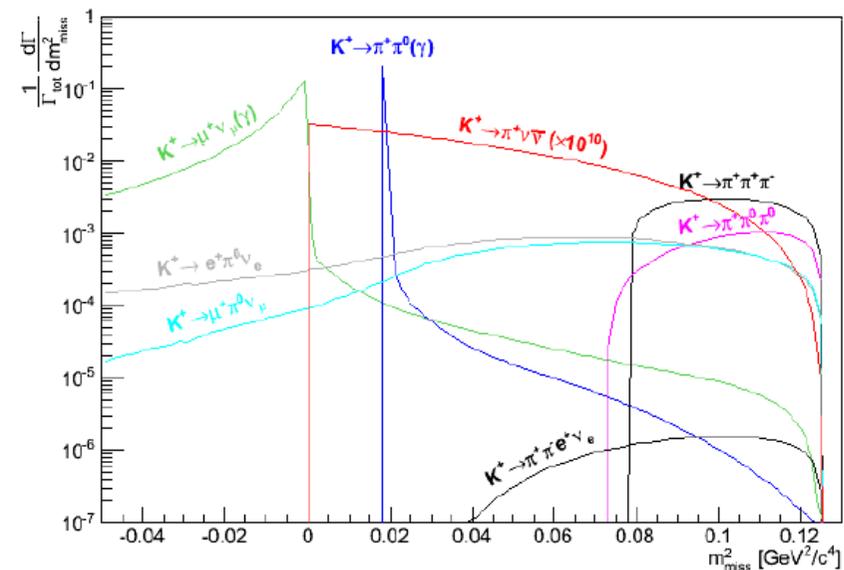
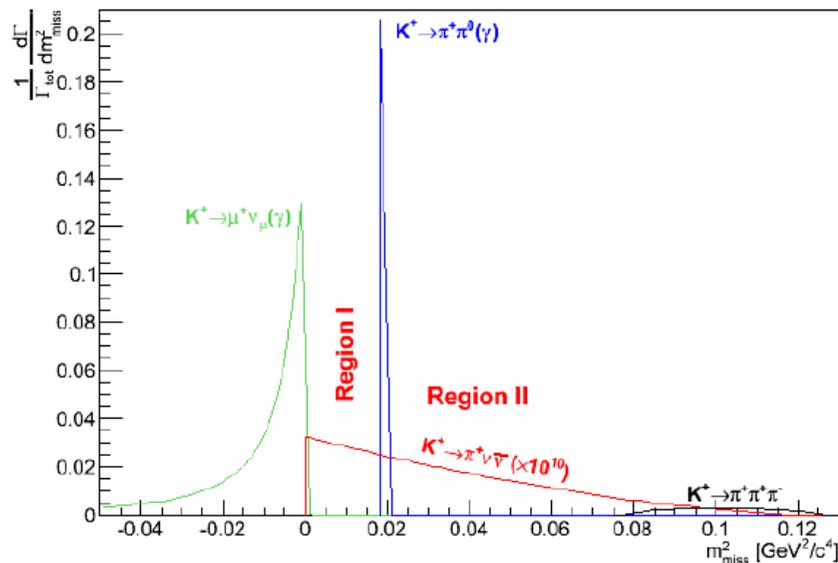
- Beam line, detectors, trigger and DAQ commissioned
- Data taking
 - 2014: detector commissioning
 - 2015: trigger commissioning, beam line commissioning up to the nominal intensity, detector performance studies
 - 2016: high level software trigger commissioning, full commissioning of the beam tracker, physics data taking on-going
- Data samples
 - 2015: Low intensity, minimum bias trigger for detector performance studies
 - 2016: $\pi^+ \nu_{\bar{\nu}}$ and not $\pi^+ \nu_{\bar{\nu}}$ (exotics) data up to 40% intensity

Guiding principles for the detectors

- **Good tracking devices**
 - Accurate measurement of the kaon momentum
 - Accurate measurement of the pion momentum
 - Missing mass cut: $O(10^5)$ rejection factor on $K_{\mu 2}$, $O(10^4)$ on $K_{\pi 2}$
- **Veto detectors**
 - For photons to reduce the background by a factor of 10^8
 - For muons add a rejection factor of $O(10^5)$
- **Particle identification**
 - Identify kaons in the beam
 - Identify positrons
 - Additional π/μ rejection [$O(10^2)$]
- **Precise sub-ns timing**
 - To associate in time the kaon with the decay products
 - To reduce random veto

The measurement

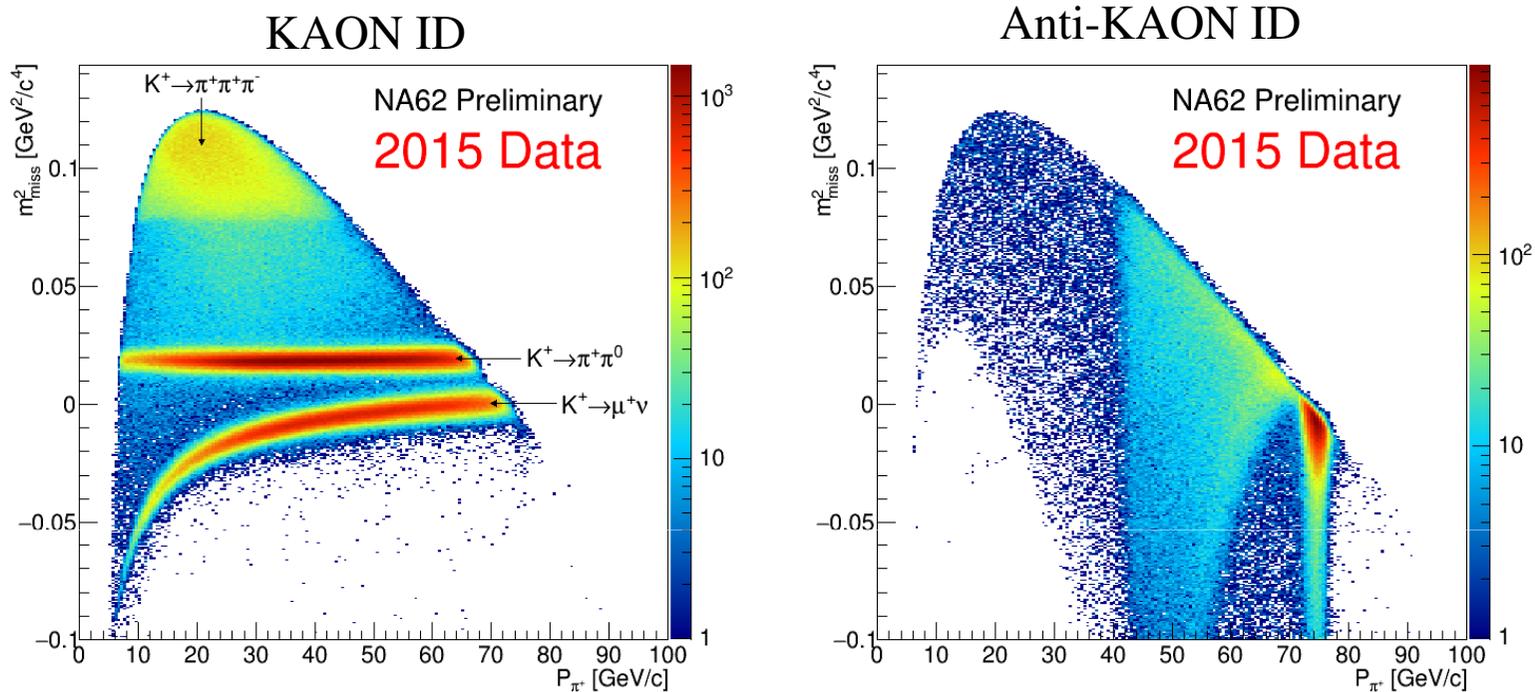
- Signature: one incident kaon, 1 charged output track
- Missing mass distributions: $m_{\text{miss}}^2 = (P_K - P_{\text{track(hyp } \pi^+)})^2$
- Define two regions in m_{miss}^2 to accept candidate events
- 65 m long decay fiducial region, $15 < P_{\pi} < 35 \text{ GeV}/c$
- Backgrounds
 - K+ decay modes
 - Accidental beam activity



NA62 Sensitivity & background rejection

Decay	event/year
$K^+ \rightarrow \pi^+ \nu \nu$ [SM] (flux 4.5×10^{12})	45
$K^+ \rightarrow \pi^+ \pi^0$	5
$K^+ \rightarrow \mu^+ \nu$	1
$K^+ \rightarrow \pi^+ \pi^+ \pi^-$	< 1
Other 3 tracks decays	< 1
$K^+ \rightarrow \pi^+ \pi^0 \gamma$ (IB)	1.5
$K^+ \rightarrow \mu^+ \nu \gamma$ (IB)	0.5
$K^+ \rightarrow \pi^0 e^+ (\mu^+) \nu$, others	< 1
Total background	< 10

Kaon identification



Use one-track selection:

Single track downstream, with matching energy in the calorimeters and matching a beam track

Kaon ID:

Signal in the Kaon ID matching a beam track
Decay vertex in the 65 m fiducial region

Time resolution close to design:

Kaon ID < 100 ps

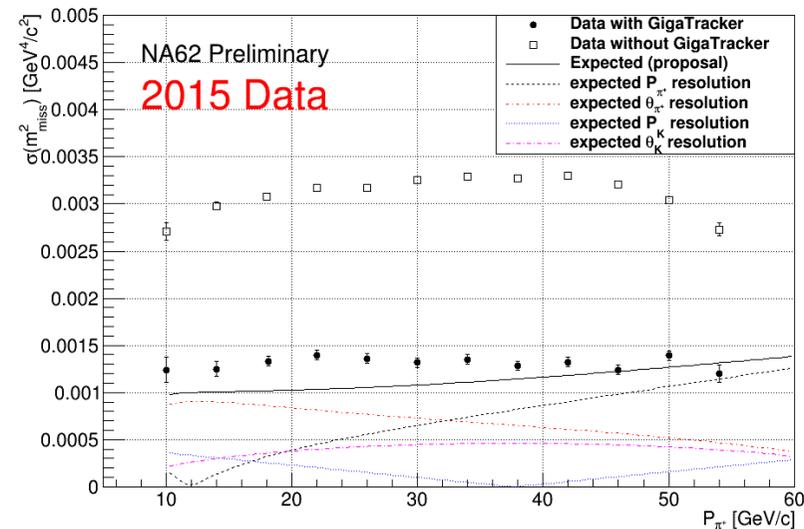
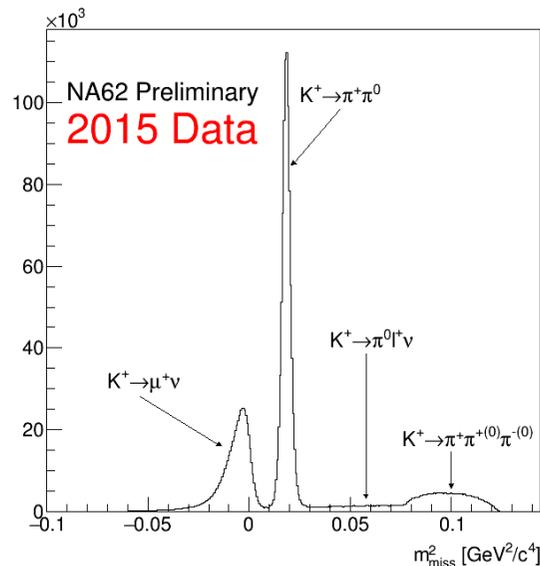
Beam tracking < 200 ps

Decay track < 200 ps

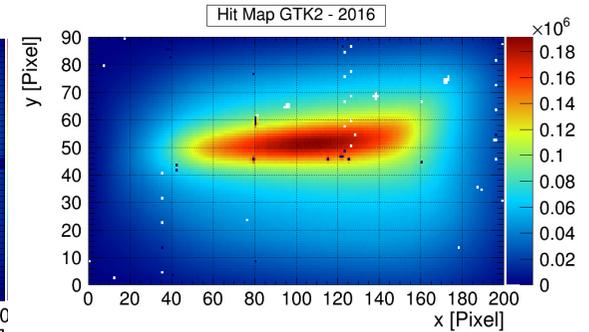
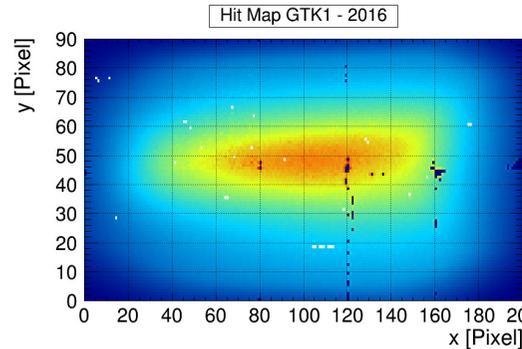
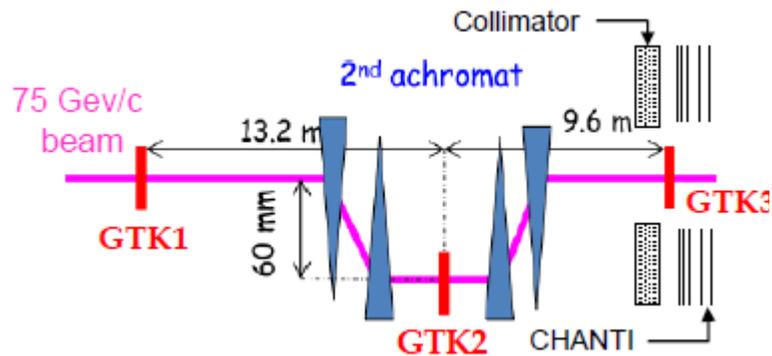
Calorimeters < 2 ns

Kinematics

- Tracking uses Si pixels (GigaTracker) for the beam, straw tubes in vacuum + magnet for decay products
- $P_\pi < 35 \text{ GeV}/c$ for optimal $K_{\mu 2}$ rejection
- Resolution and kinematic suppression factor measured using $K^+ \rightarrow \pi^+ \pi^0$ selected with the LKr calorimeter
- Resolution close to the design, measured suppression factor $O(10^3)$, prospect to reach the design figure with 2016 data



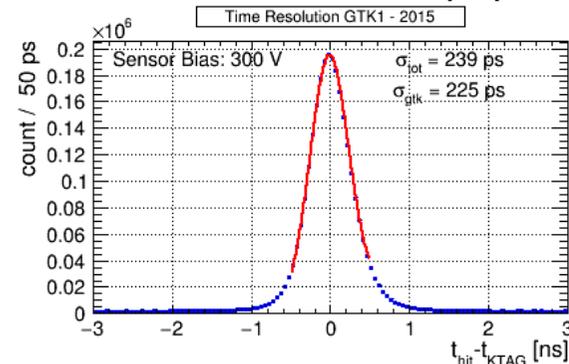
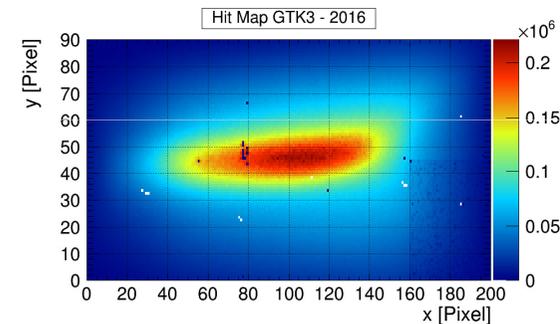
Beam tracker (Gigatracker)



3 Si pixel station on the beam, each 0.5% X_0
300 x 300 μm^2 pixels, ~54000 pixels

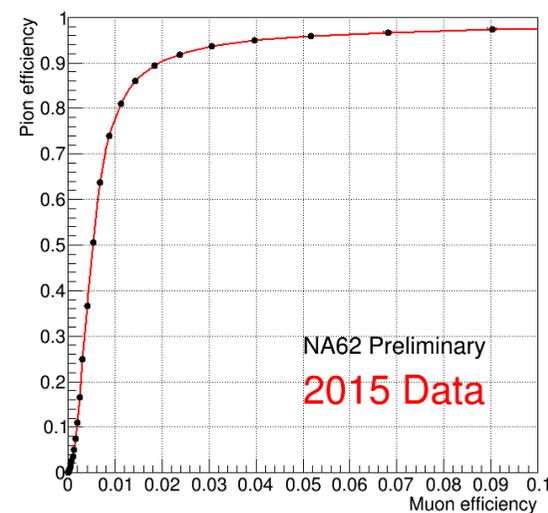
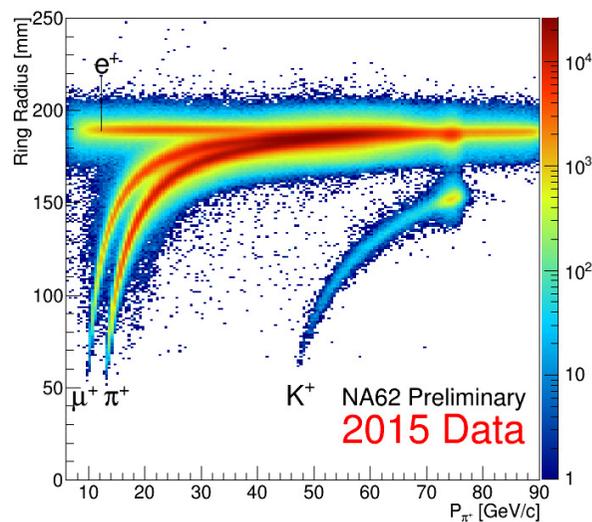
TDC readout chip on-sensor
Cooling using microchannel technique
Completely commissioned in 2016

Measured performance in line with the
design: $\sigma(t_{\text{beam track}}) < 200 \text{ ps}$

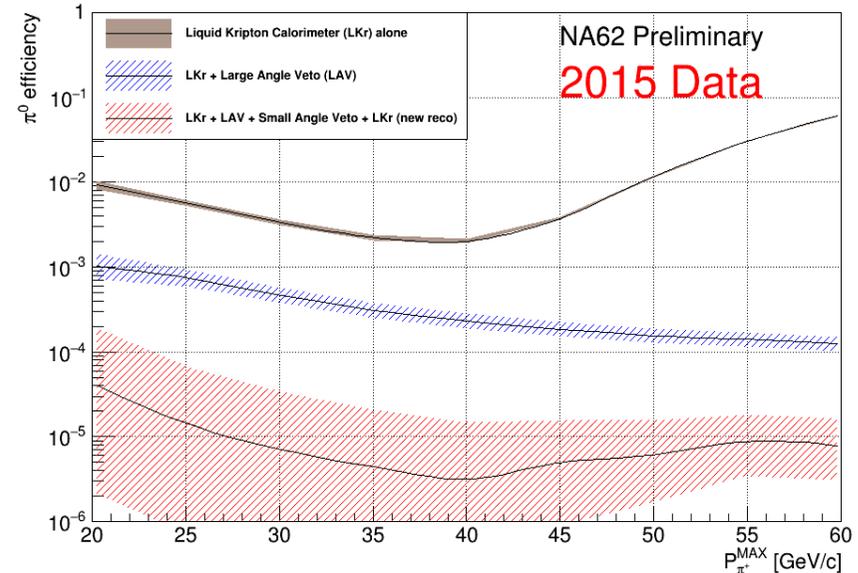
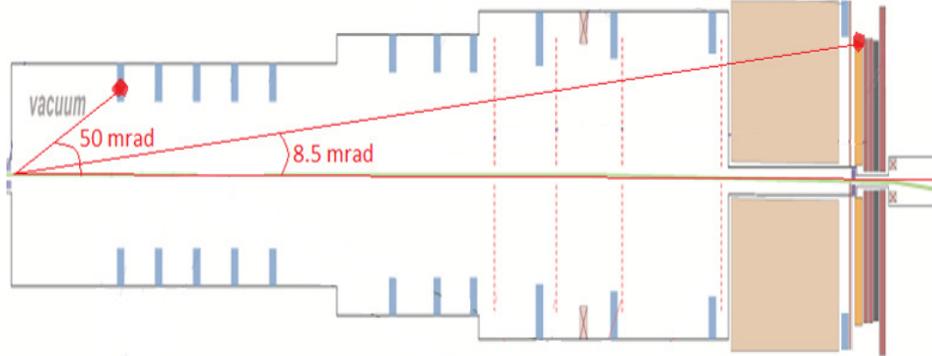


Decay products PID

- Particle identification using RICH and calorimeters
- Suppress mainly $K_{\mu 2}$ with $O(10^7)$ π/μ separation
- $15 < P_{\pi} < 35$ GeV/c for the best separation
- Evaluate suppression with clean samples of pion and muons
 - RICH: $O(10^2)$ π/μ separation, 80%(90%) efficiency 2015 (2016)
 - Calorimeters: (10^4-10^6) μ suppression, (90%-40%) π^+ efficiency with cut analysis. Work going on for improvements



Photon rejection



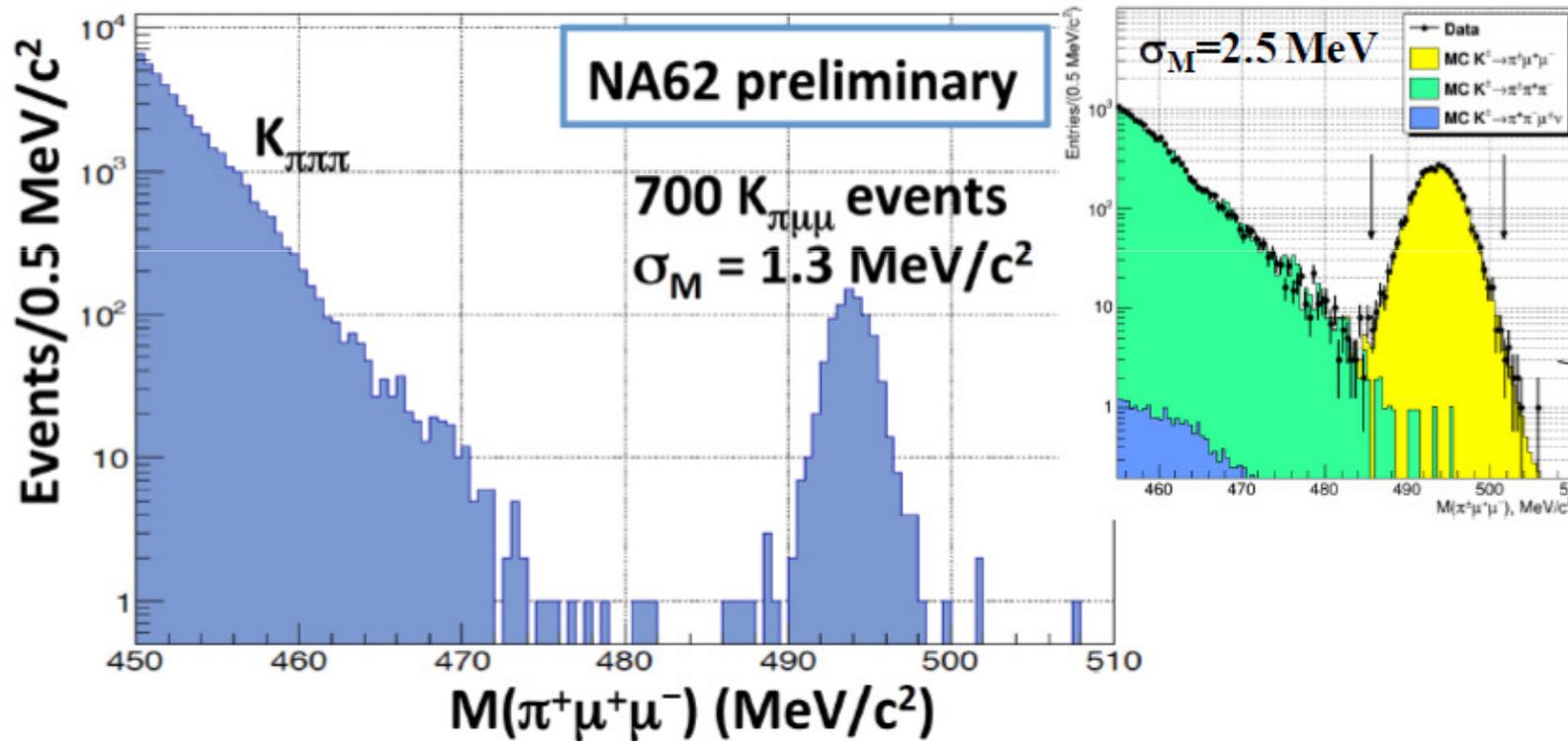
- Use EM calorimeters exploiting correlations between γ s from π^0
- Hermetic coverage up to 50 mrad
- Goal: $O(10^8)$ π^0 rejection from $K^+ \rightarrow \pi^+\pi^0$
 - Thanks to the cut $P_{\pi} < 35 \text{ Gev} \rightarrow E_{\pi^0} > 40 \text{ Gev}$
- Kinematical selection of $\pi^+\pi^0$ decays to measure rejection
- $O(10^6)$ obtained with 2015 data, but statistically limited
- 2016 data already enough to address the $O(10^8)$ level.

Not only $\pi\nu\bar{\nu}$

- Standard kaon physics
 - ChPT studies: $K^+ \rightarrow \pi^+ \gamma\gamma$, $K^+ \rightarrow \pi^+ \pi^0 e^+ e^-$, $K_{\ell 4}$
 - Precision test of lepton universality: $R_K = \Gamma(K \rightarrow e\nu(\gamma)) / \Gamma(K \rightarrow \mu\nu(\gamma))$
- Searches for lepton-flavor or -number violating decays
 - $K^+ \rightarrow \pi^+ \mu e$, $K^+ \rightarrow \pi^- \mu^+ e^+$, $K^+ \rightarrow \pi^- \ell^+ \ell^+$
- Search for heavy neutrinos
 - $K^+ \rightarrow \ell^+ \nu_h$ (inclusive)
 - ν_h from upstream K, D decays with $\nu_h \rightarrow \pi \ell$
- Search for heavy neutral leptons
- Search for long-lived dark sector particles
 - Dark photon γ' produced in π/ρ decays in target with $\gamma' \rightarrow \ell^+ \ell^+$
 - Axion-like particle A^0 produced in target/beam dump, with $A^0 \rightarrow \gamma\gamma$
- π^0 decays
 - $\pi^0 \rightarrow$ invisible; $\pi^0 \rightarrow 3\gamma, 4\gamma$; $\pi^0 \rightarrow \gamma'\gamma$

An example: $K^+ \rightarrow \pi \mu \mu$

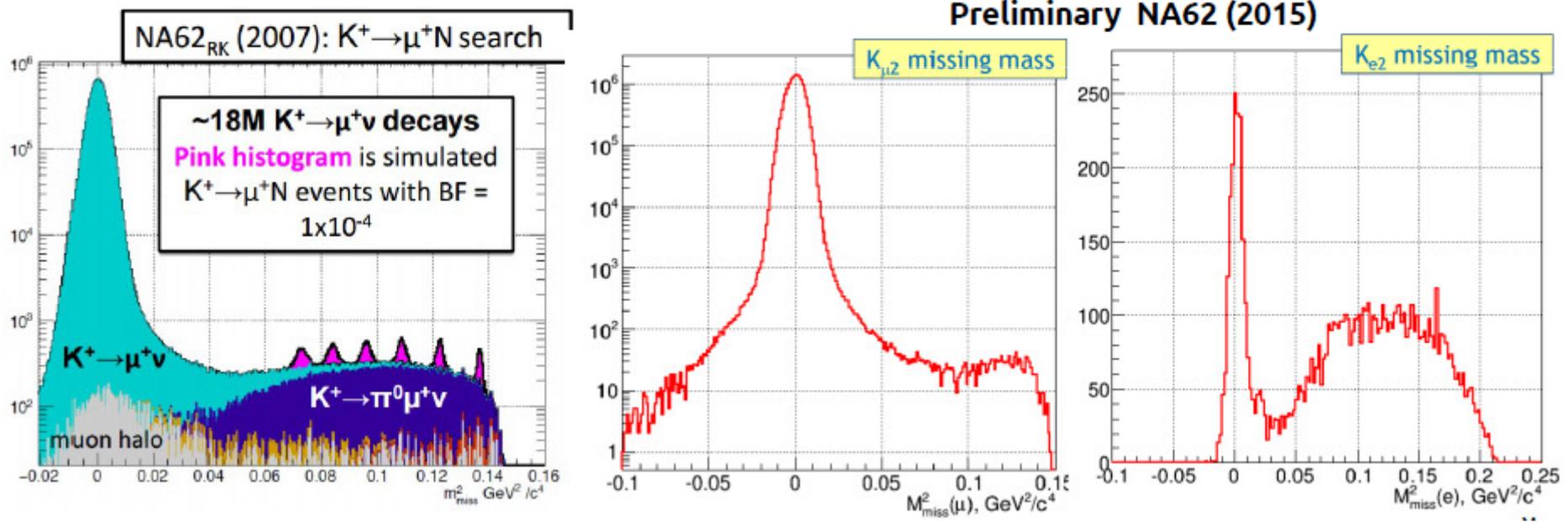
- Kaon physics: BR, form factors, charge asymmetry, FB asymmetry
- $K^\pm \rightarrow \pi^\mp \mu^\pm \mu^\pm$: Lepton number violation
 - Possible if the neutrino is a Majorana particle



Mass resolution better by a factor ~ 2 with respect to NA48/2

An example: Heavy neutral leptons

- Heavy neutral leptons in $K^+ \rightarrow \ell^+ N$
- Can also search for HNL in $K^+ \rightarrow \ell^+ N$ where N does not decay inside the detector fiducial volume
- $K^+ \rightarrow \ell^+ N$ events would appear as peaks in the $K^+ \rightarrow \ell^+ \nu$ squared missing mass distribution
- Searches are model independent



Prospect for the future - Run 3

Accelerator schedule	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027
LHC		Run 2			LS2		Run 3			LS3			Run 4
SPS										FA stop	SPS stop		

- With minimal/no upgrades of the present K^+ beam and detector
 - LFV/LNV high-sensitivity studies
 - $K^+ \rightarrow \pi^+ \mu e$, $K^+ \rightarrow \pi^- \mu^+ e^+$, $K^+ \rightarrow \pi^- e^+ e^+$, $K^+ \rightarrow \pi^- \mu^+ \mu^+$
 - ultra-rare/forbidden π^0 decays
 - μe , 3γ , 4γ , ee , $eeee$
- Year-long run in "beam-dump" mode
 - searches for MeV-GeV mass hidden-sector candidates
 - Dark photons
 - Heavy neutral leptons
 - Axion like particles, etc.

Prospect for the future - Run 4

Accelerator schedule	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	
LHC		Run 2			LS2			Run 3			LS3			Run 4
SPS											NA stop	SPS stop		

- KLEVER: $K_L \rightarrow \pi^0 \nu \bar{\nu}$ at the SPS
 - A design study for an experiment to measure $K_L \rightarrow \pi^0 \nu \bar{\nu}$ at the CERN SPS
- High-energy experiment: complementary approach to KOTO
- Photons from K_L decays boosted forward
- Makes photon vetoing easier - veto coverage only out to 100 mrad
- Possibility to re-use LKr calorimeter and the NA62 experimental infrastructure
- The study has been presented at the CERN workshop "Physics beyond collider" in September 2016 and has been well received

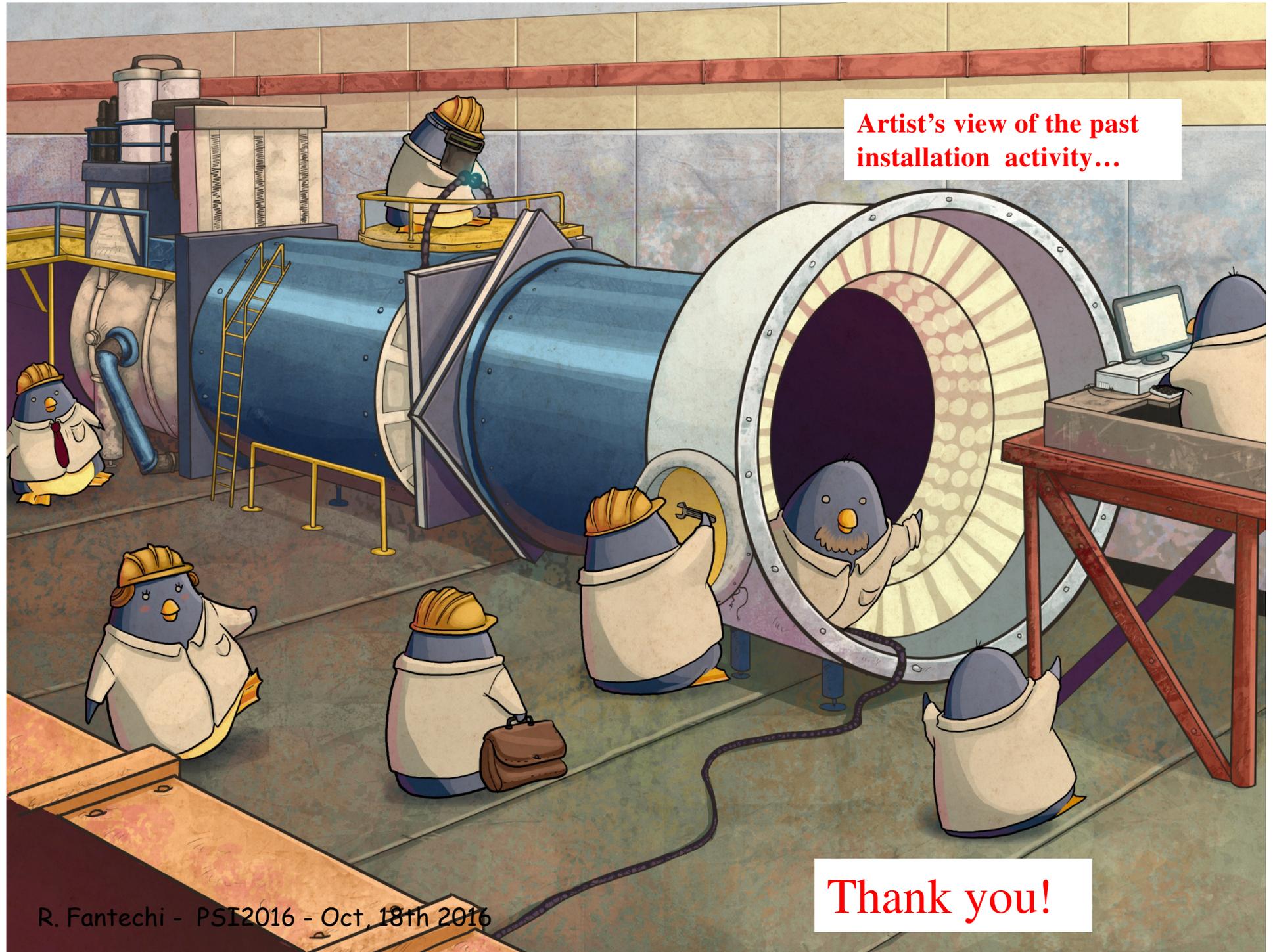
$K_L \rightarrow \pi^0 \nu \bar{\nu}$ at the SPS

- Many issues/questions raised by the study
 - Need for $3 \cdot 10^{13}$ K_L decays for 100 events
 - 10^{19} pot/year on 5 years $\rightarrow 2 \cdot 10^{13}$ ppp (6x NA62 intensity)
 - Beam and experimental area need major upgrade
 - Fiducial volume similar to NA62
 - Active final collimator to veto upstream decays
 - New and many more large angle vetoes (100 mrad)
 - Small Angle vetoes insensitive to 3 GHz of beam neutrons
 - Charged vetoes in front of the LKr calorimeter
 - Optimize LKr efficiency and two cluster separation
 - Following NA62 experience, need to have electronics with a better behavior in radiation areas
 - Expected to have 60 SM events with $S/B=1$
 - Background studies still going on

Conclusions

- NA62 is taking data with the complete detector since 2015
- The data analysis up to now shows that the detector is behaving as expected and with the latest data we can address a sensitivity of less than 10^{-9} for $K^+ \rightarrow \pi^+ \nu \bar{\nu}$
- Data for exotics decays are being collected in parallel
- Data taking will continue at least up to LS2
- Plans are being prepared for Run 3 to study various types of exotic decays
- A preliminary study has been performed to assess the possibility to setup a detector for $K^0 \rightarrow \pi^0 \nu \bar{\nu}$ in Run 4

Artist's view of the past installation activity...



Thank you!